## Republic of Kenya

# KENYA COVID-19 EMERGENCY RESPONSE PROJECT ADDITIONAL FINANCING (P175188)

# ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

04 November 2020

#### **ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

- 1. The Republic of Kenya (the **Recipient**) will implement the Kenya COVID-19 Emergency Response Project Additional Financing (the **Project AF**), with the involvement of the Ministry of Health. The International Development Association (the **Association**) has agreed to provide financing for the Project AF.
- 2. The Recipient will implement material measures and actions so that the Project AF is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
- 3. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry referenced in 1 above.
- 4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project AF.
- 5. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project AF implementation, to reflect adaptive management of Project AF changes and unforeseen circumstances or in response to assessment of Project AF performance conducted under the ESCP itself. In such circumstances, the Recipient or its designated representatives will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Recipient. The Recipient will promptly disclose the updated ESCP.
- 6. Where Project AF changes, unforeseen circumstances, or Project AF performance result in changes to the risks and impacts during Project AF implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATER	IAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY	
MONIT	MONITORING AND REPORTING			
Α	<b>REGULAR REPORTING</b> : Prepare and submit to the Association	Quarterly throughout the Project AF	Ministry of Health, Kenya	
	regular monitoring reports on the environmental, social, health	implementation period.		
	and safety (ESHS) performance of the Project AF, including but not			
	limited to, stakeholder engagement activities and grievances log.		/	
В	International Alignment. The MoH will review the Project AF's	Reporting quarterly throughout the	Ministry of Health, Kenya	
	implementation, monitoring, and reporting on environmental and	Project AF implementation period.		
	social matters under the Project AF in line with updated WHO			
	updated guidance on Covid 19.	/		
<b>ESS 1:</b>	ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE: The Ministry of Health shall	The PMT staff shall be in place prior to	Ministry of Health, Kenya	
	establish and maintain a Project Management Team (PMT) with	the commencement of Project AF		
	qualified staff and resources to support management of	activities. The PMT shall be maintained		
	environmental and social risks and impacts of the Project AF,	throughout Project AF implementation.		
	including dedicated environmental (at least one) and social risk			
	management (at least one) specialists.			

### 1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS

- a. Update, disclose and adopt an Environmental and Social Management Framework (ESMF) for the Project AF. The ESMF for the Project AF will be the same as the ESMF for the parent project. It will include a template for Infection Control and Waste Management Plan (ICWMP) based on the existing ICWMP prepared for the Africa CDC Regional Project as well as a template for Environmental and Social Management Plan (ESMP) as necessary for isolation centers construction.
- b. Assess the environmental and social risks and impacts of proposed Project AF activities - including to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project AF - in accordance with ESSs and the ESMF.
- c. Prepare, publicly consult, disclose, adopt, and implement Infection Control and Waste Management Plans, Environmental and Social Management Plans and/or other instruments, if required for the respective Project AF activities based on the screening and assessment process, in accordance with the ESSs, the ESMF, the EHSGs and other relevant Good International Industry Practice (GIIP) including relevant WHO Guidelines related to COVID-19, in a manner acceptable to the Association.
- d. Incorporate the relevant aspects of this ESCP, including, inter alia, any Environmental and Social Management Plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective

a. The ESMF including ICWMP has been prepared, disclosed. It is accessible at <a href="https://www.health.go.ke/wp-content/uploads/2020/09/Environmental-and-social-management-mental-and-social-mental-and-social-mental-and-social-mental-and-social-m

The updated ESMF will be finalized within one month after the effective date of Additional Financing.

Framework.pdf

b. Assessment shall be conducted before the carrying out of the relevant Project AF activities.

 Before carrying out of the relevant Project AF activities, and thereafter throughout the carrying out of such activities.

