

Public Disclosure Authorized

SECRETARIAT OF EDUCATION – STATE OF MATO GROSSO

MATO GROSSO RESILIENT, INCLUSIVE, AND SUSTAINABLE LEARNING PROJECT (P178993)

Draft

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

October 3, 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The State of Mato Grosso (the Borrower) will implement the Mato Grosso Resilient, Inclusive, and Sustainable Learning Project (the Project), with the involvement of Secretariat of Education – Mato Grosso (SEDUC-MT), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the [World Bank]), has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Borrower, through SEDUC-MT, shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower, through SEDUC-MT, shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the World Bank.
4. As agreed by the World Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the Secretary in charge of SEDUC-MT. The SEDUC-MT shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	Submit semi-annual reports throughout Project implementation, as part of Project progress reports. Commencing after the Effective Date.	SEDUC- MT
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the World Bank within a timeframe acceptable to the World Bank</p>	SEDUC- MT
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a Project Management Unit with a core team composed by a senior environmental specialist, senior social specialist and plus a communications specialist– and appropriate resources for the management of E&S risks and impacts of the Project, , including the implementation of the Stakeholder Engagement Plan (SEP).</p>	The PMU will be established within 30 days after Project effectiveness as set out in the Loan Agreement and thereafter maintain the PMU throughout Project implementation.	SEDUC-MT
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>Adopt and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.</p>	Review and finalize the ESMF incorporating the feedback from the consultation process – within 30 days of Project Effectiveness date.	SEDUC- MT

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	The ESMF was prepared setting measures to guide environmental and social risk management and will be publicly disclosed and consulted with key stakeholders by Appraisal. The feedback received through the public consultation will be consolidated in the final version of this instrument.	Adopt the ESMF and thereafter implement the ESMF throughout Project implementation. Final version of ESMF incorporating the feedback from the consultation process – will be disclosed within 30 days of Project Effectiveness date.	
1.3	MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, the relevant ESMF procedures, the Labor Management Procedures, into the Environment Social Health and Safety (ESHS) specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.	As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation. Commencing 30 days of Project Effectiveness date.	SEDUC- MT
1.4	ACTIVITIES SUBJECT TO RETROACTIVE FINANCING Apply due diligence requirements to retroactive financing and prove environmental and social compliance using the methodology described in the ESMF (E&S Screening and Procedures to Check Eligible Expenses).	Whenever there is a request for reimbursement of expenses proposed for retroactive financing. Commencing 30 days of Project Effectiveness date.	SEDUC- MT
1.5	TECHNICAL ASSISTANCE Ensure that the consultancies, studies, capacity building, training and any other technical assistance activities under the Project are carried out in accordance with terms of reference reviewed and found acceptable to the Bank, that incorporate the relevant requirements of the Environmental and Social Standards.	The terms of reference shall be reviewed and approved by the Bank prior to their finalization, and technical assistance activities shall be thereafter carried out in accordance with those terms of reference throughout Project implementation.	SEDUC- MT
1.6	NEGATIVE LIST The construction and rehabilitation of schools with the support of the proposed Project shall be carried out only on land plots in which the school buildings are already located and there will be no need for involuntary resettlement (including physical and economic displacement of informal users), land acquisition or	Adopt the ESMF and thereafter implement the ESMF throughout Project implementation.	SEDUC- MT

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	restrictions on land uses. A screening tool will be used in the selection of schools to be constructed or rehabilitated to screen out schools which would require land acquisition and could cause physical and economic displacement, excluding activities that cannot be supported because of the requirements of the ESS 5.		
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety, code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	<p>Adopt and disclose these procedures no later than 30 days after project effectiveness and thereafter implement throughout Project implementation. Submit semi-annual reports of compliance with these labor management procedures throughout project implementation (as part of Project progress reports) .</p>	SEDUC- MT
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a responsive Grievance Mechanism to allow Project Workers under ESS2 to quickly inform management of labor issues and raise workplace concerns and labor-related matters without retaliation. This mechanism will use the same uptake channels of the project's overall GM but follow separate avenues for the resolution of labor-related complaints and shall be further detailed in the Project Operations Manual.</p> <p>Report on the operation of the project workers GM.</p>	<p>Establish grievance mechanism prior engaging Project workers (no later than 30 days after project effectiveness) and thereafter maintain and operate it throughout Project implementation.</p> <p>Submit semi-annual reports on the operation of the project workers GM throughout project implementation (as part of Project progress reports).</p>	SEDUC- MT
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency, reuse, recycle and recovery of waste, waste management, and transparency that are compliant with this ESS.</p>	<p>Adopt the ESMF procedures no later than 30 days after project effectiveness (with adoption of ESMF) and thereafter implement throughout Project implementation.</p> <p>Include evidence of compliance with ESS 3 requirements throughout project implementation in semi-annual reports.</p>	SEDUC-MT

