World Bank-financed Chengde Central Heating Energy-Saving Reconstruction Project

Resettlement Action Plan for Chengde Central Heating Energy Saving Reconstruction Project

Chengde Heating Group

August 2015

| EXECUTIVE SUMMARY | 1 |
|-------------------------------------------------------------------------|----|
| A Introduction | 1 |
| B Project Impact | 1 |
| C Policy Framework and Entitlements | 2 |
| D Resettlement Measures | 2 |
| E Rights and Interests of Vulnerable Groups and Women | 4 |
| F Public Participation and Information Disclosure | 4 |
| G Grievance Redress | 4 |
| H Organization | 4 |
| I Monitoring, Evaluation and Reporting | 4 |
| J Funding and Budget | 4 |
| 1 Description of the Project | 6 |
| 1.1 Project Background | 6 |
| 1.2 Project profile | 6 |
| 1.2.1 Project Components and Resettlement Impacts | 6 |
| 1.2.2 Project to benefit the region and affected areas | 12 |
| 1.2.3 Social and Economic Significance of the Project | 18 |
| 1.3 Measures to Minimize Adverse Impacts | 19 |
| 2 Project Impacts | 21 |
| 2.1 Definition of Project Impacts | 21 |
| 2.2 Project Impact Category | 21 |
| 2.3 Survey Methods and Process | 21 |
| 2.4 Social survey results | 22 |
| 2.4.1 State-owned land | 22 |
| 2.4.2 Temporary land occupation impacts | 22 |
| 2.4.3 House demolition | 27 |
| 2.4.4 Affected person | 27 |
| 2.4.5 Vulnerable Groups | 28 |
| 2.4.6 Affected Women | 28 |
| 3 Assessment of Economic Characteristics and Society Impacts | 29 |
| 3.1 Social economic of project area | 29 |
| 3.2 Sample Survey of Affected People | 30 |
| 4 Resettlement Legal Framework and Policies | 32 |
| 4.1 Resettlement Laws, Regulations and Policies | 32 |
| 4.2 PRC laws, regulations and relevant policies | 32 |
| 4.3 The World Bank's Main Policy | 33 |
| 4.3.1 The Policy Objectives of the World Bank | 33 |
| 4.3.2 The Measures Required | 33 |
| 4.4 The main difference between the World Bank policies and Chinese law | 34 |
| 4.5 Project Compensation Principles | 34 |
| 4.6 Compensation Standards of the Project | 34 |
| 4.6.1 The Compensation Standards of State-owned Land | 34 |

Contents

| 4.6.2 Temporary Land Compensation Standards | 35 |
|------------------------------------------------------------------------------|----------------|
| 4.7 Project Deadline | 35 |
| 4.8 Entitlement Matrix | |
| 5 Production and Livelihood Rehabilitation Plan | |
| 5.1 Resettlement Target | |
| 5.2 Resettlement Principles | |
| 5.2.1 Minimize Resettlement | |
| 5.2.2 Equivalent Compensation | |
| 5.3 Temporary Land Occupation and Road Restoration | |
| 5.4The resettlement measures of Boiler room reconstruction affected people | |
| 6Public Participation | 40 |
| 6.1Public Participation Strategy | 40 |
| 6.2 Public Opinion Surveys | 41 |
| 7 Grievance Redress | 42 |
| 8 Implementation Organization | 45 |
| 8.1 Institutional Arrangement | 45 |
| 8.2 Organization Chart | 45 |
| 8.3 Institutional Responsibilities | 45 |
| 8.3.1 Construction project leadership team of Chengde central heating energy | y-saving |
| project | 45 |
| 8.3.2 Construction project management office of Chengde central | heating |
| energy-saving project | 45 |
| 8.3.3 Chengde Heating Group | 45 |
| 8.3.4 Design and Research Institute | |
| 8.3.5 Project Monitoring and Evaluation Unit | |
| 8.4 Qualifications and staffing of agency | |
| 8.5 Institutional Arrangements and Capacity Building | |
| 9 Resettlement and funding arrangements | |
| 9.1The principles of project construction and resettlement | |
| 9.2 The key tasks of Resettlement of the project | |
| 9.3 Resettlement capital budget and schedule | |
| 10 Monitoring and Evaluation | |
| 10.1 Internal Monitoring | |
| 10.1.1 Procedure | |
| 10.1.2 Monitoring Content | |
| 10.1.3 Internal Monitoring Report | |
| 10.2 External Monitoring | |
| 10.2.1 Independent monitoring agency | |
| 10.2.2 Steps and content of monitoring | |
| 10.3 Monitoring indicators | |
| | |
| - | |
| 10.4 After evaluation | 49 |
| - | 49 nent due |

| report | 51 |
|-----------------------------------------------------------------------------------------|----------------|
| Annex 3: Resettlement Information Booklet | 55 |
| Annex 4: Part of the proposed new station construction point of conventional h | eat exchangers |
| status map | 59 |
| Annex 5: Part of the pipe network transformation affected by the current site | uation of road |
| conditions | 65 |
| Annex 6: Free use of district heating set aside land for the new station's heat proof r | material79 |

EXECUTIVE SUMMARY

A Introduction

In order to improve the quality of the atmosphere in Chengde City and the efficiency of the centralized heating system, Chengde City Heating Group Ltd. intends to apply for a World Bank loan to implement the Chengde Central Heating Energy-Saving Reconstruction Project. The proposed subproject is composed of two investment components:

1. Build added heating load network and heating station by advanced heating supply technology. Based on advance technologies such as building heat station, high efficiency heat network autonomous control, climate compensation and so on, achieve the goals of energy saving, improve security and stability of heating system. According demands of heat load development and layout of heat source, accomplish construction of main line and branch line of new heat load matched primary pipeline network, and construction of secondary pipeline network and heat exchange construction. Of which, the length of new-built primary pipeline network is 4,764 m, the style is DN400-80, built 20 normal heating exchange stations and 114 buildings heat exchange stations, increased 2.46 million square meters of heating area.

2. Energy saving reconstruction of old pipeline network and facilities. The reconstruction in Project involve old pipeline and facilities owned by the Chengde Heating Group. (1) Reconstruction will focus at primary heating pipeline network that used over 15 years, with serious potential accidents or once leaked. The length of DN500~DN80 primary pipeline network of reconstruction is 2743m. (2)To replace or retrofit valves in primary heating pipeline network that have been out of repaired for many years. In order guarantee the operation life of pipeline network, improve valves quality, this Project adopts imported welded valves with good quality and installs 64 DN1000~DN250 imported welded valves. (3) To replace or retrofit secondary heating pipeline that have been operated for long years, potential accidents and high thermal loos. Retrofit 56,972 m, the style is DN300~DN50 secondary heating pipeline network. (4) To install differential pressure flow balancing valve for secondary pipeline network that lack heating balance, which will involve 1.5 million square meters and install 753 DN80~DN32 differential pressure flow balancing valves.

The total investment of the subproject is CNY219.57 million Yuan including investment in project construction of CNY206.35 million. The investment is composed by the loans of from the World Bank of \$20 million, and the rest raised by Chengde Heating Group. The construction period is three years, starting in 2016, and completing in 2018 for entire project construction.

B Project Impact

The impacts of the project include permanent land occupation of 9.07 mu and temporary land occupation of 154.06 mu. For 9.07 mu permanent land occupation, they are all state owned reserved land areas in different housing estates for construction of heating facilities. For 154.06 mu temporary land occupation, all of

them are located along urban roads. Both of the affected land areas are located in the old urban area of Chengde City. These land occupation will not involve any house demolition.

A total of 19 male temporary boiler workers will be affected by the close down of 4 boiler houses due to the project and all of them were Han.

C Policy Framework and Entitlements

To avoid or reduce the negative effects caused by land occupation, the selection of project location was fully consulted with the affected people in feasibility studies phase. After that, it had chosen the best scheme through the comparison of different alternatives. schemes.

The RAP is prepared according to the PRC Land Administration Law (2004) and the related national, provincial and municipal policies and regulations, as well as in compliance with WB's policy on involuntary resettlement. On the basis of the above-mentioned regulatory and policy requirements and in consultation with local governments, the resettlement principles established for the project are: (i) land acquisition and involuntary resettlement should be avoided or minimized where feasible by developing and comparing a series of design alternatives; (ii) Pay compensation and provide other resettlement entitlements before physical or economic displacement. Compensation and entitlements provided must be adequate to allow those affected to at least maintain their pre-project standard of living, with the prospect of improvement; (iii) land temporarily occupied and the period for disruption are to be kept to a minimum; (iv) all affected persons, titled and non-titled, and renters/contractors are to be taken into consideration; (v) resettlement plan should be prepared and implemented in accordance with state land rehabilitation policy, national economic and social development plans and WB IR policy; (vi) all those affected will be adequately informed about eligibility, compensation rates and standards, livelihood and income restoration plans, and project timing; (vii) resettlement plan should be coordinated with regional development, economic development and environmental protection; (viii) practical and feasible measures should be formulated to restore the affected items; (ix) a preferential policy and assistance will be provided to vulnerable groups in such things as employment; and (x) close monitoring and timely actions will be carried out to identify and resolve any problems.

This project defines eligibility for compensation deadline in June 30, 2015. After that, any additional compensation only for the construction of buildings or trees planted is not counted.

D Resettlement Measures

A total of 19 male temporary boiler workers were affected by the boiler room renovation project and all of them were Han. The 4 small boiler houses to be closed down belong to residential property management. The affected boiler workers are temporary workers, and they work in these boiler houses during heating season and had never signed a contract.

These boiler workers would not get same work when the 4 small boilers are closed. According to the survey in 2012, the temporary workers can earn 1000 to 1500 Yuan every month. Closure and removal of small boilers will be in the non-heating season. The boiler workers would be informed ahead of 6 months and have enough time to find a new job.

These temporary workers in the non-heating season also work in construction sector or transport sectors. They can earn about 2000 Yuan every month to cover their living.

In the field survey, almost all affected boiler workers were supportive of the project. They think the project could improve the local heating conditions, have a positive impact for the people's production and life. The boiler workers are temporary workers and they have other sources of income off heating season. Therefore, they are not that worry about their life in future. Nevertheless it is better to help them to get a job in heating season to replace the income due to boiler hose closure.

The boiler workers will lose part of their income sources due to the close down of the boiler rooms. In order to help them recovery better and find a long-term source of income for life, the Chengde Heating Group will provide some career guidance and employment information.

After further consultation with affected boiler workers, Chengde Heating Group has promised that they will provide these workers jobs of coal transport and slag removal in heating-season. The payment is CNY2000 to 2500 Yuan every month, which is higher than what they used to have. The coal transport and slag removal works are also temporary works. So it would not have any influence on their summer works. And the nature of the work is quite similar as the work they used to have. The detail of the rehabilitation plan based on consultation with the affected people and project units is presented in the following Table.

| NO. | Name of boilers | Number of people | Willing for new job | Resettlement plan | | | | | | | |
|-----|------------------------------|-----------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|--|--|
| 1 | Water supply company | 3 | Most of them wish to find | Chengde Heating Group has promised that during heating | | | | | | | |
| 2 | Minzu middle school | lle school 10 similar works | | season, these temporary | | | | | | | |
| 3 | Weather bureau | 3 | in heating | workers will be provided coal | | | | | | | |
| 4 | Telecommunications Bureau | 3 | company during winter season. | transport and slag removal works on their existing boilers, with wage being around 2000-2500 Yuan / month | | | | | | | |

Table 1 Affected Boiler workers

During project implementation, the social development specialist from Hebei Provincial PMO would conduct supervision and provide guidance on the process of reemployment for the affected people. External monitoring unit would follow up these affected people to ensure that their livelihood and income to be restored following implementation of resettlement plan.

E Rights and Interests of Vulnerable Groups and Women

According to the preliminary socioeconomic survey, no vulnerable groups will be affected.

F Public Participation and Information Disclosure

Extensive information disclosure and public consultations have been undertaken during project preparation. This resettlement plan has incorporated the views, expectations, comments and suggestions of the key stakeholders, especially the affected persons. Further information disclosure and consultations will be undertaken during project implementation. Resettlement Action Plan draft has been disclosed in the project area. A copy of resettlement plan has been placed in the project office. The notice of such disclosure had been published in the "Chengde Daily" and on the website of Chengde local government in August 2015. Resettlement information booklet will be distributed to the affected persons prior to the project implementation. Complaint channels have been established. Agencies will be free to accept affected population grievances and appeals. Unforeseen expenses will be paid reasonable expenses incurred in this project.

G Grievance Redress

A grievance redress mechanism (GRM) has been formulated to resolve disputes that may arise during implementation of the RAP, targeting at speedy and transparent responses to affected persons' complaints. The affected persons may appeal for any aspects of resettlement activities.

H Organization

The Chengde municipal government (YMG) will take full responsibility for project resettlement activities for the approved Resettlement Action Plan. The Project Management Office is responsible for the leadership, organization, and coordination for Resettlement Action Plan implementation, internal monitoring and supervision. Chengde Heating Group is responsible for the implementation of the Resettlement Action Plan.

I Monitoring, Evaluation and Reporting

Internal and external monitoring of Resettlement Action Plan implementation will be carried out. The Project Management Office and Chengde Heating Group will lead the internal monitoring. They will provide a monitoring report of the World Bank every 6 months. Chengde City Heating Group will commission an independent monitoring agency conduct half-yearly external monitoring and evaluation and the fee is counted in unforeseen expenses.

J Funding and Budget

The resettlement budget totals 35.198 million Yuan. The fee of temporary land occupation which used in reconstruction the road is 35.28 million Yuan, accounting for 90.14% of the total budget. Resettlement planning and monitoring fee is 150000 Yuan, account for 0.76% of the total cost. Contingency fee is 3.558 million Yuan, accounting for 9.09% of the total resettlement budget.

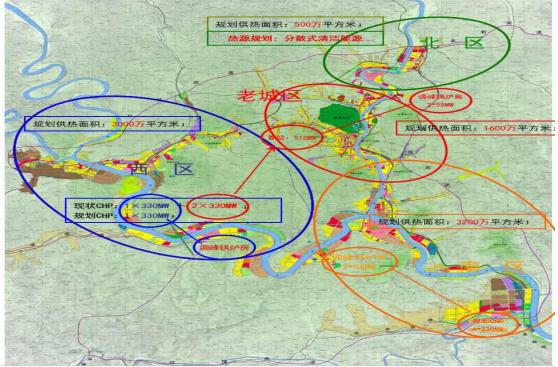
1 Description of the Project

1.1 Project Background

In order to improve the quality of the atmosphere in Chengde City and the efficiency of the centralized heating system, Chengde City Heating Group Ltd. intends to apply for a World Bank loan to implement the Chengde Central Heating Energy-Saving Reconstruction Project.

Considering the pace and the size of development in Chengde City, and according to the "Chengde City Urban Master Plan (2008-2020)", the ability of existing heating system in the traditional region of Chengde City has lagged behind the pace of city development. In addition, the current heating system deficiencies not only affect the security and stability of heating supply, but also reduce the efficiency of energy use.

According to the "Chengde Central Region Heating Special planning (2010-2020)", it is planning to re-layout the heating system in old urban area of Chengde City. After this, the problem of heating system can be solved and ensure the safe and stable operation of the heating system, raise the level of modernization of heating systems and energy efficiency.



Appendix 1: Maps of Chengde City

1.2 Project profile

1.2.1 Project Components and Resettlement Impacts

Chengde Energy Conservation Transformation Project of Centralized Heating System financed in part by the World Bank (WB) is composed of two investment components:

1. Build added heating load network and heating station by advanced heating supply technology

Based on advance technologies such as buildings station, high efficiency heat network autonomous control, climate compensation and so on, achieve the goals of energy saving, improve security and stability of heating system. According demands of heat load development and layout of heat source, accomplish construction of main line and branch line of new heat load matched primary pipeline network, and construction of secondary pipeline network and heat exchange construction. Of which, the length of new-built primary pipeline network is 4,764 m, built 20 normal heating exchange stations and 114 buildings heat exchange stations, increased 246.23×10^4 m² heating area.

2. Energy saving reconstruction of old pipeline network and facilities

The reconstruction in Project involve old pipeline and facilities under the Chengde Heating Group's property rights.

(1) Reconstruction targets at primary heating pipeline network that used over 15 years, with serious potential accidents or once leaked. The length of DN600~DN800 primary pipeline network of reconstruction is 2743m.

(2) To replace or retrofit valves in primary heating pipeline network that have been out of repaired for many years. In order guarantee the operation life of pipeline network, improve valves quality, this Project adopts imported welded valves with good quality and installs 64 DN1000~DN250 imported welded valves.

(3) To replace or retrofit secondary heating pipeline that have been operated for long years, potential accidents and high thermal loos. Retrofit 56,972 m DN300~DN50 secondary heating pipeline network.

(4) To install differential pressure flow balancing valve for secondary pipeline network that without heating balanced reconstruction, involve $150.09 \times 10^4 \text{ m}^2$, install 753 DN80~DN32 differential pressure flow balancing valves.

The total investment of the project is CNY212.34 million including total project construction cost of CNY206.4 million. The investment is composed by the loans of World Bank \$20 million, and the rest will be raised by the Heating Group with CNY 89.34 million.

The construction period is three years, starting in 2016, and completed in 2018 for the construction of the entire project.

