

INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE

ISDS Prepared/Updated: November 26, 2015

I. BASIC INFORMATION

1. Basic Project Data

Country:	Vietnam	Project ID:	P155086
Project Name:	Technical Assistance for Results-Based Operation for Local Road Asset Management		
Task Team Leader:	Mitsuyoshi Asada/ Phuong Thi Minh Tran		
Estimated Appraisal Date:	Nov 20, 2015	Estimated Board Date:	Mar 24, 2016
Managing Unit:	GTIDR	Lending Instrument:	IPF (hybrid with PforR)
Sector:	Themes: Roads (90%), General Transportation Sector (10%)		
Theme:	Themes: Governance & Institution Building		
Financing (in USD Million)			
Financing Source			Amount
Borrower			0.00
International Bank for Reconstruction and Development			4.5
Total			4.5
Environmental Category	B		
Is this a Repeater project?	No		

2. Operation Objectives

This ISDS relates to the technical assistance (IPF) component 2 of the Results-Based Operation for Local Road Asset Management Project. The Program Development Objective (PDO) is to improve the road accessibility and bridge connectivity for the rural communities of the participating provinces in Vietnam.

3. Project Description

The IPF component Technical Assistance Project will consist of the following activities: a) activities related to the auditing of the Program b) activities related to the environmental and social safeguards monitoring, and c) activities related to the Road Asset Management Systems. The present ISDS covers activities a) and b).

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

a. The geographical scope of Project activities varies. There are many ethnic communities, in the mountainous and poorest areas where the activities related to monitoring will be implemented. The Project will specially target ethnic minorities, in the Northern Mountain, and Central Highland with a large ethnic minority population, differentiated cultural profiles, and customary traditions. Support will be provided to maximize the ethnic minority access and benefits in these lagging behind regions, with an approach that requires to be customized according to diversity across ethnic groups.

5. Team Environmental and Social Safeguards Specialists

Environmental specialist: Vu Thi Dieu Ly, Environmental Consultant
 Social Development Specialist: Nguyen Nghi Quy, Social Development, GSUUR
 Senior Social Development Specialist: Pilar Larreamendy, Social Safeguards Consultant

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	<p>LRAMP is a PforR Operation, therefore the assessment and management of environmental and socially related impacts will be dealt with through the LRAMP’s Environmental and Social Systems Assessment (ESSA).</p> <p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies under OP 4.01. As the umbrella policy, OP 4.01 on Environment Assessment covers all other relevant safeguards policies applicable under the TA.</p> <p>The TA objective of activities a) and b) is to strengthen environmental management capacity of the Directorate for Road of Vietnam and Project Management Units under the Ministry of Transport in order to ensure compliance to Vietnam environmental requirements and the application of good environmental practices in the Local Road Asset Management Program (LRAMP).</p> <p>As this is TA for borrower capacity building no</p>

		specific safeguards instrument is required. The World Bank will review the TORs to ensure that they are consistent with World Bank safeguards policies as applicable to this TA.
Natural Habitats OP/BP 4.04	No	<p>Potential impacts on natural habitats are dealt through the LRAMP's Environmental and Social Systems Assessment (ESSA) for the PforR Operation.</p> <p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies in particular OP 4.01 on Environment Assessment as the umbrella policy and related applicable policies.</p>
Forests OP/BP 4.36	No	<p>Potential impacts on forest if any are dealt through the LRAMP's Environmental and Social Systems Assessment (ESSA) for the PforR Operation.</p> <p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies in particular OP 4.01 on Environment Assessment as the umbrella policy and related applicable policies.</p>
Pest Management OP 4.09	No	<p>Potential impacts on Pest Management if any are dealt through the LRAMP's Environmental and Social Systems Assessment (ESSA) for the PforR Operation.</p> <p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies in particular OP 4.01 on Environment Assessment as the umbrella policy and related applicable policies.</p>
Physical Cultural Resources OP/BP 4.11	No	Potential impacts on Physical Cultural Resources are dealt through the LRAMP's Environmental and Social Systems Assessment (ESSA) for the PforR Operation.

		<p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies in particular OP 4.01 on Environment Assessment as the umbrella policy and related applicable policies.</p>
<p>Indigenous Peoples OP/BP 4.10</p>	<p>Yes</p>	<p>Potential impacts on Indigenous Peoples are dealt through the LRAMP's Environmental and Social Systems Assessment (ESSA) for the PforR Operation.</p> <p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies in particular OP 4.01 on Environment Assessment as the umbrella policy and related applicable policies.</p> <p>However, given that a large part of the TA will be focused on capacity building and monitoring to take into account Indigenous Peoples, the Op 4.10 on Indigenous Peoples is triggered.</p> <p>The ESSA concludes that the EMs legal framework is robust, while the implementation of the regulations related to EMs, information disclosure, and social participation at local level is not consistent with the policies in place. There is a lack of accountability due to the limited information flowing to communes and participation of EMs in decision making remains limited. Specific actions are needed to close these gaps.</p> <p>The TA capacity building and training activities will: Ensure the legislation is followed in practice, to support; Screening of potential socials impact through a participatory manner; improve the procedures in the provinces to take into account cultural appropriateness; Put in place adequate compensation mechanisms for affected households; ensure that complaints and grievances mechanism are effective when related to land donation practices in rural contexts with Ems and; Identify practices and opportunities to benefit from customary</p>