d. Before launching the procurement process for the relevant Project AF

Ministry of Health, Kenya

MATER	IAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY	
	contracts.	activities and thereafter throughout		
		the carrying out of such activities.		
	e. Update Environmental and Social Management Plans, And			
	Infection Control and Waste Management Plans or other			
	instruments based on updated guidance by WHO on COVID19.			
		/		
		/		
		a Throughout Droingt AF		
		e. Throughout Project AF implementation		
		Implementation		
1.3	<b>EXCLUSIONS:</b> Exclude the following type of activities as ineligible	During the assessment process	Ministry of Health, Kenya	
	for financing under the Project AF:	conducted under action 1.2.b. above.		
	a. Activities that may cause long term, permanent and/or			
	irreversible adverse (e.g. loss of major natural habitat)	,		
	impacts			
	b. Activities that have high probability of causing serious			
	adverse effects to human health and/or the environment	/		
	not related to treatment of COVID19 cases			
	c. Activities that may have significant adverse social impacts			
	and may give rise to significant social conflict			
	d. Activities which would require Free Prior Informed			
	Consent under ESS7			
	e. Activities that may affect lands or rights of Vulnerable and			
	Marginalized Groups or other vulnerable minorities			
	f. Activities that may involve permanent resettlement or			
	land acquisition or adverse impacts on cultural heritage			
	g. All the other excluded activities set out in the ESMF.			
<b>ESS 2:</b>	ESS 2: LABOR AND WORKING CONDITIONS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY	
2.1	Relevant aspects of this standard shall be considered, as needed,	The Labor Management Procedures	Ministry of Health, Kenya	
	under action 1.2 above.	prepared and disclosed for the parent		
		project are available at		
		https://www.health.go.ke/wp-		
		content/uploads/2020/07/Labor-	/	
		Management-Procedures-KENYA-		
		COVID-19-EMERGENCY-RESPONSE-		
		PROJECT-P1738202.pdf		
		/		
		The updated LMP reflecting AF		
		activities will be disclosed within one		
		month after the effective date of		
		Additional Financing.		
		Maintain throughout Project AF		
		implementation.		
2.2	LABOR MANAGEMENT: The Project AF shall be carried out in	Throughout Project AF	Ministry of Health, Kenya	
	accordance with the applicable requirements of ESS2, in a manner	implementation.		
	acceptable to the Association, including through, inter alia,	/		
	implementing adequate occupational health and safety measures			
	(including emergency preparedness and response measures),			
	setting out grievance arrangements for Project AF workers, and			
	incorporating labor requirements into the ESHS specifications of			
	the procurement documents and contracts with contractors and			
	supervising firms.			
	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND			
	GEMENT			
3.1	Without prejudice to the prohibition in action 1.3(b) above,	Throughout Project AF	Ministry of Health, Kenya	
	relevant aspects of this standard shall be considered, as needed,	implementation.		
	under action 1.2 above, including, inter alia, measures to: manage			
	health care wastes, and other types of hazardous and non-			
	hazardous wastes.			
ESS 4: (	ESS 4: COMMUNITY HEALTH AND SAFETY			

MATER	IAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.1	Without prejudice to the prohibition in action 1.3(b) above,	Throughout Project AF	Ministry of Health, Kenya
	relevant aspects of this standard shall be considered, as needed,	implementation.	
	under action 1.2 above, including, inter alia, measures to: minimize		
	the potential for community exposure to communicable diseases;		
	ensure that individuals or groups who, because of their particular		
	circumstances, may be disadvantaged or vulnerable have access to	/	
	the development benefits resulting from the Project AF; manage	/	
	the risks of the use of security personnel; manage the risks of labor	/	
	influx; and prevent and respond to sexual exploitation and abuse,		
	and sexual harassment.		
4.2	GBV AND SEA RISKS: The project will ensure the avoidance of any	Throughout Project AF implementation	Ministry of Health, Kenya
	form of SEA by implementing the provisions on GBV (including		
	management of data privacy and retaliation risks) as provided in	/	
	the SEP, LMP, and ESMF while also relying on the WHO Code of		
	Ethics and Professional Conduct for all workers in the quarantine		
	facilities as well as the provision of gender-sensitive	/	
	infrastructure such as segregated toilets and enough light in		
4.2	quarantine and isolation centers.	Dulas of augustant and and of	Naisiatus afilia dala Mansa
4.3	USE OF SECURITY PERSONNEL: Ensure that any public or private	Rules of engagement and code of	Ministry of Health, Kenya
	security personnel operating or assisting with quarantine and	conduct to be adopted before involvement of any public or private	
	isolation centers and screening posts, or otherwise involved in the Project AF, abide by clear rules of engagement and a code of	security personnel, and implemented	
	conduct consistent with ESS4 and acceptable to the Association.	throughout Project AF implementation	
	conduct consistent with E554 and acceptable to the Association.	tilloughout Project Ar illiplementation	
	These rules of engagement and code of conduct shall ensure that		
	public and private security personnel associated with the Project		
	AF have been: (i) screened to confirm that they have not engaged		
	in past unlawful or abusive behavior, including SEA, SH or excessive		
	use of force; (ii) adequately instructed and trained, on a regular		
	basis, on the use of force and appropriate behavior and conduct		
	(including in relation to SEA and SH); (iii) deployed in a manner		
	consistent with applicable national law; (iv) will follow strict rules		
	of engagement, code of conduct, and avoid any escalation, and (v)		
	ensure an efficient monitoring of the security personnel on field.		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 5:	LAND ACQUISITION, RESTRICTIONS ON LAND USE AND		
INVOL	UNTARY RESETTLEMENT		
5.1	Without prejudice to the prohibition in action 1.3(f) above,	Throughout Project AF	Ministry of Health, Kenya
	relevant aspects of this standard shall be considered, as needed,	implementation.	
	under action 1.2 above.		/
5.2	Without prejudice to the prohibition in action 1.3(f) above, all	Throughout Project AF	Ministry of Health, Kenya
	construction and isolation tents will be undertaken within existing	implementation.	
	facilities and thus at this point ESS5 is not considered relevant. In		
	case land acquisition would be necessary, plans will be developed		
	in accordance with ESS5 to the satisfaction of the Bank prior to		
	commencement of any land acquisition and displacement.	/	
	BIODIVERSITY CONSERVATION AND SUSTAINABLE		
	GEMENT OF LIVING NATURAL RESOURCES		
6.1	Without prejudice to the prohibition in action 1.3(a), relevant	Throughout Project AF	Ministry of Health, Kenya
	aspects of this standard shall be considered, as needed, under	implementation.	
	action 1.2 above.	/	
	INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY		
UNDE	RSERVED TRADITIONAL LOCAL COMMUNITIES		
7.1	Without prejudice to the prohibition in action 1.3(d), relevant	Throughout Project AF	Ministry of Health, Kenya
	aspects of this standard shall be considered, as needed, under	implementation.	
	action 1.2 above.		
7.2	The Recipient will ensure that SSAHUTLC communities are	Throughout Project AF	Ministry of Health, Kenya
	appropriately informed and can share in the benefits of the	implementation.	
	Project AF in an inclusive and culturally appropriate manner (i.e.		
	prevention and treatment) with provisions included in the SEP, in		
	accordance with ESS7.		
7.3	If SSAHUTLC communities are likely to be affected by quarantine	Throughout Project AF	Ministry of Health, Kenya
	provisions, site-specific approaches must be prepared to ensure	implementation.	
	adequate consideration of their specific cultural needs in		
	accordance with ESS7, to the satisfaction of the Association.		
<b>ESS 8:</b>	CULTURAL HERITAGE		

