SFG1436 V3

China

Hebei Clean District Heating Project

Xingtai Industrial Waste Heat Central Heating Project

Abbreviated Resettlement Action Plan

Xingtai Xuyang Anneng Heating Co., Ltd. (XXAH)

October 2015

Abbreviations

AAOV - Average Annual Output Value

AH - Affected Household AP - Affected Person

HCCRC - Hebei China Coal Xuyang Coking Co., Ltd.

HD - House Demolition LA - Land Acquisition

M&E - Monitoring and EvaluationMLS - Minimum Living SecurityRAP - Resettlement Action Plan

XXAH - Xingtai Xuyang Anneng Heating Co., Ltd.XXCC - Xingtai Xuyang Coal Chemical Co., Ltd.

Units

Currency unit = Yuan (RMB) \$1.00 = CNY6.15 1 hectare = 15 mu

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1. Overview

1.1 Implementation agencies

The World Bank-financed Xingtai Industrial Waste Heat Central Heating Project (hereinafter, the "Subproject") is one of the 4 subprojects of the Hebei Clean Heating Project. The implementing agency of the Subproject is XXAH, which is a joint venture between Hebei China Coal Xuyang Coking Co., Ltd. (HCCRC) and Shijiazhuang Advanced Energy Technology Co., Ltd. founded in July 2014. In the Subproject, industrial waste heat from HCCRC will be utilized to supply heat to residential communities and public buildings with a total building area of 8.0163 million m² within a land area of 23 km² in the northwest of Xingtai City centrally, including a total building area of existing residential communities of 2.151 million m², a total building area of existing public buildings of 146,000 m², a total building area of newly constructed residential communities of 200,000 m², a total building area of residential communities under construction of 5.6486 million m², and a total building area of public buildings under construction of 70,800 m².

1.2 Construction purpose and main content

The scope of construction of the Subproject is: circulating water pipeline from the primary cooler in the plant of China Coal Xuyang to the first heating station, circulating water pipeline from the dry quenching waste heat boiler power station to the first heating station, steam and condensate pipelines from the plant to the first heating station, first heating station, urban primary pipelines and heating stations. The Subproject will be constructed in two phases, in which Phase 1 will be constructed in 2015 and Phase 2 (funded by the Bank) during 2016-2019.

1.3 The heating source

The heating source is Hebei China Coal Xuyang Coking Co., Ltd., and the backup heating sources are Xingtai Xuyang Coal Chemical Co., Ltd. (XXCC) and Hebei Jinniu Xuyang Chemical Co., Ltd. All these 3 companies are affiliated to Xuyang Group and located in Xingtai Xuyang Industrial Park. The park is located in Yanjiatun Town in southeastern Xingtai County, with a planned land area of 14.5 km². All the three companies have received land use certificates from the Xingtai County Land and Resources Bureau, involving neither LA nor HD. See Appendix 1 for the due diligence reports of these 3 companies.



Figure 1 Locations of Xingtai County and Xingtai City



Figure 2 Location of the heating source in Xingtai Xuyang Industrial Park and Xingtai City

1.4 The first heating station land compensation and resettlement due diligence

The first heating station of the Subproject has a total land area of 50 mu, which is part of the 148.9 mu of construction land obtained by XXCC from Shixiang Village, Yanjiatun Town, Xingtai County in 2012. XXCC has promised to offer this plot for use by the Subproject. See Chapter 11 "Relevant documents" for the letter of commitment. See Appendix 2 for the due diligence report of this plot.



Figure 3 Locations of HCCRC and XXCC relative to Shixiang Village, Yanjiatun Town

1.5 Area and types of land occupied for heat exchange stations and heating pipelines

The heat exchange station under the Subproject will occupy 39.06 mu of state-owned land, which land located in residential communities, reserved for heating by real estate developers gratuitously as required by the government. Pipeline construction will occupy 428.78 mu of land temporarily, including plant roads and urban roads, involving neither LA nor HD.

1.6 Preparation process and methods of the RAP

The Shanxi Academy of Social Sciences was appointed by the owner Xingtai Xuyang Anneng Heating Co., Ltd. (XXAH) to organize a task force, and assist the owner in preparing this ARAP.

During November 13-15, 2014 and January 28-29, 2015, a team of 9 organized by the task force and the PMO conducted a comprehensive socioeconomic survey in the subproject, conducted extensive public participation, and collected comments and suggestions from stakeholders.

The task force conducted comprehensive socioeconomic survey in the project area, and conducted adequate consultation with all stakeholders on the necessity and social impacts of the Subproject. Based on understanding of local social economic conditions, the task force discussed the issues with affected people on reemployment for boiler workers, secondary heat network improvement charges, residents' ability and willingness to pay and tried to incorporate their attitudes, needs and suggestions into the subproject design. On this basis, XXAH prepared the ARAP of the Subproject.

2. Background of the Subproject

2.1 Socioeconomic profile of the subproject area

Xingtai City is located in southern Hebei, with a land area of 12,486 km², governing two districts (Qiaodong and Qiaoxi), an economic development zone and an administrative zone, 15 counties, 191 townships, 19 sub-district offices, 335 communities and 5,190 villages. At the end of 2013, the city's resident population was 7.2169 million. In 2013, the city's GDP was 160.46 billion yuan, fiscal revenue 16.71 billion yuan, urban residents' per capita disposable income 20,634 yuan, and farmers' per capita net income 7,477 yuan.



Figure 4 Location of Xingtai City in Hebei Province

2.2 Background and significance of the Subproject

In the urban area of Xingtai City, the main heating modes are central heating from thermal power plants and scattered heating by small gas-fired boilers. In addition, some residential communities are heated by ground source heat pumps and wall-mounted gas boilers, and some public buildings are heated by air-conditioners. Scattered gas-fired boilers feature low efficiency, high energy consumption and high cost (about 35 yuan/m²), for which a subsidy of 15 yuan/m² is granted from municipal finance, with a total amount of over 20 million yuan per annum. Even so, the heating quality of most communities is not guaranteed, and some residents have to purchase additional heating equipment, increasing their financial burden. The Subproject will improve energy utilization efficiency, reduce coal and natural gas consumption for heating, save costs, reduce pollution, and promote sustainable economic development. The Subproject will provide a source of central heating in the northwestern part of the urban area of Xingtai City, and reduce energy consumption and heating costs, playing a significant role in developing Xingtai into a resource-saving and environment-friendly city.

The heating range of the Subproject is south of Provincial Highway S221, east of Binjiang Road, north of Quanbei Street (west of the railway); and Dongguan Street (east of the railway), east of Kaiyuan Road, and west of Xiangdu Road, in the northwestern part of the urban area of Xingtai City. The Subproject has a gross heating area of 8.0163 million m², including an existing building area of 2.0971 million m²; and a newly completed or under-construction building area of 5.9194 million m². Among existing residential buildings, 22 existing communities with a total building area of 1.4015 million m² are heated by natural gas boilers, one existing community with a building area of 399,500 m² is heated by wall-mounted gas boilers, one existing community with a building area of 150,000 m² and a newly completed community with a total building area of 200,000 m² is heated by ground source heat pumps, and public buildings with a total building area of 146,000 m² are heated by central air-conditioners. In order to accelerate the implementation of the Subproject, the owner plans to complete central heating for the residential communities heated by gas-fired boilers with a total building area of 1.2115 million m² in Qiaodong District in 2015 to replace 20 gas-fired boilers, and complete central heating for the remaining residential communities and public buildings with a total building area of 6.8048 million m² during 2016-2019.

2.3 Components

The Subproject will be constructed in two phases. The scope of construction in 2015 (Phase 1) is as follows:

- 1) Circulating water pipeline from the primary cooler to the first heating station: diameter DN500-DN450, 9,700m long;
- 2) Steam and condensate pipelines from the Xuyang plant to the first heating station: steam pipeline diameter DN300, 1,000m long; condensate pipeline diameter DN50, 2,000m long;
- 3) A plate heat exchange station, a dispatching complex, a warehouse and repair shop, and an engineering garage in the first heating station;
 - 4) Urban primary pipelines: 24,326m long, diameter DN1200-DN80;
 - 5) 27 heating stations

The construction investment in 2015 is 352.1755 million yuan.

The scope of construction in 2016-2019 (Phase 2) is as follows:

1) Circulating water pipeline from the dry quenching waste heat boiler power station in the plant to the first heating station: diameter DN400, 1,900m long;

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- 2) Circulating water pipeline from the phthalic anhydride project to the first heating station: diameter DN800, 1,000m long;
- 3) Steam pipeline from the plant to the first heating station: construction of a DN600, 1,000m long pipeline in 2016; demolition of the DN300, and construction of a DN800, 1,000m long pipeline at its former position in 2018;
 - 4) Two heat pump rooms of the first heating station;
 - 5) Urban primary pipelines: 27,860m long, diameter DN700-DN50;
- 6) 117 heating stations: including 14 building heating stations, mainly including plate heat exchangers, water softeners, water softening tanks and automatic control systems

 See Figure 5.

