

**Yayasan Transparasi Sumber Daya Ekstraktif -  
Publish What You Pay Indonesia**

**Citizen Monitoring and Engagement for  
Transparency and Accountability of Licensing  
and Revenue Management in Mining Sector**

**DRAFT**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**August 2020**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. *Yayasan Transparasi Sumber Daya Ekstraktif/YTSDE-Publish What You Pay (PWYP) Indonesia* will implement the Citizen Monitoring and Engagement for Transparency and Accountability of Licensing and Revenue Management in Mining Sector Project (the **Project**). The *International Bank for Reconstruction and Development/International Development Association* (hereinafter the *Bank*) has agreed to provide financing for the Project.
2. *YTSDE-PWYP Indonesia* will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. *YTSDE-PWYP Indonesia* will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as the TORs for Environmental and Social Focal Point, Labor Management Procedure (LMP) and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. *YTSDE-PWYP Indonesia* is responsible for compliance with all requirements of the ESCP.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the *Bank* by *YTSDE-PWYP Indonesia* as required by the ESCP and the conditions of the legal agreement, and the *Bank* will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the *Bank* and *YTSDE-PWYP Indonesia*, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, *YTSDE-PWYP Indonesia* or *delegate(s)* will agree to the changes with the *Bank* and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the *Bank* and the *YTSDE-PWYP Indonesia*. The *YTSDE-PWYP Indonesia* will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the *YTSDE-PWYP Indonesia* shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include *labor, health, safety, and gender-based violence impacts*.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, stakeholder engagement activities, functioning of the feedback mechanism(s).</p>	<p><i>Six-monthly reporting</i></p>	<p><i>YTSDE-PWYP Indonesia</i></p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including serious-lost time injuries and/or fatalities.</p> <p>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Notify and provide written report to the Bank within 48 hours after learning of the incident or accident.</i></p>	<p><i>YTSDE-PWYP Indonesia</i></p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain an organizational structure with qualified staff and resources to support management of E&amp;S risks. Appoint Focal Points for managing E&amp;S risks of the project.</p>	<p><i>An organizational structure indicating the E&amp;S Focal Points to be established 30 days following grant agreement</i></p> <p><i>The organizational structure, including the focal point, should be maintained throughout Project implementation.</i></p>	<p><i>YTSDE-PWYP Indonesia</i></p>
1.2	<p><b>TOR FOR ENVIRONMENTAL AND SOCIAL FOCAL POINTS</b></p> <p>TORs for E&amp;S Focal Point to be prepared and reviewed by the Bank to provide no objection.</p>	<p><i>TORs for E&amp;S Focal Points to be prepared prior to appraisal</i></p>	<p><i>YTSDE-PWYP Indonesia</i></p>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Develop and implement the Labor Management Procedures (LMP) that have been developed for the Project.</p>	<p><i>Prior to project appraisal and maintained throughout Project implementation.</i></p>	<p><i>YTSDE-PWYP Indonesia</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish, maintain, and operate a grievance mechanism for Project workers in the LMP and consistent with ESS2.</p>	<i>Prior to project appraisal and maintained throughout Project implementation.</i>	<i>YTSDE-PWYP Indonesia</i>
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>Prepare, adopt, and implement occupational, health and safety (OHS) measures for project workers in the LMP.</p>	<i>Prior to project appraisal and maintained throughout Project implementation.</i>	<i>YTSDE-PWYP Indonesia</i>
<b>ESS 7: INDIGENOUS PEOPLES</b>			
7.1	<p><b>INCLUSIVE AND MEANINGFUL CONSULTATIONS</b></p> <p>The Stakeholder Engagement Plan (SEP) includes procedures for inclusive and meaningful community consultations in locations where there are presence of Indigenous Peoples and/or Masyarakat Adat.</p>	<i>Prior to project appraisal and maintained throughout Project implementation.</i>	<i>YTSDE-PWYP Indonesia</i>
7.2	<p><b>GRIEVANCE MECHANISM:</b></p> <p>Feedback and grievance mechanism for Indigenous Peoples to be included in the SEP.</p>	<i>Prior to project appraisal and maintained throughout Project implementation.</i>	<i>YTSDE-PWYP Indonesia</i>
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Develop and implement a Stakeholder Engagement Plan (SEP). The project will facilitate Multi-Stakeholder Forum (MSF) meetings in the targeted provinces at least two times annually to discuss and report on project implementation with the partners. The publication for the project will be published through various media both at national and local levels.</p>	<i>Prior to project appraisal and maintained throughout Project implementation.</i>	<i>YTSDE-PWYP Indonesia</i>
10.2	<p><b>PROJECT FEEDBACK GRIEVANCE MECHANISM:</b></p> <p>SEP to include clear and accessible procedures for a feedback grievance mechanism.</p>	<i>Prior to project appraisal and maintained throughout Project implementation.</i>	<i>YTSDE-PWYP Indonesia</i>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>CAPACITY SUPPORT (TRAINING)</b>		
<p>CS1 <b>Capacity building for E&amp;S focal points, PWYP staff, partner implementing CSOs and MSF stakeholders on:</b></p> <ul style="list-style-type: none"> <li>• ESF Introduction and ESS requirements. This includes capacity building on various relevant aspects under the World Bank’s Environmental and Social Framework, including, meaningful stakeholder and community engagement and consultations, including culturally-appropriate consultations with Indigenous Peoples and vulnerable groups, management of grievances, prevention of Sexual, Exploitation and Abuse/Sexual Harassment (SEA/SH) and Violence Against Children (VAC) and Code of Conduct for project’s workers and the basics of Occupational Health and Safety (OHS).</li> <li>• Implementation of the project’s Labor Management Plan (LMP)</li> <li>• Implementation of the project’s Stakeholder Engagement Plan (SEP).</li> </ul>	<p><i>Six-monthly</i></p>	<p><i>YTSDE-PWYP Indonesia and World Bank</i></p>