



# Environmental and Social Review Summary

## Appraisal Stage

### **(ESRS Appraisal Stage)**

Date Prepared/Updated: 11/28/2018 | Report No: ESRSA00024



**BASIC INFORMATION**

**A. Basic Project Data**

Country	Region	Project ID	Parent Project ID (if any)
Burkina Faso	AFRICA	P169486	
Project Name	Burkina Faso: Emergency Recurrent Cost Financing Project		
Practice Area (Lead)	Financing Instrument	Estimated Appraisal Date	Estimated Board Date
Governance	Investment Project Financing	11/2/2018	11/29/2018
Borrower(s)	Implementing Agency(ies)		
Burkina Faso	Ministry of Economy, Finance and Development		

Proposed Development Objective(s)

Support the Government in enhancing food security readiness and delivery of core services in health and education in the crises affected regions.

Financing (in USD Million)	Amount
<b>Total Project Cost</b>	<b>100.00</b>

**B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?**

Y

**C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]**

Burkina Faso is at the edge of plunging into fragility and is simultaneously plagued by a food security crisis, the worsening of the security situation, increased social tensions and an alarming slow-down of the economy. The proposed project is aimed at providing immediate measures that will set the foundations necessary to preventing Burkina Faso’s fragility trap while contributing to the sustainability of public sector effectiveness. A flexible response will be designed to respond to the crises. Firstly, the operation will provide direct financing of recurrent costs related to specific results linked to the government's response to the crisis. Support will be provided to enhance food security readiness through the replenishment the strategic food stock of the SONAGESS. Furthermore, the operation will finance recurrent costs, including salaries, towards delivery of core services in health and education in the crises



affected regions. The operation will also finance targeted technical assistance to improve the deployment of state resources, establish an Iterative Beneficiary Monitoring System and to improve the management of the SONAGES. The immediate implementation of this operation, will enable the government to ensure regular government function while addressing the different crisis dimensions.

**D. Environmental and Social Overview**

D.1. Project location(s) and salient characteristics relevant to the ES assessment [geographic, environmental, social] The project development objective is to strengthen state capacity to deliver core services and contribute to addressing the current crisis in the regions North, Sahel, Centre and East of Burkina-Faso. The project has four components: (i) payment of targeted recurrent costs including operating costs and salaries of non-security personnel in the Ministries in charge of health, education and agriculture related to the management of the crises (US\$73 million); (ii) contracting with World Food Program (WFP) to support SONAGESS in replenishing the national security stock (US\$21 million) (iii) technical assistance to targeted ministries (US\$4 million) (vi) project management (US\$2 million). The Ministry of Economy, Finance and Development (MINEFID) will be responsible for overall project implementation and for meeting the project’s objectives in liaison with the ministries in charge of education, health, civil service and SONAGESS, as well as other stakeholders. The project will finance recurrent operating expenditures including salary from the 2018 and 2019 budget contributing to addressing the crises and delivering basic services in the crisis affected in the three regions: Regions of North, Sahel, Center and East.

D. 2. Borrower’s Institutional Capacity

The borrower has an acceptable legal and regulatory environmental and social framework. The Ministry of Environment, Green Economy, and Climate Change (MEEVCC) oversees environmental issues. Among this ministry’s entities there is the BUNEE (Bureau National des Evaluations Environnementales) that oversees the approvals of environmental studies, monitoring and evaluation of such studies, and implementation at the national level.

**II. SUMMARY OF ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS**

**A. Environmental and Social Risk Classification (ESRC)**

Moderate

**Environmental Risk Rating**

Moderate

The expected environmental and social impacts of the project will be generally positive. The Project will not finance civil engineering works that usually can induce risks and/or negative environmental and social impacts. However, the implementation of the activities of components 1 (2 subcomponents) and 2 of this project could involve risks of exclusion of certain categories of people due to the inadequacies of the information system or the vulnerabilities among the project beneficiaries. The risk of exclusion of certain civil servants from targeted areas, in case of under-information is to be consider. In addition, the risks of insecurity arising from the grouping of pupils and teachers in the framework of the organization of catch up exams is also to be considered during the complementary environmental and social assessments. Therefore, an Environmental and Social Commitment Plan (ESCP) including the Stakeholder Engagement and information disclosure Plan (SEP) is required to agree on provisions to avoid or, where appropriate, minimize and mitigate risks and potential negative impacts.