Impact of potential land occupation is mainly from construction of heat exchange stations and construction of heating network pipelines. Details see Table 1-1.

| Name | Construction Unit | Name of Subproject | Construction Content of Subproject | Resettlement Impacts | Kind of Resettlement and Prepared Documents |
|------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Energy Conservation Transformation Project of Centralized Heating System in Chengde City | Chengde City Heating Group Ltd | Construction of the new heat load of pipeline and thermal stations by modern technology | Construction of a new heating pipe network 4764m (length). The style is DN400-80. | Together with a heating pipe network for change along the existing 20 State Road (5.3km) and (2.2km) the laying of Road area, a total of temporary cover an area of 17364.8 square meters, which is 26.06 mu, occupied for construction period of 3 months | 1, The project does not involve expropriation of rural collective land areas , not involving rural or urban housing demolition 2, Resettlement impacts mainly caused by laying the pipe network and construction of heat exchange station 3, Projects totaling occupied |
| | | | Building and reconstruction 20 conventional heat transfer station | All located within the existing built area, 4 of all are built in the original land of 1.87 mu. The rest of all are built in the national owned land of 7.2 mu. The affected person is 19 seasonal boiler operators. | 9.07 mu of state-owned land permanently 4, Temporary land occupation of 154.06 mu and was caused by pipe network project 5, The affected person is 19 seasonal boiler operators. It is caused by heat transfer station construction |
| | | | Construction of 114 unit building heat transfer stations | Buildings have been built or placed in the basement , no covers or demolition | 6, Resettlement of the project has little effect. Hence, as long as you can prepare a brief resettlement action plan |
| | | Energy Conservation Transformation of old pipeline networks and utilities | Replace the heating pipe network of running life of more than 15 years and with serious accidents. A heating pipe network transformation of | Along with the time of heating pipe network built along the existing 20 State Road (5.3km) and (2.2km) the laying of Road area, a total of temporary covers an area | 7, According to Bureau of Chengde City Building and Housing the relevant documents, roads built within five years are not allowed to break the road. As project scope of this project is in the |

Table 1-1 Impacts of Resettlement and Prepared Documents

| Name | Construction Unit | Name of Subproject | Construction Content of Subproject | Resettlement Impacts | Kind of Resettlement and Prepared Documents |
|------|-------------------|--------------------|-------------------------------------------|----------------------------------------|------------------------------------------------|
| | | | 2743m (length) and the | of 17364 square meters, | old City of Chengde which |
| | | | style is DN500~DN80. | 26.06 mu, the | was built more than ten years. |
| | | | | construction period of 3 | Hence, it is not necessary for |
| | | | | months of occupation | land acquisition and |
| | | | In order to ensure the | In the above temporary | resettlement of the project |
| | | | life of the pipe network | covers an area of. | affected by the road network |
| | | | system to improve | | to do due diligence, does not |
| | | | quality of the valve, this | | require the preparation of due |
| | | | project using optimum | | diligence reports. |
| | | | quality of imported | | |
| | | | welding valves. | | |
| | | | Installation of 64 sets | | |
| | | | imported welding valves | | |
| | | | and the style is DN1000~DN250. | | |
| | | | | Deth the area along state | |
| | | | Replace the long | Both the area along state | |
| | | | running life, probably | road pavement, the | |
| | | | accidents pipe to and heat loss higher | working face of 1.5 meters, a total of | |
| | | | secondary heating | temporary covers an area | |
| | | | network pipe. A | of 85188 square meters, | |
| | | | secondary heating pipe | 128 mu, the construction | |
| | | | network transformation | period of 3 months of | |
| | | | of 56792m (length) and | occupation | |
| | | | the style is | occupation | |
| | | | DN300~DN50. | | |
| | | | For failure to implement | In the above temporary | |
| | | | the transformation of | covers an area of. | |
| | | | secondary thermal | | |
| | | | equilibrium heating pipe | | |
| | | | network pressure- | | |
| | | | balancing valves were | | |
| | | | installed, the area | | |

| Name | Construction Unit | Name of Subproject | Construction Content of Subproject | Resettlement Impacts | Kind of Resettlement and Prepared Documents |
|------|-------------------|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|------------------------------------------------|
| | | | involved in the transformation of thermal equilibrium for $150.09 \times$ 10^4 square meters. Construction of 753 self-operated differential pressure controller and the style is DN80~DN32. | | |

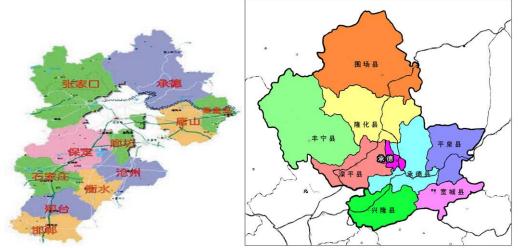
Table 1- 1 New Regular Heat Exchange Stations

| No. | Name | State | Building Time | Street | Neighborhood Committee | Added Heat Supply Area $(\times 10^4 \text{ m}^2)$ | Amount |
|-----|--------------------------------------|----------------------|------------------|--------------|---------------------------|----------------------------------------------------------|--------|
| 1 | Sainalanwan Building 2 Station | Under construction | 2011 | Toupailou | Yingfang | 6 | 1 |
| 2 | Shuixiehuadu Station | Established | 2007 | Shidongzi | Cui Bridge | 2 | 1 |
| 3 | Jinniushanzhuang Station | Under construction | 2013 | Niujuanzigou | Niujuanzigou | 10 | 1 |
| 4 | ToudonggoujiaYuan Building 1 Station | Under construction | 2013 | Toupailou | Meifeng | 5 | 1 |
| 5 | ToudaogoujiaYuan Building 2 Station | Under construction | 2013 | Toupailou | Meifeng | 5 | 1 |
| 6 | HongfuyuYuan Building 1 Station | Under construction | 2013 | Toupailou | Yingfang | 10 | 1 |
| 7 | HongfuyuYuan 2 Station | Under construction | 2013 | Toupailou | Yingfang | 10 | 1 |
| 8 | Huilongshanzhuang Building 2 Station | Under construction | 2013 | Qiaodong | Huilongshan | 15 | 1 |
| 9 | Modern City Building 3 Station | Under construction | 2013 | Niujuanzigou | Niujuanzigou | 10 | 1 |
| 10 | DeshengMeidi(third stage) Station | Planned construction | / | Niujuanzigou | Xiaerdaohezi | 15 | 1 |
| 11 | NanYuanxiaonangou Station | Under construction | 2012 | Niujuanzigou | Yuanlin | 10 | 1 |
| 12 | Huishuiwan Building 2 Station | Under construction | 2013 | Shizigou | Erdaohezi | 10 | 1 |
| 13 | No.6 High School Station | Under construction | 2012 | / | / | 15 | 1 |
| 14 | 266 Airforce Ground Service Station | Established | / | / | / | 2 | 1 |

| No. | Name | State | Building Time | Street | Neighborhood Committee | Added Heat Supply Area $(\times 10^4 \text{ m}^2)$ | Amount |
|-----|---------------------------------------------------|----------------------|------------------|--------------|---------------------------|----------------------------------------------------------|--------|
| 15 | Puning Primary School Station | Under construction | / | / | / | 1 | 1 |
| 16 | Jinlong Royal Square Station | Planned construction | 2011 | Xi Avenue | Xiaoxigou | 5 | 1 |
| 17 | Lijinghuating (Second Stage) Station | Under construction | 2014 | Niujuanzigou | Xiaerdaohezi | 8 | 1 |
| 18 | Erxianju Station | / | / | / | / | 5 | 1 |
| 19 | Fuhuaxintiandi(Second Stage) Station | Under construction | / | Xinhua Road | Taoli Street | 11 | 1 |
| 20 | Ethnic Middle School Station | Established | 1968 | Panjiagou | Xiaotonggou | 7 | 1 |
| 21 | Xinguanglian Station | Established | / | Panjiagou | Xiaotonggou | 1.2 | 1 |
| 22 | Weather Bureau Station | Established | / | Panjiagou | Xiaotonggou | 1.2 | 1 |
| 23 | Water Plant Family Dormitory Buildings Station | Established | / | Panjiagou | Xiaotonggou | 0.55 | 1 |
| | Total | | | | | 164.95 | 23 |

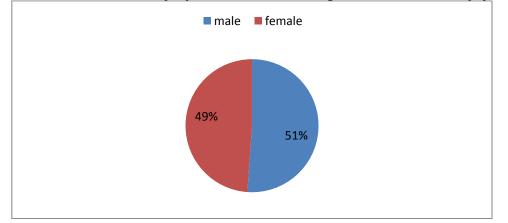
1.2.2 Project to benefit the region and affected areas

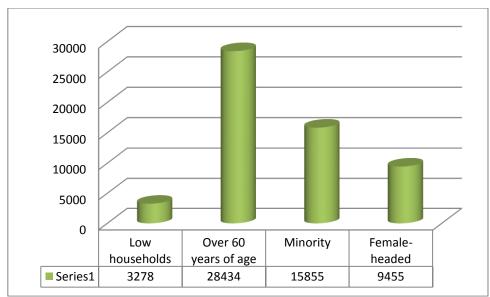
Directly benefit from the project area is for the old City of Chengde City, Hebei Province and the area is part of Shuangqiao District.



Appendix 2: Chengde City location map and administrative zoning map

According to demographic information provided by the various communities within the project area, total population in the project area is 214,389 people, accounting for about 58% of the total population in Shuangqiao District, of which 104,786 were women. They accounting for 48.88% of the total population. The number of low income people was 3278 people, accounting for 1.53% of the total population. The number of people over 60 years of age was 28,434 people, accounting for 13.26% of the total population. The number of total population. The number of ethnic minority people was 15,855, accounting for 7.40% of the total population. The number of female-headed people was 9455, accounting for 4.41% of the total population.





Appendix 3: Demographic profiles within the scope of the project

| No. | Heating Supply | Contact | Tel | Street | Community Neighborhood | Heating | Population | Female | | Vulner | able Groups | | | |
|------|-----------------------|--------------|-------------|------------------|---------------------------|--------------------|--------------------|----------|----------------------------|---------------------|----------------------|----------------------------|-----|-----|
| 140. | Station | Person | 161 | Succi | Committee | Supply | ropulation | Pennare | Low-income Family | Age>60 years old | Ethnic Minorities | Female Headed-household | | |
| 1 | | | | Qiaodong | Huilongshan | Central Heating | 7210 | 3800 | 134 | 100 | 18 | 1150 | | |
| 2 | | | | Qiaodong | Beishan | Central Heating | 6468 | 3300 | 65 | 55 | 16 | 1050 | | |
| 3 | First Heat Network | WANG | 19521409002 | Qiaodong | Zhongjuzhai | Central Heating | 5200 | 2560 | 28 | 160 | 76 | 880 | | |
| 4 | Station | Xinqiang | 18531408003 | Qiaodong | Hongshili | Central Heating | 1200 | 420 | 1 | 10 | 210 | 172 | | |
| 5 | | | | | Xinhua Road | Yuhua Road | Central Heating | 2632 | 980 | 17 | 386 | 28 | 390 | |
| 6 | | | | | | | | Qiaodong | ShijiCity(Secong Stage) | Central Heating | 5800 | 2720 | 0 | 380 |
| 7 | | | | | Zhonghua Road | Yinshuiba | Central Heating | 4080 | 1980 | 37 | 1600 | 100 | 30 | |
| 8 | | | | Panjiagou | Jiucaigou | Central Heating | 6923 | 3564 | 103 | 1245 | 2744 | 685 | | |
| 9 | Second | | | Xi Avenue | Huoshen Temple | Central Heating | 5054 | 2726 | 81 | 290 | 290 | 52 | | |
| 10 | Heat Network | WU Xiuqin | 18631460991 | Xi Avenue | Changwangfu | Central Heating | 2274 | 1128 | 71 | 275 | 275 | 100 | | |
| 11 | Station | | | Xi Avenue | Xiaoxigou | Central Heating | 3072 | 2379 | 106 | 75 | 205 | 207 | | |
| 12 | | | | Zhonghua Road | Zhongulou | Central Heating | 3200 | 1243 | 78 | 314 | 285 | 105 | | |
| 13 | | | - | ZHonghua Road | Zhulin Temple | Central Heating | 3512 | 1622 | 46 | 6 | 5 | 23 | | |
| 14 | Third Heat Network | GUO Qiang | 18531408065 | Shidongzigou | Zhongyi Temple | Central Heating | 8020 | 3986 | 47 | 953 | 115 | 9 | | |

 Table1-3 Basic Situation of Heating Supply and Population within the Project Scope

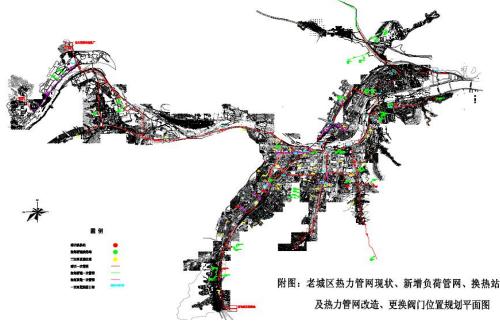
| No. | Heating Supply | Contact | Tel | Street | Community Neighborhood | Heating | Population | Female | | Vulner | rable Groups | | | | | | | | | |
|------|-------------------|-----------------|-------------|--------------|---------------------------|--------------------|--------------------|----------------|----------------------|---------------------|----------------------|----------------------------|---------|--------------------|------|------|----|-----|----|---|
| 110. | Station | Person | 101 | Street | Committee | Supply | ropulation | I emaie | Low-income Family | Age>60 years old | Ethnic Minorities | Female Headed-household | | | | | | | | |
| 15 | Station | | | | Guangdian Road | Central Heating | 4200 | 2087 | 30 | 540 | 32 | 2 | | | | | | | | |
| 16 | | | | | Wenjiagou | Central Heating | 5544 | 2756 | 70 | 431 | 12 | 11 | | | | | | | | |
| 17 | | | | | Affiliated Hospital | Central Heating | 2490 | 1238 | 6 | 458 | 1 | 0 | | | | | | | | |
| 18 | | | | | Tagou | Central Heating | 8175 | 4068 | 124 | 1230 | 52 | 23 | | | | | | | | |
| 19 | | | | | Yongan Street | Central Heating | 9934 | 4913 | 40 | 977 | 223 | 5 | | | | | | | | |
| 20 | | | | | Fujiagou | Central Heating | 3692 | 1835 | 45 | 148 | 25 | 2 | | | | | | | | |
| 21 | | | | | Shidongzigou | Central Heating | 3854 | 1916 | 34 | 352 | 78 | 5 | | | | | | | | |
| 22 | | | | | BaiYuan | Central Heating | 4946 | 2458 | 52 | 560 | 124 | 3 | | | | | | | | |
| 23 | | | | | | | | | | | | Niujuanzigou | Yuanlin | Central Heating | 3400 | 1652 | 45 | 859 | 75 | 6 |
| 24 | | | | | Shidongzi | Yong'an Street | Central Heating | 9934 | 4913 | 40 | 977 | 223 | 5 | | | | | | | |
| 25 | Fourth | | | Niujuanzigou | Xiaerdaohezi | Central Heating | 5742 | 2684 | 53 | 1541 | 241 | 16 | | | | | | | | |
| 26 | Heat Network | XUE Zhenhong | 18531408065 | Xinhua Road | Yunshan | Central Heating | 4576 | 2574 | 65 | 785 | 56 | 15 | | | | | | | | |
| 27 | - | on | | Xinhua Road | Yinling | Central Heating | 3265 | 785 | 18 | 358 | 147 | 34 | | | | | | | | |
| 28 | | | | | Niujuanzigou | Banbishan | Central Heating | Around 5000 | Around 2500 | 50 | 约 2000 | 58 | 68 | | | | | | | |
| 29 | | | | Niujuanzigou | Banbishan | Without | 2141 | 1010 | 45 | 348 | 123 | 79 | | | | | | | | |

| No. | Heating Supply | Contact | Tel | Street | Community Neighborhood | Heating | Population | Female | | Vulner | rable Groups | | | | | | | | | | | | |
|------|-----------------------|---------|-------------|--------------|---------------------------|-------------------------------|------------|--------------------|----------------------|---------------------|----------------------|----------------------------|-----------|------------|--------------------|----------|--------------------|------|--------|----|-------|------|----|
| 140. | Station | Person | 101 | Succi | Committee | Supply | ropulation | Temate | Low-income Family | Age>60 years old | Ethnic Minorities | Female Headed-household | | | | | | | | | | | |
| | | | | | | Central Heating | | | | | | | | | | | | | | | | | |
| 30 | | | | Niujuanzigou | Xiaonangou | Central Heating | 2568 | 1125 | 24 | 259 | 156 | 72 | | | | | | | | | | | |
| 31 | | | | Xinhua Road | Xinhua | Central Heating | 8400 | | 15 | 358 | 147 | 15 | | | | | | | | | | | |
| 32 | | | | Niujuanzigou | Tengda | Central Heating | 3598 | 1687 | 21 | 687 | 28 | 34 | | | | | | | | | | | |
| 33 | | | | Niujuanzigou | Tengda | Without Central Heating | 2589 | 1254 | 1 | 1571 | 58 | 64 | | | | | | | | | | | |
| 34 | | | | Xinhua Road | Taoli Street | Central Heating | 5247 | 2568 | 24 | 425 | 159 | 35 | | | | | | | | | | | |
| 35 | | | | | | | | | | | | | | | Panjiagou | Yujiagou | Central Heating | 3950 | 约 1950 | 90 | 约 900 | 2100 | 14 |
| 36 | | | | | | | | | | | | | Panjiagou | Tongwangfu | Central Heating | 6150 | 2800 | 98 | 400 | 55 | 25 | | |
| 37 | | | | | | Toupailou | Wenmiao | Central Heating | 2200 | 1050 | 98 | 330 | 60 | 22 | | | | | | | | | |
| 38 | Fifth Heat Network | TIAN | 13932434481 | Toupailou | Andingli | Central Heating | 4000 | 1900 | 150 | 600 | 120 | 15 | | | | | | | | | | | |
| 39 | Station | Xiumei | 13732434461 | Toupailou | Toupailou | Central Heating | 5000 | 2300 | 80 | 550 | 260 | 23 | | | | | | | | | | | |
| 40 | - | | Toupailou | Yangguang | Central Heating | 4383 | 2200 | 90 | 800 | 400 | 15 | | | | | | | | | | | | |
| 41 | | | | | | Panjiagou | Panjiagou | Central Heating | 6096 | 3000 | 105 | 360 | 4090 | 16 | | | | | | | | | |
| 42 | | | | Panjiagou | Shangxiying | Central Heating | 3572 | 1520 | 100 | 480 | 1090 | 25 | | | | | | | | | | | |

| No. | Heating Supply | Contact | Tel | Street | Community | Neighborhood Heating F | | Female | Vulnerable Groups | | | | | |
|------|-----------------------------|-----------|-------------|-------------------------|---------------|-------------------------------|------------|---------|----------------------|---------------------|----------------------|----------------------------|--|--|
| 110. | Station | Person | 101 | Succi | Committee | Supply | Population | 1 emaie | Low-income Family | Age>60 years old | Ethnic Minorities | Female Headed-household | | |
| 43 | | | | Shizigou | WanshuYuan | Central Heating | 2854 | 1438 | 88 | 649 | 835 | 195 | | |
| 44 | | | | Township Government | Shizigou | Without Central Heating | 2754 | 1315 | 168 | 717 | 33 | 520 | | |
| 45 | Heating Supply | Li Haiyan | 15231415387 | Zhonghua Road | Shanzhuang | Central Heating | 3765 | 1962 | 176 | 699 | 142 | 724 | | |
| 46 | Company in North Zone | | | | Lami Temple | Central Heating | 1545 | 667 | 5 | 333 | 109 | 300 | | |
| 47 | Zone | | | Lama Temple Township | Puning Temple | Central Heating | 3014 | 1481 | 302 | 717 | 90 | 1001 | | |
| 48 | | | | Givernment | Luohantang | Without Central Heating | 1166 | 562 | 112 | 186 | 34 | 203 | | |

Indirect beneficiaries of the project are people for the entire Chengde City, because it can improve air quality throughout the City after the implementation of this project. Directly beneficial population are the residents of the old City of Chengde in the project area. After implementation of the project, they can enjoy a better quality, and more secure source of heating services.

