

 Early Warning System

IFC-37651

Principle IV



Quick Facts

Countries	China
Specific Location	eastern seaboard of China
Financial Institutions	International Finance Corporation (IFC)
Status	Approved
Bank Risk Rating	B
Voting Date	2016-03-04
Borrower	PRINCIPLE CAPITAL FUND IV LP
Sectors	Finance
Investment Type(s)	Equity
Investment Amount (USD)	\$ 30.00 million
Project Cost (USD)	\$ 350.00 million



Project Description

The proposed project is for an equity investment of up to \$30 million in Principle Capital Fund IV L.P. (“the Fund”), a 10 year closed-end generalist private equity fund targeting fast-growing mid-market companies in China. The Fund is seeking to raise \$350 million total committed capital



Investment Description

- International Finance Corporation (IFC)

The Fund sponsor is Principle Capital Advisors Limited (“Principle”), an independent private equity firm focused on investing in China. Based in Shanghai, China, Principle has over US\$350 million Renmimbi equivalent assets under management across four collective investment vehicles.

Financial Intermediary

Financial Intermediary: A commercial bank or financial institution that receives funds from a development bank. A financial intermediary then lends these funds to their clients (private actors) in the form of loans, bonds, guarantees and equity shares. Financial intermediaries include insurance, pension and equity funds. The direct financial relationship is between the development bank and the financial intermediary.

- [Principle Capital Advisors Limited](#) (Financial Intermediary)
- [Principle Capital Fund IV L.P.](#) (Financial Intermediary)



Private Actors Description

Principle Capital Fund IV L.P. is a 10 year closed-end generalist private equity fund targeting fast-growing mid-market companies in China.

The Fund sponsor/manager is Principle Capital Advisors Limited is an independent private equity firm focused on investing in China. Based in Shanghai, China, Principle has over US\$350 million Renmimbi equivalent assets under management across four collective investment vehicles.



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ACCOUNTABILITY MECHANISM OF IFC

The Compliance Advisor Ombudsman (CAO) is the independent complaint mechanism and fact-finding body for people who believe they are likely to be, or have been, adversely affected by an IFC or MIGA- financed project. If you submit a complaint to the CAO, they may assist you in resolving a dispute with the company and/or investigate to assess whether the IFC is following its own policies and procedures for preventing harm to people or the environment. If you want to submit a complaint electronically, you can email the CAO at CAO@worldbankgroup.org. You can learn more about the CAO and how to file a complaint at <http://www.cao-ombudsman.org/>