

INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE

Report No.: 81949

Date ISDS Prepared/Updated:
09/17/2013

I. BASIC INFORMATION

A. Basic Project Data

Country:	Ukraine	Project ID:	P144893
Project Name:	Grant for Preparation of the Oblast Health Sector Reform Project		
Task Team Leader:	Paolo Belli		
Estimated Appraisal Date:	September 17, 2013	Estimated Board Date:	Not Applicable
Managing Unit:	ECSH1	Lending Instrument:	Grant
Sector:	Health (90%), Public administration- Health (10%)		
Theme:	Health system performance (70%), Injuries and non-communicable diseases (20%), Public expenditure, financial management and procurement (10%)		
Financing (in USD Million)			
Financing Source			Amount
Borrower			
Total			US\$470,000
Environmental Category	B		
Is this a Repeater project?	Not Applicable		

This ISDS is for the ECAPDEV grant which will support preparation activities for the Oblast Health Sector Reform Project (P144893).

B. Project Objectives

The development objective of the proposed ECAPDEV Grant is to support the Ministry of Health (MoH) and selected oblasts to prepare the Oblast Health Systems Reform Project (P144893) and to strengthen their implementation capacity.

The P144893 Project would increase the capacity of selected oblast health systems to effectively and sustainably confront their burden of disease by rationalizing their health service delivery model; and to improve the stewardship capacity of the MoH.

C. Project Description

The proposed ECAPDEV Grant would contribute to quality improvement and faster preparation of the Project (P144893) and to building of relevant capacity in the institutions that would implement the Project. The institutions include MoH and its health reform steering committee, and governments of selected oblasts represented by Health Departments. The Grant design is linked to the key Project components and activities

identified to date. Specifically, the first Project component aims to stimulate improvements in health services' quality and reorganization of the delivery system through investing into subprojects of selected oblast. The subprojects would focus on the following priority areas: a) fighting NCDs, with emphasis on prevention; b) improving quality and effectiveness of care; and c) rightsizing and restructuring hospitals and secondary ambulatory services, and change their governance structure, towards greater autonomy and accountability for results. An initial set of eleven candidate oblasts' subprojects were identified. The oblasts require technical support to finalize their subproject proposals. Furthermore, the oblasts' authorities need capacity building to be able to implement their subprojects in compliance with both national and the World Bank procedures and requirements. The second Project component is geared to improve the health sector governance and stewardship role of the MoH. Although the MoH is experienced in developing policies and providing methodological support to oblasts, it lacks capacity to coordinate implementation of reforms on the ground. By building the Ministry's coordination and implementation capacity, the Grant would help establish sound implementation framework for the Project including an efficient feedback mechanism with the beneficiary oblasts.

The Grant activities would support concerned oblasts in finalizing the subproject proposals such that they are ready to implement when the Project starts. Furthermore, the Grant would contribute to shaping up cross-cutting policies that underpin health reforms and to establishing a proper coordination of oblast level activities as well as implementation with the relevant capacity building. The outputs of the Grant would build a basis to jumpstart implementation of the Project.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The proposed Grant activities would be implemented in the city of Kyiv and in 11 oblasts of Ukraine, namely Poltava, Dnipropetrovsk, Luhansk, Donetsk, Zaporizhzhia, AR of Crimea, Lviv, Volyn, Rivne, Zakarpattia and Vinnitsa.

E. Borrowers Institutional Capacity for Safeguard Policies

Support under this Grant would be limited to technical assistance and analysis to prepare the new Oblast Health Systems Reform Project. As the proposed new Project triggers the Environmental Assessment OP/BP 4.01 this safeguard policy is triggered for this proposed Grant.

The Ministry of Health (MoH) is the implementing agency for the Grant. Although MoH has been involved in the implementation of one Bank-supported operation in the past, it does not have experience in the application of safeguards policies. The Bank team discussed with the MoH institutional arrangements to close the gap in human capacity for safeguards application for the new Project and the proposed Grant. It was agreed, that for the Grant would contribute to building an environmental safeguards capacity in the MoH and would finance activities to identify potential environmental impacts of the sub-projects to enable participating oblasts to define appropriate mitigation measures and to develop environmental documents.

F. Environmental and Social Safeguards Specialists on the Team

Mr Alexei Slenzak, Senior Operations Officer, (ECSN) contributed to preparing the P144893 ISDS at the concept stage.

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	Component 2 of the Project, whose preparation is supported by this Grant will support rehabilitation of health facilities. At the concept stage the Project supported by the Grant is classified as Category B. As OP/BP 4.01 is triggered for the Project this safeguard policy is triggered for this Grant too. It is expected that most of the sub-projects will be Environmental Category C (e.g. procurement of medical equipment, small-scale works on renovation, rehabilitation and reconstruction of existing premises). However, depending on the scope and location of possible new construction of primary health centers, and sub-projects involving oblast hospitals, these sub-projects may require Environmental Category B. Environmental Category of the project and requirements regarding environmental documentation will be determined at Project appraisal stage. The proposed Grant would finance activities to identify potential environmental impacts of the sub-projects to enable participating oblasts to define appropriate mitigation measures and to develop environmental documents such as EMP and/or EMP Checklist. These outputs would be summarized in the respective section of the Operational Manual of the Project.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	
Indigenous Peoples OP/BP 4.10	No	
Involuntary Resettlement OP/BP 4.12	No	It is expected that the Project whose preparation is supported by the proposed Grant might have minor new construction of the health centers. However at this stage it is not clear whether and where it might happen. Any projects requiring physical and economic displacement will be strictly avoided. The borrower's team will be duly informed on the provisions of the OP4.12 and on the consequences of non-compliance.
Safety of Dams OP/BP 4.37	No	

Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS:

June 2014.

B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing should be specified in the PAD-stage ISDS.

The environmental assessment for the new Project supported by this proposed Grant would be finalized by June 2014. The environmental safeguards section of the Operational Manual for the new Project will be developed under the Grant by August 2014. The safeguard-related documents developed for the Project will be disclosed before appraisal at the InfoShop and within Ukraine at publicly accessible locations.

IV. APPROVALS

Task Team Leader:	Name: Paolo Belli	
<i>Approved By:</i>		
Regional Safeguards Coordinator:	Name: John R. Butler	Date: 09/18/2013
Sector Manager:	Name: Daniel Dulitzky	Date: 09/18/2013

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.