

# INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC18148

**Date ISDS Prepared/Updated:** 02-May-2016

**Date ISDS Approved/Disclosed:** 26-May-2016

## I. BASIC INFORMATION

### A. Basic Project Data

<b>Country:</b>	Uganda	<b>Project ID:</b>	P124296
<b>Project Name:</b>	UG - FCPF REDD READINESS (P124296)		
<b>Task Team Leader(s):</b>	Nigel Ross Hughes		
<b>Estimated Board Date:</b>			
<b>Managing Unit:</b>	GEN01		
<b>Sector(s):</b>	Forestry (100%)		
<b>Theme(s):</b>	Climate change (100%)		
<b>Financing (In USD Million)</b>			
Total Project Cost:	4.23	Total Bank Financing:	0.00
Financing Gap:	0.00		
<b>Financing Source</b>			<b>Amount</b>
Borrower			0.43
Readiness Fund of the Forest Carbon Partnership Facility			3.80
Total			4.23
<b>Environmental Category:</b>	B - Partial Assessment		
<b>Is this a Repeater project?</b>	No		

### B. Project Objectives

The Project Development Objective is to design a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level.

### C. Project Description

The grant will provide Additional Funding to the ongoing REDD+ Readiness Preparation Support in an amount of US\$0.25 million from the Strategic Climate Funds (SCF) in support of Uganda's

preparation of its Forest Investment Program (FIP) Investment Plan (IP).

On March 3rd 2015, Uganda submitted an Expression of Interest to participate in the FIP to the FIP Sub-Committee. The FIP Sub-Committee selected Uganda as one of the nine new pilot countries that may benefit from FIP at its Meeting in May 2015. A grant of US\$250,000 was approved for Uganda to prepare its FIP IP, which Uganda intends to submit to the FIP Sub-Committee meeting in November 2016. The Ministry of Water and Environment (MWE) hosts the National Focal Point (NFP) for FIP, anchored in the Policy and Planning Department (NFP) and in the Forestry Sector Support Department (Alternate NFP). The MWE is the Implementing Agency for this grant.

The AF grant will finance a set of activities aimed at preparing a FIP Investment Plan (IP) detailing strategies and actions that help to reduce and avoid deforestation and forest degradation (for example by supporting the sustainable forest management of protected forests, tackling drivers of deforestation and forest degradation and for increasing opportunities and incentives for private sector (and private land owners) investment in forestry management. The FIP IP preparation will be closely coordinated with the ongoing preparation of Uganda's REDD+ Strategy and seek synergies with this process, especially in terms of consultations.

The Investment Plan shall be elaborated through a process led by Uganda's MWE. The MWE will establish a multi-disciplinary FIP Planning Team to lead the design of Uganda's FIP in a participatory and consultative manner. Uganda's FIP will be endorsed/approved by relevant decision making processes and bodies within the Water and Environment Sector and Government of Uganda. Specific activities will include:

- Organization of meetings and workshops with key stakeholders in support of FIP IP preparation.
- Support to the NCCAC and the multi-disciplinary and multi stakeholder FIP Planning Team for effective supervision and technical and policy level leadership during the development of FIP IP
- Support to MWE's Supervisory and coordination role.

#### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

The grant financed activities are limited to analytical studies and consultation processes at the national and sub-national levels and do not include investment activities.

#### **E. Borrowers Institutional Capacity for Safeguard Policies**

Adequate capacity exists for safeguard issues - the project is implemented by an experienced team in the Ministry of Water and Environment that deals with the national environmental issues in their daily work

#### **F. Environmental and Social Safeguards Specialists on the Team**

Brenda Joanne Basalwa (GENDR)  
 Constance Nekessa-Ouma (GSU07)  
 Herbert Oule (GEN01)

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
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Environmental Assessment OP/BP 4.01	Yes	<p>The FIP investment plan will incorporate specific sections on social and environmental safeguards as per the FIP guidelines. Through these, social and environmental impacts will be transparently and inclusively assessed and addressed. The FIP Investment plan will set out how it will catalyze, support and measure and monitor the delivery of, inter alia, the following (as appropriate):</p> <ul style="list-style-type: none"> <li>i. Demonstrable improvement in social and economic well-being of forest dependent communities, including poverty reduction, equitable benefit sharing, and acknowledgement of the rights and role of indigenous peoples and local communities.</li> <li>ii. Protection and enhancement of biodiversity.</li> <li>iii. Strengthened resilience of ecosystems, with associated ecosystem services.</li> <li>iv. Economic viability.</li> </ul> <p>FIP uses safeguard principles and guidelines from the Strategic Climate Fund, FIP Design documents and FIP Investment Criteria and Financing Modalities during the selection process. Proposed country programs must demonstrate clear strategies and a viable roadmap on achieving greenhouse gas mitigation and sustainable development while also implementing safeguards. The future investments and carbon finance transactions will require specific environmental and social assessments.</p>
Natural Habitats OP/BP 4.04	Yes	<p>The application of this policy seeks to ensure that all options proposed in the FIP IP take into account the conservation of biodiversity, as well as the numerous environmental services and products that natural habitats provide to human society. Overall, REDD+ activities, including those proposed under FIP, are expected to have significant positive impacts on natural habitats, as the country puts in place an effective strategy to reduce loss of natural forests.</p>
Forests OP/BP 4.36	Yes	<p>Overall, REDD+ activities, including those proposed under FIP, are expected to have significant positive impacts on forests, in that the main goal of the program is to reduce deforestation and forest degradation, while contributing to the well-being of forest-dependent communities. The FIP IP will reflect the requirements of the Bank's Operational Policy regarding forest management.</p>