		<p>resources of EMs that are socially and culturally acceptable.</p> <p>As this is TA for borrower capacity building no specific safeguards instrument is required. The World Bank will review the TORs to ensure that they are consistent with World Bank safeguards policies as applicable to this TA.</p>
Involuntary Resettlement OP/BP 4.12	No	<p>Potential impacts related to land acquisition, involuntary resettlement are dealt through the LRAMP's Environmental and Social Systems Assessment (ESSA) for the PforR Operation.</p> <p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies in particular OP 4.01 on Environment Assessment as the umbrella policy and related applicable policies.</p>
Safety of Dams OP/BP 4.37	No	<p>Potential impacts related to the safety of dams are dealt through the LRAMP's Environmental and Social Systems Assessment (ESSA) for the PforR Operation.</p> <p>The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies in particular OP 4.01 on Environment Assessment as the umbrella policy and related applicable policies.</p>
Projects on International Waterways OP/BP 7.50	No	The Project will not affect international waterways.
Projects in Disputed Areas OP/BP 7.60	No	The Project will not be implemented in disputed areas.

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the project. Identify and describe any potential large scale, significant and/or irreversible impacts:

The TA and its associated project does not entail any potential large scale, significant and/or irreversible negative impacts. The potential impacts of the PforR Program of the Operation are addressed through the ESSA instrument. The key features of the TA component which trigger OP 4:01 on Environment Assessment as the safeguards umbrella policy and OP 4.10 are described in Section 6.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

The Project is not expected to cause any long-term negative impacts on the ground. On the contrary, it will strengthen the capacity of municipal government to manage social and environmental risks.

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.

Not applicable.

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.

The assessment of the borrower's capacity to plan and implement the measures described in the TA are contained in the LRAMP's Environmental and Social Systems Assessment (ESSA) as the project associated to the TA is a LRAMP is a PforR Operation.

The TA objective of activities a) and b) is to strengthen environmental management capacity of the Directorate for Road of Vietnam and Project Management Units under the Ministry of Transport in order to ensure compliance to Vietnam environmental requirements and the application of good environmental practices in the Local Road Asset Management Program (LRAMP).

The Technical Assistance (TA) will cover environmental and social management training and independent monitoring based upon the objectives and principles of the World Bank safeguards policies under OP 4.01. As the umbrella policy, OP 4.01 on Environment Assessment covers other relevant safeguards policies applicable under the TA.

The TA objective of activities a) and b) is to strengthen environmental management capacity of the Directorate for Road of Vietnam and Project Management Units under the Ministry of Transport in order to ensure compliance to Vietnam environmental requirements and the application of good environmental practices in the Local Road Asset Management Program (LRAMP).

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

Project key stakeholders are the staff of GoV agencies responsible for planning, implementing, monitoring and evaluating ethnic minority access and benefits in lagging behind regions at national level, with an approach that requires to be customized according to diversity across ethnic groups. Using the current arrangement, PMUs will arrange adequate staff time to ensure that social-related issues (land acquisition, land donation, gender mainstreaming, EM participation) will be properly tracked, monitored and reflected in the relevant Program report for documentation and tracking. A detailed scope or work for this unit/staff will be developed prior to the actual implementation of the Program.

The TA and PforR Program will use this same structure for the additional consultation process that will result in the development of key outputs outlined in the ESSA.

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
Indigenous Peoples Development Plan/Framework	
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting)

OP/BP/GP 4.01 - Environment Assessment	
Does the project require a stand-alone EA (including EMP) report?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> NA <input type="checkbox"/>
If yes, then did the Regional Environment Unit or Sector Manager (SM) review and approve the EA report?	Yes <input type="checkbox"/> No <input type="checkbox"/> NA <input type="checkbox"/>
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes <input type="checkbox"/> No <input type="checkbox"/> NA <input type="checkbox"/>
OP/BP 4.04 - Natural Habitats	
Would the project result in any significant conversion or degradation of critical natural habitats?	Yes <input type="checkbox"/> No <input type="checkbox"/> NA <input type="checkbox"/>
If the project would result in significant conversion or degradation of other (non-critical) natural habitats, does the project include mitigation measures acceptable to the Bank?	Yes <input type="checkbox"/> No <input type="checkbox"/> NA <input type="checkbox"/>
OP/BP 4.10 - Indigenous Peoples	
Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	Yes <input type="checkbox"/> No <input type="checkbox"/> NA <input checked="" type="checkbox"/>
If yes, then did the Regional unit responsible for safeguards or Sector Manager review the plan?	Yes <input type="checkbox"/> No <input type="checkbox"/> NA <input type="checkbox"/>

If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit or Sector Manager?	Yes [X] No [] NA []
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [] No [] NA [x]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [] No [] NA [x]
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [x] No [] NA []
Have costs related to safeguard policy measures been included in the project cost?	Yes [x] No [] NA []
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [x] No [] NA []
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [x] No [] NA []

III. APPROVALS

Task Team Leader:	Name: Mitsuyoshi Asada	
<i>Approved By:</i>		
Regional Safeguards Coordinator:	Name: Peter Leonard	Date: Nov 23, 2015
Practice Manager	Michel Kerf	Date: Nov 26, 2015