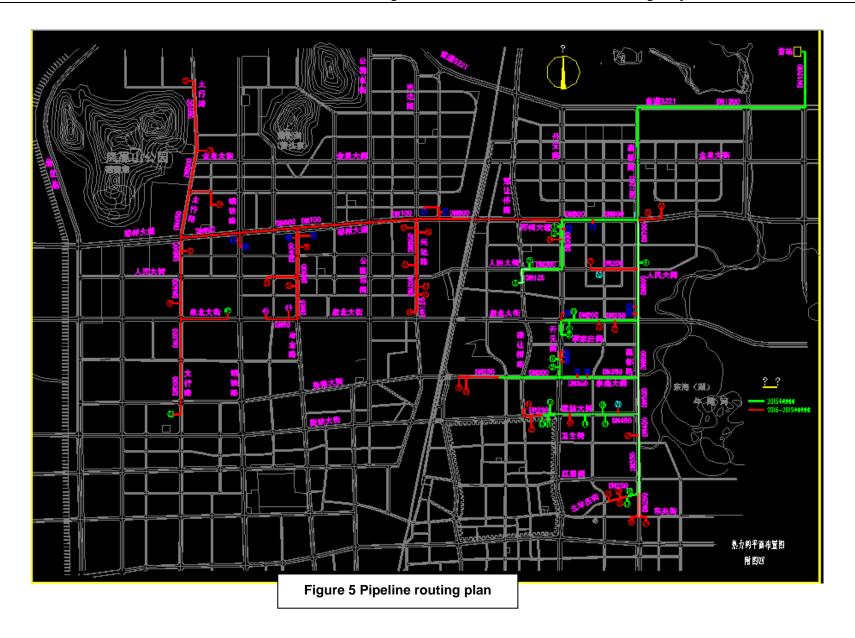


Table 2-1 Statistics of Heating Stations Constructed in 2015

	Table 2-1 Statistics of Heating Stations Constructed in 2015							
N	Commun	Mana	Building area	Heat	Heating	Station	Numbe	Remar
о.	ity	Name	(0,000 m ²)	supply (W/m²)	load (MW)	capacity	r	ks
1	1# Fengchao Garden (North Zone)		5.20	38	1.98	2MW	1	
2	2#	Fengchao Garden	20.10	58	11.66	3MW	4	
3	3#	Yihai Garden	3.10	58	1.80	2MW	1	
4	4#	Gaojiatun Community	7.97	38	3.03	3MW	1	
5	5#	Yuzhu Garden	6.40	38	2.43	3MW	1	
6	6#	Beiguozhuang	3.65	38	1.39	2MW	1	
7	7#	Quanbeiquan	2.63	38	1.00	1MW	1	
8	8#	Residential quarter of No.3 High School	0.79	58	0.46	1MW	1	
9	9#	Residential quarter of the Xingtai County State Administration Bureau	1.26	58	0.73	1MW	1	
10	10#	Residential quarter of the Xingtai County Health Supervision Bureau	2.80	58	1.62	2MW	1	
11	11#	Fangyuan Life north zone	3.26	80	2.61	3MW	1	
12	12#	Waterfront Garden	10.38	38	3.94	2MW	2	
13	13#	Fangyuan Life west zone	3.57	80	2.86	3MW	1	
14	14#	Tuanjie Garden	1.26	38	0.48	1MW	1	
15	15#	Tuanjie Garden west zone	2.10	38	0.80	1MW	1	
16	16#	Yi'an Garden	6.86	38	2.61	3MW	1	
17	17#	Oriental Granvia	13.00	38	4.94	3MW	2	
18 18# Nature Town Phase 2		Nature Town Phase 2	10.83	38	4.12	2MW	2	
19	19#	Quandu Town	4.50	38	1.71	2MW	1	
20	20#	Tangning No.10	11.50	38	4.37	2MW	2	
		Total					27	

Table 2-2 Statistics of Heating Stations Constructed during 2016-2019

		Table 2-2 Statistics of Heating Stations Co	Building area		Heating	Station		
No.	Community	Name	(0,000 m ²)	(W/m ²)	load (MW)	capacity	Number	Remarks
1	21#	Nature Town	15	38	5.70	3MW	2	
2	21#	Kongfu Garden		38	5.70	3MW	2	
	22#	Kongru Garden	15	30	5.70	SIVIVV		Building
3 23#		Qinglin Garden	15.3	38	5.81	0.4MW	14	heating station
4	24# Suli Garden		22.73	38	8.64	3MW	3	
5	25#	Kaiyuan Guantang	16	38	6.08	3MW	2	
6	26#	Tianhe Garden	21.46	38	8.15	3MW	3	
7	28#	Kaiyuan Renjia	5.41	38	2.06	2MW	2	
8	29#	Jinjiang Garden	4.7	38	1.79	2MW	1	
9	30#	New Century Yijing Garden	14.8	38	5.62	3MW	1	
10	31#	Evergrande Damingdu	33	38	12.54	3MW	2	
11	32#	Fire-fighting command center	2	38	0.76	1MW	1	
12	33#	Haide Garden	16.12	38	6.13	3MW	2	
13	34#	Xiangyin City Plaza	50	38	19.00	3MW	6	
14	35#	Yongkang Garden	75.67	38	28.75	3MW	10	
15	36#	Jinxiu Garden	54.12	38	20.57	3MW	7	
16	37#	Yonghui Paris	11.64	38	4.42	2MW	2	
17	38#	Lingshi Garden	35	38	13.30	3MW	4	
18	39#	Central Mansion	44.96	38	19.00	3MW	6	
19	41#	Evergrande Town	20	38	7.6	2MW	4	
20	44#	Technical supervision bureau	0.3	65	0.20	1MW	1	
21	49#	Criminal police detachment	0.25	65	0.16	IIVIVV	I	
22	45#	Hebei Electromechanical College	4	38	1.52	2MW	1	
23	46#	Qiaoxi District Procuratorate	0.5	65	0.33	1MW	1	
24	50#	Xingtai Broadcast & TV Center	1	65	0.65	IIVIVV	I	
25	47#	Xingtai Administration for Industry and Commerce	0.5	65	0.33	1MW	1	
26	48#	Xingtai Disease Control Center	0.5	65	0.33	IIVIVV	1	
27	51#	China Mobile	0.6	65	0.39	3MW	1	
28	63#	Xingtai Central Hospital	4.28	65	2.78	SIVIVV	1	
29	52#	Oasis New Town indemnificatory housing	20	38	7.60	2MW	4	
30	53#	Century Garden (Phases 1 and 2)	39.95	38	15.18	3MW	5	

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No.	Community	Name	Building area (0,000 m ²)	Heat supply (W/m²)	Heating load (MW)	Station capacity	Number	Remarks
31	54#	Ophthalmic hospital	2	65	1.30	21/1/1/	1	
32	62#	Urban administration bureau	au 0.2 65 0.13 ^{2MW}		'			
33	55#	Hanting Hotel	1	65	0.65	1MW	1	
34	56#	Unicom Building	0.6	65	0.39			
35	57#	5 th traffic police brigade	0.12	65	80.0	1MW	1	
36			0.4	65	0.26	TIVIVV	ı	
37	59#	Labor and social security bureau	0.3	65	0.20			
38	60#	State taxation bureau	0.2	65	0.13	1MW	1	
39	61#	Xingtai County traffic police brigade	0.35	65	0.23			
40	65#	Huangjia Garden (Phase 5)	5	38	1.90	2MW	1	
41	66#	Longfu Garden	4.5	38	1.71	2MW	1	
42	67#	Yuetantai Old-age Community	4	38	1.52	2MW	1	
43	68#	Shangpin International Garden	20.9	38	7.94	3MW	3	
45	70#	Haide Garden	6.24	38	2.37	3MW	1	
46	71#	Xingtai Psychiatric Hospital	1.08	38	0.70	1MW	1	
47	72#	Xingtai Tobacco Company	1.5	65	0.98	1MW	1	
48	73#	Building No.19 of Quanbeiquan	2.4	65	0.91	1MW	1	
50	74#	Evergrande Dijing Garden	10	38	3.80	2MW	2	
51	75#	Quandu Garden – Yuefu	18.7	38	7.11	2MW	4	
52	76#	Huadu Xiangyu Garden	29	38	11.02	3MW	4	
53	77#			38	1.37	2MW	1	
54	78#	78# Yuquan Garden		38	3.04	3MW	1	
55	79#	·		38	3.65	2MW	2	
56	80#	Baihe Culture Plaza	6	38	2.28	3MW	1	
	Total						117	

2.4 Investment

The Subproject has a gross investment of 696.2877 million yuan, in which the construction investment in 2015 is 352.1755 million yuan, the construction investment during 2016-2019 318.1138 million yuan, financial expenses during the construction stage 23.6123 million yuan, and initial working capital 2.3861 million yuan. The investment during 2016-2019 includes a Bank loan of USD33.5 million, equivalent to 206.025 million yuan, accounting for 64.76% of gross investment.

2.5 Key technical and economic indicators

See Table 2-1.

Table 2-1 Key technical and economic indicators

NI-	Itame		04
No.	Item	Unit	Qty.
1	Heating area	0,000 m ² 0,000 m ²	801.63
1.1	1.1 Heating area in 2015		121.15.
1.2	Heating area during 2016-2020	0,000 m ²	680.48
2	Heating load	MW	317.04
3	Pipelines		
3.1	Circulating water pipeline in the plant	m	11600
3.2	Steam pipeline in the plant	m	3000
3.3	Condensate pipeline in the plant	m	2000
3.4	Circulating water pipeline from the phthalic anhydride project to the first heating station	m	550
3.5	Urban primary pipelines	m	52186
4	Maximum diameter	mm	1200
5	Minimum diameter	mm	40
6	Heating stations	/	144
6.1	Conventional heating stations	/	130
6.2	Building heating stations	/	14
5	Staffing	/	60
6	Gross investment	0,000 yuan	69628.77
6.1	Investment in 2015	0,000 yuan	35217.55
6.2	Investment in 2016-2019	0,000 yuan	31811.38
7	Annual operating revenue (including tax)	0,000 yuan	13076.61
8	Annual costs	0,000 yuan	9517.69
9	Annual profit	0,000 yuan	3558.93
10	Annual income tax	0,000 yuan	779.72
11	11 Financial internal rate of return (after-tax)		10.53
12	Financial net present value (Ic=8%) (after-tax)	0,000 yuan	7046
13	Static payback period (after-tax)	Year	9.7

3. Construction schedule

The Subproject will be constructed during 2015-2019. The preliminary schedule is as follows:

