



# Concept Environmental and Social Review Summary

## Concept Stage

### **(ESRS Concept Stage)**

Date Prepared/Updated: 11/08/2022 | Report No: ESRSC03055



**BASIC INFORMATION**

**A. Basic Project Data**

Country	Region	Project ID	Parent Project ID (if any)
Eastern and Southern Africa	EASTERN AND SOUTHERN AFRICA	P180098	
Project Name	Public Procurement Umbrella M&E Framework & Capacity Development		
Practice Area (Lead)	Financing Instrument	Estimated Appraisal Date	Estimated Board Date
Governance	Investment Project Financing		11/21/2022
Borrower(s)	Implementing Agency(ies)		
Procurement Policy and Advisory Division (PPAD), Public Procurement Regulatory Authority (PPRA), Eswatini Public Procurement Regulatory Agency (ESPPRA), Procurement Policy Unit (PPU)	Southern African Development Community		

Public Disclosure

**Proposed Development Objective**

Develop and improve public procurement monitoring & evaluation capacity in Botswana, Eswatini, Lesotho and Namibia to inform evidence-based policy and strategy development.

**Financing (in USD Million)**

**Amount**

<b>Total Project Cost</b>	<b>0.38</b>
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**B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?**

No

**C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]**



The project will support a regional approach to public procurement monitoring & evaluation (M&E) in Botswana, Eswatini, Lesotho, and Namibia based on an umbrella M&E framework developed on the basis of general and country-specific M&E indicators to measure procurement policy objectives, performance, and compliance. It will also focus on building M&E capacity of the public procurement regulatory authorities and procuring entities in the 4 countries.

**D. Environmental and Social Overview**

D.1. Detailed project location(s) and salient physical characteristics relevant to the E&S assessment [geographic, environmental, social]

The grant will support the development of an Monitoring and Evaluation (M&E) framework (Type 2 TA) in Botswana, Eswatini, Lesotho and Namibia. The M&E framework will play an important role in monitoring progress towards enhancing good governance, transparency, and accountability in the four countries and ultimately contributing to better development outcomes. The development of the Monitoring and Evaluation framework is not foreseen to have any environmental and social impacts and more likely to potentially lead to strengthening of procurement processes to benefit the environment (green sustainable procurement) and social aspects. The grant will further support development and improving of the public procurement M&E capabilities (Type 3 TA) of key stakeholders in the four countries to enhance their effectiveness in monitoring country programs and inform strategic public procurement planning while also supporting the strengthening of institutional capacity for evidence-based policy making. The grant will only finance Type 2 and Type 3 technical assistance with limited to no downstream adverse environmental and social impacts.

D. 2. Borrower’s Institutional Capacity

The implementing agency will be the Southern African Development Community (SADC), who will be responsible for the development of the Public Procurement umbrellas M&E framework based on international expertise and experiences and subsequent customization for each of the four country contexts. SADC will further be responsible for coordinating regional training for the Public Procurement Regulatory Authorities in the four countries as well as training for relevant stakeholders. SADC has prior experience of implementing Bank funded projects under the Groundwater management in SADC member states project (P175355) and (P127086). The environmental and social aspects of both projects rated as satisfactory. The responsibilities of overseeing the environmental and social aspects under this TA will be assigned to a designated person within SADC.

Public Disclosure

**II. SCREENING OF POTENTIAL ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS**

**A. Environmental and Social Risk Classification (ESRC)**

Low

**Environmental Risk Rating**

Low

The environmental risk is considered low. The grant will support the development of a procurement monitoring and evaluation framework and therefore will have no physical footprint. The grant will further support capacity building to strengthen public procurement processes, management of resources effectively and to reinforce fair and reliable delivery of public services while enhancing evidence-based policy making. The development of the procurement monitoring and evaluation framework is likely to enhance environmental considerations by measuring aspects such as green sustainable procurement to address environmental impacts (ESS1) associated with procurement and considering aspects of resource efficiency and pollution prevention (ESS3). General occupational health and safety



and working conditions relevant to the project will be apply as set out in the Environmental and Social Commitments Plan.

**Social Risk Rating**

Low

The social risk is considered low. The grant will support the development of a procurement monitoring and evaluation framework and therefore will have no physical footprint. The grant will only finance technical assistance activities. Consultant services and outputs under this grant are not expected to have any adverse downstream social risks and impacts. General occupational health and safety and working conditions relevant to the project, as well as labor issues, will be apply as set out in the Environmental and Social Commitments Plan (ESCP). Given that activities will eventually benefit four countries, stakeholder engagement will be important. Key elements of ESS10 will be reflected in the ESCP. Grant activities will support coordination capacity of SADC (implementing entity) for necessary outreach to government stakeholders in four countries. GBV/SEA/SH risks are deemed low, mostly due to small scale of the project activities and the fact that it will not finance any works.

