

# INTEGRATED SAFEGUARDS DATA SHEET

## IDENTIFICATION / CONCEPT STAGE

Report No.: ISDSC15873

Date ISDS Prepared/Updated: 18-Dec-2015

### I. BASIC INFORMATION

#### A. Basic Project Data

Country:	Ukraine	Project ID:	P158091
Project Name:	Ukraine: Conflict Response and Recovery Pilot and Capacity Building		
Team Leader(s):	Holly Welborn Benner,Klavdiya Maksymenko		
Estimated Date of Approval:	02-May-2016		
Managing Unit:	GSU03	Lending Instrument:	Lending Instrument
Sector(s):	Information technology (10%), Other social services (90%)		
Theme(s):	Social Safety Nets/Social Assistance & Social Care Services (10%), Conflict prevention and post-conflict reconstruction (60%), Other social development (10%), Social Inclusion (20%)		
Financing (in USD Million)			
Total Project Cost:	3.6	Total Bank Financing:	0
Financing Gap:	0		
Financing Source		Amount	
State and Peace Building Fund		3.6	
Environment Category:	B - Partial Assessment		

#### B. Project Development Objective(s)

The goal of the project is to build Government capacity for recovery planning and oversight and to pilot knowledge building, recovery and peacebuilding activities in response to the ongoing conflict and displacement crisis in Ukraine.

#### C. Project Description

The proposed project is organized in three inter-related components to support knowledge generation, pilot displacement response activities and capacity building.

Component 1– SADR Capacity Building, Project Management, and Monitoring and Evaluation (Recipient Executed US\$ 900,000)

This component will build the capacity of the State Agency for Donbas Recovery to fulfill its strategy, planning and coordination mandate as well as to effectively manage, monitor and evaluate proposed RE activities. As a new State Agency, and with the GoU's continuing budget crisis and competing reform process, SADR faces challenges in establishing itself as the focal point for

recovery activities. Component 1 would include the following types of activities designed to both enable SADR to manage Component 3 activities and to more broadly build the capacity of SADR to coordinate future national and international programming and financing for peacebuilding and recovery. Capacity building will also include attention to building SADR presence and reach in eastern Ukraine and support for municipal/local level capacity building for recovery and peacebuilding planning and program implementation. The grant will also support SADR in establishing coordination and consultation mechanisms that engage donors and other national/international partners to lay the groundwork for future investments and recovery programming. Capacity building activities would include:

- Establishment and training of a Project Implementation Unit (PIU) with fiduciary, procurement and safeguard capacity.
- Basic computing and office equipment to enable SADR functioning at national level and in eastern Ukraine.
- Incremental operating costs for SADR agency staff and facilitators as required.
- Support for development of a monitoring and evaluation system for component 3 activities and funds for an independent evaluation at the close of activities to gather evidence and lessons to inform future programming.
- Training program/workshops for SADR and local partners in eastern Ukraine in project planning, design, management and implementation of sub-projects and a range of sectoral/thematic issues based on demand – e.g. displacement response, gender sensitivity, combatant re-integration, monitoring and evaluation.
- Support for the development of a national and international stakeholder coordination and information-sharing mechanism and donor dialogues.
- Knowledge exchanges with other countries/regions to share relevant experiences and approaches.
- Strategic communication support, including information management systems and dissemination of SADR products and information on services.

Component 2 – Building knowledge on the socio-economic impacts of displacement and combatant return (Bank executed, US\$ 400,000)

This component will support the design and implementation of new research and analysis to assess the socio-economic impacts of displacement and combatant return in order to provide robust data to underpin future planning and programming. The overall goal is to identify policy and program responses that mitigate the potential negative socio-economic impact of conflict-affected population presence on host communities and maximize the social-economic benefits of conflict-affected population presence for host communities and the Ukrainian economy as a whole. Two main analytic products are envisioned:

- A representative household survey of IDPs and host communities to assess the impact of displacement on issues such as labor markets/livelihoods, housing, municipal services, education, healthcare, welfare, and social cohesion. This may be accompanied by a complementary qualitative assessment focused on perceptions and experiences of the informants, their priorities as well as exploration of factors contributing to the trends identified through the quantitative work.
- A survey to assess psychosocial and economic reintegration needs of former combatants to include a baseline assessment of the population of returning combatants from eastern Ukraine to identify potential areas for psychosocial and economic reintegration support. The assessment would cover both combatant needs, family/community needs and potential opportunities for socioeconomic integration based on needs, skills, available support services and structures, labor market, and private sector investment opportunities.

This analytic activities will directly inform the design and implementation activities outlined in Component 3.

