

INTEGRATED SAFEGUARDS DATA SHEET

IDENTIFICATION / CONCEPT STAGE

Report No.: ISDSC11021

Date ISDS Prepared/Updated: 22-Jul-2016

I. BASIC INFORMATION

A. Basic Project Data

Country:	World	Project ID:	P153588
Project Name:			
Team Leader(s):	Haddy Jatou Sey		
Estimated Date of Approval:	31-Oct-2016		
Managing Unit:		Lending Instrument:	IPF
Financing (in USD Million)			
Total Project Cost:	0.298532	Total Bank Financing:	0
Financing Gap:	0		
Financing Source			Amount
Readiness Fund of the Forest Carbon Partnership Facility			0.298532
Environment Category:	C - Not Required		

B. Project Development Objective(s)

The proposed objective of the project is to strengthen: (i) the knowledge of targeted southern civil society organizations and local communities of REDD+ Readiness at the national level; and (ii) knowledge exchange at the regional level

C. Project Description

T17. The proposed project will finance the CBP Phase II for CSOs and LC in Asia and the Pacific for a total of US\$298,532. The selected Recipient Organization for this project is Asia Network for Sustainable Agriculture and Bioresources (ANSAB), based in Nepal. Beneficiaries of regional exchange activities (under Component 2) will be CSOs and LCs in the eleven FCPF eligible countries in Asia and the Pacific, namely Bhutan, Cambodia, Fiji, Indonesia, Lao PDR, Nepal, Pakistan, Papua New Guinea, Thailand, Vanuatu and Vietnam. Of the eleven countries, only CSOs from Bhutan, Fiji, Pakistan, Papua New Guinea, Vanuatu and Vietnam will be eligible to participate in national-level activities (under Component 1). These countries are prioritized because:

- i. Their respective governments have signed Readiness Grant Agreements with the FCPF and started with the implementation of readiness (as confirmed by a Bank mission), thereby allowing the project to reinforce their REDD+ Readiness efforts ;

ii. They are not beneficiaries of the Global Dedicated Grant Mechanism (DGM) for Indigenous Peoples and Local Communities project of the FIP ; and

iii. They are not beneficiaries of FCPF Capacity Building Phase 1 funds.

18. The project will finance the following three components:

Component 1: National Capacity Building and Awareness Raising (Approx. US\$179,119)

Eligible countries: Bhutan, Fiji, Pakistan, Papua New Guinea, Vanuatu and Vietnam

19. Component 1 will support capacity building and awareness raising activities for CSOs and LC through sub-projects or consultancies (depending on the recipient's administrative capacity), with focus on women and youth. The options of issuing an open call for proposals and directly approaching potential recipients will be considered by ANSAB depending on the availability of qualified CSOs in each country; ANSAB may also communicate with national REDD+ platforms (where these exist) to seek proposals. The design and choice of sub-projects/consultancies will be informed by the key principle of gender balance (actively seeking a balance between female and male beneficiaries), and understanding of infrastructural constraints within communities' territories, especially those related to means and forms of communication. Capacity building activities will also be considered in view of past and present REDD+ capacity building programs in the region and elsewhere, and the use of existing manuals, training material, and other tools. The available budget is expected to support one sub-project/consultancy per country, and letters of consent will be sought from countries' ministries of finance before activities are approved by the Bank in order to ensure alignment with countries' wider engagement with the World Bank on climate change, REDD+ and forestry.

Component 2: Regional Level Activities and Dissemination of Project results (Approx. US\$89,560)

Eligible countries: Bhutan, Cambodia, Fiji, Indonesia, Lao PDR, Nepal, Pakistan, Papua New Guinea, Thailand, Vanuatu and Vietnam

20. The focus of Component 2 funding will be the design and implementation of a regional platform for information exchange and knowledge sharing between CSOs and LC in all eleven FCPF member countries, to be implemented by ANSAB using existing materials to the extent possible. This will be done by carrying out a regional learning and exchange workshop which will serve to: (i) disseminate available REDD+ knowledge products, including deliverables of Phase I of the CBP; (ii) discuss the relevance of traditional knowledge and practices for climate change and REDD+ strategies; (iii) give stage to most recent advances in various climate change processes in the region and globally; and (iv) raise participants' awareness of the project. ANSAB will also review its regional communication strategy and enhance it to serve the needs of the project, e.g., by developing a REDD+ webpage with knowledge products adjusted to CSO needs, and with project-related information. The Bank team will provide ongoing technical support to ANSAB's communication efforts with participating countries and regionally.