The affected area of the project is the old City of Chengde. The construction of 20 new heat exchange stations under the project will involve permanent occupation of state owned land area of 9.07 mu. Among them, 16 new heat exchange stations will occupy land areas reserved for heat network facilities and all of them are located within the existing housing estates. Each heat station will take up 300 square meters of land area, and total 48,000 square meters or 7.2 mu of land area will be required for 16 stations. For the remaining 4 heat exchange stations, they will be built within original boiler houses with no new land areas will be required. Total land area for these four boiler houses are 1.87 mu. For 114 new building level heat stations, the equipment will be mainly placed in the basement of the existing buildings, no land acquisition is required. For construction of new heat pipelines and renovation of old heat pipelines, a total of 154.06 mu of land areas will be temporarily occupied. All the affected areas are concentrated along urban roads in the old town area of Chengde City, including 6 main roads, 4 secondary roads, and 10 branches.



Appendix 4: The renovation project planning diagram 1.2.3 Social and Economic Significance of the Project 1.2.3.1 Social Significance of the Project

Up to 2014, central heating area was 12.25 million square meters, and the total capacity of the heating source was 634MW. The new plan is to develop a 4×29 MW peaking load gas heat plant. It is planned to commission one in 2015, and another in 2016, and the all four in operation by 2018. After that the heating total heat capacity will reach 750MW.

Chengde City is in a stage of rapid development. According to the investigation and verification, the old City has been approved by the planning or construction of the heat load of about 5 million square meers. By 2018, new heating load of 2.46 million square meters will be added, and the total heat load will reach to 687.38MW. New heating load requires new heat network with relevant heat exchange stations and heating network.

Chengde City began developing central heating in the late 1980s, has nearly 30 years. Part of the old system of heating facilities was in disrepair, severely rotted away rust, leaking, frequent accidents, seriously affecting the normal heat service in winter for the local residents. What's more, a considerable part of the secondary pipe network renovation was carried out without making heat balancing. The energy consumption of heating system is too high, which is not conducive to the economic development of enterprises.

Since 2003, Chengde City heating industry as a pilot City for many applications of new technologies at the forefront, who has been listed as one of the first batch of 12 heat metering reform demonstration cities, the one model City of World Bank Heat Reform.

Chengde City, although the level of heating technology is in the forefront of the country, but some are still using the traditional heating system mode. The status quo combined with some secondary heating pipe network has not yet implemented the transformation of heat balance, and there are some gaps in automation control, fine readjustment, and variable flow operation in the system. The project will use the cold-install without compensation, automatic control of heating network, building stations, climate compensation, variable flow regulation, and other advanced technologies. Implementation of the project will help to improve the heating system to adjust the operational level, improve the indoor heating effect, and create conditions for economic operation of the thermodynamic system.

The project in the design process is very concerned about the elderly, the disabled, women and other vulnerable groups with the need for the development of urban central heating. Implementation of the project will not only improve their heating conditions, and quality of life, but also help them to improve the conditions of their living and production. *1.2.3.2 Economic Significance of the Project*

After full implementation of the project, reducing coal consumption will be reflected in two parts. The first part is through the implementation of the old pipe network transformation component, the coal consumption will be reduced from current 49 W/m² to 46 W /m² for 12.25 million square meters of housing areas. The second part is the removal of the existing four small boilers, improving heat efficiency, reducing coal consumption. Original decentralized heating area of 87,000 square meters will achieve cogeneration central heating. After full implementation, the project will achieve a form of central heating cogeneration by replacing small coal-fired boilers, which will contribute to the reduction of particulates in the atmosphere, SO2, NOX and effluent discharge volume and greatly improve the quality of the environment. Such improvement is reflected in the following aspects.

(1) The project will reduce 13,400 tons of standard coal, annual CO2 emissions of 33,500 tons, a decrease of 31.89 tons of soot emissions, reduce SO2 emissions in 432.82 tons, and reduce 216.41 tons NOX emissions.

(2) Noise reduction. Due to the small boiler room area is generally dispersed in buildings, residents and office locations closer distance, noise and coal ash during vehicle operation boiler fans, pumps produced some extent interfere with life of residents.

(3) Occupied area reduction. Due to the cancellation and no new small boiler room, will greatly reduce the urban area, this part of the area can be used for the construction of urban environmental improvement.

(4) Eliminating the security risks, improve the heating efficiency and energy-saving efficiency.

This project uses a cold-install without compensation buried, building heat stations, climate compensation, variable flow regulation, and other advanced technologies. After the project implemented, it can significantly improve the operational level to adjust the heating system, to create conditions for achieving better economic performance of heat systems. The improved heat system will be able to meet the central heating needs for 2.46 million square meters of new buildings, but also achieve interoperability in the heat network, significantly improving system heating capacity and safety. At the same time through renovation in the old pipeline network and the energy-saving facilities, the heating system will eliminates security risks and reduces energy consumption. After the implementation of this project, the heat loss of the network will be reduced by 5 %, water loss reduce by 30%, and annual heat saving will be 333467.26 GJ, and annual water saving will be 103 500 tons.

1.3 Measures to Minimize Adverse Impacts

During project planning and preparation, the following measures have been adopted by design institutes and project implementing units to minimize the adverse socioeconomic impacts: a) project alternatives have been compared and screened in order to avoid land

acquisition and house demolition, and to minimize negative socioeconomic impacts; and b) one project site was dropped mainly because of major resettlement impacts; and c) optimize the project design to reduce the occupation of land and livelihood interruptions; and d) Avoid cultural landscape (including temples and other religious activities) and key units of cultural relics protection.

In fact, in the feasibility study stage, each proposed section had prepared two alternatives. Based on analysis of technical, environment and temporary land occupation impact of two alternatives, a proposed scheme is selected. Finally it is decided that pipeline construction will be based laying underground along the urban roads in order to reduce land occupation and investment and ensure smooth road traffic and urban aesthetics. All involved urban roads are existing roads, which will not involve any land acquisition and demolition. The construction will be arranged in such a way that urban traffic and pedestrian movement will not be affected. Heat exchange stations will mainly use the sites provided by housing real estate companies, or using existing old boiler rooms or in the basement of the existing buildings.

In the early stage of the project, the project proposed 23 heat stations. After the further study, the number of heat stations has been reduced to 20, reduce the land area by 900 m^2 .

2 Project Impacts

2.1 Definition of Project Impacts

For the World Bank-funded projects, the borrowers shall take all necessary measures to mitigate the negative social impacts of the project. World Bank Policy on Involuntary Resettlement provides guidance necessary aspects of policy objectives and principles.

It is necessary to take all reasonable measures to avoid or minimize land acquisition and resettlement and reduce any negative impacts associated with the project. If the expropriation of land and the corresponding impact is inevitable, a Resettlement Action Plan needs to be prepared, to ensure all affected populations can obtain compensation for affected assets at replacement value, provided them with adequate opportunity, appropriate assistance and rehabilitation measures to improve or at least restore their income and living standards.

The impact survey of the project is based on the actual scope of the project by the design unit. It is the land area required for the construction of heat stations and pipeline network and facilities under the subproject. Any major changes in the project will be informed to the World Bank beforehand, and provide reasons for causing impact changes. If the number of affected has increased during the project implementation, the policy of this RAP applies equally to the increase of the population affected, the affected communities. Definition of project impacts as follows:

Resettlement is defined as caused by these programs: 1) it adversely affected the standard of living; and 2) any movable or immovable property expropriated or occupied temporarily or permanently; and 3) productive capital by temporary or lasting impact; and 4) its business, occupation, work or place of residence or habitat adversely affected; and those people who had no choice but to resettle their home.

"Permanent Land Occupation" refers to various types of land located within the scope of the project covers, permanently occupied.

"Temporary land Occupation" refers to the need for temporary occupation during construction, can be restored after construction of various types of land.

"Project Affected Population" refers to the project by permanent or temporary land area affected household and demographic composition of the population affected by the project.

"Rehabilitation" is the impact of staff to provide adequate opportunity for the affected person to restore productivity, income and living standards of the process.

"Deadline" is a cut-off date, the ownership or right to use before the establishment of the affected population is eligible for compensation or other assistance. The deadline in the Resettlement Action Plan identified generally by the census date of the affected population or cause specific civil demolition date is consistent with the public. It is not eligible for compensation or other assistance after the deadline for entering the project.

2.2 Project Impact Category

According to the physical investigation and analysis, the impact of the project includes the following several categories.

- 1) Permanent State-owned Land Occupation
- 2) Temporary State-owned land Occupation

2.3 Survey Methods and Process

1) Physical survey and report preparation process

On September 22 to 30 in 2014 and January in 2015, the team for Resettlement Action Plan conducted survey for the socio-economic situation in the affected area according to the new edition feasibility study report. The survey team also collected opinions from local government officials, local residents as well as the views of boiler workers. The team had investigated socio-economic background of the project area, and identified affected areas due to project construction. The survey includes comprehensive survey of the affected population, land and quantity of physical impact survey, and a sample survey of the affected population, and their level of socio-economic development. Based on analysis and processing of above survey data, and in accordance with national law and World Bank resettlement policies, the team prepared Resettlement Action Plan for Energy Conservation Transformation Project of Centralized Heating System in Chengde City". 2) Sources and methods of investigation

The project background information and basic data collection mainly consists of two aspects: A) documents, literature review and information gathering, project information and collected survey data and statistics related to local government departments and project implementation agencies; B) field measurements and data collection, complemented by holding group discussions with stakeholders as well as interviews to further verify the information and data.

Project Impact investigation of physical indicators could be further divided into (1) land use survey, and (2) the project affected population surveys.

"Land Occupation survey": Within the scope of land areas to be affected by the Project defined by the feasibility study, the team investigate various occupied land areas in terms of their current usage, ownership, and land class type.

"Project Impact census": The affected population sample survey, including ethnicity, age, educational level and employment status.

2.4 Social survey results

The impacts of the project include permanent land occupation of 9.07 mu and temporary land occupation of 154 mu. Both of the land is owned by the local government and located in the old urban area in Chengde City. It is free to occupy the land.

The project does not involve house demolition.

Boiler room close down by the project will have impact on a total of 19 temporary boiler workers. All of them are male and Han.

2.4.1 State-owned land

The impacts of the project include permanent land occupation of 9.07 mu, caused by the construction of new heat exchange stations. Among them, 16 new heat stations will cover 7.2 mu of state owned land areas, reserved by relevant housing estate companies for building heating facilities. This is based on estimate of 300 m2 of land for each heat station, and total 4800 m2 or 7.2 mu for 16 such heat stations. The remaining 4 heat stations will use the sites of 4 existing boiler rooms, covering 1.87 mu of land areas. The four original boiler rooms belong to Water supply company residential quarter, Minzu middle school, Weather bureau residential quarter and Telecommunications Bureau residential quarter. It does not involve land acquisition and house demolition. In total, the construction of 20 heat stations will occupy 9.07 mu of land areas. Both of the land is owned by the local government and located in the old urban area in Chengde City. It is free to occupy the land. The project does not involve house demolition.

Details of basic conditions of the new heat transfer stations see Table 2-1. The photos showing current conditions of proposed heat station sites are provided in Annex V.

2.4.2 Temporary land occupation impacts

The project involves an area of temporary land occupation caused by pipeline construction.

a) Construction of a new heating pipeline. This project aims to build a DN400 -DN80 4764m (heating pipe trench length). The pipeline will be laid along major urban road, with road opening being 1.8 - 3 meters. Renovation on heating pipeline with more than 15 years of life, which might involve serious accidents or leakage. The renovation will involve pipeline of DN500 - DN80 for 2743m. The method of construction is also to lay underground along main urban road with opening being 1.8-3 meters.

b) Secondary heating pipe network renovation. For secondary heating pipelines running long years, and having accidents and high heat loss, renovation will involve 56,792 meters heating pipelines with DN300 to DN50. Most secondary heating pipelines will be located along the area within the existing urban road buried, involving a total of 38 communities (see table 2-3), with a total of 85188 square meters or 128 mu of land areas to be occupied temporarily.

During the construction, the project with construction, renovation of primary heating pipelines and renovation secondary heat pipelines will occupy a total of 162,324 m² or 154.06 mu of land areas, see table 2-2. Among them, construction of new primary heat

pipelines and renovation of primary heat pipelines will involve 26.06 mu of land areas. The renovation of secondary heat pipelines will involve 128 mu of land areas. All of them are state owned urban road areas. The temporary land occupation cover 47 urban roads in old city of Chengde City. See table 2-2. The photos of current situation of these urban roads are included in Annex six.

| category | Diameter | length (m) | width (m) | temporar occupa | | Impact |
|------------------------------------------------------|----------------|------------|-----------|--------------------|--------|------------------------------------------------------------------------------------|
| 6, | | 6 | | m² | mu | |
| Construction primary heating network | DN400~ DN80 | 6374 | 1.8-3 | 17364.8 | 26.06 | Involving 20 urban roads (see 2-2) (see |
| Renovation of primary heating network | DN500~ DN80 | 2743 | 1.8-3 | 21875 | 20.00 | table 1-2). |
| Transformatio n second-hand heating network | DN300~ DN50 | 56792 | 1.5 | 85188 | 128 | Involving urban roads in 16 housing communitie s, see table 2-3. |
| to | tal | 64299 | | 102552.8 | 154.06 | |

Table 2-2 details of temporary land occupation

| | | | | Current | Road | Road | Intend | Intend | Paved Way | Face | Temporar | Temporar | Temporary | Temporary | , | Femporary | Impact |
|----------------|----|-----------------------|----------------------------------------------|------------------|----------------|-----------|--------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------|-------------------------------|------------------|-------------------------------|------------------------------------|----------|-----------|-----------------------|
| Level | NO | Name of Road | Starting Point | State of | Lengt h (m) | Width (m) | s to Lay Pipe Length (m) | s to Lay Pipe Width (m) | Taroa way | Widt h | y Covers (m ²) | y Covers (mu) | Occupied Period (month) | Land Compensatio n Standards | Sho p | | Residentia 1 areas |
| | 1 | XiaYingFang Road | Motor Company- ShuiQuanKou Road | constructio n | 1300 | 10 | 321 | DN20 0 | Along Motor Company- ShuiQuanKou Road direction on the left , do not take the sidewalk | 2.2 | 706.2 | 1.06 | 2 | | | | |
| Trunk Road | 2 | TouDaoGou Road | ShuiQuanKou Road-TouDaoGou Tunnel | constructio n | 700 | 10 | 150 | DN200 | Along ShuiQuanKou Road- TouDaoGou Tunnel direction in the middle , do not take the sidewalk | 2.2 | 330 | 0. 49 | 2 | | | | |
| | 3 | West Street | ShuiQuanKou Road-HuoShenMia o | constructio n | 2800 | 7 | 21 | DN250 | Passed three ways, do not take the sidewalk | 2.4 | 50.4 | 0.08 | 2 | | | | |
| | 4 | CuiQiao Road | CuiQiao-NanYuan Road | constructio n | 820 | 10 | 10 | DN250 | Passed the CuiQiao west Road, do not take the sidewalk | 2.4 | 24 | 0.04 | 2 | | | | |
| Sub-distributo | 1 | XiaoDongGou Road | NanYingZi Street- TongShan middle Road | constructio n | 630 | 10 | 478 | DN200 | Along NanYingZi Street- TongShan middle Road direction in the middle , do not take the sidewalk | 2. 2 | 1051.6 | 1. 58 | 2 | | | | |
| r | 2 | TongShan East Road | ShiDongZiGou Road-ShanXiYing | constructio n | 2200 | 8 | 194 | DN400 | Along ShiDongZiGou Road-ShanXiYin g direction in the middle , do not take the sidewalk | 2.8 | 543. 2 | 0.81 | 2 | | | | |

