

INTEGRATED SAFEGUARDS DATA SHEET APPRAISAL STAGE

Report No.: ISDSA1052

Date ISDS Prepared/Updated: 20-Nov-2014

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I. BASIC INFORMATION

1. Basic Project Data

Country:	Uganda	Project ID:	P149286
Project Name:	Uganda Multisectoral Food Security and Nutrition Project (P149286)		
Task Team Leader:	Ziauddin Hyder		
Estimated Appraisal Date:	15-Oct-2014	Estimated Board Date:	31-Dec-2014
Managing Unit:	GFADR	Lending Instrument:	Investment Project Financing
Sector(s):	Health (50%), Agricultural extension and research (50%)		
Theme(s):	Nutrition and food security (100%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	27.64	Total Bank Financing:	0.00
Financing Gap:	0.00		
Financing Source			Amount
Borrower			0.00
Global Agriculture and Food Security Program			27.64
Total			27.64
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

The Project Development Objective (PDO) is to increase production and consumption of micronutrient-rich foods and utilization of community-based nutrition services in smallholder households in project areas.

3. Project Description

The proposed project will support GoU efforts to improve child nutrition through nutrition

interventions across multiple sectors at national and district levels yet to implement selected interventions within each respective sector emphasizing existing systems, budgets, and accountability structures in eligible districts.

COMPONENT 1. DELIVERY OF MULTI-SECTORAL NUTRITION SERVICES AT PRIMARY SCHOOL AND COMMUNITY LEVELS

The objective of this component is to improve nutrition functions of (i) community-based institutions; (ii) primary schools; (iii) agriculture extension mechanisms; and (iv) village health teams (VHTs) in line with UNAP and the three sector strategic plans. The activities supported are organized broadly by the lead responsible sector, although there will be overlap in activities given the cross-cutting nature of the interventions and the differing roles and capacities of each sector.

Sub-component 1.1 Community sensitization and establishment/strengthening of community-based institutions (Lead entity: Districts)

This sub-component will support: (i) operational costs for initial sensitization, formation, mobilization, and facilitation of Parent Groups (PGs) from the communities surrounding selected primary schools; (ii) two “lead farmers” (LF) from each PG who will coordinate mobilization and participation of the PGs in the school demonstration plots and other project activities; (iii) provision of necessary seeds and planting materials, tools, and fertilizers for the LFs to establish community-based multiplication and distribution mechanisms to increase community access to improved seeds and vines.

Sub-component 1.2 Enhancing nutrition services delivered through primary schools (Lead sector: Education)

This sub-component will support: (i) “School Nutrition Committee” establishment/strengthening to develop and implement a Primary School Nutrition Action Plan (PSNAP), including demonstration garden selection and oversight activities; (ii) nutrition education, food preparation, food safety, and hygiene practices deworming for school children and weekly iron folic acid tablets for female students 11 years or older (iii) selection and oversight .

Sub-component 1.3 Agriculture support for school-based nutrition services (Lead Sector: Agriculture)

This sub-component will support: (i) engagement of MAAIF agriculture crop extension specialists with selected primary schools in development of the PSNAP; (ii) agricultural design and technical and procurement support for the primary school demonstration gardens; (iii) technical and procurement support to Lead Farmers and communities in expanding production of promoted crops; (iv) and delivery of a pre-developed curriculum based on the UNAP priorities.

Sub-Component 1.4 Strengthened nutrition services through Village Health Teams (VHTs) (Lead Sector: Health)

This sub-component will support: (i) engagement of MOH specialists with selected primary schools in development of the PSNAP; (ii) MOH/VHT focus on nutrition behavior communications, monthly community-based growth monitoring and promotion of children under 23 months; (ii) MOH/VHT provision of IFA supplements for pregnant/lactating women, deworming during pregnancy, and zinc

supplements for children 6 -59 months; (iii) MOH/VHT coordination of MOH nutrition policies in communities; (iv) MOH promotion of the use of nutrition related health services and improved practices; (v) curriculum development and regular MOH primary school nutrition education sessions for students (and PG demonstrations) will be included in the PSNAP and necessary inputs can be procured by the School Nutrition Committee.

COMPONENT 2 STRENGTHENING CAPACITY TO DELIVER NUTRITION INTERVENTIONS

This component will support: (i) district wide project stakeholder initial sensitization training and refresher training; (ii) consultancy services to develop necessary training materials for extension agents, primary school and community workers including workshops to finalize training and support materials, and printing and distribution of necessary support materials for each sector; (iii) sector-specific technical training for relevant district, primary school and community personnel given by Master Trainers; and (iv) supportive supervision and nutrition monitoring at district level and below.

