

**PROJECT INFORMATION DOCUMENT (PID)  
IDENTIFICATION/CONCEPT STAGE**

Report No.: PIDC16663

<b>Project Name</b>	FCPF-Capacity Building on REDD+ for Forest-Dependent Indigenous Peoples in EAP and SAR Project
<b>Region</b>	OTHER
<b>Country</b>	Multi-Regional
<b>Sector(s)</b>	Forestry (100%)
<b>Theme(s)</b>	Participation and civic engagement (30%), Indigenous peoples (30%), Social Inclusion (40%)
<b>Lending Instrument</b>	Lending Instrument
<b>Project ID</b>	P153585
<b>Borrower Name</b>	Indigenous Peoples' International Centre for Policy Research and Education (Tebtebba)
<b>Implementing Agency</b>	Tebtebba
<b>Environment Category</b>	B - Partial Assessment
<b>Date PID Prepared</b>	23-Nov-2015
<b>Estimated Date of Approval</b>	29-Feb-2016
<b>Initiation Note Review Decision</b>	The review did authorize the preparation to continue

## I. Introduction and Context

### Country Context

The Forest Carbon Partnership Facility (FCPF). The FCPF, which became operational in June 2008, (The World Bank assumes the functions of trustee, secretariat, and implementing entity of the FCPF. More information about the FCPF can be found at <http://forestcarbonpartnership.org/>) is a global partnership focused on reducing emissions from deforestation and forest degradation, promoting forest carbon stock conservation, furthering the sustainable management of forests, and enhancing forest carbon stocks (REDD+). A REDD+ Country Participant is a developing country located in a subtropical or tropical area that has signed a Participation Agreement to participate in the FCPF Readiness Fund. Forty-seven (47) developing countries that have been selected to join the FCPF (including 11 in EAP and SAR) are expected to undertake activities that lay the foundation for sustainable forest management and sustainable land use management. REDD+ thus presents an opportunity to assist countries to undertake a participatory national forest and land use planning exercise to mitigate the impacts of deforestation and forest degradation, including from developments in other sectors (such as agriculture, infrastructure, and energy).

FCPF-Capacity Building on REDD+ for Forest-Dependent Indigenous Peoples in EAP and SAR Project (P153585). Under the FCPF Readiness Fund, the FCPF has created a framework and processes for REDD+ readiness, which help countries prepare for future systems of positive incentives for REDD+. Concrete activities under readiness include the development of Reference Levels (Reference scenario" refers to a scenario that reasonably represents the volume of emissions from an emissions reduction Program, expressed in tons of carbon dioxide equivalent per year,

relative to which emission reductions are measured, reported, and verified) the development of a REDD+ strategy, the design of monitoring systems for reporting and verification, the establishment of REDD+ national management arrangements, and the identification of social and environmental impacts associated with REDD+. During the implementation of these activities, concerted efforts have been made to ensure the active engagement and participation of relevant stakeholders, including, forest dependent indigenous peoples. Activities under this project are expected to complement national processes by building the capacity of indigenous peoples to engage meaningfully in REDD+.

### **Sectoral and Institutional Context**

Forest-dependent indigenous people's engagement in the FCPF. The United Nations Framework Convention on Climate Change (UNFCCC) Conference of the Parties 16 (CoP 16) decision on REDD+ calls for the full and effective participation of indigenous peoples and local communities and the need to respect their traditional knowledge and rights. The FCPF, prior to its operational beginning, organized regional dialogues with forest-dependent indigenous peoples and other forest dwellers to solicit their views on the FCPF and REDD+. As a result of this dialogue, additional consultations at the national, regional and global level have taken place. In addition, forest-dependent indigenous peoples play a critical role in the FCPF decision making process serving as official observers to the FCPF governing bodies. Indigenous peoples' observers are self-selected by their respective regional constituencies to represent them at the FCPF Participants Committee (PC), the FCPF Participant Assembly (PA) meetings and Carbon Fund Meetings, expressing their views on issues under discussion.

Forest-dependent indigenous people's involvement in REDD+. Forest dependent indigenous peoples in Asia have been engaged in national REDD+ planning and formulation and played an active role in partnering with governments to implement consultation activities, including representation as part of the national REDD+ technical bodies responsible for REDD+ decision making. Strengthened consultation and participation has also helped to publicize and increase the understanding of forest-dependent indigenous peoples' views and potential contributions to REDD+'s design and implementation. For example, Indonesia has established the well-planned inclusion of forest-dependent indigenous peoples and civil society organizations in readiness consultations, including partnering with the National Forestry Council (DKN), a multi-stakeholder representative body designed to organize consultative processes and provide policy advice to the government. In addition, other FCPF countries in Asia, including Fiji, Indonesia, Nepal, Thailand, and Vanuatu, have indigenous peoples' representatives as part of their national REDD+ technical bodies and/or national institutional arrangements for REDD+.

