



Results-based Scaling Up Rural Sanitation and Water Supply Program (P152693)

EAST ASIA AND PACIFIC | Vietnam | Water Global Practice |
IBRD/IDA | Program-for-Results | FY 2016 | Seq No: 3 | ARCHIVED on 08-Feb-2017 | ISR26591 |

Implementing Agencies:

Program Development Objectives

Program Development Objective (from Program-for-Results Appraisal Document)

The proposed Program objective is to improve hygiene behavior, increase and sustain access to rural sanitation and water supply in rural areas in the Participating Provinces.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Moderately Satisfactory
Overall Implementation Progress (IP)	● Satisfactory	● Moderately Satisfactory
Overall Risk Rating	● Substantial	● Substantial

Implementation Status and Key Decisions

This ISR covers progress of project activities carried out from August 2016 to early January 2017 and the findings of the mission carried out from November 7 to 11, 2016. The Program was approved by the Board on November 12, 2015, signed on March 10, 2016, declared effective on June 8, 2016 and will close on June 30, 2021.

Overall the implementation of the project is slow due to lack of funds at the implementing agencies level. As a result only the activities related to institutional DLIs, namely, the annual plans and reports, Behaviour Change and Communications and Capacity Building Plans were carried out and the results achieved will be measured during the 2016/2017 verification process period. Nevertheless, in December 2016, MARD has informed the Bank team that the MPI has approved the budget plan for 2017-2020 project implementation period. The budget approval coupled with the fact that the annual plans, capacity building and behavior change communications plans are in place, and also with the NTP NRD institutional arrangements finalized, it is expected that the project implementation will speed up during the current period.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P152693	IDA-57390	Effective	XDR	142.50	142.50	0.00	0.00	142.50	0%

Key Dates (by loan)



Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P152693	IDA-57390	Effective	12-Nov-2015	10-Mar-2016	08-Jun-2016	31-Jul-2021	--

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	● Moderate	● Moderate
Macroeconomic	--	● Moderate	● Moderate
Sector Strategies and Policies	--	● Moderate	● Moderate
Technical Design of Project or Program	--	● Substantial	● Substantial
Institutional Capacity for Implementation and Sustainability	--	● Moderate	● Moderate
Fiduciary	--	● Substantial	● Substantial
Environment and Social	--	● Moderate	● Moderate
Stakeholders	--	● Moderate	● Moderate
Other	--	● High	● High
Overall	--	● Substantial	● Substantial

Disbursement Linked Indicators (DLI)

► DLI 1.1: Number of Behavior Change Communication Plans implemented by Participants Provinces (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	--
Date	12-Nov-2015	--	20-Nov-2015

Comments

The team expects some progress (50%) on this DLI based on the preliminary assessment carried out during the November mission. Once the verification process is completed, the actual results achieved will be provided.

► DLI 1.2: Number of new Communes achieving Commune-Wide Sanitation in the Participating Provinces (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	20-Nov-2015



► DLI 1.3: Number of new or rehabilitated Functioning Water Supply Connections to households in the Participating Provinces (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	20-Nov-2015

► DLI 2.1: Number of households in the Participating Provinces with Sustainable Water Systems (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	20-Nov-2015

► DLI 2.2: Number of Communes in the Participating Provinces, which have achieved Commune-Wide Sanitation two CY ago, where all public kindergarten, primary and secondary schools and health centers main (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	20-Nov-2015

► DLI 3.1: Number of Annual Program Plans and Program Reports disclosed (Number) (Amount(USD))

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	20-Nov-2015

Comments

The team expects some progress (50%) on this DLI based on the preliminary assessment carried out during the November mission. Once the verification process is completed, the actual results achieved will be provided.



► DLI 3.2: Number of approved Annual Capacity Development Plans implemented (Number) (Amount(USD))

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	20-Nov-2015

Comments

The team expects some progress (50%) on this DLI based on the preliminary assessment carried out during the November mission. Once the verification process is completed, the actual results achieved will be provided.

Results

Results Area

Intermediate Results Area

Intermediate Results Area 1: Key hygienic behaviours changed amongst the population and increased access to basic services

Intermediate Results Area 2: Adequate water and sanitation infrastructures in place and in working condition

Intermediate Results Area 3: Improved capacity for program implementation

Project Development Objective Indicators

► PDO Indicator 1: Direct Program Beneficiaries (Disaggregated by: % female, % female headed households and % EM households) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	5,100,000.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► PDO Indicator 2: Households with access to sustainable water supply services (gender disaggregated by head of household) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	105,000.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021



► PDO Indicator 3: Communes achieving commune-wide sanitation (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	680.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► PDO Indicator 4: Communes where schools and health clinics have maintained hygiene status (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	340.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► PDO 5: New approached for BCC and supply chain activities are included in the successor program to the NTP3 (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

Overall Comments

Intermediate Results Indicators

► Intermediate Results Indicator 1: Development and implementation of behavior change communication (BCC) plans, at the provincial, district and commune levels (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	105.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

Comments



The team expects some progress (50%) on this DLI based on the preliminary assessment carried out during the November mission. Once the verification process is completed, the actual results achieved will be provided.

► Intermediate Results Indicator 2: Schools reached through targeted BCC campaigns (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	1,360.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► Intermediate Results Indicator 3: Clinics with antenatal programs which are covered by BCC (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	340.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► Intermediate Results Indicator 4: National BCC program is launched (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► Intermediate Results Indicator 5: Increase in hand washing with soap after using the latrine increased among the target population compared to non-program communes (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	20.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► Intermediate Results Indicators 6: New and rehabilitated functioning household water supply connection (FWSC) (gender disaggregated) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	255,000.00



Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021
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► Intermediate Results Indicator 7: Schools and health centers with new and rehabilitated water supply and sanitation facilities (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	2,720.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► Intermediate Results Indicator 8: Annual Program Plans and Reports disclosed (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	215.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

Comments

The team expects some progress (50%) on this DLI based on the preliminary assessment carried out during the November mission. Once the verification process is completed, the actual results achieved will be provided.

► Intermediate Results Indicator 9: Reports on beneficiary feedback received and feedback has been used (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	21.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

► Intermediate Results Indicator 10: Approved Annual Capacity Development Plan for Provinces implemented (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	105.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

Comments

The team expects some progress (50%) on this DLI based on the preliminary assessment carried out during the November mission. Once



the verification process is completed, the actual results achieved will be provided.

► Intermediate Results Indicator 11: Systems are in place and being used to monitor and support sustainability of commune-wide sanitation (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	256.00
Date	12-Nov-2015	02-Aug-2016	02-Aug-2016	31-Jul-2021

Overall Comments