COMPONENT 3. PROJECT MANAGEMENT, MONITORING, EVALUATION AND KNOWLEDGE GENERATION

This component will include initiatives to: (i) ensure project management and coordination; and (ii) support monitoring, evaluation at all levels, knowledge generation and management, and dissemination of findings within Uganda and globally. This component will finance goods, services, and specified incremental operating costs (for all components).

Monitoring of activities at district level and below in each sector will be reported by that respective sector. Sectors may decide to simultaneously provide information through sectoral channels and to the district. Information must be provided to the district which will compile, consolidate and produce reports by the project Nutrition Focal point, shared with the DNCC (under supervision of the CAO) and reported to the National Project Coordination Unit in MAAIF.

Sub-component 3.1 Project management and coordination

This sub-component will support: (i) project-related implementation capacity, including support for the designated project coordinator and key additional staff needed in areas such as fiduciary and M&E specialists who make up the Project Coordination Unit (housed within MAAIF); (ii) additional activities and related expenditures for central ministries not currently readily handled by their procurement and financial management systems, including management information systems, as well as auditing, and reporting; (iii) strengthening district and sub-entities fiduciary management and service delivery contracting capabilities, including staff training, as well as supplemental operational funds to carry out explicit project-related mandates.

Sub-component 3.2 Project monitoring, evaluation, and knowledge generation:

This sub-component will support: (i) active strategic planning and cross-coordination of project activities, execution and adjustments by agriculture, health and education sectors; (ii) development and implementation of a consolidated project system for regular project activity and fiduciary monitoring reporting; (iii) surveys to provide baseline, midline and endline values, ultimately for impact evaluation purposes; and (iv) policy analysis and operational research.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The project will be implemented in 20 selected districts that have a combined score of below 10 for highest stunting prevalence and lowest prevalence of adequate diversity from 7 agro-ecological zones: Southwest Farmland (IX), Highland Ranges (X), Northwest Savannah Grassland (III), Kyoga Plains (V), Lake Victoria Crescent (VI), Western Savannah Grassland (VII), and Pastoral Grassland (VIII). The 20 were obtained from the 41 potential Agriculture Cluster Development Project (ACDP) areas under the 12 clusters across ten agro-ecological zones. The 41 districts were ranked based on the following: (i) High prevalence of stunting in under five children; and (ii) Low dietary diversity. There are limited negative environmental and social impacts resulting from this project due to the establishment of demonstration gardens at selected schools and lead farmers premises that may apply the use of pesticides and fertilizers. A pest management plan as part of the ESMF has been prepared and disclosed to address potential related issues.

5. Environmental and Social Safeguards Specialists

Mary C.K. Bitekerezzi (GSURR)

Herbert Oule (GENDR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	Yes	Component 1 involves establishment and operation of demonstration gardens of selected farmer groups and primary schools, of selected crops. In the process, pesticides may be used to enhance production of selected crops. Since the Multisectoral Food Security and Nutrition Project is closely linked with ACDP under preparation in that both projects will be implemented in the same Cluster Districts, Environmental and Social Management Framework (ESMF) for ACDP was revised to cover the MNP. The ESMF has been disclosed before appraisal, both at infoshop and in-country. Specific guidance on the handling, use of pesticides and disposal of empty pesticide containers shall be included in the Project Operational Manual.
Natural Habitats OP/BP 4.04	No	N/A. The Project will be implemented in Primary Schools Land and selected farmers' gardens. It will therefore not affect any Natural Habitat.
Forests OP/BP 4.36	No	N/A. The project will not support activities which may affect any forest.
Pest Management OP 4.09	Yes	Component 1 involves establishment and operation of demonstration gardens of selected farmer groups and primary schools, of selected crops. In the process, pesticides may be used to enhance production of selected crops. A Pest Management Plan has been prepared as part of

		the ESMF. Specific guidance on the handling, use of pesticides and disposal of empty pesticide containers shall be included in the Project Operational Manual.
Physical Cultural Resources OP/BP 4.11	No	N/A. The project does not involve any civil works that would affect PCRs.
Indigenous Peoples OP/BP 4.10	No	N/A. The target project areas under ACDP from which the project will draw participating districts does not have known IPs in Uganda.
Involuntary Resettlement OP/BP 4.12	No	N/A. Schools that will be selected to host the demonstration gardens shall be chosen after confirming availability of at least one half acre of available arable land within the school boundaries and therefore there will be no land acquisition and therefore OP4.12 is not triggered.
Safety of Dams OP/BP 4.37	No	N/A. The project does not concern dams.
Projects on International Waterways OP/BP 7.50	No	N/A. No international waterways will be affected by the project.
Projects in Disputed Areas OP/BP 7.60	No	N/A. The project will not be implemented in disputed areas.