**Social Risk Rating**

Moderate

The expected environmental and social impacts of the project will be generally positive. The Project will not finance civil engineering works that usually can cause negative environmental and social impacts. However, the

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implementation of the activities of components 1 and 2 of this project could involve risks of exclusion of certain categories of people due to the inadequacies of the information system or the vulnerabilities among the project beneficiaries. The risk of exclusion of certain civil servant from targeted areas, in case of under-information is to be consider. In addition, the risk of insecurity arising from the grouping of pupils and teachers in the framework of the organization of catch up exams is also to be considered during the complementary environmental and social assessments. Therefore, an Environmental and Social Commitment Plan (ESCP) including the Stakeholder Engagement and information disclosure Plan is required to agree on provisions to avoid or, where appropriate, minimize and mitigate risks and potential negative impacts.

## **B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered**

### **B.1. General Assessment**

#### **ESS1 Assessment and Management of Environmental and Social Risks and Impacts**

##### ***Overview of the relevance of the Standard for the Project:***

The expected environmental and social impacts of the project will be generally positive. The Project will not finance civil engineering works that usually can induce risks and/or negative environmental and social impacts. Environmental risks are expected to be minor, and their mitigation will be guided by national and local laws and procedures. However, the implementation of the activities of components 1 (two subcomponents) and 2 of this project, viewed from the broader perspective of security and other challenges in the region, could include social risks, such as the exclusion of certain categories of people due to the inadequacies of the information system, possible labor issues, and/or vulnerabilities among the project beneficiaries (e.g. dealing with the challenges of safety and security for children, especially girls, in the schools and health centers; ensuring the catch-up exams are in accessible and safe places; child abuse and/or Gender Based Violence (GBV) / Sexual Exploitation and Abuse (SEA) risks; collateral risks to education and health workers delivering services in insecure areas, etc.). There is also the risk of excluding vulnerable persons during the distribution of food by SONAGESS. Given the emergency nature of the operation, it will not be possible to conduct a social assessment prior to Board approval. The project will assess contextual and incremental social risks and impacts during implementation and propose mitigation measures, as and when necessary. A Social Management Plan may be prepared, as and when necessary. The project will also include measures to address Gender-Based Violence/Sexual Exploitation and Abuse, which will be elaborated upon through a plan for Gender Based Violence (GBV) / Sexual Exploitation and Abuse (SEA).

#### **ESS10 Stakeholder Engagement and Information Disclosure**

A Stakeholder Engagement and Information Disclosure Plan (SEIDP) will be developed and disclosed. This plan will address specific risks identified by stakeholders, including the exclusion of certain civil servants in target areas, risks to vulnerable peoples, security risks affecting delivery of services, etc.) and it will be updated as and when necessary. The objective is to establish a systematic approach for stakeholder engagement, maintain a constructive relationship with them, take into account stakeholders' views, promote and provide means for effective and inclusive engagement with project-affected parties throughout the project life-cycle, and ensure that appropriate project information is disclosed to stakeholders in a timely, understandable, accessible and appropriate manner. The project will also include a grievance mechanism to handle general complaints.



