

INTEGRATED SAFEGUARDS DATA SHEET

IDENTIFICATION / CONCEPT STAGE

Report No.: ISDSC11020

Date ISDS Prepared/Updated: 23-Nov-2015

I. BASIC INFORMATION

A. Basic Project Data

Country:	Multi-Regional	Project ID:	P153585
Project Name:	FCPF-Capacity Building on REDD+ for Forest-Dependent Indigenous Peoples in EAP and SAR Project		
Team Leader(s):	Haddy Jatou Sey		
Estimated Date of Approval:	29-Feb-2016		
Managing Unit:	GSU02	Lending Instrument:	Lending Instrument
Sector(s):	Forestry (100%)		
Theme(s):	Participation and civic engagement (30%), Indigenous peoples (30%), Social Inclusion (40%)		
Financing (in USD Million)			
Total Project Cost:	0.49075	Total Bank Financing:	0
Financing Gap:	0		
Financing Source			Amount
Readiness Fund of the Forest Carbon Partnership Facility			0.49075
Environment Category:	B - Partial Assessment		

B. Project Development Objective(s)

The objective of the project is to build the capacity of targeted forest-dependent indigenous peoples in the EAP and SAR regions to enhance their knowledge and understanding of REDD+, thereby allowing them to increase their participation in REDD+ processes at the national level.

C. Project Description

The project will finance the following three main components (detailed implementation arrangements are included under Implementing Agency Information):

Component 1: Capacity Building Activities for Forest-Dependent Indigenous Peoples (Approx. US \$294,450)

This component will support the implementation of capacity-building activities for forest-dependent indigenous peoples to (i) enhance their understanding and knowledge of REDD+, (ii) promote their participation in the preparation and implementation of REDD+ activities, (iii) enhance their

participation in discussions of the role of REDD+ in climate change mitigation, (iv) support capacity building/enhancement of forest-dependent indigenous peoples' platforms and networks at the national level that engages with REDD+ and climate change, and (v) enhance indigenous women's participation in REDD+ activities. Capacity building activities under this component include the carrying out of training and workshops and the preparation of studies, analytical reports, and learning materials.

Under this component, forest-dependent indigenous people's organizations/institutions are eligible for the implementation of capacity building activities (through direct implementation and/or sub-grants) in their respective FCPF countries (Bhutan, Cambodia, Fiji, Indonesia, Lao PDR, Nepal, Pakistan, Papua New Guinea, Thailand, Vanuatu and Vietnam). Eligible countries will be selected based on criteria and procedures, acceptable to the World Bank. This criteria will included provisions to ensure coordination within the World Bank, including, inter alia, that REDD+ countries benefitting from the Dedicated Grant Mechanism (DGM) of the Forest Investment Program (FIP), will not be eligible for support from the project. This approach is an attempt to ensure that as many eligible organizations and countries can benefit from the DGM and CBP, while avoiding any potential duplications under the two programs.

Component 2: Regional level activities and Dissemination of Project results (Approx. US \$147,225)

This component will finance regional-level activities and the design and implementation of a communication strategy to disseminate at the country and regional level, the results of activities carried out by the project, including the preparation of good practices and lessons learned, aimed at addressing capacity building needs of forest-dependent indigenous peoples at all levels so as to foster their effective engagement in REDD+. The dissemination of results will target fellow indigenous peoples' organizations and networks in countries in the region participating in the FCPF. Communication strategies will draw upon existing resources, relationships, and networks to the maximum extent possible this will include a regional learning and exchange forum.

Activities under this component will finance dissemination of information, regional level network forums on REDD+, and the publication of materials (produced in appropriate languages, where necessary). Data on women and youth participation will be highlighted at the regional level.

Component 3: Management and Administration (Approx. US\$49,075)

Under this component, support will be provided for Tebtebba's day-to-day project implementation and management including, procurement, financial management, preparation of annual work plans and organization of audit reports. It will also support overhead incremental costs incurred as a result of the implementation of the project.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

Under component 1, forest-dependent indigenous people's organizations/institutions are eligible for the implementation of capacity building activities (through direct implementation and/or sub-grants) in their respective FCPF countries (Bhutan, Cambodia, Fiji, Indonesia, Lao PDR, Nepal, Pakistan, Papua New Guinea, Thailand, Vanuatu and Vietnam). Eligible countries and activities will be selected based on criteria, processes and procedures, acceptable to the World Bank. This criteria will included provisions to ensure coordination within the World Bank, including, inter alia, that REDD+ countries benefitting from the Dedicated Grant Mechanism (DGM) of the Forest Investment Program

(FIP), will not be eligible for support from the project. This approach is an attempt to ensure coordination between the FIP's Dedicated Grant Mechanism and the CBP, and to ensure that as many eligible organizations and countries can benefit from the DGM and CBP, while avoiding any potential duplications under the two programs.