March 2015 – June 2015: completion of the feasibility study report;

June 2015 – July 2015: completion of the preliminary design of Phase 1;

July - August 2015: completion of the design of construction drawings of Phase 1;

August - November 2015: completion of the bidding and construction of Phase 1;

July – October 2015: completion of the preliminary design of Phase 2;

November 2015 – January 2016: completion of the design of construction drawings of Phase 2; February – March 2016: completion of the bidding of Phase 2;

April 2016 - October 2017: construction of a heat pump room, installation of 4 heat pumps, 4 circulating water pumps for primary cooler and two condensing water pumps; installation of a peak-load heater; installation of a DN600 steam pipeline of 1,000m from the plant of China Coal

Xuyang to the first heating station, a DN800 water circulating pipeline of 500m from the phthalic anhydride project to the first heating station, and primary pipelines of 15,066m; construction of 34 heating stations

April 2017 - October 2017: installation of primary pipelines of 6,571m; construction of 26 heating stations

April – October 2018: installation of a water circulating pump for primary pipelines, a peak load heater and an oxygen extractor; construction of a heat pump room, and installation of two heat pumps, two circulating water pumps for primary cooler and two condensing water pumps; installation of a DN700 steam pipeline of 1,000m from the plant of China Coal Xuyang to the first heating station; installation of primary pipelines of 1,453m; construction of 26 heating stations

April – October 2019: installation of 3 heat pumps, two dry quenching circulating water pumps, and a DN400 dry quenching circulating water pipeline of 1,900m; installation of primary pipelines of 4,770m; construction of 31 heating stations.

4. Impacts of the Subproject

4.1The affected area and types

The Subproject is located in northern Qiaodong and Qiaoxi Districts, Xingtai City.

The main types of impacts of the Subproject are permanent land occupation during the construction of the heat exchange stations, and temporary land occupation during the construction of the heating pipelines.

The Subproject involves neither the acquisition of rural collective land nor HD. The first heating station and other heating stations will occupy 89.06 mu of land permanently, and pipeline construction will occupy 428.78 mu of land temporarily, including plant roads of 89.06 mu and urban roads of 339.48 mu. Permanent and temporary land occupation will involve state-owned land only.

Other social impacts include construction on roads and in residential areas will affect road traffic, residents' daily life, and nearby stores' business. With the closedown of gas-fired boiler rooms, some boiler workers will be faced with reemployment. Some community residents have to pay heating network access charges, and small proportion of communities have to share secondary heat network improvement charge, which might impose a financial burden on poor households.

4.2 Permanent land occupation

The first heating station will occupy 50 mu of land, and the 142 heating stations will occupy 39.06 mu of land, all being state-owned land in residential communities and reserved for heating. See Table 4-1.

ltem	Oty	Permanently occupied land (mu)				
iteiii	Qty.	State-owned	Collective	Total		
First heating station	1	50	0	50		
	130 (local)	39	0	39		
Heating stations	14 (building)	0.06	0	0.06		
	Subtotal	39.06	0	39.06		
Total		89.06	0	89.06		

Table 4-1 Summary of permanently occupied land

4.3 Temporary land occupation

In the Subproject, 428.78 mu of land will be occupied temporarily during pipeline construction, involving neither LA nor HD. See Table 4-2.

Table 4-2 Summary of temporarily occupied land

		1-2 Summary of to				
No.	Road	Dia.	Length (m)	Width (m)	Туре	Area (mu)
		Plant p	pipelines			
1		DNI400 DNI500		ing water pip		05.00
		DN400-DN500	11600	4.9	Driveway	85.26
2		DNIZOO		eam pipeline	0	0
	Diantainalisas	DN700	3000	0	Overhead	0
3	Plant pipelines	DNIOSO		ensate pipeli		0
		DN250	2000	0	Overhead	0
4		Circulating water			annyariae proj	ect to the first
4		DN800	550	ating station 4.9		4.04
		טטסאוט	550	4.9	Motor	4.04
	Subtotal		17150		vehicle lane	89.3
		Urban nrim	ary pipelines		verlicie iarie	
			ary pipeiiries 015	•		
1	Xuyang Avenue	DN1200	2990	6.3	Drivoway	28.26
	Provincial Highway		2330		Driveway	
2	s221	DN 1200	2382	6.3	Driveway	22.51
3	Xiangdu Road	DN 1200	1628	6.3	Driveway	15.38
4	Xingzhou Avenue	DN800	1966	5.5	Driveway	16.22
5	Kaiyuan Road	DN400-DN200	702	4.7	Driveway	4.95
6	Renmin Street	DN 200	563	4.2	Driveway	3.55
7	Xiangdu Road	DN 700	2283	5.3	Driveway	18.15
8	Xiangdu Road	DN 600	610	5.1	Driveway	4.67
9	Xiangdu Road	DN400- DN350	1065	4.7	Driveway	7.51
10	Quanbei Street	DN300- DN200	1079	4.4	Driveway	7.12
11	Quannan Street	DN 350	1985	4.6	Driveway	13.70
12	Tuanjie Street	DN500- DN350	1570	4.9	Driveway	11.54
13	Beixinzhuang Street	DN 350	175	4.6	Driveway	1.21
	Primary pipelines of 20				Community	
14	communities	≤DN 200	6000	4.2	road	37.80
Subtotal			24326	6.3		192.55
0 0.00 10.00		2016	6-2019			
1	Xingzhou Avenue	DN 700	2647	5.3	Driveway	21.04
2	Xingzhou Avenue	DN 600	1874	5.1	Driveway	14.34
3	Renmin Street	DN 150	672	4.1	Driveway	4.13
4	Quannan Street	DN 250	561	4.1	Driveway	3.45
5	Xiangdu Road	DN 200	336	4.2	Driveway	2.12
6	Beixinzhuang Street	DN 200	260	4.2	Driveway	1.64
7	Taihang Road	DN 100	781	4	Driveway	4.69
8	Taihang Road	DN 300	611	4.3	Driveway	3.94
9	Taihang Road	DN 400	898	4.7	Driveway	6.33
10	Taihang Road	DN 500	92	4.9	Driveway	0.68
11	Taihang Road	DN 450	756	4.8	Driveway	5.44
12	Taihang Road	DN 400	232	4.7	Driveway	1.64
13	Taihang Road	DN 350	1376	4.6	Driveway	9.49
14	Yejin Road	DN 400	1320	4.7	Driveway	9.31
15	Xingda Road	DN250-DN100	1349	4.1	Driveway	8.30
16	Primary pipelines of 40	≤DN200	8000	4.2	Community	50.40
10	communities	שטאוטב	8000	4.4	road	50.40
Subtotal		"	27860			146.93
Total			52186			428.78

4.4 Other social impacts

4.4.1 Construction on roads and in residential areas will affect road traffic, residents' daily life, and nearby stores' business

Some pipelines will be constructed on driveways, and run through busy roads and residents' main activity areas, thereby causing inconvenience in daily life and work. In particular, this will affect the customer flow of some nearby stores.

4.4.2 With the closedown of gas-fired boiler rooms, boiler workers will be faced with reemployment.

22 gas-fired boiler rooms will be closed down and 40 small boilers demolished for the Subproject, affecting 81 boiler room workers directly, all being seasonal workers, who might have difficult in reemployment due to the lack of other skills and old age.

4.4.3 Some community residents have to pay heating network access charges, and few have to share courtyard pipeline installation or reconstruction charges, imposing a financial burden on poor households.

Among the 20 communities to be connected to the heating network in 2015, households in 11 communities paid heating network access charges when buying houses, and their charges will be paid by their developers; for 3 communities, heating network access charges will be paid by their employers or village communities; households in 6 communities completed earlier will pay heating network access charges. It is estimated that reconstruction charge is about 50 yuan/m². The heating access charge might impose financial burden for poor households. In addition in small percentage of communities, secondary heat network improvement need to be carried out. The cost of secondary heat network is shared between residents and heating company. The cost to be charged to residents is only CNY3-5/m², which seem to be acceptable for most residents.

4.5 Measures to mitigate impacts

4.5.1 Minimize negative impacts of construction

The heating pipelines under the Subproject will be constructed on driveways and sidewalks, which will have negative impacts on pedestrians and motor vehicles. In order to minimize negative impacts of construction, the construction agency will take the following measures: conducting construction in stages, and in a semi-enclosed manner to allow for the passage of pedestrians, bicycles and vehicles, erecting access roads and bridges, taking safety measures, indicating construction sites visually, preventing flying dust by sprinkling and coverage, and storing construction materials orderly and removing waste materials timely to reduce land occupation.

In order to minimize negative impacts of construction, the construction agency will take the following measures: conducting construction in stages and in a semi-enclosed manner to allow for the passage of pedestrians, bicycles and vehicles, defined access road spaces, taking safety measures, indicating construction sites visually, preventing flying dust by sprinkling and coverage, storing construction materials orderly and removing waste materials timely to reduce land occupation, and restoring the occupied land to the original condition timely after the completion of construction.

4.5.2 Help workers of natural gas boiler rooms closed down get reemployed

. After the completion of the Subproject, Xingtai Xuyang Anneng Heating Co., Ltd. will generate over 100 jobs, including inspection, repair, maintenance and security, which will be first made available to former boiler workers. For workers unable to do jobs related to heating, the owner should offer employment information and training opportunities to them in consultation with them, and make unskilled jobs at the construction stage first available to them. These measures are designed to maintain their living standard.

4.5.3 Solve the problem of payment of heating network access charges properly.

For residents who have not paid heating network access charges, the PMO, sub-district offices and community committees should make policy explanations to them properly so that they are willing to pay. For communities in which there is dispute over the obligation of payment between residents and developers, the district governments should make properly coordination to define who should pay such charges and what the rate is; poor households should be partly or wholly exempt from heating network access charges. The municipal and district governments should assist Xingtai Xuyang Anneng Heating Co., Ltd. in collecting heating network access charges fully and timely to ensure the successful implementation of the Subproject, so that all poor households are covered by central heating.