## **B.2. Specific Risks and Impacts**

**A brief description of the potential environmental and social risks and impacts relevant to the Project.**

### **ESS2 Labor and Working Conditions**

The PIU, at minimum, will include direct workers, who will need to meet requirements for: terms and conditions of employment; non-discrimination and equal opportunity; worker's organizations; child labor; forced labor; a grievance mechanism; and, occupational health and safety. Civil servants working in connection with the project full-time or part-time will remain subject to the terms and conditions of their existing public sector employment or agreement, unless there has been an effective legal transfer of their employment or engagement in the project. The project includes a condition of effectiveness for Labor Management Procedures for both the PIU staff and for education and health workers receiving salaries. The project will also include a grievance mechanism for labor disputes.

### **ESS3 Resource Efficiency and Pollution Prevention and Management**

Not relevant at this stage, as the project will not finance activities that involve energy efficiency or pollution prevention and management.

### **ESS4 Community Health and Safety**

The relevance of this Standard has been assessed as not currently relevant however, ongoing monitoring during project implementation will continue to assess the relevance of the ESS throughout its implementation, including through a plan for Gender Based Violence (GBV) / Sexual Exploitation and Abuse (SEA).

### **ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement**

The project is not financing activities that involve land acquisition, restrictions on land use, or involuntary resettlement.

### **ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources**

Not relevant at this stage, as the project does not involve biodiversity conservation and sustainable management of living natural resources.

### **ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities**

There are no Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities in the project area.

### **ESS8 Cultural Heritage**

Not relevant at this stage, as the project will not finance activities that will affect cultural heritage.



**ESS9 Financial Intermediaries**

This project is not an FI operation.

**B.3 Other Relevant Project Risks**

Not relevant.

**C. Legal Operational Policies that Apply**

**OP 7.50 Projects on International Waterways**

No

No safeguard policy is triggered. This is a category C project

**OP 7.60 Projects in Disputed Areas**

No

No safeguard policy is triggered. This is a category C project

**III. BORROWER’S ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

**ESS 1 Assessment and Management of Environmental and Social Risks and Impacts**

Prior to approval: - Preparation of the Environmental and Social Commitment Plan (ESCP), with measures for addressing the environmental, social and labor risks identified in the project.

**Proposed Time : 11/2018**

Prior to approval: - Preparation of a draft Stakeholder Engagement and Information Disclosure Plan (SEIDP).

**Proposed Time : 11/2018**

**ESS 2 Labor and Working Conditions**

Labor Management Procedures

**Proposed Time : 12/2018**

**ESS 3 Resource Efficiency and Pollution Prevention and Management**

**ESS 4 Community Health and Safety**

**ESS 5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement**

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ESS 6 Biodiversity Conservation and Sustainable Management of Living Natural Resources

ESS 7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities

ESS 8 Cultural Heritage

ESS 9 Financial Intermediaries

ESS 10 Stakeholder Engagement and Information Disclosure

SEIDP, as part of the Borrower's ESCP will focus on measures addressing governance and security risks in the distribution of food to community, especially for vulnerable peoples, and the fair treatment of workers.

**Proposed Time : 12/2018**

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**B.3. Reliance on Borrower’s policy, legal and institutional framework, relevant to the Project risks and impacts**

**Areas where reliance on the Borrower’s E&S Framework may be considered:**

The borrower has relevant regulation for environmental and social assessments that can be applied to the project. However, for the present project, it will be necessary to identify measures to mitigate the specific risks: eg improvement of the communication system and security conditions of regrouping, considering Gender and vulnerable persons, adoption of a code of conduct, etc.

**IV. CONTACT POINTS**

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**Borrower/Client/Recipient**

Borrower: Burkina Faso

**Implementing Agency(ies)**

Implementing Agency: Ministry of Economy, Finance and Development

**V. FOR MORE INFORMATION CONTACT**

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**VI. APPROVAL**

Task Team Leader(s): Veronique Kabongo, Ragnvald Michel Maellberg  
Safeguards Advisor ESSA Maman-Sani Issa (SAESSA) Cleared on 28-Nov-2018 at 14:12:58  
Practice Manager Alexandre Arrobbio (PMGR) Approved on 28-Nov-2018 at 16:21:39

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