



Results-based Scaling Up Rural Sanitation and Water Supply Program (P152693)

EAST ASIA AND PACIFIC | Vietnam | Water Global Practice |
IBRD/IDA | Program-for-Results | FY 2016 | Seq No: 4 | ARCHIVED on 01-Aug-2017 | ISR28991 |

Implementing Agencies: State Audit of Vietnam, PIU under VIHEMA, NCERWASS, Rural Water Supply and Sanitation Partnership Coordination Unit, Coordination Office for Rural Water Supply and Sanitation Program (CORWSP), State Bank of Vietnam

Program Development Objectives

Program Development Objective (from Program-for-Results Appraisal Document)

The proposed Program objective is to improve hygiene behavior, increase and sustain access to rural sanitation and water supply in rural areas in the Participating Provinces.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Moderately Satisfactory	● Moderately Satisfactory
Overall Implementation Progress (IP)	● Moderately Satisfactory	● Moderately Unsatisfactory
Overall Risk Rating	● Substantial	● Substantial

Implementation Status and Key Decisions

This ISR covers progress of project activities carried out from February to June 2017 and the findings of the mission carried out from May 8 to 19, 2017. The Program was approved by the Board on November 12, 2015, signed on March 10, 2016, declared effective on June 8, 2016 and will close on June 30, 2021.

Overall the implementation of the project is still slow due to lack of funds at the implementing agencies and Provincial levels. As result, only the following institutional DLIs have been achieved and verified:

DLI 1.1: Twelve Provincial Behavior Change Communication (BCC) Plans implemented;

DLI 3.1: Twenty One Provincial Annual Program Plans and Program Reports disclosed;

DLI 3.2: Eighteen Provincial Annual Capacity Development Plans (CB) approved and implemented.

Budget allocation for 2017 has been approved for seven provinces, while for the remaining 14 provinces it is under consideration of the National Assembly. Currently, all provincial plans, including annual plans, BCC plans and CB plans have been developed, and are expected to be approved and implemented at Provincial level once the final budget allocation is confirmed.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P152693	IDA-57390	Effective	USD	200.00	200.00	0.00	0.00	199.22	0%

**Key Dates (by loan)**

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P152693	IDA-57390	Effective	12-Nov-2015	10-Mar-2016	08-Jun-2016	31-Jul-2021	--

Risks**Systematic Operations Risk-rating Tool**

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	● Moderate	● Moderate
Macroeconomic	--	● Moderate	● Substantial
Sector Strategies and Policies	--	● Moderate	● Moderate
Technical Design of Project or Program	--	● Substantial	● Substantial
Institutional Capacity for Implementation and Sustainability	--	● Moderate	● Moderate
Fiduciary	--	● Substantial	● Substantial
Environment and Social	--	● Moderate	● Moderate
Stakeholders	--	● Moderate	● Moderate
Other	--	● High	● High
Overall	--	● Substantial	● Substantial

Disbursement Linked Indicators (DLI)**► DLI 1.1: Number of Behavior Change Communication Plans implemented by Participants Provinces (Number)**

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	12.00
Date	12-Nov-2015	--	30-Jun-2017

Comments

Twelve provinces have implemented the approved behavior change and communication plans.

► DLI 1.2: Number of new Communes achieving Commune-Wide Sanitation in the Participating Provinces (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00



Date	12-Nov-2015	--	30-Jun-2017
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Comments

Results from three communes were reported but due to low number, this will be verified jointly with results reported for CWS in 2017.

► DLI 1.3: Number of new or rehabilitated Functioning Water Supply Connections to households in the Participating Provinces (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	30-Jun-2017

► DLI 2.1: Number of households in the Participating Provinces with Sustainable Water Systems (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	30-Jun-2017

► DLI 2.2: Number of Communes in the Participating Provinces, which have achieved Commune-Wide Sanitation two CY ago, where all public kindergarten, primary and secondary schools and health centers main (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	0.00
Date	12-Nov-2015	--	30-Jun-2017

► DLI 3.1: Number of Annual Program Plans and Program Reports disclosed (Number) (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	43.00
Date	12-Nov-2015	--	30-Jun-2017

Comments

Progress of 100% for this DLI - 21/21 provinces achieved requirement for this DLI according to SAV's 2016 Verification Report.



► DLI 3.2: Number of approved Annual Capacity Development Plans implemented (Number) (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	0.00	--	18.00
Date	12-Nov-2015	--	30-Jun-2017
Comments Progress of 85% on this DLI based on the SAV's Verification Report - 18/21 provinces achieved the requirement			

Results**Results Area**

Intermediate Results Area

Intermediate Results Area 1: Key hygienic behaviours changed amongst the population and increased access to basic services

Intermediate Results Area 2: Adequate water and sanitation infrastructures in place and in working condition

Intermediate Results Area 3: Improved capacity for program implementation

Project Development Objective Indicators

► PDO Indicator 1: Direct Program Beneficiaries (Disaggregated by: % female, % female headed households and % EM households) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	5,100,000.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

Comments

Due to lack of funds by the implementing agencies, there are no results achieved and reported for 2016 period.

► PDO Indicator 2: Households with access to sustainable water supply services (gender disaggregated by head of household) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	105,000.00



Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021
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► PDO Indicator 3: Communes achieving commune-wide sanitation (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	680.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

Comments

Due to lack of funds by the implementing agencies, there are no results achieved and reported for 2016 period.

► PDO Indicator 4: Communes where schools and health clinics have maintained hygiene status (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	340.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► PDO 5: New approached for BCC and supply chain activities are included in the successor program to the NTP3 (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

Overall Comments



Intermediate Results Indicators

► Intermediate Results Indicator 1: Development and implementation of behavior change communication (BCC) plans, at the provincial, district and commune levels (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	12.00	105.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► Intermediate Results Indicator 2: Schools reached through targeted BCC campaigns (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	9.00	1,360.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► Intermediate Results Indicator 3: Clinics with antenatal programs which are covered by BCC (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	340.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► Intermediate Results Indicator 4: National BCC program is launched (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	N	N	Y
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► Intermediate Results Indicator 5: Increase in hand washing with soap after using the latrine increased among the target population compared to non-program communes (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	20.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021



► Intermediate Results Indicators 6: New and rehabilitated functioning household water supply connection (FWSC) (gender disaggregated) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	255,000.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► Intermediate Results Indicator 7: Schools and health centers with new and rehabilitated water supply and sanitation facilities (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	2,720.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

Comments

Due to lack of funds by the implementing agencies, there are no results achieved and reported for 2016 period.

► Intermediate Results Indicator 8: Annual Program Plans and Reports disclosed (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	43.00	215.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► Intermediate Results Indicator 9: Reports on beneficiary feedback received and feedback has been used (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	21.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

► Intermediate Results Indicator 10: Approved Annual Capacity Development Plan for Provinces implemented (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	18.00	105.00



Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021
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► Intermediate Results Indicator 11: Systems are in place and being used to monitor and support sustainability of commune-wide sanitation (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	256.00
Date	12-Nov-2015	02-Aug-2016	30-Jun-2017	31-Jul-2021

Overall Comments