

No.	Aspect	Action	Deliverable	Delivery date	STATUS
PS 1: Assessment and Management of Environmental and Social Risks and Impacts					
1.1	Environmental and Social Assessment and Management System	1. Develop an ESMS Manual that describes how the Project will be managing its associated environmental and social risks and impacts, including the nine elements described in the IFC "Environmental and Social Management System Handbook, General" (2015).	1. ESMS Manual	1. Before financial closing	Submitted 03 Dec 2021
1.1	Environmental and Social Assessment and Management System	2. Implementation of the Project's ESMS Manual	2. Evidence of Manual's implementation.	2. As part of the Environmental and Social Compliance Report (ESCR).	N/A at this stage
1.2	Identification of Risks and Impacts	1. Prepare and maintain a risk analysis matrix specific to the Project (port construction activities and dredging).	1. Risk analysis matrix	1. Before initiation of construction activities	Submitted 25 Jan 2022
			2. Evidence of its continuous updating	2. As part of the ESCR.	
1.2	Identification of Risks and Impacts	1. Prepare and maintain a risk analysis matrix specific to the Project (port construction activities and dredging).	2. Evidence of its continuous updating	2. As part of the ESCR.	N/A at this stage
1.3	Management Programs	1. Consolidate YILPORT's operational Environmental and Social Management Plans (ESMPs) and those in the Project's Environmental and Social Impact Assessments (ESIAs) into a single set of ESMPs to be implemented for the Project. Reference these ESMPs in the ESMS Manual.	1. Consolidated set of Environmental and Social Management Plans	1. Before financial closing	Submitted 23 Jan 2022
1.4	Emergency Preparedness and Response	1. Consolidate YILPORT's existing Contingency and Emergency Plan and the Emergency Preparedness and Response Plan (EPRP) in the Project ESIA into a Project-specific EPRP that: i) incorporates non-standard operational activities, such as construction activities and dredging; ii) evaluates emergency risks to nearby communities; iii) be disclosed to these communities; and iv) includes procedures for notifying them in the event of an actual emergency.	1. Emergency Preparedness and Response Plan	1. Before financial closing	Submitted 23 Jan 2022
		2. Implement the EPRP, including execution of periodic drills and simulations.	2. Evidence of EPRP implementation	2. As part of the ESCR.	N/A at this stage
1.5	Stakeholder Engagement	1. Conduct stakeholder identification, analysis, and mapping for the Project.	1. Stakeholder map	1. Before financial closing	Submitted 03 Dec 2021
		2. Update YILPORT's existing Community Relations Plan into a Project-specific Stakeholder Engagement Plan (SEP)	2. Stakeholder Engagement Plan	2. Before financial closing	
1.5	Stakeholder Engagement	3. Implement the SEP.	3. Evidence of SEP's implementation	3. As part of the ESCR.	N/A at this stage
1.6	External Communications and Grievance Mechanisms	1. Update the Project's External Communications and Grievance Mechanism to include: i) the addition of information on the channels for receiving grievances (e.g., online form, e-mail, phone number, drop boxes); and ii) specific provisions for addressing the grievances of vulnerable groups (e.g., gender-based violence)	1. Updated External Communications and Grievance Mechanism	1. Before financial closing	Submitted 30 Jan 2022
		2. Implement the Project's External Communications and Grievance Mechanism	2. Evidence of Project's External Communications and Grievance Mechanism implementation	2. As part of the ESCR.	N/A at this stage