In order that all residents in the service range are covered by central heating, the owner has decided that any poor or MLS household identified by the government will be exempt from 40% of heating network access charges for a house size of 50 m², and pay at the specified rate for any excess size. Thus, each poor household will be exempt from up to 1,000 yuan, which will reduce their financial burden greatly.

4.5.4 House maintenance funds may be used to pay courtyard pipeline reconstruction charges.

House maintenance funds are compulsory for the application of certificates of title to house property, used specifically for public facility maintenance, and owned by all proprietors. Since heating pipelines in some communities are aged and have to be reconstructed before access to central heating. House maintenance funds could be used to pay reconstruction charges in order to reduce the financial burden of residents.

4.5.5 Jobs should be offered to laborers in poor households where possible.

After the completion of the Subproject, Xingtai Xuyang Anneng Heating Co., Ltd. will generate many jobs, including inspection, repair, maintenance and security. Except existing boiler workers, 20-30 jobs will be offered to be public, which may be first made available to laborers in poor households. This will solve the problem of livelihood sustainability to some extent.

4.5.6 Strengthen information disclosure and public participation, and involve vulnerable groups.

Extensive publicity should be given by means of TV, broadcast, bulletin board, brochure, etc., so that local residents are fully aware of the Subproject. FGDs involving all stakeholders should be held regularly to strengthen communication and consultation. At such FGDs, a certain percentage of participants should be from vulnerable groups so that their comments and suggestions are incorporated into solutions. A smooth appeal channel should be maintained to coordinate the interests of all stakeholders timely, and prevent issues and conflicts in advance.

5. Legal Policy Framework

The ARAP under the project is compiled in accordance with the World Bank policy of OP/BP 4.12 and the national laws, regulations and local rules of the People's Republic of China. Resettlement implementation will be made by strictly abiding to the policies and criteria made in this resettlement plan. In case of any changes during the implementation, the World Bank will be kept informed in time.

5.1 Fundamental Policies

The legal and policy frameworks of the resettlement action plan are as follows.

- Operational Policy on Involuntary Resettlement (OP/BP 4.12)
- •The Law of Land Administration of the People's Republic of China (Adopted in June 1986,

revised in 1988, 1998, 2004).

- •Regulations on the Administration of Urban Roads (Decree [1996] No.198 of the State Council)
- •Administrative Measures for the Collection of Urban Road Occupation and Excavation Fees (JC [1993] No.410)
- •Notice of the Hebei Provincial Construction Commission on Forwarding the Administrative Measures for the Collection of Urban Road Occupation and Excavation Fees (HPCC [1994] No.6)
- •Notice of the Hebei Provincial Construction Commission on Adjusting Rates of Urban Road Excavation and Restoration (HPCC [1997] No.175)

5.2 Related Laws and Regulations

5.2.1 Key Provisions from the World Band Operational Policy on Involuntary Resettlement (OP 4.12)

The goal of resettlement plan by World Bank is to ensure that the resettled persons will be benefited from the project. As involuntary resettlement is an integral part of the project, the following activities should be performed at the initial and preparatory phase of the project.

- (1) Involuntary resettlement should be avoided where feasible, or minimized, exploring all viable alternative project designs.
- (2) Where it is not feasible to avoid resettlement, resettlement activities should be conceived and executed as sustainable development programs, providing sufficient investment resources to enable the persons resettled by the project to share in project benefits. Resettled persons3 should be meaningfully consulted and should have opportunities to participate in planning and implementing resettlement programs.
- (3) Resettled persons should be assisted in their efforts to improve their livelihoods and standards of living or at least to restore them, in real terms, to pre-displacement levels or to levels prevailing prior to the beginning of project implementation, whichever is higher.
- (4) All the persons impacted by the project are provided prompt and effective compensation at full replacement cost for losses of assets attributable directly to the project to improve or at least restore their living standard.

"Replacement cost" is the method of valuation of assets that helps determine the amount sufficient to replace lost assets and cover transaction costs. In applying this method of valuation, depreciation of structures and assets should not be taken into account.

(5) All persons impacted by the project are to be benefited from the project. Subsidies should be provided in addition to the compensation for the losses of their property for restoration. The persons losing the property, equity, legal domicile permit, business, land exploitation, or building attributed directly to the project, should be treated the same as those with legitimate assets, equity or franchise, in life restoration and asset compensation.

5.2.2 Key Provisions from the Land Administration Law of the People's Republic of China

Article 47 Land requisitioned shall be compensated for on the basis of its original purpose of use.

Compensation for requisitioned cultivated land shall include compensation for land, resettlement subsidies and attachments and young crops on the requisitioned land. Compensation for requisition of cultivated land shall be six to ten times the average annual output value of the requisitioned land for three years preceding such requisition.

Resettlement subsidies for requisition of cultivated land shall be calculated according to the agricultural population needing to be resettled. The agricultural population needing to be resettled

shall be calculated by dividing the amount of requisitioned cultivated land by the average amount of the original cultivated land per person of the unit the land of which is requisitioned.

The highest resettlement subsidies to be divided among members of the agricultural population needing resettlement shall not exceed fifteen times its average annual output value for the three years preceding such requisition.

Standards of land compensation and resettlement subsidies for requisition of other types of land shall be prescribed by provinces, autonomous regions and municipalities directly under the Central Government with reference to the standards of compensation and resettlement subsidies for requisition of cultivated land.

Standards for compensation for attachments and young crops on the requisitioned land shall be prescribed by provinces, autonomous regions and municipalities directly under the Central Government.

For requisition of vegetable plots in city suburbs, the land users shall pay towards a development and construction fund for new vegetable plots in accordance with the relevant regulations of the State.

If land compensation and resettlement subsidies paid in accordance with the provisions of the second paragraph of this Article are still insufficient to help the peasants needing resettlement to maintain their original living standards, the resettlement subsidies may be increased upon approval by people's governments of provinces, autonomous regions and municipalities directly under the Central Government. However, the total land compensation and resettlement subsidies shall not exceed 30 times the average annual output value of the requisitioned land for the three years preceding such requisition.

Article 48 After the plan for land compensation and resettlement fees is finalized, related local people's governments shall make an announcement and hear the opinions of the rural collective economic organizations and peasants whose land has been requisitioned.

Article 49 The rural collective economic organization, the land of which is requisitioned, shall accept supervision by making known to its members the income and expenses of the compensation received for land requisition.

The compensation and other charges paid to the unit for its land requisitioned is forbidden to be embezzled or misappropriated.

Article 57 Where land owned by the State or by peasant collectives needs to be used temporarily for construction of projects or for geologic prospecting, the matter shall be subject to approval by the land administration departments of people's governments at or above the county level. However, if the land to be temporarily used is located in the area covered by urban planning, the matter shall be subject to agreement by the urban planning administration department concerned before it is submitted for approval. The land user shall, depending on who owns the land and who has the land-use right, enter into a contract for the temporary use of the land with the land administration department concerned, or the rural collective economic organization, or the villagers committee and pay compensation for it in accordance with the provisions of the contract.

The temporary land user shall use the land for purposes stipulated in the contract for temporary use of the land and may not build permanent structures on it.

Generally, the period for temporary use of land shall not exceed two years.

5.2.3 Applicable provisions in the Regulations on the Administration of Urban Roads

Article 29 Only with the approval of the administrative department for municipal engineering may pipes, lines or pole lines be built attaching to urban roads.

Article 31 When there is a need to temporarily occupy any urban road due to some special reasons, an approval must be obtained from the administrative department for municipal engineering and the department for the administration of public security and communications.

Article 33 Those who are to dig urban roads for some engineering operation shall go through the procedures for examination and approval with the administrative department for municipal engineering and the department for the administration of public security and communications by producing the approval documents issued by the city planning department and relevant design papers.

Article 35 Those approved to dig urban roads shall put clear signs and set up protective walls on the operation sites and, after the completion of the operation, immediately clean up the sites and notify the administrative department for municipal engineering for inspection.

Article 36 Those approved to occupy or dig urban roads shall make the occupation or digging at the approved location and within the approved area and period. When there is a need to change the location or extend the area or period, they shall go through in advance the procedures for approving the changes.

Article 37 Those occupying or digging urban roads under the administration of the administrative department for municipal engineering shall pay fees for the occupation or renovation-after-digging of urban roads to the said department.

Fee rates for occupation of urban roads shall be drawn up by the administrative department for construction of the people's government of province or autonomous region or by the administrative department for municipal engineering of the people's government of municipality directly under the central government, and submitted to the departments of finance and price control at the same level for ratification.

5.2.4. Applicable provisions in the Administrative Measures for the Collection of Urban Road Occupation and Excavation Fees

Article 2 Urban roads referred to herein mean roads within urban planning areas (including road land). All organizations and individuals occupying or excavating roads within urban planning areas must observe these measures.

Article 3 Urban construction departments of local governments at or above county level shall be responsible for the collection of road occupation and excavation fees.

Article 4 Organizations and individuals occupying roads temporarily for construction, storage, parking, marking or other special purposes must pay road occupation fees.

Organizations and individuals excavating roads for construction, repair or other special purposes must pay excavation repair fees.

Article 5 Road occupation fees shall be based on road grade, type of occupation (operating or else), use, etc.

Excavation repair fees shall be based on road structure, age, material cost, etc.

5.2.5. Notice of the Hebei Provincial Construction Commission on Adjusting Rates of Urban Road Excavation and Restoration

Road occupation and excavation fees shall include road excavation fees, ditch filling fees and road occupation fees. Their rates are set out in Tables 5-1, 5-2 and 5-3.

