



RESULTS-BASED NATIONAL URBAN DEVELOPMENT PROGRAM - NORTHERN MOUNTAINS (P143596)

EAST ASIA AND PACIFIC | Vietnam | Urban Development Global Practice |
IBRD/IDA | Program-for-Results | FY 2014 | Seq No: 3 | ARCHIVED on 27-Jun-2015 | ISR19972 |

Implementing Agencies: Ministry of Construction

Program Development Objectives

Program Development Objective (from Program-for-Results Appraisal Document)

The proposed program development objective is to strengthen the capacity of participating Northern Mountains cities to plan, implement and sustain urban infrastructure.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Moderately Satisfactory
Overall Implementation Progress (IP)	● Satisfactory	● Moderately Satisfactory
Overall Risk Rating	● Substantial	● Substantial

Implementation Status and Key Decisions

The Program is in the early stage of implementation, with the official start date as January 1, 2015. Six months into Program implementation, among the seven participating cities, four are advancing relatively well with good progress across most results areas thanks to advance preparation activities. However, the other three are trailing behind mostly due to weak coordination between different stakeholders, low capacity in procurement and contract management, and lack of counterpart funds for land acquisition and compensation. The technical assistance from MOC, which is key to jumpstarting the Program, has also been slow and has not been able to provide the cities with crucial support. For the second group of cities, the risk of not achieving some DLIs for 2015 is augmented if corrective actions are not immediately taken.



Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P143596	IDA-54760	Effective	XDR	161.80	161.80	0.00	5.79	156.01	4%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P143596	IDA-54760	Effective	05-Jun-2014	17-Jul-2014	30-Oct-2014	30-Jun-2021	--

Disbursement Linked Indicators (DLI)

► Enhanced Annual City Plans approved and disclosed to the public (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	0 Plan approved and disclosed	0 Plan approved and disclosed	7 Plans approved and disclosed
Date	01-May-2014	--	01-Jun-2015

Comments

All 7 participating cities have approved and disclosed their Enhanced Annual City Plans. Actual achievement will be verified by June 2016 for first year results.

► Professionally-staffed management units in place within each Participating City People's Committee (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	0 PMU in place	5 PMUs in place	7 PMUs in place, but only 2/7 fully staffed as per POM
Date	01-May-2014	--	01-Jun-2015

Comments

Only 2 out of 7 cities have confirmed the list of staff. Hoa Binh still needs to submit the list of staff for verification. Given the unavailability of the market in the low capacity provinces, it was agreed that proposed staffing for the remaining cities will be reexamined and exception may be granted on a case by case basis. Actual achievements will be verified by June 2016 for first year results.

► Local urban infrastructure investments delivered as per each Participating City's approved Enhanced Annual City Plan (Text)

	Baseline	Actual (Previous)	Actual (Current)
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Value	0 sub project completed	0 sub project completed	0 sub project completed
Date	01-May-2014	--	01-Jun-2015

Comments

Actual achievement will be verified by June 2016 for first year results.

► Asset management plan adopted and local urban infrastructure sub-projects in full service after completion (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	0 Asset Management Plan adopted/0 sub-project completed	0 Asset Management Plan adopted/0 sub-project completed	0 Asset Management Plan adopted/0 sub-project completed
Date	01-May-2014	--	01-Jun-2015

Comments

The cities will be supported by MOC through the Capacity Building activities to carry out their Asset Condition Assessments and Asset Management Plans for DLI 3.1. Actual achievement will be verified by June 2016 for first year results.

► Increased annual own-sources revenue in Participating Cities (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	5 cities with increase annual own-sources revenue of at least 12%	No annual revenue measure taken	No annual revenue measure taken
Date	01-May-2014	--	01-Jun-2015

Comments

The submitted budget for DLI 1.1 had reflected the commitment to increase owned source revenue by 12% to achieve DLI 3.2. Actual achievement will be verified by June 2016 for first year results.

► Implementation Strategy for National Urban Development Program adopted with annual milestones (Text)

	Baseline	Actual (Previous)	Actual (Current)
Value	No annual milestone applied	No annual milestone applied	No annual milestone achieved
Date	01-May-2014	--	01-Jun-2015

Comments

Work is ongoing. Actual achievement will be verified by June 2016 for first year results.