MATER	IAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
8.1	Without prejudice to the prohibition in action 1.3(f), relevant	Throughout Project AF	Ministry of Health, Kenya
	aspects of this standard shall be applied, as needed, under action	implementation.	
	1.2 above.		
ESS 9:	FINANCIAL INTERMEDIARIES		
9.1	Not relevant.		/
	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE		
10.1	STAKEHOLDER ENGAGEMENT PLAN: Prepare, disclose, and	A draft SEP has been prepared and	Ministry of Health, Kenya
	implement a Stakeholder Engagement Plan (SEP) consistent with	disclosed at	
	ESS10, in a manner acceptable to the Association. The SEP of the	https://www.health.go.ke/wp-	
	parent project shall apply to the Project AF.	content/uploads/2020/07/Stakeholder	
		-Engagement-Plan-SEP-KENYA-COVID-	
		19-EMERGENCY-RESPONSE-PROJECT-	
		P1738201.pdf	
		/	
		/	
10.2	<b>UPDATE SEP:</b> Update the SEP of the parent project to include a	The updated SEP will be finalized within	Ministry of Health, Kenya
	risk communication and community engagement (RCCE) strategy,	one month after the Effective Date of	
	in line with WHO guidance on "Risk communication and	Additional Financing.	
	community engagement (RCCE) readiness and response to the		
	2019 novel coronavirus (2019-nCoV)" (January 26, 2020), and		
	adopt the SEP consistent with ESS10, in a manner acceptable to		
40.2	the Association.		
10.3	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE:	Throughout Project AF	Ministry of Health, Kenya
	Implement the Stakeholder Engagement Plan (SEP) consistent	implementation.	
	with ESS10, including the use of different, culturally appropriate		
	communication approaches to ensure communication also with		
	the most vulnerable, including illiterate and people with		
	disabilities and hard to reach communities including areas with		
10.4	security concerns.	Throughout Project AF	Ministry of Hoolth Karain
10.4	CASE MANAGEMENT: In line with the SEP, the Project AF will	Throughout Project AF	Ministry of Health, Kenya
	ensure systematic case management, allowing communication	implementation.	
	between quarantined people and their relatives.		

MATER	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.5	GRIEVANCE MECHANISM: Accessible grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project AF, consistent with ESS10, in a manner acceptable to the Association.	Throughout Project AF implementation.	Ministry of Health, Kenya
CAPAC	ITY SUPPORT (TRAINING)		
11.1	Training topics for personnel involved in Project AF implementation will among others include:  COVID-19 Infection Prevention and Control Recommendations  Laboratory biosafety guidance related to the COVID-19  Specimen collection and shipment  Standard precautions for COVID-19 patients  Risk communication and community engagement  Grievance redress mechanisms  Security issues  WHO and Africa CDC guidelines on quarantine including case management	Throughout Project AF implementation.	Ministry of Health, Kenya