No.	Former rates (1994)	Adjusted rates (1997)		
NO.	Item	Rate (yuan/m²)	Item	Rate (yuan/m²)	
1	Concrete pavement	150	Concrete pavement repair	230	
2	Asphalt pavement	120	Asphalt pavement repair	180	
3	Ordinary tile sidewalk	70	Ordinary tile sidewalk repair	100	
4 Square concrete tile sidewalk		150	Square concrete tile sidewalk repair	150	
5	Color tile sidewalk	120	Color tile sidewalk repair	210	
6	Concrete curbstone	30	Concrete curbstone repair	45	

Table 5-1 Road excavation rates

7	Earth road	20		ĺ

Table 5-2 Ditch filling rates

No.	Former ra	ntes (1994)	Adjusted rates (1997)		
NO.	Item	Rate (yuan/m²)	Item	Rate (yuan/m²)	
1	<1m deep 30		Sand filling to depth of 0-1m	90	
2	1-2m deep 33		Sand filling to depth of 1-2m	140	
3	Over 2m deep	43	Sand filling to depth of over 2m	230	
4	4		Sand filling to depth of 0-1m	60	
5	5		Sand filling to depth of 1-2m	70	
6			Sand filling to depth of over 2m	100	

Table 5-3 Road occupation rates (yuan/m² per day)

With the state of									
Item	Primary trunk roads	Secondary trunk roads	Branch trunk roads	Alleys					
Shed	1.5	1	0.8	0.5					
Stall	1	0.5	0.3	0.2					
Construction	0.3	0.2	0.15	0.1					
Vehicle parking	0.4	0.3	0.2	0.1					
Bicycle parking	0.2	0.15	0.1	0.05					
Marketplace			0.2	0.1					

5.3 Entitlement of affected Persons

Any person affected by the project is entitled to the rights as shown in Table 5-4.

Table 5-4 Entitlement Matrix

Impact c	ategory	Entitlement	Policies and measures	responsibility
Pedestrians motor vehicle shops		convenient transportation traffic safety	conducting construction in stages and in a semi-enclosed manner to allow for the passage of pedestrians, defined access road spaces during construction, taking safety measures, indicating construction sites visually, storing construction materials orderly and removing waste materials timely to reduce land occupation, and restoring the occupied land to the original condition timely after the completion of construction.	The contractors, and subproject owner
		Environmental health	preventing flying dust by sprinkling and coverage,	The construction enterprise, the owner
		The number of customers	conducting construction in stages and in a semi-enclosed manner to allow for the passage of pedestrians, bicycles and vehicles, Concentration of construction, speed up the construction progress	The construction enterprise, the owner
Leaving		Practical skills training	Practical skills training free of charg	District human resources and
boiler		Employment introduction	Provide free employment information, employment	social security bureau
workers	Retained workers	reemployment	Doing jobs similar to former jobs, or transferred to other types of jobs	The relevant institutions, property management companies, owners

Professional skills training	Professional skills training for free	The owner
Income restoration	No less than before	The relevant institutions, property management companies, owners

6. Compensation for road occupation and excavation

According to the Administrative Measures for the Collection of Urban Road Occupation and Excavation Fees promulgated by the Ministry of Construction and Ministry of Finance, excavation repair fees (including ditch filling fees) and road occupation fees should be paid for urban road occupation during pipeline construction in the Subproject. Excavation repair fees and road occupation fees are included in the construction budget of the Subproject, and are estimated to be 55.4065 million yuan.

6.1 Fee rates

The local regulations of Xingtai City stipulate that if pavement is to be excavated for municipal construction purposes, the construction agency shall first file an application with the planning authority for approval, and then go through the excavation formalities with the municipal urban administration bureau; excavated pavements shall be restored at the expense of the construction agency. It is learned that the excavation repair rate of the Subproject is 360 yuan/m², including ditch filling fees.

6.2 Excavation repair fees

For pipeline construction under the Subproject, excavation repair fees of 55.4065 million yuan will be paid, including 33.1528 million yuan in 2015 and 22.2538 million yuan during 2016-2019.

Length Width **Excavation repair** Area (m²) No. Road **Diameter** (m) (m) fees (0,000 yuan) 2015 1 Xuyang Avenue DN1200 2990 5 14950 538.2 Provincial Highway 2 DN 1200 2382 5 11910 428.76 s221 3 DN 1200 1628 293.04 Xiangdu Road 5 8140 Xingzhou Avenue **DN800** 1966 9830 353.88 4 5 5 Kaiyuan Road DN400-DN200 702 4 2808 101.088 6 Renmin Street **DN 200** 563 3 1689 60.804 7 Xiangdu Road **DN 700** 2283 4 9132 328.752 **DN 600** 4 8 Xiangdu Road 610 2440 87.84 DN400- DN350 3 9 Xiangdu Road 1065 3195 115.02 10 Quanbei Street DN300- DN200 1079 3 3237 116.532 Quannan Street DN 350 214.38 11 1985 3 5955 DN500- DN350 12 Tuanjie Street 1570 4 6280 226.08 13 Beixinzhuang Street DN 350 175 3 525 18.9 Primary pipelines of 20 14 ≤DN 200 6000 2 12000 432 communities 24326 Subtotal 92091 3315.28 2016-2019 **DN 700** 4 10588 Xingzhou Avenue 2647 381.17 2 Xingzhou Avenue **DN 600** 1874 4 7496 269.86 Renmin Street DN 150 3 2016 72.58 3 672 4 Quannan Street DN 250 3 60.59 561 1683 5 Xiangdu Road **DN 200** 336 3 1008 36.29 **DN 200** 28.08 6 Beixinzhuang Street 260 3 780 7 Taihang Road **DN 100** 781 3 2343 84.35

Table 6-1 Excavation repair fees

ARAP of the World Bank-financed Xingtai Industrial Waste Heat Central Heating Project

8	Taihang Road	DN 300	611	3	1833	65.99
9	Taihang Road	DN 400	898	3	2694	96.98
10	Taihang Road	DN 500	92	3	276	9.94
11	Taihang Road	DN 450	756	3	2268	81.65
12	Taihang Road	DN 400	232	3	696	25.06
13	Taihang Road	DN 350	1376	3	4128	148.61
14	Yejin Road	DN 400	1320	3	3960	142.56
15	Xingda Road	DN250-DN100	1349	3	4047	145.69
16	Primary pipelines of 40 communities	≤DN200	8000	2	16000	576.00
Subtotal			27860		61816	2225.38
Total			52186		153907	5540.65

7. Impacts of closedown of scattered natural gas boiler rooms and

re-employment of workers

After the completion of the Subproject, 22 natural gas boiler rooms will be replaced, including 4 run by entities, 2 by village committees, 13 run by property management companies and 3 by proprietors' committees. The 20 boiler rooms in Qiaodong District will be replaced in 2015, and the two in Qiaoxi District replaced during 2016-2019. These boiler rooms mostly run in deficit due to high costs, and their operators may assume risks of gas leakage and boiler explosion. Therefore, the closedown of these boiler rooms will not affect their operators, but will affect livelihoods of their workers.

7.1 Overview of affected boiler rooms and workers

The 22 natural gas boiler rooms to be replaced under the Subproject have 40 boilers in total, with a total heating area of 1.4015 million m². In heating year 2014-2015, these boiler rooms have 81 boiler workers in total, mainly including stokers and repairers, all being seasonal workers. See Table 7-1.

Table 7-1 Summary of affected boiler rooms and workers, and re-employment plan of boiler workers

		y 0. uoo	Heating	Boiler			When	re-employment plan	
No.	Community	Operator	area (0,000 m ²)	# of boilers	capacity (ton/hour)	Workforce	closed down	Employment by XXAH	
1	Fengchao Garden (North Zone)	PMC	5.2	2	4	4	2015	4	0
2	Fengchao Garden	PMC	20.1	2	10 10	9	2015	8	1
3	Yihai Garden	Proprietors' committee	3.1	1	6	2	2015	2	0
4	Gaojiatun new area	Village committee	7.97	2	6 4	4	2015	4	0
5	Yuzhu Garden	PMC	6.4	2	3	4	2015	2	2
6	Beiguozhuang	Village committee	3.65	1	6	2	2015	2	0
7	Quanbeiquan	PMC	2.63	1	2	2	2015	2	0
8	Residential quarter of No.3 High School	Entity	0.79	1	1	2	2015	2	0
9	Residential quarter of the Xingtai County State Administration Bureau	Entity	1.26	1	2	1	2015	1	0
10	Residential quarter of the Xingtai County Health Supervision Bureau	Entity	2.8	1	4	1	2015	1	0
11	Fangyuan Life north zone	Proprietors' committee	3.26	1	6	2	2015	2	0
12	Waterfront Garden	PMC	10.38	2	6	5	2015	4	1
13	Fangyuan Life west zone	Proprietors' committee	3.57	1	6	2	2015	2	0
14	Tuanjie Garden	PMC	1.26	1	2	1	2015	1	
15	Tuanjie Garden west zone	PMC	2.1	1	3	1	2015	1	0
16	Yi'an Garden	PMC	6.86	5	1 1 1 1 1	7	2015	6	1

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17	Oriental Granvia	PMC	13	4	6 4 3 3	9	2015	7	2
18	Nature Town Phase 2	PMC	10.83	2	4 8	4	2015	4	0
19	Quandu Town	PMC	4.5	1	3	2	2015	2	0
20	Tangning No.10	PMC	11.5	2	4	4	2015	4	0
20		1 1010	11.0		6		2010	· .	<u> </u>
					4				
21	Kongfu Garden	PMC	15	4	4	9	2016-2019	8	1
	rtongra Cardon	1 1010	10		4	Ŭ	2010 2010	Ü	•
					4				
22	Technical college	Entity	4	2	2	4	2016-2019	4	0
~~	recinical college	Littity	4		2	4	2010-2019	4	
Total			140.15	40		81		73	8

Note: PMC = property management company

7.2 Impact analysis

1. Impacts of closedown on operators

Currently, there is a preferential heating policy for MLS households in Xingtai City, namely 13 yuan/m² for a building area of not more than 50 m² and 18 yuan/m² for any excess area per household.