Component 3: Management and Administration (Approx. US\$29,853)

21. This component will finance the incremental management costs incurred by ANSAB during the implementation of the project. Project management will include procurement, financial

management, monitoring and evaluation (M&E) and reporting, and preparation of annual work plans and budgets acceptable to the World Bank. This component will also finance an audit of the project account by an external auditor and any costs related to the execution of a grievance redress mechanism

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D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

Under component 1, national capacity building sub-projects may take place in Bhutan, Fiji, Pakistan, Papua New Guinea, Vanuatu and Vietnam. Regional activities are likely to be coordinated in Nepal, where the implementing agency is based

E. Borrower's Institutional Capacity for Safeguard Policies

N/A

F. Environmental and Social Safeguards Specialists on the Team

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	No	<p>The Project will not finance physical investment activities on the ground, and it will therefore not have any direct environmental or social impacts. The project is only supporting upstream studies and analytical work to build the capacity of targeted forest- dependent indigenous peoples in the EAP and SAR regions to enhance their knowledge and understanding of REDD+. None of these activities will be implemented by government entities.</p> <p>For purposes of carrying out the activities, eligibility criteria, processes and procedures, acceptable to the Bank will be prepare to ensure that Bank's safeguard policies are considered in these studies and analytical work. In addition, policy provisions/requirements will be reflected in ToRs and reports for the relevant studies and analytical work. Examples of the type of activities that will be financed under the project are included below (please note that this is just an indicative list):</p> <ul style="list-style-type: none"> ? Research and analytical studies on social and environmental issues related to REDD+; ? Analytical studies on sustainable livelihoods in the context of REDD+ Readiness; ? Analytical studies on community-level

		<p>monitoring and other reporting related to REDD + process (to enhance transparency/ accountability).</p> <p>The criteria for eligible organizations, acceptable to the Bank, will be further refined and included in the project paper (and included in the operational manual). At this stage it has been agreed that target beneficiaries to be selected under component 1 are not-for-profit organizations of indigenous peoples or local communities, based in a FCPF participant country. Organizations applying for grants should be non-state actors and have legal status under relevant domestic laws. In addition, for organizations receiving sub-grants the Bank will conduct due diligence work to assess the organization's technical and fiduciary capacity. The Recipient will prepare an operational manual, acceptable to the Bank, which will include the criteria, procedures and processes for the selection of activities under the Project, including relevant provision to ensure that the Bank's safeguard policies are considered in accordance to OP 4.01.</p>
Natural Habitats OP/BP 4.04	No	This safeguard policy was not triggered because the project will not support activities involved in any impact on natural habitats
Forests OP/BP 4.36	No	This safeguard policy was not triggered because the project will not support activities involving physical investments in forests
Pest Management OP 4.09	No	This safeguard policy was not triggered because the project will not support activities involving pest management
Physical Cultural Resources OP/ BP 4.11	No	This safeguard policy was not triggered because the project will not support physical activities
Indigenous Peoples OP/BP 4.10	No	This Policy is not triggered as activities under the program from indigenous peoples will be implemented under a different Project (P153585). In any case, specific measures will be incorporated into the Project operational manual such as: (i) mechanisms to ensure broad community support; (ii) the active participation of key stakeholders; and (iii) access to grievance redress mechanisms.
Involuntary Resettlement OP/BP	No	The program will not involve any physical

4.12		infrastructure or civil works and will therefore not involve physical displacement or land acquisition.
Safety of Dams OP/BP 4.37	No	This safeguard policy was not triggered because the project will not support activities involving the construction or operation of new or existing dams.
Projects on International Waterways OP/BP 7.50	No	This safeguard policy was not triggered because the project will not support activities which affect international waterways.
Projects in Disputed Areas OP/BP 7.60	No	This safeguard policy was not triggered because the project will not support activities which affect international waterway in disputed areas.

III. SAFEGUARD PREPARATION PLAN

Appraisal stage ISDS required?: No

IV. APPROVALS

Team Leader(s):	Name: Haddy Jatou Sey	
<i>Approved By:</i>		
Safeguards Advisor:	Name: Maged Mahmoud Hamed (SA)	Date: 08-Aug-2016
Practice Manager/ Manager:	Name: Abdelaziz Lagnaoui (PMGR)	Date: 08-Aug-2016

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.