 TableError! No text of specified style in document.-1 Affected road network building and transformation Fact Sheet

| | | | | Current | Road | Road | Intend | Intend | Paved Way | Face | Temporar | Temporar | Temporary | Temporary | | Temporary | |
|-------|----|-----------------------|-------------------------------------------|----------------------------------|----------------|-----------|--------------------------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------|-----------|-------------------------------|------------------|-------------------------------|------------------------------------|----------|-------------|-----------------------|
| Level | NO | Name of Road | Starting Point | State of Roads ⁱⁱⁱ | Lengt h (m) | Width (m) | s to Lay Pipe Length (m) | s to Lay Pipe Width (m) | | Widt h | y Covers (m ²) | y Covers (mu) | Occupied Period (month) | Land Compensatio n Standards | Sho p | Compan y | Residentia 1 areas |
| | 3 | DaBeiGou Road | West Street-City Hospital | constructio n | 390 | 6 | 40 | DN25 0 | Along West Street-City Hospital direction on the left , take the sidewalk | 2.4 | 96 | 0.14 | 2 | | | | |
| | 4 | LuShanZiGou Road | West Street-City Hospital | constructio n | 970 | 8 | 71 | DN25 0 | Along West Street-City Hospital direction on the left ,do not take the sidewalk | 2.4 | 170.4 | 0.26 | 2 | | | | |
| | 5 | XiaoLaoHuGo u Road | Eastern coal plants - Detention Center | constructio n | 730 | 8 | 257 | DN25 0 | Along the eastern coal plants - Detention Center direction on the left , do not take the sidewalk | 2.4 | 616.8 | 0.93 | 2 | | | | |
| | 6 | ZhuLinSi Road | East Street-Wulie Road | constructio n | 1500 | 10 | 477 | DN450 | Along East Street-Wulie Road direction on the left , take the sidewalk | 3 | 1431 | 2.15 | 2 | | | | |
| | 7 | HanHe Road | NanYingZi Road- ShuiQuanGou | constructio n | 4200 | 10 | 20 | DN250 | Along NanYingZi Road- ShuiQuanGou direction on the left ,do not take the sidewalk | 2.4 | 48 | 0.07 | 2 | | | | |
| | 8 | ShiYouNan Road | NiuJuanZiGouKou -Highway | constructio n | 2900 | 10 | 136 | DN200 | Along NiuJuanZiGouKo u -Highway direction on the left ,do not take the sidewalk | 2.2 | 299. 2 | 0.45 | 2 | | | | |

| | | | | Current | Road | Road | Intend | Intend | Paved Way | Face | Temporar | Temporar | Temporary | Temporary |] | Temporary 1 | Impact |
|-------|----|----------------------|--------------------------------|----------------------------------|----------------|-----------|--------------------------------------|-------------------------------------|---------------------------------------------------------------------------------------------------|-----------|------------------|------------------|-----------|------------------------------------|---|-------------|-----------------------|
| Level | NO | Name of Road | Starting Point | State of Roads ⁱⁱⁱ | Lengt h (m) | Width (m) | s to Lay Pipe Length (m) | s to Lay Pipe Width (m) | | Widt h | y Covers (m²) | y Covers (mu) | Occupied | Land Compensatio n Standards | | Compan y | Residentia l areas |
| | 9 | CuiQiao West Road | CuiQiao Road -FuJiaGou Road | constructio n | 800 | 8 | 277 | DN250 | Along CuiQiao Road -FuJiaGou Road direction on the right ,do not take the sidewalk | 2.4 | 664. 8 | 1.00 | 2 | | | | |

| 1000 | <u>2-5 The of</u> | u pipe network t | ransformation of the re | gion two | |
|---------------------------------------------|-------------------|---------------------------------------------|-------------------------------------------------------|----------|--------------------------------|
| Residential quarters | Number | Heat exchange station | Residential quarters | Number | Heat exchange station |
| | 1 | Railway station | | 20 | Low vacuum station |
| The central road, railway, the headlines | 2 | Zhongxing Road Station | The cattle circle groove, Peach Street, South Park | 21 | Chief f Park Station |
| | 3 | Headlines station | | 22 | Amethyst station |
| | 4 | Hill Station | | 23 | Build a station |
| | 5 | The military sub station | | 24 | The oil station |
| | 6 | The meaning of Taixing station | The cattle circle groove, | 25 | College stations |
| | 7 | Gulou station | Peach Street, South Park | 26 | Cattle circle Ditch Station |
| The fire temple, wood | 8 | A small Creek Station | | 27 | Tengda station |
| grain in the field | 9 | Vulcan Temple Station | | 28 | Shaanxi camp |
| | 10 | Liang Shi station | | 29 | In home station |
| | 11 | Small north ditch stand | | 30 | Wanhua station A |
| | 12 | The power station | | 31 | Shuangliu station |
| | 13 | Wen Jia Gou station | Shaanxi camp, Down | 32 | Fu Station |
| | 14 | The National People's Congress period | camp | 33 | Sunshine station |
| | 15 | Tracy station | | 34 | Sunshine station |
| Cave ditch, nanyingzi Street | 16 | China Construction Bank station | | 35 | The court station |
| | 17 | Real estate station | | 36 | Bus stop |
| | 18 | Two station | North Xinglong road | 37 | The two six six station |
| | 19 | Network station | North Anglong 10ad | 38 | Xinglong Street Station |

Table 2-3 The old pipe network transformation of the region two

2.4.3 House demolition

The project does not involve the demolition of buildings.

2.4.4 Affected person

A total of 19 male temporary boiler workers were affected by the boiler room renovation project and all of them were Han. Details see Table 2-4.

| Table 2-4 information of affected | boiler workers |
|-----------------------------------|----------------|
|-----------------------------------|----------------|

| No. | Name | Numbe | Educ | | 1 | Age | | thly paym | | Will |
|-----|--------|--------|--------|-------|----|-------|-----------|-----------|---------|-------|
| | of | r of | lev | vel | | | (RN | /IB/month |) | to |
| | boiler | employ | Prima | High | <4 | 45-59 | Monthly | Non-w | Non-wa | Re-e |
| | room | ees | ry | scho | 5 | | payment(s | age | ge | mplo |
| | | | and | ol, | | | easonal | source | income | yme |
| | | | lower | colle | | | worker) | s of | level | nt |
| | | | educa | ge or | | | | income | | |
| | | | tion | highe | | | | | | |
| | | | levels | r | | | | | | |
| | | | | educ | | | | | | |
| | | | | ation | | | | | | |
| | | | | level | | | | | | |
| | | | | and | | | | | | |
| 1 | Water | 3 | 1 | 2 | 0 | 3 | 1000-1500 | Steelw | 1800-20 | Porte |
| | supply | | | | | | | orker | 00 | r |
| | compan | | | | | | | | | |
| | у | | | | | | | | | |
| 2 | Minzu | 10 | 2 | 8 | 4 | 6 | 1000-1500 | Porter | 2000 | Porte |
| | middle | | | | | | | | | r |
| | school | | | | | | | | | |

| 3 | Weathe | 3 | 0 | 3 | 0 | 3 | 1000-1500 | Porter | 2000 | Porte |
|---|---------|----|---|----|---|----|-----------|---------|---------|-------|
| | r | | | | | | | | | r |
| | bureau | | | | | | | | | |
| 4 | Teleco | 3 | 2 | 1 | 1 | 2 | 1000-1500 | builder | 1800-22 | Porte |
| | mmuni | | | | | | | | 00 | r |
| | cations | | | | | | | | | |
| | Bureau | | | | | | | | | |
| t | otal | 19 | 5 | 14 | 5 | 14 | | | | |

2.4.5 Vulnerable Groups

The project does not involve vulnerable groups.

2.4.6 Affected Women

In the survey of the affected population, there is no woman.

3 Assessment of Economic Characteristics and Society Impacts **3.1 Social economic of project area**

Chengde is located in the northeast of Hebei Province and near the confluence of Luanhe River and Wulie River. Its southwest is 230 kilometers away from Beijing and 540 kilometers away from the provincial capital Shijiazhuang. It is between latitude 40 $^{\circ}$ 11 '~ 42 $^{\circ}$ 40' and longitude 115 $^{\circ}$ 55 '~ 119 $^{\circ}$ 15'. It borders with Inner Mongolia Autonomous Region to the north, Liaoning Province to the east, Zhangjiakou to the west, Beijing and Tianjin to the southwest, and Qinhuangdao and Tangshan to the southeast. Chengde is municipality directly under administration of Hebei Province. It has 3 urban districts such as Shuangqiao, Shuangluan, and Yingshouying Mining District, 5 counties as Chengde, Xinglong, Pingquan, Luanping, and Longhua, 3 autonomous counties Fengning Manchu Autonomous County, Kuancheng Manchu Autonomous County, Weichang Manchu and Mongolian Autonomous County. Chengde has 153 townships, 80 towns, 10 urban sub-districts, 163 urban residential committees, and 2,516 villages. Its total area is 39,513 square kilometers of which the urban area is 708 square kilometers.

The GDP of Chengde in 2013 reached 127.209 billion Yuan. According to comparable prices, it has increased 9.3% over the previous year, 1.6 percentage points higher than the national growth rate, 1.1 percentage points higher than the Hebei provincial average and ranking the second in the province. Among different sectors, the primary industry added value of 21.381 billion Yuan with an increase of 6.2%, 2.2 percent higher than the national growth rate and 2.7 percent higher than the Hebei provincial growth rate, ranking first in the province. The secondary industry added value of 65.004 billion Yuan with an increase of 10.5%, 2.7 percent higher than the national growth rate and 1.5 percent higher than the Hebei provincial growth rate, ranking third in the province. The tertiary industry added value of 40.825 billion Yuan with an increase of 8.8%, 0.5 percent higher than the national growth rate and 0.4 percent higher than the Hebei provincial growth rate, ranking fourth in the province. The revenue of Chengde was 19.2 billion Yuan in 2013.It increased 9.4% over the previous year, the growth rate is 4.7 percentage points higher than the national 4.7% and 0.7 percentage points lower than the Hebei provincial 10.1%.

At the end of 2012, the population of Chengde was 3.77 million, including 587,800 from municipal districts and 3.18 million all counties.

| NO. | Index | Unit | Year 2010 | Year 2011 | Year 2012 |
|-----|---------------------------------------------|-----------------------------|--------------|--------------|--------------|
| 1 | Total population | Ten thousand people | 372.96 | 374.31 | 376.92 |
| | Non-agricultural population | Ten thousand people | 112.42 | 113.21 | 114.04 |
| 2 | region's GDP | One hundred million Yuan | 888.96 | 1104.2 | 1181.92 |
| 2.1 | Primary Industry | One hundred million Yuan | 139.41 | 165.55 | 185.16 |
| 2.2 | Secondary industry | One hundred million Yuan | 453.7 | 605.43 | 625.4 |
| 2.3 | Tertiary Industry | One hundred million Yuan | 295.85 | 333.22 | 371.37 |
| 3 | Total investment in fixed assets | One hundred million Yuan | 751.29 | 830.02 | 1022.13 |
| 4 | Income of main industrial business | One hundred million Yuan | 1232.0 | 1559.9 | 1629.5 |
| 5 | Total retail sales of social consumer goods | One hundred million Yuan | 254.6 | 303.88 | 349.72 |
| 6 | Actual use of foreign capital | Ten thousand dollars | 10080 | 5695 | 13043 |
| 7 | All revenue | One hundred million Yuan | 113.99 | 153.4 | 175.5 |

 Table 3-1 social economic situation table of Chengde (Year 2010 to2012)

| NO. | Index | Unit | Year 2010 | Year 2011 | Year 2012 |
|-----|--------------------------------------------------------------|------|--------------|--------------|--------------|
| 8 | Per capita disposable income of urban residents (Chengde) | Yuan | 13212 | 15037.6 | 16832.2 |
| 9 | Per capita disposable income of urban residents (urban) | Yuan | 14668 | 16637.6 | 18706 |
| 10 | Per capita net income of rural residents | Yuan | 4382 | 4935 | 5546.2 |

Source From: Statistical Yearbook of Chengde

3.2 Sample Survey of Affected People

The total affected population is 19. They are all seasonal boiler workers, all male and all belong to Han nationality. They will be affected by close down of boiler houses. The project will not involve with house demolition and resettlement. The impacted people of the project are detailed in table 2-2. In order to verify the specific impact of the project and basic information of the affected so that the project resettlement policy based on true and accurate data, the project implementing agencies and the staffs working on Resettlement Action Plan preparation conducted survey among these affected people.

In this project four affected coal-fired small boiler houses are all under management by four enterprises or institutions, which include water supply company residential quarter, Meteorological Bureau residential quarter, Xinguanglian Networks, and Minzu Middle Schools. A total 19 boiler workers will be directly affected due to close down of these boiler houses. They are temporary workers working in these boiler houses and have no formal labor contracts with the workplace. Their work is seasonal and work on boiler operation during the heating period from early November to middle March. They all have other temporary works for the non-heating months. Investigation team has visited all four coal-fired boiler rooms and interviewed 8 workers. Based on the survey they found that they share some common characteristics:

1. Low educational level and limited skill. Among 8 persons interviewed, one is illiterate, five have primary education level, and only two people have the junior high school level education. No one has the professional skills certificate and they just do simple physical labor in the boiler houses during heating period.

2. Relative long working time and old age. Among persons interviewed, seven people's working time is more than 5 years with one of them having worked there for more than 10 years. One of them engaged in this work just from 2014. The average age among them is 48.5 years old with the oldest being 59 years old and the youngest being 39 years old.

3. Their personal income accounted for a higher proportion of household income, and is the main family breadwinner. Their monthly income ranges from 1501 to 2000 Yuan. Their average income is 1875 Yuan per month and personal income accounted for more than 60% of their total family income. And their spouses are mostly housewives with no fixed income sources and some of them need long-term medication due to chronic disease which brings great economic pressure to the family.

The surveyed boiler workers recognized the importance of the project to improve the air environment of Chengde and all expressed understanding and support for the project .But they also have their own needs based on the above characteristics:

1 The respondents under 50 years old have a stronger demand for vocational skills training. Five respondents under 50 years old recognize that once the boiler rooms closed they will face certain difficulty to look for a new job due to low educational level and single skill. The boiler workers can hardly engaged in the construction, quarrying, etc. like spring, summer because no physical work can be found outdoors due to the low winter temperatures in the north. They hope that relevant government departments can provide suitable occupation skill training to increase their competitiveness to look for jobs or provide useful employment information. At the same time, if the condition allows, they also hope to be provided with similar jobs by the relevant government departments. Overall, they have positive attitude to find a new job.

2 Respondents aged over 50 prefer Chengde Heating Group or the original employers can arrange jobs for them. Three respondents aged over 50 expressed that they hope Heating Group or original employers could arrange jobs for them such as the new daily maintenance of the heat exchange stations, security or guard. Overall, this group respondents do not have much confidence to find new jobs on their own and reply on assistance from the government departments.

4 Resettlement Legal Framework and Policies

4.1 Resettlement Laws, Regulations and Policies

The basic purpose of the Resettlement Action Plan (RAP) is to ensure the plan and implementation is in line with World Bank operational policies OP4.12. At the same time, for any resettlement activities in this project will comply with a series laws and regulations of Country, Hebei, Chengde, specifically in Table 4-1.

| | Table 4-1 1 Resettlement 1 oney 1 fame | WUIK | | |
|----------------------|----------------------------------------------------------------------------------------------|--------------------------------------------------------|--|--|
| Level | Regulations and Policy Documents | Effective Time | | |
| State and Central | Land Administration Law of the People's Republic of China | January 1,1987; Second revised on August 28,2004 | | |
| Ministries | The Implementation of the Land Administration Law of the People's Republic of China | December 27,1998 | | |
| Hebei Province | Hebei Province Land Management Ordinance | Fifth revised on May 27,2005 | | |
| and Chengde | Hebei Province Urban State-owned Land Use Right Transfer and Sell Implementation Measures | September 24,2002 | | |
| The World | Operational policies OP4.12 Involuntary Resettlement and its annexes | January 1,2002 | | |
| Bank | Operational procedures BP4.12 <i>Involuntary Resettlement</i> and its annexes | January 1,2002 | | |

Table 4-1 1 Resettlement Policy Framework

4.2 PRC laws, regulations and relevant policies

The Key provisions of Land Administration Law:

Article 57 of the PRC Land Administration Law requires that the project construction and geological survey which require temporary use of state-owned or rural collective-owned land, should be approved by the relevant land administration departments of people's governments above the county level. Among them, when use the temporary land area of urban planning, it shall be agreed by the City planning department before the approval.

Temporary land user shall use the land for temporary use of land contract purposes, and should not build permanent structures. Duration of the temporary use of the land shall generally not exceed two years.

The Key provisions of Hebei Province Land Management Ordinance:

Article 57 of Hebei Province Land Management Ordinance requires that the temporary user of the land should apply to the municipal and county land administrative departments in accordance with legal procedures and approval authority upon approval of the following:

(A) When temporary use the land for less than two hectares, the user should make approval to the county (municipal) land administrative departments;

(B) The temporary use of land over two hectares and less than four hectares, as well as urban areas in the City districts less than two hectares should approved by the municipal administrative department of districts;

(C) The temporary land use for more than four hectares should approved by provincial land administrative departments.

When the duration of the temporary use of the land is more than two years, it should re-apply for temporary land use procedures.

The approach of Hebei Province implement Urban State-owned Land Use Right Transfer and Sell Interim Regulations of People's Republic of China:

Article 48 of it requires that transfer, lease and mortgage the allocated land use right must re-submit the land use right transfer procedures to the municipal and county people's government land administration department in advance. The approval process is as follows:

(A) Land users should hold the state-owned land use permit and ground buildings and other fixtures ownership certificates and other legal documents to submit a written application to the municipal and county people's government land administration departments;

(B) The land use right transfer contract must be signed after the municipal and county people's government land administration department in consultation with the applicant;

(C) The land user should deliver the land use right transfer fee and handle the land use right transfer registration to the municipal and county governments land administration department within thirty days from the date of the contract signed;

(D) The land use right transfer, lease and mortgage parties signed a contract of the land use right transfer of the lease and mortgage;

(E) The land user shall handle the land use right and the ground buildings and other fixtures registration respectively to the City and county people's government land management and real estate management department within fifteen days from signing date transfer, lease and mortgage contract.

Article 49 requires that the land use right transfer fee of allocated land use right transfer, lease or mortgage should be charged a percentage of land standard price, but not less than 30% of the land pricing. Lease land use rights can also paid the land use right transfer fee to the land administration department monthly or annual, specific payment measures is determined by municipal or county government, and submitted to the provincial Bureau of Land Management for the record.

Article 50 requires that transfer, lease, mortgage of the land use rights, buildings on the ground and other fixtures ownership, when land use rights expired, the municipal and county governments can free to recover the land use rights; its ground buildings and other fixtures should be given appropriate compensation according to the actual situation when the municipal and county governments recover.

Article 51 requires that the municipal and county governments can free to recover the allocated land use rights according to the requirements of urban development and urban planning, and may assign it in accordance with the provisions of this approach.

When recovering the allocated land use rights unpaid, the municipal and county governments should give adequate compensation to its above-ground buildings and other fixtures in accordance with the actual situation.

4.3 The World Bank's Main Policy

The resettlement policy of the World Bank includes the World Bank operational policies OP4.12 "Involuntary Resettlement" and its annexes (January 1, 2002) and the World Bank operational procedures BP4.12 "Involuntary Resettlement" and its annexes (January 1, 2002).

4.3.1 The Policy Objectives of the World Bank

- Exploring all viable alternative project designs to avoid or minimize involuntary resettlement.
- If involuntary resettlement is unavoidable, resettlement activities as sustainable development programs should be conceived and executed.
- > Providing adequate funds to allow resettlement to share benefits of the project.
- The involuntary resettlement should be carefully negotiated so that they have the opportunity to participate in the planning and implementation of the resettlement program.
- Make sure the involuntary resettlement should strive to improve their livelihoods and living standards, at least to restore them to a higher level before the relocation or before the start of the project.