Although the government grants subsidies, the operators still regard boiler rooms as a burden and think that the Subproject will be beneficial to them.

2. Impacts of closedown on boiler workers

22 gas-fired boiler rooms will be closed down after the completion of the Subproject, affecting 81 boiler workers, all being seasonal workers, mostly from nearby rural areas, aged 40-55 years, paid 2,000-2,500 yuan per month. In the 4-month heating period, their per capita income is within the range of 8,000-10,000 yuan, which is an important source of household income. They are mostly elder and short of other labor skills, so they will have difficulty in reemployment after the shutdown of boiler rooms.

In order to learn impacts in detail, the task force chose 3 boiler rooms randomly and interviewed 9 boiler workers:

- 1) Relatively old age: The 9 respondents range from 43 to 54 years, averaging 49 years.
- 2) Low educational levels: Among the 9 respondents, 6 have received junior high school education, accounting for 66.6%; two have received primary school education, accounting for 22.2%; and only one has received senior high school education, accounting for 11.1%.
- 3) Lack of other skills: Among the 9 respondents, two have been boiler workers for 3-5 years, accounting for 22.2%, 6 have worked for 5-8 years, accounting for 66.7%, and one has worked for 8-10 years, accounting for 11.1%. All of them are certified. 6 do farm work at home in the non-heating period, accounting for 66.7%, and the other 3 do odd jobs, accounting for 33.3%.
- 4) Lack of other income sources: The monthly wages of the 9 boiler workers are within the range of 2,000-2,500 yuan, averaging 2,200 yuan, and their per capita income in the 4-month heating period is about 9,000 yuan. For one respondent, boiler room income accounts for over 40% of household income; for 5 respondents, boiler room income accounts for over 30-40% of household income, and for 3 respondents, boiler room income accounts for less than 30% of household income.

7.3 Affected workers' attitudes to and needs for the Subproject

All the 9 respondents support the Subproject, and still expect to do jobs related to heating because they have difficulty in finding other jobs. For workers unable to do jobs related to heating, the owner should offer employment information and training opportunities to them in consultation with them.

7.4 Reemployment and income restoration of boiler workers

7.4.1 Procedure of reemployment and income restoration

- 1) Consultation with boiler workers: In August 2015, the Xingtai PMO and XXAH issued a statistical form to community property management companies or boiler rooms to collect comments on the reemployment of boiler workers. XXAH has told boiler workers that those willing to do similar jobs will continue to be employed by it.
- 2) Community property management companies or boiler rooms submitted statistics to XXAH, and XXAH drafted an employment plan accordingly.
- 3) Execution of employment agreements with boiler workers. XXAH plans to enter into agreements with boiler workers who are willing to work for it in October 2015.
 - 4) Training: After the execution of agreements, XXAH will organize different types of skills

training based on employment needs.

5) Employment: In November 2015, the reemployed boiler workers will go to work formally.

7.4.2 re-employment plan

XXAH, boiler room operators and the Qiaodong District Government have decided to resettle laid-off boiler workers by the following means through consultation with them:

- 1) 8 boiler workers will continue to work at their current property management companies.
- 2) The other 73 boiler workers will continue to be employed by XXAH. After the completion of the Subproject, about 60 jobs of inspection, repair, maintenance, security, etc. for the heating network and heating stations will be generated, and about 40 inspectors for the heating stations will be needed in winter. These jobs will be first made available to former boiler workers because they are experienced. Therefore, XXAH will employ all boiler workers who are willing to stay and train them before they go to work. The owner promises that wages of all retained workers will not be reduced.

Through the above measures, all boiler workers will be resettled properly. See Table 6-1. Boiler entitlement shown in table 5-4.

8. Rehabilitation, Skills training costs and other costs

The rehabilitation budget of the Subproject is 70.6065 million yuan, including land occupation compensation for the first heating station of 15 million yuan, excavation repair fees of 55.4065 million yuan, training costs of 50,000 yuan and external monitoring costs of 150,000 yuan. See Table 8-1.

Amount (0,000 yuan) Remarks Land occupation for first heating station 1500.00 Paid to XXCC Paid to the Xingtai Municipal Excavation repair fees 5540.65 Urban Administration Bureau Training costs 5.00 Borne by XXAH 15.00 Paid to the external M&E agency External monitoring costs 7060.65 Total

Table 8-1 Rehabilitation, Skills training costs and other costs

9. Public participation and grievance redress

9.1 Public participation

The importance has been attached to public participation and communications in the process of compiling the resettlement action plan. The public participation will be further encouraged in implementation phase.

9.1.1 Public Participation during ARAP Compiling Phase

During November and December 2014, the staff of XXAH conducted extensive consultation with local officials and residents while conducting the impact survey to collect their comments and suggestions on the Subproject and the RAP, and communicate the grievance redress procedure.

During the survey, the task force conducted adequate, equal consultation with local residents to collect their needs and suggestions to be incorporated into the subproject design. At FGDs and in personal interviews, the task force introduced the scope of construction, objectives and potential social impacts of the Subproject, and collected comments and suggestions from local residents, especially from the poor and women.

9.1.2 Public Participation during the Implementation of the ARAP

Public participation will be encouraged in the whole process of implementation of the ARAP:

- 1) Public participation during construction planning: During construction planning, mainly the planning of heat exchange stations and heating pipelines, an FGD involving sub-district and community officials, and residents will be held to collect their comments.
- 2) Public participation during construction: During construction, the owner and the construction agency will communicate with the APs and affected entities frequently, encourage them to give suggestions on alleviating impacts, and supervise the construction process to minimize inconvenience during construction, and ensure the safety of primary and high school students.
- 3) Participation in construction: During construction, the construction agency will hire local laborers first equal conditions and give priority to laborers in vulnerable households and female laborers through consultation with the affected communities.
- 4) Public participation during the reemployment of boiler workers: The owner will determine the reemployment program for boiler workers through adequate consultation with them.

9.2 Grievance Mechanism and Channels

The public participation is always encouraged in both phases of compilation and implementation of the RAP. However, it is inevitable to encounter more or less problems in actual process. Therefore, it is necessary to timely solve these problems to ensure the smooth progress in project construction, In addition to the existing governmental channels for filing complaints and grievance (including the offices of complaints and appeals at county, municipal and provincial levels, the law enforcement departments of supervision, jurisdiction and prosecution, and the department of disciplinary inspection), a public and effective assess to filing the complaints and grievance has been provided specifically for this project. The grievance redress procedure of the Subproject is as follows:

Stage 1: If any AP is dissatisfied with the RAP or its implementation, he/she may file an oral or written appeal with the community/village committee or XXAH. In case of an oral appeal, the community/village committee should prepare written records. A disposition should be made within one week.

Stage 2: If the appellant is dissatisfied with the disposition of Stage 1, he/she may file an appeal with the sub-district office, which should make a disposition within two weeks.

Stage 3: If the appellant is dissatisfied with the disposition of Stage 2, he/she may apply for administrative arbitration with the Xingtai Municipal Housing and Urban-Rural Development Bureau, which should make an award within ten days.

Stage 4: If the appellant is dissatisfied with the arbitration award, he/she may bring a suit in a civil court in accordance with the Civil Procedure Law.

10. The organization

10.1 Organization setup and function

The Foreign Loan Management Center, Hebei Provincial Finance Department is the province-level leading organization of the Subproject, the Xingtai Municipal Government the executing agency, and XXAH the implementing agency.

The Xingtai Municipal Government has established the subproject leading group, which is headed by the deputy county head in charge, and composed of chief leaders of the county departments concerned, including finance, land and resources, audit, price control, etc. The group is responsible for the unified leadership of the Subproject, coordinating the county departments concerned, and solving major issues in subproject preparation and implementation.

The implementing agency XXAH is responsible for: 1) organizing subproject preparation and design; 2) organizing subproject implementation, including bidding and contracting; 3) supervising

construction and equipment installation, and conducting on-site inspection; 4) organizing and supervising the implementation of the Environmental Management Plan and the RAP; 5) raising counterpart funds and repaying the Bank loan; and 6) operating and maintaining facilities constructed under the Subproject.

10.2 Staffing

In order to facilitate impact restoration, the owner has established the Subproject Impact Restoration Leading Group, and a smooth information channel. Its staff is composed of specialized technicians and administrators, and has considerable experience in resettlement and coordination. See Table 10-1.

Table 10-1 Staffing of the Impact Restoration Agencies

Agency	Name	Gender	Title	Title in project	Responsible for
Impact	Li Qinghua	Male	Chairman of XXAH	Team leader	Planning & coordination
Restoration Leading	Yin Yongwei	Male	Vice Chairman of XXAH	Deputy leader	Administration
Group	Yang Xinbo	Male	Deputy General Manager of XXAH	Deputy leader	Project management
Group	Ruan Jianqing	Male	Deputy General Manager of XXAH	Deputy leader	Public relations
	Li Ruili	Male	Marketing Manager of XXAH	Director	Administration
	Zhang Yungai	Female	Technology Manager of XXAH	Deputy director	Project management
Office	Li Huipu	Male	Engineer of the Technology Dept. of XXAH	Staff member	Engineering technology
	Song Lifei	Female	Marketing Dept. of XXAH	Staff member	Public relations

11. M&Erap

In order to ensure the smooth implementation of the ARAP, the whole process will be monitored in perspectives rehabilitation in the project. Both the internal and external monitoring will be applied.