PS 2: Labor and Working Conditions					
2.1	Human Resources Policies and Procedures	1. Develop a Human Resources Policy, applicable to all contractors and key suppliers, that includes: i) the right of workers to organize and join labor unions; ii) non-discrimination and equal opportunity; and iii) prohibitions against child and forced labor.	1. Human Resources Policy	1. Before financial closing	Submitted 03 Feb 2022
		2. Adopt the Human Resources Policy.	2. Evidence of the adoption of Human Resources Policy	2. Before financial closing	
2.2	Occupational Health and Safety	1. Develop an Occupational Health and Safety (OHS) Plan to cover all Project activities.	1. Occupational Health and Safety Plan	1. Before initiation of construction activities	Work in Progress
		2. Implement the OHS Plan	2. Evidence of OHS Plan implementation	2. As part of the ESCR	

No.	Aspect	Action	Deliverable	Delivery date	STATUS
2.3	Workers Engaged by Third Parties and Supply Chain	1. Develop a Contractor Management and Assurance Plan (CMAP) to include procedures: i) to vet and monitor the labor rights and biodiversity performance of contractors and key suppliers; ii) to monitor the environmental and health and safety (EHS) performance of contractors, including periodic site inspections and internal audits; iii) for coordination between YILPORT and contractor OHS professionals (e.g., EHS committees and reporting); iv) for reporting, investigating, and addressing EHS incidents; and v) for EHS training and capacity building (e.g., EHS campaigns).	1. Contractor Management and Assurance Plan	1. Before financial closing	Submitted 03 Dec 2021
2.3	Workers Engaged by Third Parties and Supply Chain	2. Implement the Contractor Management and Assurance Plan (CMAP).	2. Evidence of CMAP implementation	2. As part of the ESCR	N/A at this stage
PS 3: Resource Efficiency and Pollution Prevention					
3.1	Resource Efficiency	1. Develop a Resource Efficiency Plan including a description of the recommendations of the Project's Evaluation of Climate Change Risks that will be implemented, how, and by whom.	1. Resource Efficiency Plan	1. Prior to operations	Submitted 23 Jan 2022
3.1	Resource Efficiency	2. Implement the Resource Efficiency Plan.	2. Resource Efficiency Plan implementation	2. As part of the ESCR	N/A at this stage
3.2	Pollution Prevention	1. Develop a Tributyltin (TBT) Sampling Plan for additional sampling and analysis of TBT levels at five sampling points in the area to be dredged near Berth 6. The laboratory and methods utilized to sample and analyze the sediments shall be acceptable to the Lenders, and sufficient to detect TBT below the threshold action level of 115 µg Sn/kg.	1. TBT Sampling Plan.	1. Before initiation of dredging activities	Submitted 31 Aug 2021
		2. Implement the TBT Sampling Plan and provide results.	2. TBT sampling results.	2. Before initiation of dredging activities	Waiting results from Lab.
3.3	Pollution Prevention	1. Develop a Dredging Management Plan to include all dredging-related management measures from the Project's ESIA, established thresholds for water quality and turbidity monitoring, and corrective measures if exceedances occur. Include measures to mitigate potential impacts to sea turtles, marine mammals, benthos, shore and sea birds, and fish. If TBT sampling indicates levels above 115 µg Sn/kg, the Project may not dispose of such material at sea, and the Dredging Management Plan must include alternate methods for disposing of any TBT-contaminated sediment.	1. Dredging Management Plan	1. Before initiation of dredging activities	<i>WIP</i> Waiting results from TBT to complete.