**B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered**

**B.1. General Assessment**

**ESS1 Assessment and Management of Environmental and Social Risks and Impacts**

**Overview of the relevance of the Standard for the Project:**

The activities supported under the grant are expected to have minimal or negligible risk to and impact on the environment and social. The activities supported under the grant will not have any physical footprint. Risk related to labor and working conditions and occupational health and safety (ESS2) for all project workers will be mitigated through measures included in the Environmental and Social Commitment Plan (ESCP).

**Areas where “Use of Borrower Framework” is being considered:**

The use of borrower framework is not being considered for this project.

**ESS10 Stakeholder Engagement and Information Disclosure**

The objective of the stakeholder engagement is to incorporate views from all stakeholders through meaningful consultations and feedback, improve the environmental and social sustainability of the project, enhance its acceptance, and make a significant contribution to successful project design and implementation. The main stakeholders for this grant include SADC itself, as well as relevant agencies responsible for procurement aspects from four countries. Stakeholders may also include local governments at the respective localities and civil society organizations (CSOs). Methods of outreach to main stakeholders and key requirements of ESS10 will be reflected in the ESCP and there will be no stand-alone SEP. ESCP provisions will be proportionate to low E&S risks given the nature of TA activities.

**B.2. Specific Risks and Impacts**

**A brief description of the potential environmental and social risks and impacts relevant to the Project.**

**ESS2 Labor and Working Conditions**

Public Disclosure



The standard is considered to be relevant as the activities are anticipated to be executed by direct workers (civil servants) and contracted workers (consultancy firms). All requirements of ESS2 will apply to the contracted (consultant) workers. Project implementation responsibilities will be assigned to existing SADC employees who will remain under SADC employment and will not be legally transferred to the project. These employees will remain subject to the terms and conditions of their existing employment agreements with SADC, and therefore the application of ESS2 is limited to the child and forced labor and occupational health and safety requirements. The World Bank standard procurement and contracting for the consultancy firm which reflects ESS2 requirements will be utilized for procuring consultants. Key aspects of Labor Management including occupational health and safety will be included in the ESCP.

### **ESS3 Resource Efficiency and Pollution Prevention and Management**

The standard is not considered relevant. The preparation of the procurement monitoring and evaluation framework is likely to enhance environmental considerations by measuring aspects such as green sustainable procurement which will support aspects of ensuring resource efficiency and pollution prevention (ESS3).

### **ESS4 Community Health and Safety**

This standard is not considered relevant as the grant will not support any physical activities which may have an impact on community health and safety.

### **ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement**

This standard is not considered relevant as the grant will not support any physical activities which may require land acquisition, restriction on land use and involuntary resettlement

### **ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources**

This standard is not considered relevant as the grant will not support any physical activities which may have an impact on biodiversity and sustainable management of living natural resources.

### **ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities**

This standard is not considered relevant as the grant will not support any physical activities which may have an impact on any Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities.

### **ESS8 Cultural Heritage**

This standard is not considered relevant as the grant will not support any physical activities which may have an impact on cultural heritage.

### **ESS9 Financial Intermediaries**



This standard is not considered relevant as the activities supported under the grant will not involve any financial intermediaries.

**C. Legal Operational Policies that Apply**

**OP 7.50 Projects on International Waterways** No

There are no activities that will have impact on International Waterways.

**OP 7.60 Projects in Disputed Areas** No

There are no activities that will involve Disputed Areas as defined under OP 7.60.

**III. WORLD BANK ENVIRONMENTAL AND SOCIAL DUE DILIGENCE**

**A. Is a common approach being considered?** No

**Financing Partners**

No financial partners are considered.

**B. Proposed Measures, Actions and Timing (Borrower’s commitments)**

**Actions to be completed prior to Bank Board Approval:**

Disclose Environmental and Social Commitment Plan

**Possible issues to be addressed in the Borrower Environmental and Social Commitment Plan (ESCP):**

The implementing agency will require to designate a resources to ensure environmental and social standards are adhered to

Aspects of labor management including occupational health and safety will be addressed in the ESCP

**IV. CONTACT POINTS**

**World Bank**

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**Borrower/Client/Recipient**

Borrower: Procurement Policy and Advisory Division (PPAD)

Borrower: Public Procurement Regulatory Authority (PPRA)

Public Disclosure



Borrower: Eswatini Public Procurement Regulatory Agency (ESPPRA)

Borrower: Procurement Policy Unit (PPU)

**Implementing Agency(ies)**

Implementing Agency: Southern African Development Community

**V. FOR MORE INFORMATION CONTACT**

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**VI. APPROVAL**

Task Team Leader(s): Knut J. Leipold, George Daniel

Practice Manager (ENR/Social) Africa Eshogba Olojoba Recommended on 27-Oct-2022 at 20:51:8 GMT-04:00