		The R-PP and the FIP/IP will be elaborated largely on the basis of existing and recent experience of forest conservation and Sustainable Forest Management. During this preparation phase, ongoing initiatives will be evaluated in order to ensure lessons are incorporated early in the design and key social and environmental risks and potential impacts associated with FIP IP and R-PP are considered in they safeguard sections.
Pest Management OP 4.09	TBD	This policy could be triggered depending on the final scope of the FIP IP. Reforestation activities, for instance, could trigger this policy, depending on the methods employed by concrete activities on the ground to manage pests.
Physical Cultural Resources OP/BP 4.11	TBD	Some of the future project activities may affect physical cultural resources. Further investigation is needed to determine if this policy would be triggered or not.
Indigenous Peoples OP/BP 4.10	TBD	REDD+ activities might trigger the Indigenous People Policy in situations involving the issue of IPs living in protected areas, loss of livelihood pertaining to FIP Investment Plan implementation, access and rights to land, user rights, land tenure to include customary land disputes, carbon rights, benefit sharing.
Involuntary Resettlement OP/ BP 4.12	TBD	REDD+ activities, including those proposed under FIP, might trigger Involuntary Resettlement in situations involving involuntary restrictions of access to resources within legally designated protected areas, land acquisition or forest management / reforestation areas. The FIP IP will avoid involuntary resettlement wherever possible and to the extent feasible. In the event where the FIP IP identifies investments that could conceivably and indirectly lead to involuntary resettlement, the IP will identify measures that would minimize and mitigate potential adverse social and economic impacts in the event that detailed investment planning subsequently takes place.
Safety of Dams OP/BP 4.37	No	The REDD+ activities do not involve the construction of new or use of existing dams.
Projects on International Waterways OP/BP 7.50	No	The REDD+ activities do not involve international waterways
Projects in Disputed Areas OP/ BP 7.60	No	Not triggered

### III. SAFEGUARD PREPARATION PLAN

**A. Tentative target date for preparing the PAD Stage ISDS:** 01-Jun-2017

**B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing<sup>1</sup> should be specified in the PAD-stage ISDS:**

It is envisioned that the safeguards policies mentioned below may be triggered in the REDD+ Readiness process. The Additional Funding grant activities do not trigger any new safeguards policies; all safeguards treatment remains the same as under original financing.

The grant will not finance at this stage any concrete investment activities on the ground and is thus not expected to have any direct environmental and social impact on the ground. However, grant financed activities might have indirect impacts since the findings from analytical work carried out under the grant may influence the design of the national REDD+ Strategy. The final FIP IP will propose a pipeline of investments, each of which will go through necessary Environmental and Social Safeguards due diligence if the Bank (or another development partner) finances them.

Safeguards considerations of the overall REDD+ Readiness as financed by the main FCPF grant continue to be covered as part of the Strategic Environmental and Social Assessment (SESA). The FCPF is using a Strategic Environmental and Social Assessment (SESA) to integrate key environmental and social considerations into REDD+ Readiness by combining analytical and participatory approaches. The SESA ensures (i) integration of social and environmental considerations into the REDD+ Readiness process, in particular into the REDD+ strategy; (ii) stakeholder participation in identifying and prioritizing key issues; (iii) assessment of policy, institutional and capacity gaps to manage these priorities and recommendations; and (iv) disclosure of findings in the REDD Country Progress reports on Readiness preparation.

The SESA preparation is financed with the original grant. The SESA process has been delayed; however, it is expected to commence in May 2016, once the procurement process is completed. The SESA work will run in parallel to preparation of the REDD+ Strategy and is expected to result in a SESA report and an Environmental and Social Management Framework by the end of 2016.

The design and elaboration of the FIP IP will include a wide stakeholder consultation process (including local communities and private actors and taking into account gender considerations) which address the need of the key safeguards triggered, at the level of the investment plan.

### IV. APPROVALS

Task Team Leader(s):	Name: Nigel Ross Hughes	
<b><i>Approved By:</i></b>		
Safeguards Advisor:	Name: Nathalie S. Munzberg (SA)	Date: 21-May-2016
Practice Manager/ Manager:	Name: Magda Lovei (PMGR)	Date: 26-May-2016

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.