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:
<p>The salient physical project activities relevant to safeguard analysis apply to Components 1 which involve establishment and operation of demonstration gardens both in selected progressive farmer homes and at primary schools. However, these demonstration gardens are expected to be limited in size to half acre per selected school or homestead and may involve use of fertilizers and pesticides which may generate some environmental, health, safety and social issues. However, the use of pesticides will be very limited because not all schools shall establish demos, and those that may, will have only 0.5 acre demo garden. Therefore the environmental impact will be of very low-intensity, minor, site specific at primary school vegetable gardens and as inputs for the use of smallholder Lead Farmers. Schools that will be selected to host the demonstration gardens shall be chosen after confirming availability of at least one half acre of available arable land within the school boundaries and therefore there will be no land acquisition and therefore OP4.12 is not triggered. The respective District Agricultural Extension services shall be rendered to the schools and selected farmers to provide guidance for the management of the demonstration gardens. Therefore, two safeguard policies OP 4.01 Environmental Assessment and OP 4.09 Pest Management have been triggered and the project is recommended EA category B– Partial Environmental Assessment.</p>
2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:
None

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.
Not applicable
4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.
<p>The Multisectoral Nutrition Project is closely linked with the Bank-financed ACDP (P145037) under preparation in that both projects will be implemented in the same Cluster Districts. Environmental and Social Management Framework (ESMF) which also includes a Pest Management Plan (PMP) for ACDP has been revised to cover the MNP. In addition, specific guidance on the handling, use of pesticides and disposal of empty pesticide containers shall be included in the Project Operational Manual for the Multisectoral Nutrition Project. OP 4.01 will also address social aspects related to the inclusion of the vulnerable groups of people in project activities. Through the community based participatory processes the project will ensure inclusion of all target groups. The ESMF has been disclosed before appraisal, both at the Bank Infoshop and in-country.</p> <p>The implementing agencies that include MAAIF and MoES have no staff to address environment related concerns. Therefore since MAAIF will be implementing both this project and its related ACDP, the hiring of an Environment Officer is a priority. This has already been provided for under PCU for ACDP. The staff when in place will be provided with targeted and focused training to help build their knowledge and skills to address environmental and social issues arising out of project activities.</p> <p>The local governments have District Environment Officers and Community Development Officers who will support project activities to address both environmental and inclusion related concerns.</p>
5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.
<p>The key stakeholders for this project are the government ministries including MAAIF, MoES, MoH and the selected local government and communities in the catchment area of the selected schools for demonstration purposes. The various stakeholder groups were consulted during project design to better identify their roles, and will continue to be consulted on the issues arising out of pesticide use during project implementation.</p>

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	20-Oct-2014
Date of submission to InfoShop	19-Nov-2014
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
"In country" Disclosure	
Uganda	19-Nov-2014
<i>Comments:</i>	
Pest Management Plan	
Was the document disclosed prior to appraisal?	Yes
Date of receipt by the Bank	20-Oct-2014
Date of submission to InfoShop	19-Nov-2014

"In country" Disclosure	
Uganda	19-Nov-2014
<i>Comments:</i>	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	
NA	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment			
Does the project require a stand-alone EA (including EMP) report?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
If yes, then did the Regional Environment Unit or Practice Manager (PM) review and approve the EA report?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
OP 4.09 - Pest Management			
Does the EA adequately address the pest management issues?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Is a separate PMP required?	Yes [<input type="checkbox"/>]	No [<input checked="" type="checkbox"/>]	NA [<input type="checkbox"/>]
If yes, has the PMP been reviewed and approved by a safeguards specialist or PM? Are PMP requirements included in project design? If yes, does the project team include a Pest Management Specialist?	Yes [<input type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input checked="" type="checkbox"/>]
The World Bank Policy on Disclosure of Information			
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
All Safeguard Policies			
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]

III. APPROVALS

Task Team Leader:	Name: Ziauddin Hyder	
<i>Approved By</i>		
Practice Manager/ Manager:	Name: Tijan M. Sallah (PMGR)	Date: 11-Dec-2014