E. Borrower's Institutional Capacity for Safeguard Policies

The Recipient organization has been contracted by the Bank in the past as a vendor in 2008 to deliver the first FCPF Asia Region consultation with indigenous peoples, and in 2011 to deliver the Global Conference on Community-Based Mapping in Mexico. Tebtebba implemented all activities in a manner fully satisfactory to the World Bank. In moving forward this project, Tebtebba would continue to receive capacity building and training to ensure further understanding of the World Bank's environmental and social safeguard policies and its responsibilities regarding compliance. In addition, the task team will work on assessing the capacity of the Recipient to screen, scope and oversee compliance with the safeguard policies, and to incorporate relevant elements of the policies to ensure the participation of stakeholders in the design and implementation of the capacity building activities.

F. Environmental and Social Safeguards Specialists on the Team

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	Yes	<p>The Project will not finance physical investment activities on the ground, and it will therefore not have any direct environmental or social impacts. OP 4.01 is triggered as the umbrella policy to ensure that the Bank's safeguard policies are considered in these studies and analytical work. The project is only supporting upstream studies and analytical work to build the capacity of targeted forest-dependent indigenous peoples in the EAP and SAR regions to enhance their knowledge and understanding of REDD+. None of these activities will be implemented by government entities.</p> <p>For purposes of carrying out the activities, eligibility criteria, processes and procedures, acceptable to the Bank will be prepared to ensure that Bank's safeguard policies are considered in these studies and analytical work. In addition, policy provisions/requirements will be reflected in ToRs and reports for the relevant studies and analytical work. Examples of the type of activities that will be financed under the project are included below (please note that this is just an indicative list)</p> <ul style="list-style-type: none"> • Research and analytical studies on social and environmental issues related to

		<p>REDD+;</p> <ul style="list-style-type: none"> Analytical studies on sustainable livelihoods in the context of REDD+ Readiness; Analytical studies on community-level monitoring and other reporting related to REDD + process (to enhance transparency/ accountability). <p>The criteria for eligible organizations, acceptable to the Bank, will be further refined and included in the project paper (and included in the operational manual). At this stage it has been agreed that target beneficiaries to be selected under component 1 are not-for-profit organizations of indigenous peoples or local communities, based in a FCPF participant country. Organizations applying for grants should be non-state actors and have legal status under relevant domestic laws. In addition, for organizations receiving sub-grants the Bank will conduct due diligence work to assess the organization's technical and fiduciary capacity. The Recipient will prepare an operational manual, acceptable to the Bank, which will include the criteria, procedures and processes for the selectin of activities under the Project, including relevant provision to ensure that the Bank's safeguard policies are considered in accordance to OP 4.01.</p>
Natural Habitats OP/BP 4.04	No	Activities would be screened using OP 4.01 to ensure that the Bank's safeguard policies are considered in these studies and analytical work.
Forests OP/BP 4.36	No	Activities would be screened using OP 4.01 to ensure that the Bank's safeguard policies are considered in the proposed studies and analytical work under the project.
Pest Management OP 4.09	No	Activities would be screened using OP 4.01 to ensure that the Bank's safeguard policies are considered in the proposed studies and analytical work under the project.
Physical Cultural Resources OP/ BP 4.11	No	Activities would be screened using OP 4.01 to ensure that the Bank's safeguard policies are considered in the proposed studies and analytical work under the project.
Indigenous Peoples OP/BP 4.10	Yes	OP/BP 4.10 is triggered as the majority of direct beneficiaries are indigenous peoples as per the criteria of the Policy. In any case, a separate

		IPPF and site-specific IPPs will not be prepared since the project targets indigenous peoples. It is expected that the implementation of this project will result in significant positive benefits to indigenous peoples, as activities to be implemented include capacity building training and enhancing their knowledge base for their active participation in REDD+ processes within their respective countries. Activities would be screened using OP 4.01 to ensure that the Bank's safeguard policies are considered in the proposed studies and analytical work under the project (as per the operational manual). In addition, all of the proposed activities are upstream, focusing on building the capacity of indigenous peoples, and are not undertaken by government entities. The project is only supporting upstream studies and analytical work to build the capacity of targeted forest-dependent indigenous peoples in the EAP and SAR regions to enhance their knowledge and understanding of REDD+. None of these activities will be implemented by government entities.
Involuntary Resettlement OP/BP 4.12	No	The program will not involve any physical infrastructure or civil works and will therefore not involve physical displacement or land acquisition. Activities would be screened using OP 4.01 to ensure that the Bank's safeguard policies are considered in the proposed studies and analytical work under the project.
Safety of Dams OP/BP 4.37	No	This safeguard policy was not triggered because the project will not support activities involving the construction or operation of new or existing dams.
Projects on International Waterways OP/BP 7.50	No	This safeguard policy was not triggered because the project will not support activities which affect international waterways.
Projects in Disputed Areas OP/BP 7.60	No	This safeguard policy was not triggered because the project will not support activities which affect international waterway in disputed areas.

III. SAFEGUARD PREPARATION PLAN

Appraisal stage ISDS required?: No

IV. APPROVALS

Team Leader(s):	Name: Haddy Jatou Sey
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<i>Approved By:</i>		
Safeguards Advisor:	Name: Peter Leonard (SA)	Date: 01-Dec-2015
Practice Manager/ Manager:	Name: Bassam Ramadan (PMGR)	Date: 01-Dec-2015

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.