► Professionally-staffed unit in place in MOC, preparation of Annual Capacity Development Plans, and capacity building support provided to cities in accordance with such plans (Yes/No)

	Baseline	Actual (Previous)	Actual (Current)
Value	N	N	N
Date	01-May-2014	--	01-Jun-2015

Comments

MOC has yet to recruit the Environment Specialist position. The Annual Capacity Development Plan has been approved. Actual achievement will be verified by June 2016 for first year results.

► Completed Program Report (Yes/No)

	Baseline	Actual (Previous)	Actual (Current)
Value	N	N	N
Date	01-May-2014	--	01-Jun-2015

Comments

Actual achievement will be verified by June 2016 for first year results.

Results

Results Area

Intermediate Results Area

Institutional Strengthening at the City Level

Infrastructure Delivered

Sustainability of Infrastructure

National Policy, Support and Oversight

Project Development Objective Indicators

► Enhanced Annual City Plans approved and disclosed to the public (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	7.00	7.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021



Comments

All seven cities had approved and disclosed their respective 2015 Enhanced Annual City Plans prior to December 31, 2014, meeting the annual target for this indicator.

▶ Asset Management Plan adopted and local urban infrastructure sub-projects in full service after completion (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is underway. Annual target is to have an asset condition assessment completed for each participating city by December 31, 2015.

▶ Implementation Strategy for National Urban Development Program adopted with annual milestones (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is underway. Annual target is to have a Policy Note on urban infrastructure investment needs approved by December 31, 2015.

▶ Total number of people benefiting from Program (disaggregated by gender) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	759300.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is at varying stages depending on the city. Annual target for 2015 is zero.



▲ Number of male beneficiaries from the Program (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

▲ Number of female beneficiaries from the Program (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Dec-2014	01-Jun-2015	--

Overall Comments

Intermediate Results Indicators

▶ Professionally-staffed management units in place within each Participating City People's Committee (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	5.00	7.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Professionally-staffed management units are in place within 2/7 participating CPC. Remaining five PMUs need to submit the list of staff and get them vested by June 30, 2015 to meet the annual target for 2015.

▶ People benefiting from city primary/secondary infrastructure services (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	651500.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments



Work is at varying stages depending on the city. Target for 2015 is zero.

► People benefiting from improved tertiary/social infrastructure services (disaggregated by gender) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	107800.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is at varying stages depending on the city Target for 2015 is zero.

▲ Number of women benefiting from improved tertiary/social infrastructure services (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Dec-2014	01-Jun-2015	--

▲ Number of men benefiting from improved tertiary/social infrastructure services (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Dec-2014	01-Jun-2015	--

► Ethnic Minority beneficiaries (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	14.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is at varying stages depending on the city. Target for 2015 is zero.



Male ethnic minority beneficiaries (Percentage, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Female ethnic minority beneficiaries (Percentage, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	--
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

New and upgraded roads completed (Kilometers, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	101.90
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is at varying stages depending on the city Annual target for 2015 is 1.5 kilometers.

Resettlement areas completed (Hectare(Ha), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	236.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is at varying stages depending on the city. Annual target for 2015 is 32 hectares.

LIAs upgraded (Hectare(Ha), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
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Value	0.00	0.00	0.00	333.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Work is at varying stages depending on the city. Annual target for 2015 is 23 hectares.

► Increase in own-sources revenue (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	7.00
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Indicator is not measured until the end of the calendar year. Annual target is 7 cities with increase of OSR more than 12%.

► Professionally-staffed unit in place in MOC; and capacity support for cities provided as per Annual Capacity Development Plan (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	PMU not consolidated, No capacity development plan or activity is available.	PMU not yet consolidated, Capacity Development Plan being developed for 2015.	9/10 required staff are in place; Capacity Development Plan is approved and implemented.	100% of staff in place in MOC and capacity support is fully provided as per Annual Capacity Development Plan
Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021

Comments

Indicator will be assessed at the end of the year. Annual target of 2015 is 100% staff in place and capacity support is fully provided as per Annual Capacity Development Plan.

► Completed Program report (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y



Date	01-May-2014	01-Dec-2014	01-Jun-2015	30-Jun-2021
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Comments

Completed Program Report will be provided at the end of the Calendar Year 2015 to meet the annual target.

Overall Comments