4.3.2 The Measures Required

The World Bank requires that the borrower should prepare a resettlement plan or resettlement policy framework, which covers the following contents: The resettlement plan or resettlement policy framework includes measures to ensure that the involuntary resettlements were told their choose rights on the issue of resettlement and other rights; Understand technically and economically feasible options on participating in the negotiation, and enjoy the opportunity to choose; Make prompt and effective compensation to offset the direct property loss caused by the project at full replacement cost. If the impacts include physical relocation, the resettlement plan or resettlement policy framework should take appropriate measures to ensure that resettlement during relocation assistance (such as moving allowances); access to housing or homestead, or access to agricultural production sites as required. Production potential of agricultural production sites, location advantages, and other factors is at least favorable conditions for the old site.

In order to achieve the policy objectives, the resettlement plan or resettlement policy framework should also take appropriate measures when necessary to ensure that after the relocation, estimate the reasonable transition period according to the time to restore their livelihood and standards of living that may be required , and support help during this transitional period ; in addition, they can also get others such as land preparation, credit facilities, training or employment development assistance.

4.4 The main difference between the World Bank policies and Chinese law

Consultation and publication

Difference: The World Bank policies require the affected people can fully informed and consult with them as soon as possible. China has improved the transparency provisions of the notification and compensation. However, the role of the project affected people in decision-making is not strong, the publication period is too short typically.

Solution: The consultation and negotiation has begun early in project preparation. PMO agrees to disclose the Resettlement Action Plan to the relevant affected personnel in accordance with the requirements of the World Bank.

Resettlement monitoring, evaluation and reporting

Difference: The World Bank requires internal and external resettlement monitoring. However, in addition to reservoir projects there is no such requirement according to China's laws.

Solution: All World Bank projects have established internal and external resettlement monitoring systems, which are written in the Resettlement Action Plan. The requirements of internal and external reporting are specified in the Resettlement Action Plan.

4.5 Project Compensation Principles

Developing the project' compensation and equity principles according to the Chinese government and the World Bank's policy requirements, which aims to ensure that resettlement get enough compensation and assistance measures, at least restore their the production and living standards to the original levels. Resettlement principles are shown in Table 4-2.

| | Principles | | | | |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| 1 | If feasible, avoid involuntary resettlement as much as possible. | | | | |
| 2 | The compensation and rights of the affected people get can at least be able to maintain standard of living before "no project" or may be increased. | | | | |
| 3 | Regardless of whether the rights of the affected people is legitimate they will receive resettlement compensation and assistance. | | | | |
| 4 | The affected people have a full understanding of qualifications, compensation and standards, livelihood and income restoration plan and the project schedule, and participate in the implementation of the Resettlement Action Plan. | | | | |
| 5 | Implementing agencies and independent / third party will monitor compensation and resettlement operation. | | | | |
| 6 | The vulnerable groups should receive special assistance or treatment to ensure that they can live better, all the affected people should have the opportunity to benefit from the project. Each of immigrant families have two family members to obtain skills training and of which at least one is woman. | | | | |
| 7 | The resettlement program consistent with the overall planning of the project affected city (district and county) and towns. | | | | |
| 8 | The resettlement costs will be full and comprehensive coverage of all affected parties. | | | | |

Table 4-2 Resettlement Principles

4.6 Compensation Standards of the Project

4.6.1 The Compensation Standards of State-owned Land

State-owned land occupied by the project are located in residential areas, and are reserved to the heat, do not need to be compensated.

4.6.2 Temporary Land Compensation Standards

Currently, Chengde City road recover compensation fund has no specific policy, usually the audit make the compensation decision after completion of the project. According to the recent years Chengde similar projects, the project estimate pavement rehabilitation costs about 35.28 million yuan.

4.7 Project Cutoff date

The cutoff date for this project is June 30, 2015.

4.8 Entitlement Matrix

| Types of Impact | Degree of influence | Affected people | Compensation and Resettlement Policy | Compensation Standards and Implementation |
|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| Permanent occupation of state land | 9.07 mu | None | Public projects and occupied for residential vacant land | Free use |
| Road | Pipeline construction needs to occupy 154.06 mu of state-owned roads | Chengde Transportation Bureau | Roads and services in a timely manner to eliminate the adverse effects of the local community and compensated timely, the professional sector will responsible for make the affected road back to the original state. | A total of 35.28 million Yuan, has been included in project costs |
| Affected boiler workers | 19 seasonal boiler workers in 4 boiler houses will lose jobs due to close of boiler houses | 19 seasonal boiler workers in 4 boiler houses | They will be given high priority to be employed in new heat exchange stations; The relevant departments will provide employment information to boiler workers who are looking for other work and give them priority to participate in the skills training program; The enterprise and institutions used to operate original boiler rooms will provide temporary jobs to boiler workers in the logistics sector priority. Chengde Heat Group ensure similar positions will be provided to these workers with same wages to work on coal transport and slag removal. | N/A |
| The affected shops or enterprises surrounding roads | Temporary impact during construction of pipe network | The affected shops or enterprises surrounding roads | The construction will be divided into segments, to avoid spread across large areas; Enclosure of construction site should be confined to a manageable area and reserve space for traffic; Once the section of construction is completed, clean up should be followed right away including removal of enclosure and restore traffic; Strengthen information dissemination and release transportation guide timely; Make reasonable arrangements for the construction of each section and try to control the construction period; Try to exclude the enclosure under the premise of ensuring safety, once construction is completed, immediately dismantle enclosure; To leave spaces for shops or business to transport goods, and set aside adequate space for vehicles to facilitate business travel; Allowing shops, businesses to mark the enterprise and store operations information in the enclosure wall; Try to carry out the construction section enclosure, promptly repaired the damage | N/A |

| Types of Impact | Degree of influence | Affected people | Compensation and Resettlement Policy | Compensation Standards and Implementation |
|--------------------|----------------------------------------------------------------------------------|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| | | | around the retaining wall; 10.Strengthen the construction site management, keep the construction materials neatly and promptly clean up the garbage; 11. Cleared up or cover exposed dirt promptly; 12.Try to use processes and technologies to take control of high decibel noise generated in construction process; 13.Ban noisy construction work before 8:00am in the morning until after 21:00pm ; 14.Construct temporary noise walls and take other measures to mitigate the impact on the surrounding residents; 15. Compensated for the surrounding residents who were affected by noise severely appropriately ; 16.If the street shops or business enterprises or appendages have losses due to construction ,they need a reasonable compensation | |
| Appeal | Compensation standards, compensation payments and resettlement measures | All affected persons | The resettlement issues raised by affected people involve a variety of fees and management fees, these fees should be exempted and reasonable expenses will incurred in unforeseen expenses of this project | / |

5 Production and Livelihood Rehabilitation Plan

5.1 Resettlement Target

Ensure that the affected people can be compensated for all their losses and reasonable place their livelihoods so that they can share the benefits of the project, and provide them assistance for reducing temporary difficulties, so that their income levels and living standards improved or at least restore to the level before the project.

5.2 Resettlement Principles

5.2.1 Minimize Resettlement

Use the characteristics of construction, appropriate change and optimize the design in order to minimize the affected area and minimize the impact of resettlement.

5.2.2 Equivalent Compensation

To ensure that resettlement' (including affected boiler workers) living standard does not decline due to the project and implement the equivalence principle of compensation. It includes two aspects: Firstly, compensate the property according to the replacement cost; secondly, other losses are compensated by according to the degree of losses that means compensate as much as the resettlement loss.

(1) Restore shops' or businesses' existing business environment affected by the temporary land occupation on urban roads.

(2) Public facilities will be fully restored, and its' function will at least not less than the original level in order to maintain a normal life of the surrounding residents.

(3) The resettlement who are temporary affected by the project and resettlement who loss part of their property will get the equivalent compensation.

5.3 Temporary Land Occupation and Road Restoration

The project temporary land occupation is caused by construction of heat pipelines by laying pipeline underground.

The broken road fee for primary heat pipeline construction was negotiation between Chengde Heating Group and the local government. The selection of price for breaking road along path of primary heat pipeline alignment is based on the average cost involved by Chengde Heat Group in the recent year of similar construction.

The road broken fee for secondary heat pipeline refers to road spaces between the area of heat exchange station to the individual buildings, which was negotiation between Chengde Heating Group and individual residential estates. The selection of the cost for breaking and restoring the road spaces along the secondary network path is based on similar experience in recent years by Chengde Heating Group.

The road restoration will be carried out by qualified municipal engineering companies to implement, and Chengde Heating Group is responsible for providing funds for the restoration.

During the period of temporary land occupation, the contractors will build temporary traffic channel and traffic logo to minimize construction impacts on shops or enterprise in the project area.

During construction process, if the property of nearby shops and businesses are damaged due to improper management of the construction sites, the project sponsor and contractors will provide equivalent compensation based on assessment of the company, and negotiation with affected parties.

5.4The rehabilitation measures for affected boiler workers

Due to closure of small boiler houses during project, 19 temporary positions will no longer be available after the project. According to survey of 2014, the monthly average wage of temporary workers is between 1500 Yuan to 2000 Yuan. Closure and removal of small boiler will be conducted in none-heating season. Heating companies will inform related situation to the temporary workers six months in advance so that they have enough time to look for work.

These temporary workers in the non-heating season also work as manual labors in other sectors, their income is about 2000 Yuan / month or so and can maintain their basic living.

In the field survey, almost all affected boiler workers support the project; the affected

people think that after this project can improve the local heating conditions and have a positive impact for the people's production and life. The affected Boiler workers are all temporary workers, they also have work in the non-heating season so they do not worry about their production and life, and they only hope that this project will help them find some temporary work in winter.

These temporary boiler workers will lose part of the source of income due to the closure of the boiler rooms. In order to ensure that they will restore income and livelihood in a timely manner, and find long-term and stable sources of income, Chengde Heating Group coordinate with local government agencies to take positive and effective measures to them, which include providing career guidance and employment information to help them increase their skill and capacity for better opportunities, and offering similar jobs during winder seasons. It is hoped that through such measures their income and livelihood would be restored. Related expenses will be paid from the contingency cost in the resettlement program.

Chengde Heating Group has promised that at minimum during heating season, these temporary workers will be provided coal transport and slag removal works on their existing boilers, and their wages will be around 2000-2500 Yuan / month, higher than their current level. These boiler related works are also temporary positions in winter season, which will not affect their other works in spring and summer. And the nature of the work is quite similar as they used to have with no technical difficulty for them. Chengde Heating Group as large enterprises has strict security system and work practices processes, work safety and comfort working environment are better than the original small boiler houses.

After consultation with the affected people and project units, the affected boiler workers resettlement program is shown in Table 5-1.

| NO. | The name of Boiler room | Number of | Personal Reemployment | Desettlement program |
|-----|------------------------------------------------------------|-----------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| | Doner room | employees | wishes | Resettlement program |
| 1 | Boiler room of water family wings | 3 | | Chengde Heating Group has promised that at minimum |
| 2 | Nationality Middle School boiler room | 10 | Most of them wish to have similar jobs | during heating season, these temporary workers will be provided coal transport and slag |
| 3 | Meteorological Bureau Family wings of boiler room | 3 | in the heating company during winter seasons. | removal works on their existing boilers, and their wages will be around 2000-2500 Yuan / month, |
| 4 | Xin Guang lian boiler room | 3 | | higher than their income in small boiler houses. |
| | Totally | 19 | | |

Table 5-1 the Resettlement Program of Affected Boiler workers

After the implementation of the project, Hebei PMO and social development expert of project implementation phase will give supervision and guidance to the whole re-employment placement process of affected workers, and respond to the problem of affected workers, enterprises and units proposed, and solve related problems on behalf of Chengde municipal government. External monitoring unit (Resettlement and social monitoring) will track the livelihood recovery issues of these affected people to ensure that they will not cut wage because of the project.

6Public Participation

6.1Public Participation Strategy

This project will attach great importance to carry out participation and consultation with affected people, listen to their opinions and suggestion during the period of resettlement policy formulation, planning and implementation stages.

Chengde Heating Group has repeatedly sought suggestions and comments on the project resettlement issues during the preparation of resettlement plan and feasibility study from Chengde municipal government, the NPC and CPPCC, mass organizations, the project area residents, enterprises and others since Sept. 2014. At the same time, they also carried out the investigation of social economic background of affected people, public opinion, attitudes to the project, and suggestions on rehabilitation options. These activities laid foundation for the preparation of the resettlement action plan.

In the future, the Chengde Heating Group will also take the following procedures and methods to encourage public participation and consultation:

(1)Resettlement representatives will participate in the resettlement work

Resettlement and the affected units elected representatives according to the proportion, to solicit the opinions of the masses of resettlement requirements, listen to the rationalization proposal and regular communication with the relevant departments. Reply to their questions and give careful consideration to their opinions and suggestions.

(2) People affected by the project consult with the users to determined resettlement mode.

Make the people affected by project and users of consult more and communicate more in many aspects to put forward reasonable settlement suggestions through public participation in the work.

(3) Convene a Consultative Conference

Convene project stakeholders to participate in the forum (where female representation should be more than 30%), Focuses on the situation and continue to listen to their opinions and suggestions. Record their advice and opinions and give consideration and attention during the project in the future.

(4) Held a mass meeting

Continue to extensively explain relevant policies, laws and regulations, resettlement programs to the masses a month before the implementation of the project so that the masses know early and arranged early. The purpose is to accordance with the World Bank's policy and national policy in strict to implement projects combine the local actual situation and the comments and suggestions of the masses.

(5) Propaganda and report relevant policy by radio, television, newspapers, Internet and other media.

(6) Publish project announcement

The main contents of the notice is general situation, the implementation of the project scope, the resettlement policy (including the standard of compensation, resettlement) responsible institutions, resettlement rights and obligations, complaints and appeals, monitoring and evaluation, etc.

(7) Disclosure of the resettlement action plan

The Chengde Heating Company will place a copy of Resettlement Action Plan in the project office and inform the public through broadcast on radio, television, municipal web page, and major local newspaper to ensure their access to the public.

(8) Resettlement Information Booklet

The project office will compile a resettlement information booklet with key points of resettlement action plan, which will be distributed to the hands of affected people before the project implementation. The main contents of the information booklet are: project overview, project impacts, compensation policies, implementing agencies, the complaint channels and contacts and contact information. The affected people could understand the whole content of the project by reading the resettlement information booklet, and put forward reasonable suggestions of the project problems to the project office.

6.2 Public Opinion Surveys

Between November 24, and November 28 of 2014 the group conducted the public opinion survey. The survey includes the affected boiler workers, community residents for total 208 people. The results of the survey show that:

In the recovery 190 valid questionnaires (including 182 general population survey, a questionnaire containing eight boiler workers) 82 percent of respondents did not know the project and 18 percent of respondents had ever heard. All the respondents agree that the construction of the project and think it is beneficial to the country. Beneficial to the community and the individual all accounted for 100 percent. 33.33 percent of respondents believe that the construction of this project will improve their living environment. 38.09 percent of respondents believe the project will improve the living conditions of winter. 33.33 percent of respondents believe the project improve the investment environment. 47.6 percent of respondents believe the project will provide convenience for the life; 85.71 percent of respondents believe that the construction of the project has no adverse impact on them and the remaining part think that may bring traffic inconvenience influence. 85.71 percent of respondents know the policy for heating. And 80.95 percent of which know how to complaint when the legitimate rights and interests are violated during the project implementation. When asked the boiler workers' compensation will, most of the boiler workers expressed the hope to receive technical training or provide employment information in the future.

In the survey, majority of residents know the construction of this project and think the construction of the project will help to improve the heating status and improve the living level. This reflects the public's right to know and also help to solve the problems may be encountered in the construction and operation during the project.

6.3. Process of public participation and information disclosure plans

Negotiation and consultation with the affected people is needed so that all problems can be solved before implementation in order to deal with the affected people's problems timely and appropriately. Resettlement Implementation mechanism will arrange reasonable for public participation in the meeting so that each affected boiler workers satisfaction.

| Time | Address | Participants | Content | Note | Status |
|-------------------|---------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|-----------------|
| September 2014 | Central heating energy-saving project office of Chengde; the location of the affected boiler room | Relevant staff of Chengde Heating Group; affected Boiler workers; the project team | Social economy investigation of resettlement; the scope of the impact and physical survey | Project influence of socioeconomic survey | Implemente d |
| October 2014 | Central heating energy-saving project office of Chengde; the location of the affected boiler room | Relevant staff of Chengde Heating Group; affected Boiler workers; the project team | Compensation and resettlement policy and initial resettlement program | Preliminary discussions on compensation policy when preparing resettlement action plan | Implemente d |
| March 2015 | Central heating energy-saving project office of Chengde; the location of the affected boiler room | Relevant staff of Chengde Heating Group; affected Boiler workers; the project team | Compensation and resettlement policy and initial resettlement program | Further consultations on the compensation policies and recovery plans when modifying the resettlement | Planned |

 Table 6-1 Process and Plan of Public Participation of the Affected People

| Time | Address | Participants | Content | Note | Status |
|------------|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|---------|
| | | | | action plan | |
| April 2015 | Central heating energy-saving project office of Chengde,; Chengde Land Bureau; location of the affected people | Relevant staff of Chengde Heating Group; Chengde Land Bureau; affected people | Individual issues of resettlement policies and programs | Supplementary consultation on individual issues when finalizing the resettlement action plan | Planned |

| Table 6-2 Process of the Pro | ject Information Disclosure |
|------------------------------|-----------------------------|
|------------------------------|-----------------------------|

| | Language and | the Project Informatio | Responsible | Disclosure |
|---------------------------------------------------------------------------|----------------------------------|--------------------------------------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------------------------------|
| Document | disclosure method | Disclosure date | units | address |
| Introduction concerning the project | Chinese, Municipal website | April 2015 | Project Office | Municipal website, affected community |
| General introduction of resettlement information | Chinese, Municipal website | 15 th , April 2015 | Project Office | Municipal website, affected community |
| Compensation policy of the project | Chinese, Municipal website | 15 th , April 2015 | Project Office, Land Resources Bureau | Municipal website, affected community |
| Public notice of the resettlement action plan | Chinese, Chengde Evening News | 15 th , April 2015 | Project Office | Municipal website, Chengde Evening News affected community |
| Information booklet of the resettlement | Chinese, issued to resettlement | After reviewed by the World Bank | Project Office | Affected community |
| Report of the resettlement action plan | Chinese, English | After reviewed by the World Bank and before the end of September 2015 | World Bank, Project Office, affected community | World Bank website; Municipal website; affected community |
| Public information of temporary occupation time, section etc. | Chinese | A month before implementation | Project Office | Newspapers, websites, television and sections of the affected people |

7 Grievance Redress

In the process of the preparation and implementation of resettlement action plan, public participation is encouraged. Hence, huge controversy may not appear. But the whole process will have some unforeseen problems occur. In order to effectively solve the problem, ensure

the implementation of the project construction and resettlement, the project has established transparent and effective channels for complaints and appeals. In the preparation and implementation of resettlement action plan, an appeal mechanism is established to provide the affected person. The sequential steps are as follows.