The ARAP and social impacts will be monitored, including internal and external monitoring.

11.1 Internal Monitoring

Internal monitoring will be implemented by the PMO.

The PMO staff has participated in the preparation of the ARAP, and assisted the social assessment agency in social assessment to learn the Subproject's potential negative social impacts during and after construction.

The scope of internal monitoring includes:

- 1) Implementation of the policies in the ARAP and social assessment report;
- 2) Grievances and appeals of the APs;
- 3) Mitigation or elimination of negative social impacts;
- 4) Public participation and consultation;
- 5) Support for vulnerable groups; and
- 6) Satisfaction of beneficiary population

11.2 External M&E

External M&E is regular M&E on the Subproject's social impacts out of the implementing agencies to see if negative social impacts have been mitigated or eliminated, and if the expected objectives and benefits are met. Through external M&E, comments and suggestions on mitigating or eliminating negative social impacts will be proposed, an early warning system will be provided to the PMO and a feedback channel provided to the affected population.

The subproject owner will appoint an independent M&E agency to conduct external M&E on the implementation of the ARAP.

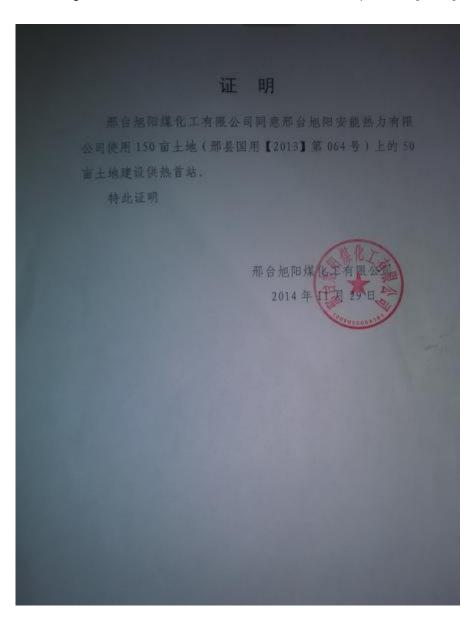
The main external M&E indicators are:

- 1) Progress: including construction progress, growth of beneficiary population, implementation of reemployment plan, etc.;
- 2) Quality: satisfaction of affected boiler workers, and satisfaction of beneficiaries during project implementation, etc.;
- 3) Ability to pay: ability to pay of residents for both heat connection charge and secondary heat network charge, as well as annual heat charge for poor households
- 4) Grievance redress: if grievances and appeals during and after construction have been addressed, etc.

The external M&E agency will submit two M&E reports to the Bank annually at the construction stage, and a summary report after the completion of construction and construction.

12. Relevant documents

Certification of XXCC: On November 29, 2014, XXCC certified that it approved XXAH to construct the first heating station on 50 mu out of its 150 mu of land (XCLRB [2013] No.064).



Appendix 1: Due Diligence Report on Land Occupation for HCCRC

1. Overview of HCCRC

HCCRC, located in Xingtai Xuyang Industrial Park, founded in November 2003, which is a joint venture between Xingtai Xuyang Trading Co., Ltd., China Coal Coke Holdings Ltd. and Delong Steel Co., Ltd., with equity shares of 45%, 45% and 10%. Its staple products include metallurgical coke, coal tar, crude benzene, ammonium sulfate, coke oven gas, etc. The company has a floor area of 1681 mu and a workforce of over 1,800, being the largest coking enterprise of China and also the first manufacturing enterprise in the park. Its assets are 3.471 billion yuan, annual sales revenue 6.5 billion yuan and annual profit 400 million yuan.

2. Land occupation

On February 28, 2006, HCCRC received a land use certificate (No.008) from the Xingtai County Government. The land is west of Shixiang Village, Yanjiatun Town, 471,235 m² in size. See Figure 6.

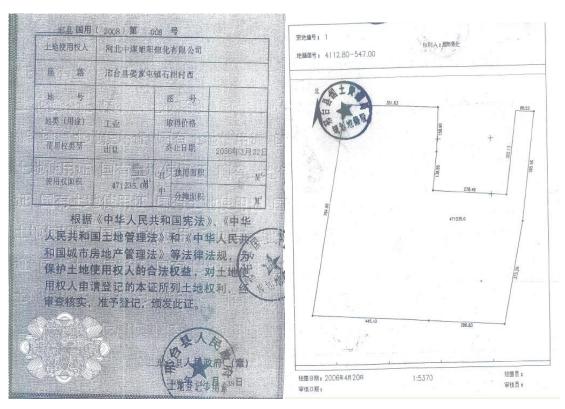


Figure 6 Land use certificate of HCCRC (No.008)

On February 28, 2007, HCCRC received a land use certificate (No.049) from the Xingtai County Government. The land is west of Shixiang Village, Yanjiatun Town, 8.4794 hectares in size. See Figure 7.



Figure 7 Land use certificate of HCCRC (No.049)

Appendix 2: Due Diligence Report on Land Occupation for XXCC

1. Overview of XXCC

XXCC, founded in April 2006, located in Chengjie Village, Yanjiatun Town, Xingtai County, is a wholly-funded subsidiary of Xuyang Group, and a company specializing in the production of coal coking products and fine chemicals, with a floor area of 600 mu and a workforce of 500. Its staple products include asphalt, industrial naphthalene, crude phenol, light oil, pure benzene, etc. Its assets are 3.648 billion yuan, annual sales revenue 3.2 billion yuan and annual profit 200 million yuan.

2. Land occupation

On November 6, 2006 and February 28, 2007, XXCC received land use certificates (No.027, No.028, No.029, No.030 and No.031) from the Xingtai County Government. The land is west of Shixiang Village, Yanjiatun Town, 79,993 m², 80,018 m², 79,984 m², 79,993 m² and 80,012 m² in size, totaling 400,000 m². See Figure 8.

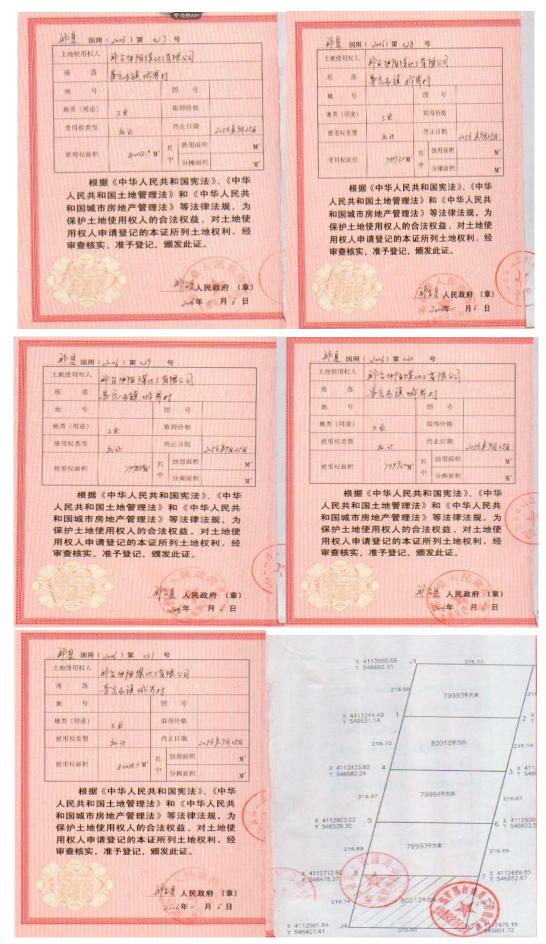


Figure 8 Land use certificates of XXCC

Appendix 3: Due Diligence Report on Land Occupation for HJRC

1. Overview of HJRC

HJRC, founded in March 2008, located east of Pangma Village, Yanjiatun Town, is a 50:50 joint venture between Xuyang Group and Jizhong Energy Resources Co., Ltd., with a floor area of 192 mu and a workforce of 200. Its staple products include methanol, crude alcohol, steam, etc. Its assets are 596 million yuan, annual sales revenue 473 million yuan and annual profit 54 million yuan.

2. Land occupation

On July 31, 2009, HJRC received a land use certificate (No.037) from the Xingtai County Government. The land is east of Pangma Village, Yanjiatun Town, 128,002 m² in size. See Figure 9.

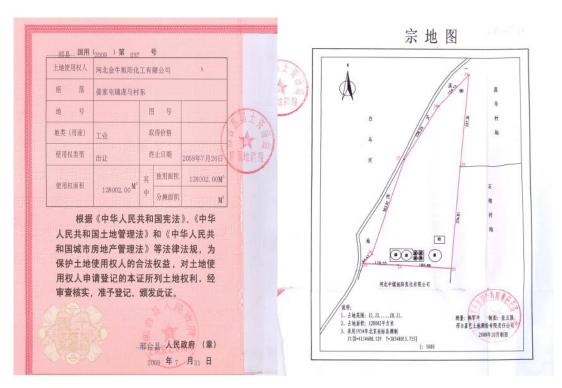


Figure 9 Land use certificate of HJRC (No.037)

Appendix 4: Due Diligence Report on Land Occupation for the First

Heating Station

1. Overview of the station

The first heating station of the Subproject is located east of the HCCRC plant, with a floor area of 50 mu, which is part of the 148.9 mu of construction land obtained by XXCC from Shixiang Village, Yanjiatun Town, Xingtai County in 2012.

In 2012, XXCC acquired 148.9 mu of collective land in Shixiang Village through the Xingtai County Government in order to construct the phthalic anhydride project. In January 2013, land compensation was paid to Shixiang Village. In April 2013, the Shixiang Village Committee entered into LA compensation agreements with the AHs and paid compensation to the AHs. In December 2013, Xingtai Xuyang Coking Co., Ltd. received a land use certificate from the Xingtai County Government.