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3.2	<p>WASTE MANAGMENT PROCEDURES</p> <p>Adopt and implement waste management procedures and good practices of recycle and recovery of E-Waste according to the requirements of the national legislation and ESS 3, as appropriate.</p>	<p>Contractual obligation of contractors responsible for construction works. Maintained throughout Project implementation</p>	SEDUC-MT
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>COMMUNITY HEALTH AND SAFETY</p> <p>The contractors will develop and implement measures and actions in EHS plan to manage risks related to Community Health and Safety including traffic and road safety risks, Labor influx risks, gender-based violence (GBV) and sexual exploitation and abuse (SEA) risks and risks related to the use of security personnel.</p>	<p>Contractual obligation of contractors responsible for construction works. Before start of civil works and Maintained throughout Project implementation</p>	SEDUC-MT
4.2	<p>SEA AND SH RISKS</p> <p>Adopt and implement a SEA/SH procedures as part of the ESMF, to assess and manage the risks of SEA and SH.</p>	<p>Adopt the procedures no later than 30 days after project effectiveness (with adoption of ESMF) and thereafter implement throughout Project implementation.</p> <p>Include evidence of compliance with ESS 4 requirements throughout project implementation in semi-annual reports.</p>	SEDUC-MT
4.3	<p>CONSULTATION AND COMMUNITY AWARENESS:</p> <p>Conduct training for the community designed to heighten awareness of risks and to mitigate impacts specified in this section, especially indigenous communities. This will be conducted as part of the Stakeholder Engagement Plan, Indigenous People Plan and plus will be conducted for the contractors.</p>	<p>Before start of civil works</p>	SEDUC-MT
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT [
	<p>Not Relevant to the project</p> <p>Abide to the Negative List and screen out during the selection of the schools to be constructed or rehabilitated all that would require land acquisition, with potential adverse involuntary resettlement impacts.</p>	<p><i>Adopt and abide to the Negative List before selecting schools for construction and rehabilitation and throughout project implementation</i></p>	SEDUC-MT
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Adopt a precautionary approach and apply adaptive management practices in which the implementation of mitigation and management measures are responsive to changing conditions and the results of project monitoring, in accordance with the guidelines of the ESMF prepared for the Project, and consistent with ESS6.</p>	<p>Adopt the ESMF no later than 30 days after project effectiveness (with adoption of ESMF) and thereafter implement throughout Project implementation.</p> <p>Include evidence of compliance with ESS 6 requirements throughout project implementation in semi-annual reports.</p>	SEDUC-MT
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	<p>INDIGENOUS PEOPLES PLAN</p> <p>Adopt and implement an Indigenous Peoples Plan (IPP) consistent with ESS7.</p>	<p>Review and finalize the draft IPP incorporating the feedback from the consultation process – within 30 days of Project Effectiveness date.</p> <p>Adopt the IPP prior to the carrying out of any activity in IP communities . Once adopted, implement the respective IPP throughout Project implementation.</p> <p>Include evidence of compliance with ESS 7 requirements throughout project implementation in semi-annual reports.</p>	SEDUC-MT
7.3	<p>GRIEVANCE MECHANISM</p> <p>Ensuring Indigenous Peoples will have access to the Grievance Mechanism of the project in a culturally appropriate. This mechanism will use the same uptake channels of the project's overall GM but follow separate avenues for the resolution of IP-related complaints and shall be further detailed in the Project Operations Manual and the IPP.</p>	<p>Establish grievance mechanism within 30 days of Project Effectiveness date, and thereafter maintain and operate it throughout Project implementation.</p>	SEDUC-MT
ESS 8: CULTURAL HERITAGE			
8.2.	<p>CULTURAL HERITAGE RISKS AND IMPACTS</p> <p>Ensure that the civil works to be carried out are consistent with the ESS8, including by reflecting relevant elements of this standard in the relevant procurement documents and contracts with contractors and supervising firms.</p>	<p>Implement the procedures throughout Project implementation.</p> <p>Prior any commencement of works and maintained throughout construction</p>	SEDUC-MT

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8.1	<p>CHANCE FINDS</p> <p>Adopt and implement Cultural Heritage Management Procedures, in accordance with the guidelines of the ESMF prepared for the Project, and consistent with ESS8. Chance find procedures will be part of all contracts involving any works under the project</p>	<p>Implement the procedures throughout Project implementation.</p> <p>Prior any commencement of works and maintained throughout construction</p>	SEDUC-MT
<p>ESS 9: FINANCIAL INTERMEDIARIES [This standard is only relevant for Projects involving Financial Intermediaries (FIs).]</p>			
	<p>This standard currently is not relevant.</p>		
<p>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</p>			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	<p>Review and finalize the SEP incorporating the feedback from the consultation process – within 30 days of Project Effectiveness date.</p> <p>Adopt the SEP and thereafter implement the SEP throughout Project implementation.</p> <p>Submit semi-annual reports the implementation of stakeholder engagement activities throughout project implementation.</p>	SEDUC-MT
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Establish the grievance mechanism within 30 days after project effectiveness and thereafter maintain and operate the mechanism throughout Project implementation.</p> <p>Submit semi-annual reports on the operation of the GM throughout project implementation.</p>	SEDUC-MT

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
CAPACITY SUPPORT			
CS1	Regular training on the Environmental and Social Standards to SEDUC operational team, PMU and the technical team hired to support the implementation of the Project <ul style="list-style-type: none"> • stakeholder mapping and engagement <ul style="list-style-type: none"> • specific aspects of environmental and social assessment • emergency preparedness and response • community health and safety. • Indigenous people engagement and cultural protocols 	First training within 30 days after the establishment of the PMU and then on annual basis.	SEDUC-MT
CS2	Specify training for Contracts workers on occupational health and safety; community safety SEA/SH, and indigenous people protocols	Prior any commencement of works and maintained throughout construction	SEDUC-MT