Step1. If resettlement are dissatisfied with the resettlement measures, they can focus to the Chengde city heating energy saving renovation project office made verbal or written complaint; if it is an oral complaint, will have to be determined by the project office to handle and a written record. The project office should be resolved within 2 weeks.

Step2. If resettlement to the decision stage 1 is still not satisfied, can be in receipt of the decision on the leading group of energy-saving projects in Chengde city heating to appeal; project leading group shall make a decision within 2 weeks.

Step3. If they are still unsatisfied with the decision in Step 2, they should appeal to the Hebei provincial PMO, and provincial PMO should make a decision within 60 days.

Step4. If they are still unsatisfied with the arbitration decision, they should prosecute to civil court according to the PRC Law on Civil Procedures.

The affected persons should appeal on any aspect of resettlement activity. The affected persons have been informed the above appeal steps through public meetings and other forms, so that they will be conscious of their legal right of appealing. While the use of the media for publicity, and the parties face resettlement advice and recommendations compiled into messages, by resettlement agencies treatment.

The resettlement agency will organize all the comments and suggestions on resettlement action into information brief, and send it to relevant resettlement agencies for review and action. All the agencies will accept grievance and complain of affected persons at free of charge. The resettlement of complaint calls to Table 7-1.

| Agency | Contact | Telephone | comment |
|------------------------------------------|-----------|---------------|---------|
| | person | | |
| Chengde central heating energy-saving | Mr. Ren | 0314-2157080 | |
| project office | | | |
| Chengde central heating leading group of | Mr. Zhang | 0314-2050955 | |
| energy-saving projects | | | |
| Hebei Clean Energy Project Management | Mr. Wu | 0311-66651598 | |
| Office | | | |

 Table 7-1 Chengde central heating energy-saving projects hotlines

All grievances, actions and results will be logged, by use of the grievance action. They will be reported in the project progress report and semiannual resettlement monitoring report of the corresponding period. The grievance action forms will also be made available to the complainants, key stakeholders and responsible agencies.

For a complete record of the affected population and complain about the handling of issues related to the project management office to develop the affected people deal with complaints and appeals registration form, see Table 7-2.

 Table 7-2 World Bank Loan Project Registration Form complaints and appeals

| | | <u> </u> | 9 | | 11 |
|---------------------------|---------------|----------|-----------|--------------------|---------------------|
| Accepted Agency | | Time | | Place | |
| The complainant 's name | The complaint | Require | solutions | Proposed solutions | Practical solutions |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| The complainant signature | | | | Record Signature | |

Remark: 1, Records should faithfully record the complaint and the complainant's request; 2, Appeal process should not be subject to any interference and obstacles;

3. The proposed solution should reply to the complainant within the prescribed time

In addition, the complaint and channels to complain about the project affected people will be publicly released in the form of promotional material sent to the public every affected person.

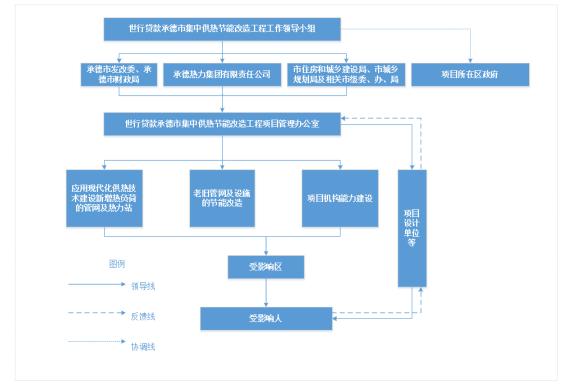
8 Implementation Organization

8.1 Institutional Arrangement

Construction project leadership team of Chengde central heating energy-saving project Construction project management office of Chengde central heating energy-saving project

Chengde Heating Group Chengde Development and Reform Commission Bureau Design and Research Institute Project Monitoring and Evaluation Unit

8.2 Organization Chart



8.3 Institutional Responsibilities

8.3.1 Construction project leadership team of Chengde central heating energy-saving project

Construction project leadership team of Chengde central heating energy-saving project: responsible for the entire project leadership and coordination of social management

8.3.2 Construction project management office of Chengde central heating energy-saving project

Construction project management office of Chengde central heating energy-saving project: implementing social management and capacity building training accordance with the requirements of the World Bank.

8.3.3 Chengde Heating Group

Chengde Heating Group: responsible for concrete implementation of social management plan and organizing training in terms of WB related policy.

8.3.4 Design and Research Institute

Design and Research Institute: reduce the impact of the project by optimizing the design of resettlement and determine the scope of the project impact.

8.3.5 Project Monitoring and Evaluation Unit

Project Monitoring and Evaluation Unit: investigation and assessment of the social management plan implementation of the project, proposing issues and recommendations to

the Provincial Project Office and the World Bank to submit monitoring and evaluation reports.

8.4 Qualifications and staffing of agency

Construction project management office of Chengde central heating energy-saving project is specifically responsible for the development of the Resettlement Action Plan and resettlement implementation. Members of the office has a wealth of experience, has previously organized a similar resettlement in resettlement has accumulated a wealth of experience. Projects with government departments at all levels and to maintain good communication channels, in resettlement work can play a good role in the organization and coordination. Other agencies involved in the implementation of the City by having a composition rich experience in construction project management staff.

Resettlement agency staff equipped, high-quality, full-time staff of seven people, including three women, the vast majority of professional and technical personnel, who have good technical qualities. Staff peak of a total of 13 people, from the bottom with a smooth information channel. This is for the implementation of the preparation and implementation of the Resettlement Action Plan has played a very important role.

8.5 Institutional Arrangements and Capacity Building

According to the survey, institutional capacity, working conditions and equipment configuration of the project is more complete, professional staff's quality level is high; they have preparation for similar project, construction and operation of the national experience. Give the project belongs to the World Bank loan project, the relevant personnel need to be familiarity with the mode of operation for World Bank projects, especially in relation to social and security requirements and adequately compare with domestic experience, so they need further study and training for relevant business policy requirements.

9 Resettlement and funding arrangements

9.1The principles of project construction and resettlement

According to the progress of the project implementation schedule, the project plans to begin construction from 2016 to 2018 to complete, which lasted 3 years. Resettlement schedule each sub dovetail construction schedule, because the characteristics of the project, the resettlement of the same as the 2016-2018 period.

9.2 The key tasks of Resettlement of the project

Resettlement mission including temporary land occupation and resettlement of affected livelihoods boiler workers.

The key task compensation of the temporary land occupation including: 1) with the original land users sign contracts for temporary use; 2) signed a temporary land use and urban roads department in charge of the contract; 3) works occupy land within the contract period; 4) after use, the road management department commissioned the construction unit for recovery.

The key task resettlement of affected livelihoods boiler workers including: 1) information disclosure, resettlement programs in consultation with the affected people; 2) information or provide employment related training; 3) tracking affected livelihoods recovery.

9.3 Resettlement capital budget and schedule

Borrower is responsible for all land occupation and resettlement. Any resettlement program developed in accordance with the resettlement plan needs to contain costs and budgets. All suffer from the occupation of the land adversely affected people are entitled to appropriate compensation and resettlement, whether these groups were identified in the resettlement planning stage. Therefore, the resettlement plan should establish unforeseen fees. Unforeseen fees typically account for more than 10% of the total cost of resettlement to cover unforeseen resettlement costs.

Resettlement plan compensation rate calculation provides the basis for resettlement compensation fee. Give full compensation for all losses of property in accordance with the collective or individual full replacement value, not allow compensation discount for any reason. Resettlement plan should describe the compensation from the borrower to the affected people by the hands of the program. As a matter of principle requirements, capital flows should be paid directly as possible to the affected population in this process and should be to minimize the coordination and arbitration procedures and intermediate links.

| NO. | Name of the expense | Cost (Ten thousand Yuan) | Proportion % | Annual use of funds |
|-----------------|-------------------------------------------|--------------------------|--------------|---------------------|
| 1 | State-owned land occupation fee | 0 | 0 | |
| 2 | Compensation for road rehabilitation | 3528 | 90.14% | 2016-2018 |
| 3 | Early resettlement planning fees | 15 | 0.38% | 2014-2015 |
| 4 | Social and resettlement monitoring fee | 15 | 0.38% | 2016-2018 |
| Total of 1-4 | | 3558 | 90.915 | |
| 5 | Unforeseen fee | 355.8 | 9.09% | 2016-2018 |
| 6 | Total investment | 3519.8 | 100.00% | |

 Table 9-1 Estimated Resettlement Investment

10 Monitoring and Evaluation

In order to guarantee the smooth implementation of the Resettlement Action Plan, to achieve the goal of proper resettlement. The project by the World Bank Operational Policy OP4.12 "Involuntary Resettlement" and "The World Bank Loan Project Resettlement Monitoring and Evaluation China Business Guide" requirements, will conduct regular monitoring and evaluation of the implementation of the resettlement activities. Monitoring of resettlement agencies are divided into internal monitoring and external independent monitoring of two parts.

Internal monitoring by the Construction project management office of Chengde central heating energy-saving project to perform, in order to ensure compliance with the principles and timetable for the unit responsible for the Resettlement Action Plan to implement resettlement. Internal monitoring is aimed at the implementation of the resettlement process, to maintain a good body functions.

Independent monitoring by an independent evaluation to resettlement in resettlement implementing agency of the project, a comprehensive, long-term perspective check all implementation activities. The independent monitoring organization will follow the resettlement activities to evaluate whether the resettlement implementation of the relevant laws of the country of resettlement ; meets World Bank operational policies OP4.12 " involuntary resettlement"; whether resettlement living standard has improved or at least maintain no project level. Independent monitoring agency will make recommendations to the implementation of the monitoring unit according to the problem found, so that the process of resettlement implementation problems to be resolved in a timely manner.

10.1 Internal Monitoring

Project Office implements an internal monitoring mechanism to supervise the resettlement activities. Resettlement Project Office establishes a basic database and use its action plan development and resettlement of all displaced households and affected units to monitor, on the whole process of resettlement preparation and implementation of internal supervision and inspection.

10.1.1 Procedure

During the implementation, Construction project management office of Chengde central heating energy-saving project and project implementation unit should collect and record the information then provide it to the office of the Province and the City, in order to maintain a continuous monitoring on implementation. Superior project office will inspect implementation regularly.

In the monitoring mechanism in the development of the information specified in table format, in order to realize the project implementation unit to the continuous flow of information from the project office in the Province.

10.1.2 Monitoring Content

- > Compensation of temporary land occupation
- Survey the main problems existing in the process of resettlement and implementing agencies resettlement implementation, coordination and advice
- Income recovery level of the affected boiler workers
- During resettlement implementation participation and consultation of affected people
- Affected persons and effects of training

Local resettlement office work organization, training, working time and efficiency 10.1.3 Internal Monitoring Report

Every six months by the Chengde City Central Heating Project Office will prepare an internal monitoring report submitted to Chengde City Central Heating Project Office and Project Office Province. Provincial Project Office at the end of the summary reported to the World Bank once a year.

10.2 External Monitoring

10.2.1 Independent monitoring agency

Project Office will hire an independent monitoring agency to carry out resettlement and social external monitoring. Regular independent monitoring and evaluation unit of the resettlement implementation activities and the project of gender and social development plan for tracking monitoring and evaluation of resettlement, gender and social development-related progress, quality, financial monitoring , and advice. It is going to provide the monitoring and evaluation reports to the Province, Chengde City Central Heating Project Office and the World Bank.

10.2.2 Steps and content of monitoring

- 1) To prepare working outline for M&E;
- 2) Resettlement monitoring and evaluation information database development;
- 3) To prepare survey outline, questionnaire forms, and recording cards of affected persons, typical units;
- 4) To design sampling investigation scheme;
- 5) To conduct a baseline survey: A baseline survey will be carried out on the resettlement affected by the project, so as to obtain basic data about their living standards (including living, business and income).
- 6) Establishment of monitoring and evaluation information system;
- 7) To conduct M&E survey: a) evaluation of resettlement implementation; b) overseeing on resettlement progress; c) analysis of the impact of land adjustment; d) tracking survey and evaluation on income level of affected persons; e) public consultation including public participation activities of the affected persons during the period of the Resettlement Action Plan implementation, and the effectiveness of public participation; and f) grievance redress of affected persons including the registration, handling and resolution of affected persons' complaints.
- 8) Monitoring collating information, the establishment of a database;
- 9) To conduct livelihood/income comparison analysis;
- 10) To prepare M&E report
- 11) Specific monitoring and evaluation reporting period are as follows:
- 12) September 2015 the first phase of monitoring and evaluation report (baseline survey);
- 13) September 2016 the second phase of monitoring and evaluation report
- 14) September 2017 the third phase of monitoring and evaluation report
- 15) December 2018 Completion Report

10.3 Monitoring indicators

Socio-economic indicators: per capita income, GDP, employment rate

Agency indicators: staff composition, quality of personnel, rules and regulations, equipment to handle the transaction completion rate

Temporary land occupation case: Compensation funds rate, the recovery of the affected roads

Gender and Social Development Plan, there are relevant indicators

10.4 After evaluation

After the project is completed, if the requirements of the World Bank, it would take on the basis of monitoring and evaluation on the use of project post-evaluation theory and methods after resettlement activities were evaluated. The successful experience of resettlement as well as other aspects of the lessons would be learned for future resettlement. After evaluation would be commissioned external independent monitoring and evaluation agency. After evaluation unit should prepare the index system. It is necessary to do the analysis of socio-economic survey and prepare the report "Chengde central heating energy-saving project resettlement and social development after evaluation report".

Annex 1: National Power Chengde Thermal Power Co. (Luanhe Power Plant) Resettlement due diligence report

National Power Chengde Thermal Power Co. (Luanhe Power Plant) was founded in 1958, is the largest thermal power plant capacity Chengde and is the main point of the power supply and the main heat source supply point. It had changed its' name Chengde Heating Group in 2006. The company has been in operation for many years, no resettlement legacy.

Annex 2: Associated project (Northeast suburb peak heat source) Resettlement due diligence report

Chengde Heating Group was built a power source plant (including access roads) in Erdaohezi village, Shizigou town in 2005. The totally land acquisition was 46.96 mu and compensation was 2536729.25 Yuan. The case of land acquisition has been completed in April 2005, the farmers have received compensation and are properly placed, no problems left over and the contracts shown below.

Figure 1 land acquisition compensation contract

北区调峰供热工程 征地补偿合同

甲方:上二道河子村民委员会 乙方:承德热力集团有限责任公司

根据承德市计委承计投(2003)435号文件和承德市发改委承发改投资(2004) 1号文件精神,批准热力集团有限责任公司在狮子沟镇上二道河子村东蓝梁沟内 建设调峰热源厂一座。

经过甲、乙双方协商,达成如下土地转让合同:

一、征用土地范围

1、乙方建设厂区用地为承隆铁路东豁梁沟内主沟,具体界址以市规划局核检 红线和双桥区国土资源局勘测为准(详见红线图)。

2、进入厂区道路用地为承围公路武烈河东至豁梁沟口承隆铁路函洞。具体器 址以市规划局核位红线和双桥区国土资源局勘测为准(详见红线图)。

3、在武烈河东沿新修道路铺设供热管道 400 米,自新桥桥头沿武烈河东岸护坝、窑沟道路铺设自米水管道 800 米。

二、征用土地面积及补偿价格

1、厂区建设用地经双桥区国土资源局勘测为 39.65(叁拾玖点陆征)亩、单价 40000.00元(肆万元)每亩,总价 1586000.00元(壹佰伍拾捌万陆任元)。

2、道路建设用地经双桥区国土资源局勘测为 7.31 (集点参查) 亩、单价
 67000.00元(陆万奖仟元)每亩,总价 489770.00元(肆拾捌万玖仟杂佰柒拾元)。

3、厂区、道路建设用地地表附着物经双桥区国土资源局委托承德审恒文公证 处调查,总计补偿金额为164544.70元(壹拾陆万肆仟伍佰肆拾肆元装鱼)。详细 情况见双桥区国土资源局土地补偿方案,(附后)。

4、在武烈河东铺设供热管道 400 米, 自来水管道 800 米, 施工中临时占照土

地按每亩 2600 元一次性补偿乙方占地费用,并按双方约定价格对井室、支架进行 补偿(井室 1500 元、支架 1000 元),作为对管道占地 30 年的使用权租金支付村 委会 40000 元。总计补偿金额为 83195,00 元,(捌万叁仟壹佰玖拾伍元)(详细情 况另附清单和协议条款)。

5、道路改迁占地及地表附着物补偿 161842.05 元 (壹拾陆万壹仟捌佰肆拾肆 元零伍分),(详细情况附清单)。

6、路桥征地外河道淹没区面积 615.29 平方米,根据土地现有状况,按双方 协商的征地价格 67000 元每亩的 75%即 50250 元每亩计算,总计补偿甲方 46377.50元(肆万陆仟叁佰柒拾柒元贰角伍分)(详细情况另附清单)。

7、乙方施工过程中使用甲方原有道路,经双方协商一次性给予甲方道路维修 维护费 5000.00元(伍仟元)。

三、付费时间和方式

1、合同总价款 2536729.25 元 (贰佰伍拾叁万陆仟柒佰贰拾玖元贰角伍分),
 经双方签字生效后 30 日内,乙方须向甲方缴付征用土地补偿费总额的 50%计
 126.80 万元人民币,作为履行合同定金,合同履行完毕,定金抵作转让金。

2、乙方应在土地转让手续办理完毕前,支付完全部土地转让金。

四、本合同约定的双方责任:

(一)、甲方权力和义务

1、 按双桥区国土资源局土地转让公告公布的转让费标准收取乙方补偿费。

2、负责乙方施工过程和生产过程有关需要甲方支持和配合的工作,其中包括 协调村民的工作。

3、于本合同签字生效之前,终止与塑钢窗厂和村民龚志强的土地租用协议。

4、负责与狮子沟林场协调处理豁梁沟内地权界址及林木损失补偿关系。

(二)、乙方权力和义务

1、乙方应依据双桥区国土资源局土地转让公告按时支付甲方土地转让费。

2、负责合理赔偿狮子沟林场林木损失补偿费(具体事宜与林场协商)。

3、合理补偿塑钢窗厂及民宅拆迁损失。

五、本合同约定的其它有关事宜。

(一)、甲方提出可能因燃煤和灰渣运输造成道路两旁庄稼受污染问题,如将 来现实存在,双方商议解决。

(二)、甲方提出今后甲方的新村建设供热入网配套费一事要求乙方给予照顾问题,具体照顾数额双方根据当时情况商定。

(三)、附属工程施工在同等条件下优先由村工程队施工。

(四)、新建桥梁、道路及进山道路甲方有使用权,新建道路必须合理设置下 道口,以便村民行走;工程施工期间,乙方有权使用全部村、组所属现有的道路、 桥梁。

(五)、热源厂招工,照顾占地户用工。

六、本合同未尽事宜,可由双方约定后作为合同附件。合同附件与本合同具 有同等法律效力,本合同中列举的红线图、补偿清单、另附协议条款作为本合同 附件与本合同具有司等法律效力。

七、本合同一式六份,甲乙双方各执叁份。

八、本合同自双方签字之日起正式生效。

甲方: 上二道河子村民委员会 乙方: 承德热力集团有限责任公司 法定代表人:5 法定代表人 200 年 午月 74日 2001年 4月 14日

Annex 3: Resettlement Information Booklet

A Background

In order to improve the quality of the atmosphere in Chengde City and the efficiency of the centralized heating system, Chengde City Heating Group Ltd. intends World Bank loan. Chengde Central Heating Energy-Saving Reconstruction Project financed in part by the World Bank (WB) is composed of two investment components:

1. Build added heating load network and heating station by advanced heating supply technology

Based on advance technologies such as buildings station, high efficiency heat network autonomous control, climate compensation and so on, achieve the goals of energy saving, improve security and stability of heating system. According demands of heat load development and layout of heat source, accomplish construction of main line and branch line of new heat load matched primary pipeline network, and construction of secondary pipeline network and heat exchange construction. Of which, the length of new-built primary pipeline network is 4,764 m, the style is DN400-80, built 20 normal heating exchange stations and 114 buildings heat exchange stations, increased $246.23 \times 10^4 \text{ m}^2$ heating area.