This component would also include capacity building and technical assistance to the relevant GoU agencies in survey design, implementation, and data analysis in order to facilitate future GoU ownership of survey efforts. This component would be carried out in close cooperation with IFC who are considering options for generating private sector development and employment generation programming in communities hosting large numbers of conflict-affected persons. The surveys would also be developed in close cooperation with the Poverty and Social Protection and Labor GPs with experience in survey design in conflict setting and in the case of SPL, with existing skills and labor market surveys for Ukraine that could complement SPF data. The data generated from this component would be utilized as part of the Conflict and Recovery Monitoring System that is being financed through the Programmatic Approach on Peacebuilding and Recovery and for use by the SADR in later targeting of pilot activities. This system (supported by the WB and the UN) aims to provide an information platform of relevant and timely data on conflict and displacement, impacts on poverty and shared prosperity and progress of peacebuilding and recovery activities.

Component 3: Piloting activities to respond to the development challenges of displacement (Recipient executed, US\$ 2.3 million)

Based on the results of the analytic work described above, Component 3 would include pilot activities to respond to the developmental needs of the internally displaced persons (IDPs), former combatants and host communities as a complement to ongoing humanitarian response efforts. The goal of Component 3 is to build GoU capacity to implement innovative development-oriented programming that could be brought to scale through future national and international financing. This component would target select communities with high concentrations of combatants or IDPs to pilot an integrated set of activities to respond to the unique needs of Ukraine's displaced (e.g. large numbers of pensioners; repeat returns; availability of high-skilled labor force), former combatants and host communities. The specific set of interventions to be delivered would be tailored based on assessment results but would likely include livelihood opportunities, housing support, municipal services and social assistance, health and psychosocial support as well as activities to promote social cohesion and community revitalization. The pilot would also explore possible joint programming with the IFC to promote private sector development and job creation in areas with high IDP or former combatant populations.

Lessons from the pilot activities and capacity building would then be utilized to inform a more comprehensive GoU strategy and budgeting as well as to help in the better targeting and design of investments by the Bank and other development partners. For the Bank, these pilots will directly inform the Community Social Support project under preparation as well as projects focused on health, urban development, and district heating that operate in communities with IDP populations.

#### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

Under Component 3, the project will finance pilot activities to support IDPs and host communities. The types of activities will be decided as part of project preparation but could include small civic works and private sector development that may have environmental impacts—and thus trigger OP/BP 4.01. As specific grant activities are not yet known, an appraisal stage ISDS will be developed to ensure project activities are in line with relevant safeguards policies. Operations Manuals (OMs) will be developed for component 2 activities to include an ESMF instrument that will detail specific eligibility criteria and a screening checklist for pilots to ensure safeguards compliance. The OM/ESMF will also make clear that activities that trigger OP 4.12/other relevant safeguards policies will

not be eligible for funding. These Operations Manuals, acceptable to the Bank, will be developed before the grants' implementation and would be a disbursement condition for the grant funding.

### **E. Borrower's Institutional Capacity for Safeguard Policies**

The recipient - SADR - has limited capacity for safeguards management. However, the capacity building activities envisioned under Component 3 would include the development and training of a PIU that includes safeguards competencies. Through the grant, opportunities would be provided for safeguards staff assigned to the project to train with and exchange with other established PIUs for World Bank projects in Ukraine. As part of the Project, the safeguards specialist in the PIU will access available safeguards trainings and resources and receive support from Bank safeguards specialists. Through these measures, the PIU is expected to have sufficient capacity to ensure that all Safeguards policies are respected throughout implementation.

### **F. Environmental and Social Safeguards Specialists on the Team**

Angela Nyawira Khaminwa (GSU03)

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
Environmental Assessment OP/ BP 4.01	Yes	Depending on pilot activities agreed to under component 2, OP 4.01 may be triggered due to the possibility of small civil works and support for development or expansion of business enterprises that have potential environmental issues such as waste water effluents, air emissions etc. As part of proposed OM, an ESMF instrument will be developed that will also make clear that activities that trigger OP 4.12/safeguards policies beyond OP/BP 4.01 will not be eligible for funding.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/ BP 4.11	No	
Indigenous Peoples OP/BP 4.10	No	
Involuntary Resettlement OP/BP 4.12	No	Any potential pilots that trigger OP 4.12 will be excluded per the ESMF.
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

## **III. SAFEGUARD PREPARATION PLAN**

### **A. Appraisal stage ISDS required?: Yes**

## i. Explanation

Yes. An appraisal stage ISDS will be prepared to ensure safeguards compliance as the pilot acti

## ii. Tentative target date for preparing the Appraisal Stage ISDS

01-Feb-2016

**B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing should be specified in the Appraisal Stage ISDS.**

Component 1 includes survey work that includes attention to social dynamics/social assessment and potential conflict at community level. No stand-alone safeguard-related studies are planned at this stage.

#### IV. APPROVALS

Team Leader(s):	Name: Holly Welborn Benner,Klavdiya Maksymenko	
<b><i>Approved By:</i></b>		
Safeguards Advisor:	Name: Zeynep Durnev Darendeliler (SA)	Date: 18-Dec-2015
Practice Manager/ Manager:	Name: Nina Bhatt (PMGR)	Date: 18-Dec-2015

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.