		2. Implement the Dredging Management Plan.	2. Evidence of Dredging Management Plan implementation	2. As part of the ESCR	N/A at this stage
3.4	Pollution Prevention	1. Revise the Air Quality Monitoring Program to include a noise monitoring station in the community adjacent to the port and to compare noise monitoring results to the noise guidelines in the World Bank Group General EHS Guidelines.	1. Revised Air Quality Monitoring Program	1. Before the order to proceed of construction activities	Submitted 23 Jan 2022
PS 4: Community Health, Safety, and Security					
4.1	Community Health and Safety	1. Develop a Maritime Traffic Management Plan to include procedures for communicating and interacting with local fishers.	1. Maritime Traffic Management Plan	1. Before initiation of construction activities	Submitted 25 Jan 2022

No.	Aspect	Action	Deliverable	Delivery date	STATUS
4.1	Community Health and Safety	2. Implement the Maritime Traffic Management Plan.	2. Evidence of Maritime Traffic Management Plan implementation	2. As part of the ESCR	
4.2	Emergency Preparedness and Response	1. Consolidate YILPORT's existing Contingency and Emergency Plan and the Emergency Preparedness and Response Plan (EPRP) in the Project ESIA into a Project-specific EPRP so that it: i) incorporates non-standard operational activities, such as construction activities and dredging; ii) evaluates emergency risks to nearby communities; iii) is disclosed to these communities; and iv) includes procedures for notifying them in the event of an actual emergency.	1. Emergency Preparedness and Response Plan	1. Before financial closing	Submitted 23 Jan 2022
		2. Implement the EPRP.	2. Evidence of EPRP implementation	2. A part of the ESCR	N/A at this stage
		3. Perform periodic drills and simulations.	3. Report on drills and simulations	3. A part of the ESCR	N/A at this stage
4.3	Security	1. Develop and implement a Security Management Plan to include: i) a security risk assessment; ii) security procedures for the Port during construction activities; and iii) training requirements for security guards, including in human rights and the use of force (i.e., United Nations Code of Conduct for Law Enforcement Officials and United Nations Basic Principles on the Use of Force and Firearms by Law Enforcement Officials)	1. Security Management Plan	1. Before financial closing	Submitted 04 Feb 2022
		2. Implement the Security Management Plan	2. Evidence of the implementation of the Security Management Plan	2. A part of the ESCR	N/A at this stage
PS 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources					
6.1	Protection and Conservation of Biodiversity	1. Update the Project's Biodiversity Management and Monitoring Plan to incorporate the measures developed to mitigate the impacts identified in the Project's Critical Habitat Assessment and the measures developed to mitigate the biodiversity-related impacts identified in the Project's Cumulative Impact Assessment.	1. Updated Biodiversity Management and Monitoring Plan	1. Before financial closing	Submitted 25 Jan 2022
6.1	Protection and Conservation of Biodiversity	2. Implement the Biodiversity Management and Monitoring Plan.	2. Evidence of the Biodiversity Management and Monitoring Plan implementation.	2. As part of the ESCR	N/A at this stage
6.2	Invasive Alien Species	1. Develop an Invasive Species Management Plan that addresses ballast water, including measures to ensure that ships utilizing the Port have pollution prevention equipment that complies with the International Convention for the Prevention of Pollution from Ships (MARPOL) and follow IMO Biofouling guidelines.	1. Invasive Species Management Plan	1. Before financial closing	Submitted 23 Jan 2022
6.2	Invasive Alien Species	2. Implement the Invasive Species Management Plan.	2. Evidence of the implementation of the Invasive Species Management Plan	2. As part of the ESCR	N/A at this stage
6.3	Ecosystem Services	1. Prepare a social baseline of the fishing community in the Project area. Include a description of Yilport's interactions and any outreach programs with the fishers since the beginning of the concession.	1. Fishers social baseline report	1. Before initiation of dredging activities	Work in Progress
		2. Prepare a Livelihood Restoration Plan for any affected fishers based on the findings of the social baseline.	2. Livelihood Restoration Plan	2. Before initiation of dredging activities	
6.3	Ecosystem Services	3. Implement the Livelihood Restoration Plan	3. Evidence of the implementation of the Livelihood Restoration Plan	3. As part of the ESCR	N/A at this stage
PS 8: Cultural Heritage					
8.1	Chance Find Procedures	1. Update the Project's Chance Find Management Plan to include sections on: i) roles and responsibilities; ii) archaeological monitoring procedures; iii) chance find procedures, including development and implementation of treatment plans; iv) chain of custody and curation procedures for recovered artifacts; v) record keeping; vi) cultural heritage training of workers engaged in ground-disturbing activities; and vii) site protection measures.	1. Updated Chance Find Management Plan	1. Before initiation of construction activities	Submitted 23 Jan 2022

WIP = work in progress