2. Energy saving reconstruction of old pipeline network and facilities

The reconstruction in Project involve old pipeline and facilities under the Chengde Heating Group's property rights.

(1) Reconstruction targets at primary heating pipeline network that used over 15 years, with serious potential accidents or once leaked. The length of DN500~DN80 primary pipeline network of reconstruction is 2743m.

(2) To replace or retrofit valves in primary heating pipeline network that have been out of repaired for many years. In order guarantee the operation life of pipeline network, improve valves quality, this Project adopts imported welded valves with good quality and installs 64 DN1000~DN250 imported welded valves.

(3) To replace or retrofit secondary heating pipeline that have been operated for long years, potential accidents and high thermal loos. Retrofit 56,972 m, the style is DN300~DN50 secondary heating pipeline network.

(4) To install differential pressure flow balancing valve for secondary pipeline network that without heating balanced reconstruction, involve $150.09 \times 10^4 \text{ m}^2$, install 753 DN80~DN32 differential pressure flow balancing valves.

The project is with a total investment of 219.5663 million Yuan including investment in construction project 206.35 million Yuan. The investment is composed by the loans of World Bank \$20 million, and the rest raised by the Heating Group.

The construction period is three years, starting in 2016, and completed the construction of the entire project in 2018.

B Project Impact

The impacts of the project include permanent land occupation of 9.07 mu and temporary land occupation of 154.06 mu. Both of the land is owned by the local government and located in the traditional area in Chengde City. It is free to occupy and use the land.

The impact of the project was not involved in house demolition.

A total of 19 male temporary boiler workers were affected by the boiler room renovation project and all of them were Han.

C Policies and Entitlements

The basic purpose of the Resettlement Action Plan (RAP) is to ensure the plan and implementation is in line with World Bank operational policies OP4.12. At the same time, for any resettlement activities in this project will comply with a series laws and regulations of Country, Hebei, Chengde, specifically in Table.

| Level | Regulations and Policy Documents | Effective Time |
|-----------|-----------------------------------------------------------|-----------------|
| State and | Land Administration Law of the People's Republic of China | January 1,1987; |
| Central | Lana Raministration Law of the Teople's Republic of China | Second revised |

| | Table | Resettlement | Policy | Framework |
|--|-------|--------------|--------|-----------|
|--|-------|--------------|--------|-----------|

| Level | Regulations and Policy Documents | Effective Time |
|-------------------|----------------------------------------------------------------------------------------------|---------------------------------|
| Ministries | | on August 28,2004 |
| | The Implementation of the Land Administration Law of the People's Republic of China | December 27,1998 |
| Hebei Province | Hebei Province Land Management Ordinance | Fifth revised on May 27,2005 |
| and Chengde | Hebei Province Urban State-owned Land Use Right Transfer and Sell Implementation Measures | September 24,2002 |
| The World | Operational policies OP4.12 Involuntary Resettlement and its annexes | January 1,2002 |
| Bank | Operational procedures BP4.12 <i>Involuntary Resettlement</i> and its annexes | January 1,2002 |

The RAP is prepared according to the PRC Land Administration Law (2004) and the related national, provincial and municipal policies and regulations, as well as in compliance with WB's Safeguard Policy Statement. On the basis of the above-mentioned regulatory and policy requirements and in consultation with local governments, the resettlement principles established for the project are: (i) land acquisition and involuntary resettlement should be avoided or minimized where feasible by developing and comparing a series of design alternatives; (ii) Pay compensation and provide other resettlement entitlements before physical or economic displacement. Compensation and entitlements provided must be adequate to allow those affected to at least maintain their pre-project standard of living, with the prospect of improvement; (iii) land temporarily occupied and the period for disruption are to be kept to a minimum; (iv) all affected persons, titled and non-titled, and renters/contractors are to be taken into consideration; (v) resettlement plan should be prepared and implemented in accordance with state land rehabilitation policy, national economic and social development plans and WB SPS; (vi) all those affected will be adequately informed about eligibility, compensation rates and standards, livelihood and income restoration plans, and project timing; (vii) resettlement plan should be coordinated with regional development, economic development and environmental protection; (viii) practical and feasible measures should be formulated to restore the affected items; (ix) a preferential policy and assistance will be provided to vulnerable groups in such things as employment; and (x) close monitoring and timely actions will be carried out to identify and resolve any problems.

This project defines eligibility for compensation deadline in June 30, 2015. After that, any additional compensation only for the construction of buildings or trees planted is not counted.

D Resettlement Measures

A total of 19 male temporary boiler workers were affected by the boiler room renovation project and all of them were Han. The 4 small boilers were closed down are belong to residential property management. Boiler workers were temporary worked there in heating season and had never signed a contract.

The boiler workers would not get a work after the 4 small boilers were closed. According to the survey in 2013, the temporary workers can earn 1000 to 1500 Yuan every month. Closure and removal of small boilers will be in the non-heating season. The boiler workers would be informed ahead of 6 months and have enough time to find a new job.

These temporary workers in the non-heating season were also worked as builder and porter. They can earn about 2000 Yuan every month to cover their living.

In the field survey, almost all affected boiler workers were supportive of the project. They think the project could improve the local heating conditions, have a positive impact for the people's production and life. The boiler workers have confidence with their life in future. It is better to help them to get a job in heating season.

Temporary boiler workers lost due to the transformation of the boiler room part of the production source of livelihood. In order to help them recovery better and find a long-term source of income for life, the Heating Group would provide some career guidance and employment information.

Chengde Heating Group has promise that they would provide jobs such as coal mining workers for the boiler workers in heating-season. The payment is 2000 to 2500 Yuan every month. The coal mining works are also temporary works. So it would not have a bad influence of their summery works. Also, the two jobs are same in technology.

NO. Name of boilers Willing for new Number Resettlement plan of job people 1 Water supply 3 Most them wish Chengde Heating Group has promise company to find similar that they would provide jobs such as coal transport and slag removal works works in 2 Minzu middle school 10 for these boiler workers in heating 3 Weather bureau 3 heating-season. The wage is 2000 to company 3 4 Telecommunications during winter 2500 Yuan every month, higher than Bureau season. that in the small boiler houses.

Table 1 Affected Boiler workers

After consultation with the affected people and project units, the resettlement plan was

After implementation of the project, the Social development specialist from the office of Hebei Province would offer supervision and guidance to the affected people and their Re-employment. External monitoring unit would track these affected the livelihood recovery issues and guarantee their quality of life.

E Rights and Interests of Vulnerable Groups and Women

According to the preliminary socioeconomic survey, no vulnerable groups will be affected.

F Public Participation and Information Disclosure

as follows. Details see Table.

Extensive information disclosure and public consultations have been undertaken during project preparation. This resettlement plan has incorporated the views, expectations, comments and suggestions of the key stakeholders, especially the affected persons. Further information disclosure and consultations will be undertaken during project implementation. Resettlement Action Plan draft would be printed in the "Chengde Daily" on April 15, 2015 and on the website of Chengde local government. Resettlement information booklet and Resettlement Action Plan will be distributed to the affected persons at the end of April 2015. Resettlement Action Plan will be posted on the Bank website before the end of May 2015. Complaint channels have been established. Agencies will be free to accept affected population grievances and appeals. Unforeseen expenses will be paid reasonable expenses incurred in this project.

G Organization

The Chengde municipal government (YMG) will take full responsibility for project resettlement activities for the approved Resettlement Action Plan. The Project Management Office is responsible for the leadership, organization, and coordination for Resettlement Action Plan implementation, internal monitoring and supervision. Chengde Heating Group is responsible for the implementation of the Resettlement Action Plan.

H Grievance Redress

In the process of the preparation and implementation of resettlement action plan, public participation is encouraged. Hence, huge controversy may not appear. But the whole process will have some unforeseen problems occur. In order to effectively solve the problem, ensure the implementation of the project construction and resettlement, the project has established transparent and effective channels for complaints and appeals. In the preparation and implementation of resettlement action plan, an appeal mechanism is established to provide the affected person. The sequential steps are as follows.

Step1. If resettlement are dissatisfied with the resettlement measures, they can focus to the Chengde city heating energy saving renovation project office made verbal or written complaint; if it is an oral complaint, will have to be determined by the project office to handle and a written record. The project office should be resolved within 2 weeks.

Step2. If resettlement to the decision stage 1 is still not satisfied, can be in receipt of the decision on the leading group of energy-saving projects in Chengde city heating to appeal; project leading group shall make a decision within 2 weeks.

Step3. If they are still unsatisfied with the decision in Step 2, they should appeal to the administrative authorities, such as Jiangsu Provincial Land Administration Bureau, with jurisdiction for arbitration according to the PRC Administrative Procedure Law.

Step4. If they are still unsatisfied with the arbitration decision, they should prosecute to civil court according to the PRC Law on Civil Procedures.

The affected persons should appeal on any aspect of resettlement activity. The affected persons have been informed the above appeal steps through public meetings and other forms, so that they will be conscious of their legal right of appealing. While the use of the media for publicity, and the parties face resettlement advice and recommendations compiled into messages, by resettlement agencies treatment.

The resettlement agency will organize all the comments and suggestions on resettlement action into information brief, and send it to relevant resettlement agencies for review and action. All the agencies will accept grievance and complain of affected persons at free of charge. The resettlement of complaint calls to Table 7-1.

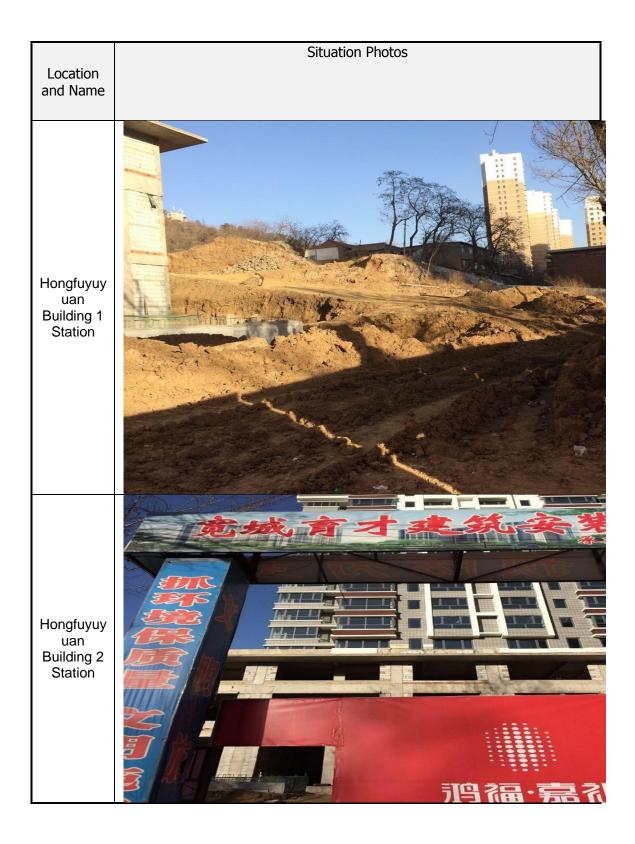
| Table 7-1 Chengde central nearing energy-saving projects notifies | | | | |
|-------------------------------------------------------------------|-----------|---------------|---------|--|
| Agency | Contact | Telephone | comment | |
| | person | | | |
| Chengde central heating energy-saving | Mr. Ren | 0314-2157080 | | |
| project office | | | | |
| Chengde central heating leading group of | Mr. Zhang | 0314-2050955 | | |
| energy-saving projects | | | | |
| Hebei Clean Energy Project Management | Mr. Wu | 0311-66651598 | | |
| Office | | | | |
| | | | | |

Table 7-1 Chengde central heating energy-saving projects hotlines

| | Situation Photos |
|------------------------------------------|------------------|
| Location and Name | |
| Sainalanw an Building 2 Station | |
| Jinniushan zhuang Station | |

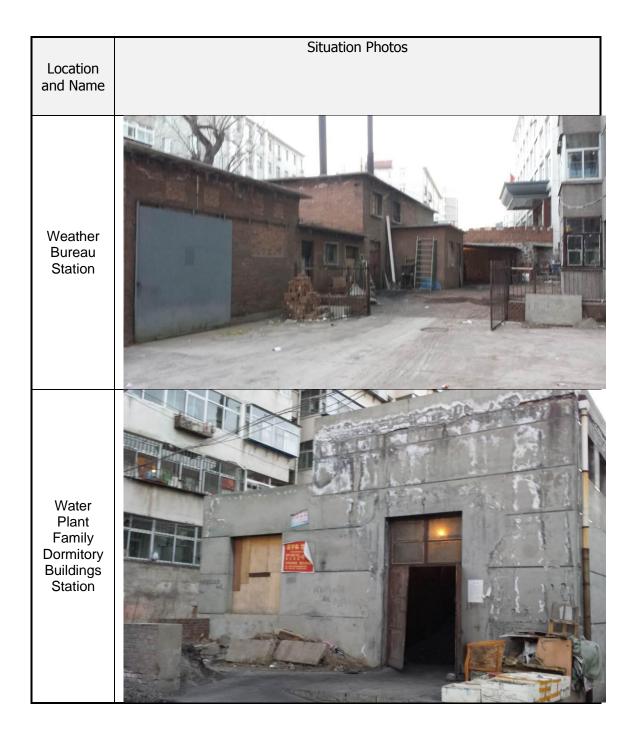
Annex 4: Part of the proposed new station construction point of conventional heat exchangers status map

| Location and Name | Situation Photos |
|-----------------------|------------------|
| Toudongg oujiayuan | <image/> |
| Toudongg oujiayuan | |







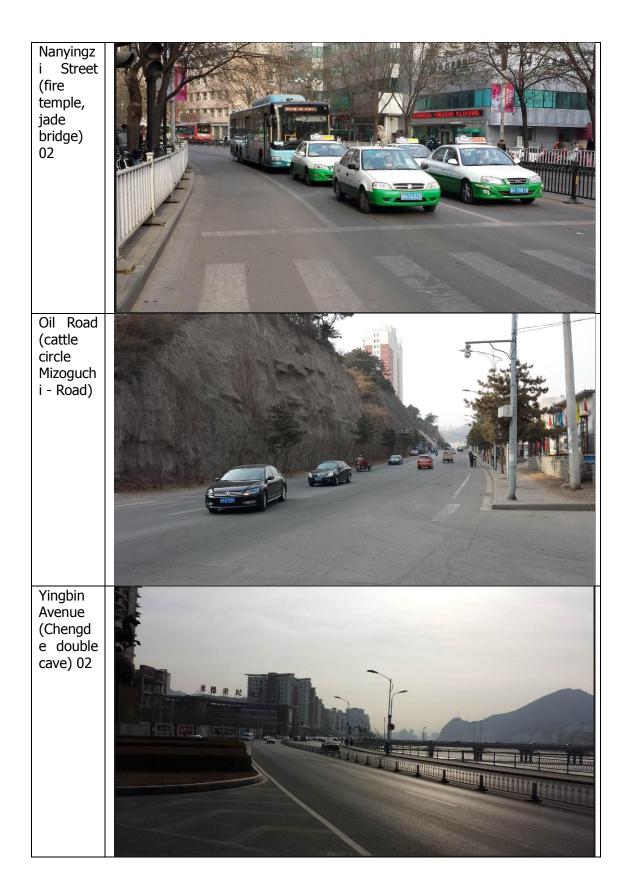


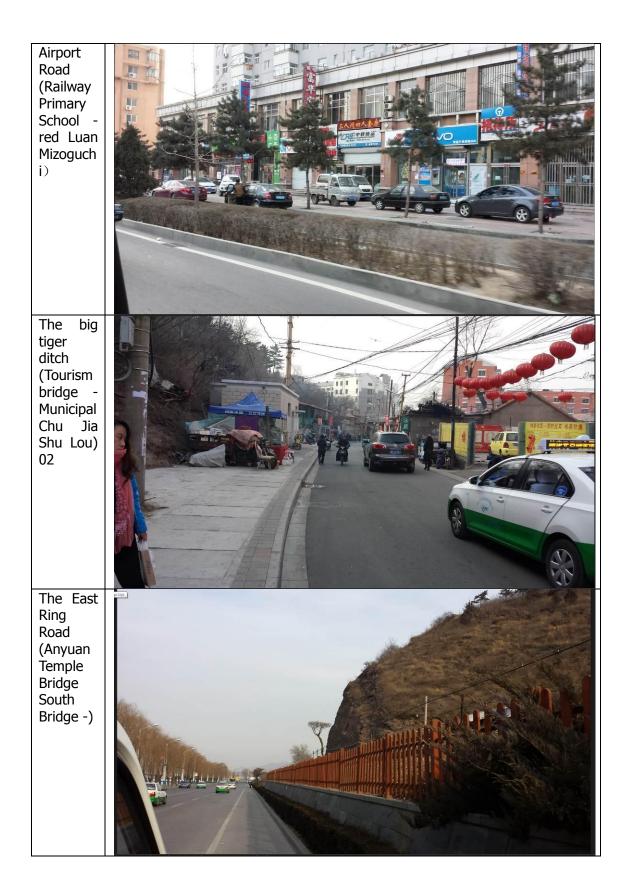
| | of road conditions |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| Name of | Photos |
| Road | |
| Under the real road (car company - Spring Valley) | |
| (Chen Jia tou Dao Gou tunnel - Mizoguch i) + cave ditch Lu (Chen Mizoguch i - Cui Bridge Road (water) + tou Dao Gou Mizoguch i - the channel tunnel) | |