2. Overview of the project area

Shixiang Village is affiliated to Yanjiatun Town, Xingtai County.

Xingtai County is located in southern Hebei Province, and governs 16 townships and 519 villages, with a land area of 1,839 km² and a population of 347,000. Xingtai County boasts an advantaged geographic location, convenient traffic and developed industry.

Yanjiatun Town has a land area of 62.6 km² and a population of 30,400, and governs 24 villages. Xingtai Xuyang Industrial Park is located in Yanjiatun Town.

Shixiang Village has over 1,000 households with over 4,000 persons, and a cultivated area of over 4,000. The main crops are peanut, corn and wheat, and the main income sources of villagers are crop cultivation and employment. Since the village is close to the urban area of Xingtai City and Xingtai Xuyang Industrial Park, most villagers work at nearby enterprises. In 2013, villagers' per capita net income was 9,600 yuan. The village is developed in education and medical care.

33. Impacts of the project

3.1 Impacts of permanent LA

148.9 mu of collective land in Shixiang Village has been acquired for the XXCC phthalic anhydride project, all being irrigated land, affecting 87 households with 318 persons. No HD is involved.

LA amount and type Affected

Irrigated land Non-irrigated land Total HHs Population

148.9 0 148.9 87 318

Table 3-1 LA impacts of the XXCC phthalic anhydride project

3.2 Ground attachments

In addition to young crops, there is a well and two simple vegetable greenhouses on the acquired land.

4. Impact analysis

HD for the XXCC phthalic anhydride project has reduced the cultivated area of Shixiang Village, and affected the production and living quality of the AHs to some extent.

The 87 AHs formerly had 413.4 mu of land (1.3 mu per capita) before LA, and has 264.5 mu of land (0.83 mu per capita) after LA, reducing per capita cultivated area by 0.47 mu or 36%. In general, the AHs are affected heavily by LA.

No AH has lost all its land. 4% of the AHs have a land loss rate of 58%, 26% have a land loss rate of 50%, 37% have a land loss rate of 29%, and 23% have a land loss rate of 18%. See Table 4-1.

HHs	Population	Percentage to affected population	Land loss (mu)	Per capita land loss (mu)	Per capita land loss rate				
12	44	14%	32.90	0.75	58%				
23	84	26%	54.64	0.65	50%				
32	117	37%	44.45	0.38	29%				
20	73	23%	16.89	0.23	18%				
87	318	100%	148.88	0.47					

Table 4-1 Land losses of AHs

5. Resettlement policy framework

5.1 Applicable policies and regulations

The laws and policies applicable to the XXCC phthalic anhydride project include:

- •Land Administration Law of the People's Republic of China (adopted in June 1986, amended in 2004)
- Decision of the State Council on Deepening the Reform and Rigidly Enforcing Land Administration (SC [2004] No.28)
- •Notice of the Ministry of Land and Resources on Developing Uniform AAOV Rates and Location-based Land Prices for Land Acquisition (MLR [2005] No.114)
 - •Land Regulations of Hebei Province (amended in May 2005)
- •Notice of the Hebei Provincial Government on Practicing Location-based Land Prices for Land Acquisition (HPG [2008] No.132, December 31, 2008)
- •Notice of the Hebei Provincial Government on Amending Location-based Land Prices for Land Acquisition (HPG [2011] No.141, December 31, 2011)

5.2 Applicable provisions

1. Applicable provisions in the Land Administration Law of the People's Republic of China

Article 2 The state may acquire collectively owned land for public benefits.

2. Applicable provisions in the Guidelines on Improving Compensation and Resettlement Systems for Land Acquisition

③ Fixation of location-based composite land prices for LA. Where conditions permit, the province-level land and resources authority may fix composite land prices for LA for different counties and cities in the province together with other competent authorities, and report such prices to the province-level government for approval, disclosure and implementation. Such prices shall be fixed in consideration of land type, output value, geographic location, farmland rating, per capita arable area, land supply-demand relationship, local economic level and minimum living security level of urban residents, etc.

3. Applicable provisions in the Notice of the Hebei Provincial Government on Practicing Location-based Land Prices for Land Acquisition

20% of land compensation fees shall belong to the collective economic organization, and 80% to holders of the land use right or land contractors; if any acquired land has no holder of the land use right and has not been contracted by the collective economic organization, land compensation fees shall belong completely to the collective economic organization for distribution or use. Land compensation fees shall be paid timely and fully, and shall not be withheld or embezzled by any organization or individual.

Attachments and young crops on acquired land shall be otherwise compensated for.

4. Applicable provisions in the Notice of the Hebei Provincial Government on Amending Location-based Land Prices for Land Acquisition

The location-based land prices for land acquisition in the Notice of the Hebei Provincial Government on Practicing Location-based Land Prices for Land Acquisition (HPG [2008] No.132) have been amended in order to improve compensation for land acquisition, protect farmers' lawful rights and interests, and ensure the availability of construction land. These prices shall come into effect from January 1, 2012.

Table 5-1 Location-based land prices of Xingtai City (yuan/mu)

Division	Average location- based land price	Tier-1 area	Tier-2 area	Tier-3 area	Tier-4 area	Tier-5 area	Tier-6 area
Xingtai City	41049						
Xingtai County	43305	100000	65000	45000	37350		

Shixiang Village is a Tier-2 area in Xingtai County, where location-based land price is 65,000 yuan/mu.

5.3 LA compensation policy for the XXCC phthalic anhydride project

5.3.1 Permanent LA

The compensation rate for permanent LA of this project is 80,000 yuan/mu, including young crops but excluding ground attachments. This rate is higher than the local location-based composite land price by 15,000 yuan/mu.

5.3.2 Ground wells

Wells: 10,000 yuan each

Greenhouses: 2,000 yuan each

6. Resettlement measures

6.1 Resettlement policy

The Shixiang Village Committee has developed two resettlement options – one-time cash compensation at 80,000 yuan/mu (fully paid to the AHs) and equal-size land replacement. Through consultation, all AHs have chosen one-time cash compensation.

6.2 Effectiveness of resettlement

In April 2013, all 87 AHs entered into LA compensation agreements with the village committee, and received land compensation within 3 days.

All APs are satisfied with the compensation rate. Since Shixiang Village has relatively more land (3-4 mu per household) and most young villagers work at nearby enterprises, household income does not rely on land. In some households, land is even laid idle. For this reason, all AHs prefer cash compensation. There is no outstanding issue from LA in this project.

7. Sample compensation agreements

Compensation agreement 1: LA compensation agreement between the Shixiang Village Committee and villager Chen Shufen

LA area: 1.17 mu; amount of compensation: 93,600 yuan; date: April 2, 2013

土地征收协议

平方: 晏家屯石相村村民委员会

三 防书券

国项目建设需要,甲方征收乙方承包土地,经甲乙双方协商 商意,是成如下协议:

- 二、甲方一次性支付给乙方包括土地补偿金、生活安置,附 看物等全部补偿金 93600 元 (大写: 玖万冬仔陆俑儿覧)
- 三、协议签订三日后,乙方自行清理该地块上所有附着标。 该期间为自动放弃。
- 四、协议签订后,该块土地的使用权归甲方所至, 乙为不得 以任何理由妨碍该地块的正常使用。
- 五、乙方不得以任何理由于扰阻碍施工,否则承担因此影响 项目的一切经济损失和相应法律责任。
- 六、本协议一式三份, 甲乙双方各执一份, 镇政府留存一份。 以方条字盖章后生效。

平方: 晏家屯鎮石相村委会 乙方: \$P\$、书方 村民委员会 2013 年 4 月 2 日

Compensation agreement 2: LA compensation agreement between the Shixiang Village Committee and villager Liang Hongzhen

LA area: 2.34 mu; amount of compensation: 187,200 yuan; date: April 2, 2013

土地征收协议

甲方: 晏家屯石相村村民委员会

乙方: 梁红板

因项目建设需要,甲方征收乙方承包土地,经甲乙双方协商 同意,达成如下协议:

- 一、该地块位于石相村、西南方向,共计 234 亩。
- 二、甲方一次性支付给乙方包括土地补偿金、生活安置、附着物等全部补偿金_187200元(大写:查拾例万家件表面补偿)
- 三、协议签订三日后, 乙方自行清理该地块上所有附着物, 逾期视为自动放弃。
- 四、协议签订后,该块土地的使用权归甲方所有,乙方不得以任何理由妨碍该地块的正常使用。
- 五、乙方不得以任何理由于扰阻碍施工,否则承担因此影响 项目的一切经济损失和相应法律责任。

六、本协议一式三份,甲乙双方各执一份,镇政府留存一份, 双方签字盖章后生效。



Compensation agreement 3: LA compensation agreement between the Shixiang Village Committee and villager Shi Quanshu

LA area: 1.54 mu; amount of compensation: 123,200 yuan; date: April 2, 2013

土地征收协议

甲方: 景家电石相村村民委员会

乙才: 石含书

医项目建设需要, 甲方征收乙方承包土地, 经甲乙双方协商 用意, 达成如下协议:

- 一、该地块位于石相村面面方向、共计_ 155 宣
- 二、平方一次性支付给乙方包括土地补偿金、丝活安置、附受物等全部补偿金 123200 元 (大写:查拾表页各件表价充整)
- 三、协议签订三目后, 乙方自行清理该地块上所有附着句。 查期视为自动效弃。
- 四、协议签订后,该块土地的使用权归甲方所查,乙方不得 以任何理由妨碍该地块的正常使用。
- 五、乙方不得以任何理由干扰阻碍施工,否则承担因此影响 项目的一切经济损失和相应法律责任。

六,本协议一式三份,甲乙双方各执一份,镇政府留存一份。 风方签字盖章后生效。

平方: 晏家屯镇石相村委会 村爪曼员会

乙方: 701分秒

2013年4月2日