Annex 5: Part of the pipe network transformation affected by the current situation of road conditions



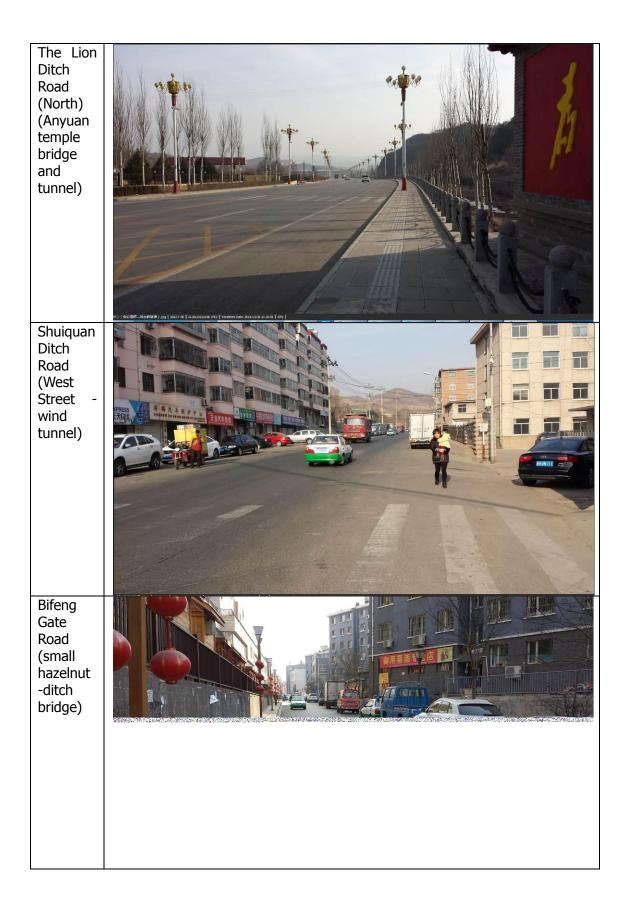


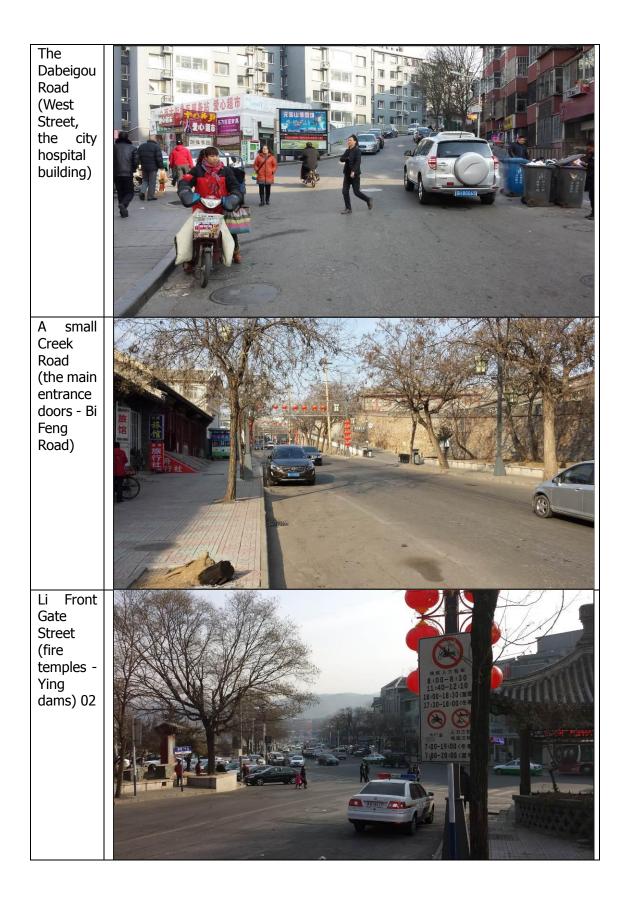


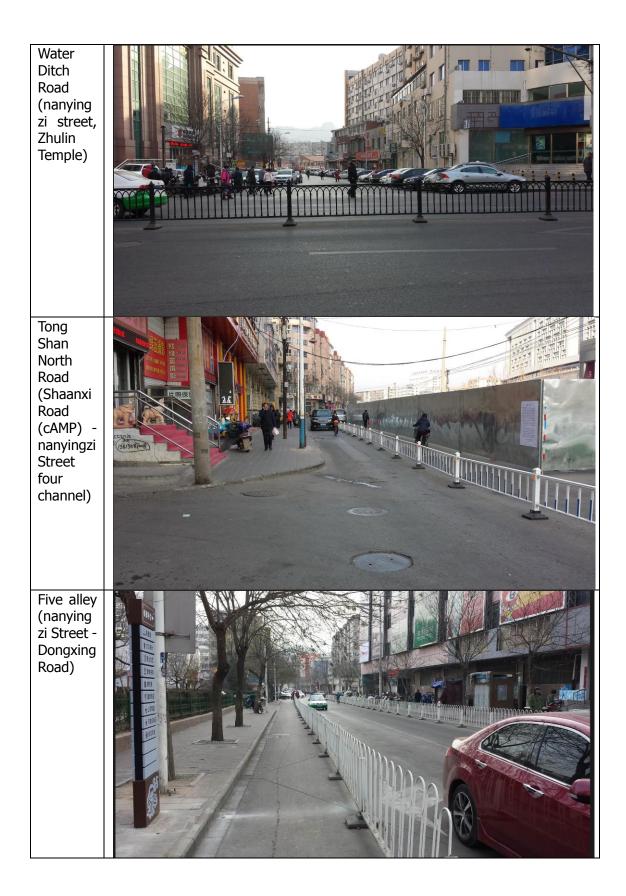


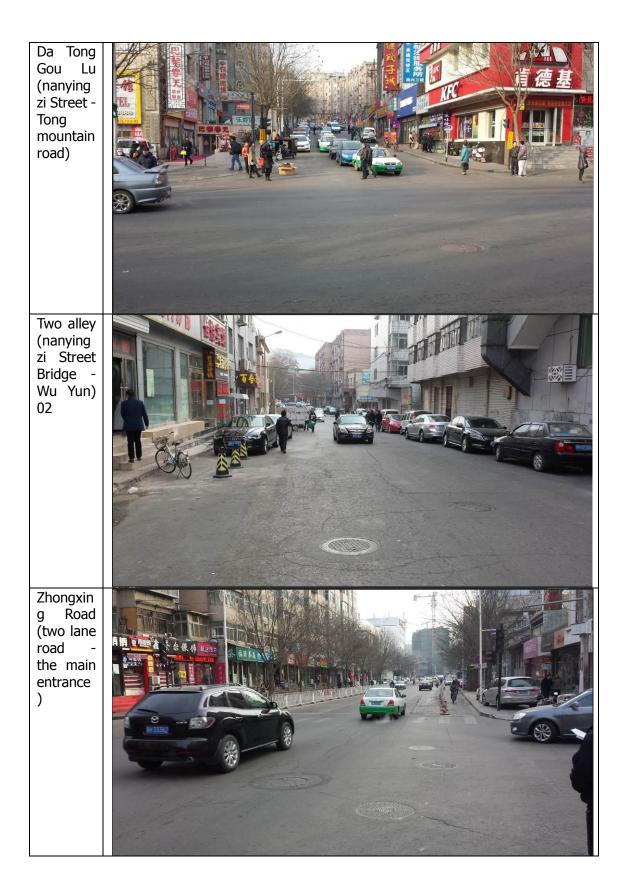


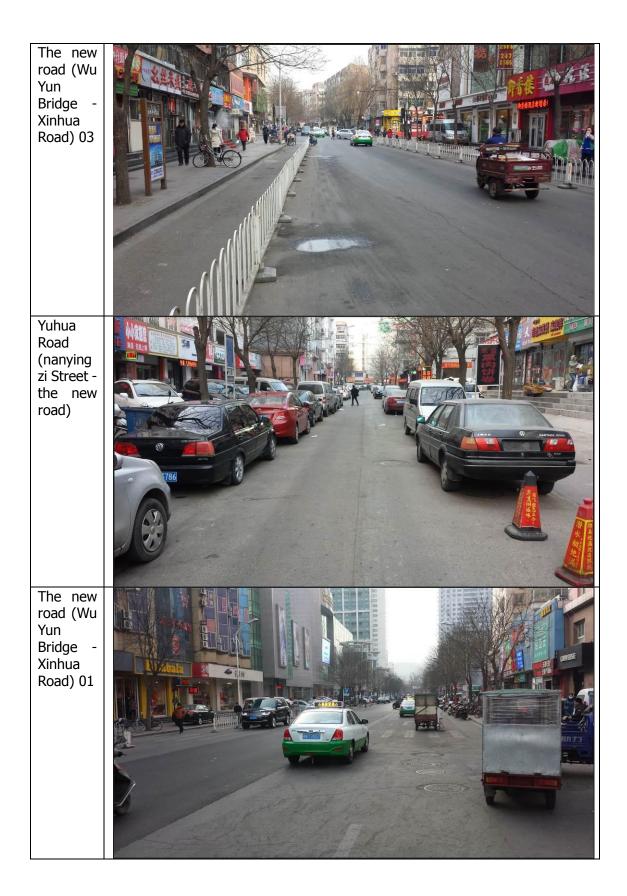
| WuLie Road (Ying dams - Chengde bridge) | <image/> |
|------------------------------------------------------------------|----------|
| Hills Road (Ying dams - the Lion Ditch Bridge) | |
| On two River Road (temple, two River Road) | |















Annex 6: Free use of district heating set aside land for the new station's heat proof material

|--|

2 甲方采暖系统与乙方供暖系统对接并网前,须提交室内采暖 系统验收申请用热系统自验报告单入网建筑项目监理公司出具的 用热系统水压试验报告单及相关部门依据《建筑节能工程施工质量 验收规范(GB50411-2007)出具的入网建筑物符合国家三步节能 标准的验收报告单

3供热调试前1个月,提交房产测绘中心出具的并加盖甲方公 章的《商品房新建房屋面积计(预)算成果书及《份层(幢)房屋面 积表最终版,同时提交电子版

第五条 建筑节能和供热计量

1 根据国家节能减排和供热计量相关政策要求,甲方入网建筑物的设计 施工,必须符合《建筑节能工程施工质量验收规范》 (GB50411-2007),入网建筑物房间内的热指标应符合国家三步 节能标准

2 甲方入网建筑物采暖系统的设计 施工,必须符合承市建技 [2004]20 号 承市建技[2006]12 号文件及《承德热力集团有限责任 公司入网技术要求 (2012 版)等规范要求

3 甲方供热系统设计须经乙方进行技术审核,并出具《入网工程 图纸审核报告》甲方管道井尺寸 热表安装位置 热计量配套设施等应 严格按照规定进行设计 安装 施工对不符合技术规范要求的,甲方 须按乙方提出的整改意见予以更正,并按更正方案施工。

4 甲方采暖系统安装使用的热计量装置 温控阀 远程通讯 锁闭 阀 除污器等装置,是供热运行管理过程中专业性很强的重要器具, 须购进乙方指定的品牌产品,以确保长期良好的售后服务及统一 规范管理 甲方须在供热入网合同签订的同时,签订热计量装置及 远传通讯系统购销合同,并于乙方进场开展供热入网施工前向乙 方提交购销合同

5 乙方有权对甲方所属的供热用热设施进行供热调控,对供热 管道锁闭阀 热计量表 调节阀拥有管理权 乙方有权向甲方提出更新 改造 校验方案,若甲方无正当理由拒绝实施方案,乙方有权停止 供热

第六条 供热入网施工

1 甲方负责入网建筑室内段的采暖系统及将管网安装至热力 入口井室内,并承担全部费用

2 甲方负责供热管网施工的路由破除路面 障碍物清除等协调工作

3 甲方应于 2013 年 7 月 30 日前为乙方提供室外供热管网的施 工条件

4 甲方负责标识出楼内采暖系统出户供热管路供回水走向,并确保准确无误

5 乙方负责入网建筑外热力入口井室土建工艺安装施工及井 室以外二次管网换热站一次管网等供热设施的施工。

6 乙方供热入网施工周期为当年4月1日至10月30日,最短 绝对工期不少于三个月如因相关管理部门审批或甲方协调不畅等 原因导致工期延误,乙方将依次顺延施工工期和供热调试时间因 甲方原因造成需10月30日之后继续施工的,在乙方同意的前提 下,甲方须另行向乙方支付由于冬季施工抢工增加的相关费用(双 方另行签订施工协议),但冬季施工最晚不超过12月15日

7 在敷设管网过程中如需开山 凿岩等特殊施工由甲方负责出资解决开山 凿岩等相关问题

第七条 换热站建设及技术要求

1 根据甲方入网建筑规模 用热需求及乙方供热入网技术规范 要求,甲方负责为乙方建设换热站用房一座,建筑面积约 84m², 乙方按建筑面积 1000 元 / m³与甲方结算,换热站产权归乙方所有 换热站用地归甲方所有,由乙方长期无偿使用

2 甲方应按《承德热力集团有限责任公司入网技术要求 (2012 版)及国家有关规范标准进行换热站用房土建设计施工,换热站 设计施工图须经乙方审核后方可施工,房心回填必须满足乙方供 热设备运行技术要求 竣工后,由甲方提供换热站建设全套图纸及 建管部门出具的验收报告,并开具发票 经乙方验收合格后,由乙 方向甲方支付换热站用房建设费用

3 甲方按《承德热力集团有限责任公司入网技术要救(2012版) 保证乙方换热站正常运行所需的水 电排水防盗门窗照明用电线路 等配套设施齐全,道路畅通,并于 2013 年 7 月 30 日前土建工程 全面竣工,站内工艺安装进场前具备如下条件:

(1)甲方将自来水接至换热站墙内 0.5米处的指定位置,负责向自来水公司报装 立户,并协助乙方办理过户手续;甲方将污水管道接至换热站墙内 0.5米处与城市污水主管网接通,并能正常使用,所发生的费用由甲方承担

(2)甲方在高压配电室内提供给乙方换热站供电用独立高压 开关柜,并在高压开关柜下口引出高压电缆,接入换热站内专用 变压器高压端(用电类别为非普工业10kv),所发生的费用由甲方 承担

(3)甲方在办理用电手续的同时,协助乙方办理换热站送电 手续

4 甲方在该换热站用房设计 建设过程中必须对供热生产运行 中可能产生的噪音 震动等扰民问题给予充分考虑,进行技术处理, 最大程度的消除震动 降低噪音传播,并委托环保部门出据噪音检 测报告 今后由于换热站产生噪音震动 遮光等问题引起上访 投诉要 求赔偿等事件或法律纠纷,甲方承担全部责任

第八条 供热并网调试

1乙方于甲方入网建筑用热当年的11月15日前进行供热调试

2 甲方应于供热调试前1个月,向乙方提出书面调试申请,并 与乙方签订《供用热协议书,提交监理单位出具的建筑采暖系统水 压实验报告,向乙方移交管道井全套门锁钥匙及锁闭阀开启工具、 锁帽。

3 乙方根据《承德热力集团有限责任公司入网技术要求 (2012 年版)及图纸审核报告等规范要求,对甲方入网建筑采暖系统及 甲方负责建设的供热设施等并网调试条件进行核查,如有不符合 条件的甲方须进行完善整改,合格后方可并网调试

4 并网调试前,甲方需对所属入网建筑产权内采暖系统按国家 有关规范进行冲洗,且须经乙方核查进行合格确认甲方也可出资 委托乙方专业冲洗,需另行签订协议

5 对已售出的房屋,甲方在交钥匙前须组织热用户与供热公司

签订《供用热合同并办理供热相关手续如房屋售出未履行上述手续 或房屋未售出,其取暖费由甲方承担

6 为确保供用热设施长期安全稳定运行以及用户室内供热温 度达标,甲方所入网建筑首年并网供热面积必须达到100%

第九条 违约责任

1 因甲方设计变更,或提供图纸 面积测绘 热力入口供回水管道 方向等技术资料有误,给乙方造成损失的,由甲方承担

2 如甲方延期支付入网工程建设费,乙方将按延期支付金额,每日加收万分之五的滞纳金,并将工期顺延或延期向甲方供热

3 乙方应确保在双方约定的工期内完成供热入网工程,并按时 进行供热调试如因乙方责任不能按时供热,给甲方造成损失的, 由乙方承担责任

4 如因甲方提供进场施工时间延误,或因相关职能部门(单位) 审批拖延路径协调不畅等致使工期延误,乙方不承担由此造成的 任何责任

5 换热站土建工程涉及乙方供热系统总体安排,如甲方未按期 完成换热站建设,未按时将电源自来水污水接入站内,由甲方承 担由此造成的一切损失,并承担乙方因使用临时电源而增加的电 费支出

6 如因甲方入网建筑未达到国家节能标准 采暖系统设计安装 存在缺陷,或施工质量等原因,造成供热温室不达标的,由甲方 负责整改,并由甲方按不达标期间乙方所减收取暖费的三倍承担 损失

7 如因甲方未将锁闭阀开启工具全部移交给乙方,或使开启工 具外流,给乙方造成损失的,由甲方承担

8 甲乙双方须严格按本合同约定履行各项条款,如有违约由违约方承担全部违约责任

第十条 争议解决及合同变更

1 甲方应在合同约定的时间 地点 数量 范围内用热;如有违反, 乙方有权终止供热 若甲方扩建新增用热负荷,须另行向乙方申请 办理供热入网手续