The FCPF Capacity Building Program. As part of the Guna Yala Action Plan of Indigenous Peoples, a dialogue with Asia-Pacific indigenous peoples was conducted by the FCPF in Chiang Mai, Thailand, on September 25-28, 2012. The Dialogue raised a number of concerns with respect to REDD+ in general and the FCPF in particular, including the need for (i) full and effective participation of forest-dependent indigenous peoples in REDD+ design at national levels, (ii) the FCPF to address the issue of customary land ownership and carbon rights in REDD+, (iii) the FCPF to address the issue of benefit sharing and how forest communities would benefit from REDD+ implementation, and (iv) the FCPF to address the issue of forest governance and ensure that transparent and accountable systems are put in place at national levels to enable the effective participation of forest-dependent indigenous peoples and civil society in REDD+ processes at the

national, regional, and international levels. As a result of the Dialogue, a request was made to the FCPF to support a capacity building program for indigenous peoples, with the objective of enhancing their engagement on REDD+ activities at the national and regional level (the FCPF Capacity Building Program).

By addressing the drivers of deforestation and forest degradation, the FCPF is expected to achieve benefits that go beyond climate change mitigation, including poverty reduction and biodiversity promotion, and enhancing forest communities' resilience to climate variability. The objective of the FCPF Capacity Building Program is to provide forest-dependent indigenous peoples, civil society organizations, and local communities with information, knowledge, and awareness to enhance their understanding of REDD+, thus enabling them to engage more meaningfully in the implementation of REDD+ activities. Promoting the participation and meaningful engagement of forest-dependent indigenous peoples, local communities and southern civil society organization in REDD+, facilitates their active engagement in (i) informing national REDD+ strategies, (ii) engaging in consultation and participation processes in REDD+ readiness in the respective countries, (iii) contributing to the analysis of land tenure, benefit distribution, and governance that are pertinent in the region, (iv) designing and contributing to the implementation of social and environmental safeguards, and (v) monitoring and evaluating REDD+ implementation.

The FCPF Capacity Building Program provides support for activities in REDD+ countries participating in the FCPF and has funded from fiscal year (FY) 09 to FY14 a total of 18 organizations representing forest-dependent indigenous peoples totaling \$1.2 million dollars. Proposals for capacity building activities from FY09 to FY14 were processed through the World Bank's corporate procurement system. Under this system, such organizations were selected and contracted as consultants to carry out specific capacity building activities in line with the overall objectives of the Program.

In moving forward with implementation of the FCPF Capacity Building Program, the Facility Management Team (FMT) presented to the Participant's Committee (PC) in June 2012 (PC12) the conclusions resulting from internal discussions held at the World Bank in relation to the Program, including (i) discontinuing use of the World Bank's corporate procurement system for its management by June 30, 2013, and (ii) implementing new activities under the Program in accordance with the World Bank's Procedure and Note on Small Recipient-Executed Trust Fund Grants Note that under the vendor system, organizations were hired as consultants, implementing capacity building based on outputs and with limited oversight during implementation of the activities. As a result, after a thorough internal review of the vendor system, a transition toward recipient-executed trust fund grants was recommended, allowing the World Bank to supervise project activities. At a technical level, FMT Note 2012-5 provided the following recommendations in moving forward with the Program:

- As countries are starting to engage relevant stakeholder groups in developing their REDD+ strategies, substantial awareness must be raised and capacity building is required for people at the grassroots to participate meaningfully in the national dialogue during which critical issues with implications for their livelihoods will be discussed.
- It is critical to engage forest-dependent indigenous peoples in all phases of REDD+ (i.e., readiness, investments, and performance-based payments).
- Capacity building is needed at the national, regional and global levels to build a knowledge base of forest-dependent indigenous peoples and civil society organizations on climate change and REDD+.

thus empowering them to translate global knowledge into actionable plans at the national level.

### **Relationship to CAS/CPS/CPF**

The World Bank's strategy in East Asia and the Pacific. The Strategy focuses on managing the effects of climate change and disaster risk, rapid urbanization, improvement of governance and institutions, and encouragement of private-sector-led growth to create jobs is a critical task toward the reduction of poverty and building of shared prosperity. It is anticipated that the implementation of activities financed by this project continue to address national development goals and the respective countries' broader engagement with the World Bank on climate change, REDD+, and forestry.

The World Bank's strategy for South Asia. The Strategy supports its overarching goals to reduce poverty and boost shared prosperity. The strategy is based on increasing employment and accelerating growth, enhancing human development and social welfare, strengthening governance and accountability, reducing weather, disaster, and food vulnerability, and enhancing regional integration and cooperation. One main pillar for regional integration is the support of regional networks to promote cooperation by sharing information and building institutional capacity through analysis, dialogue, and capacity building. It is anticipated that the implementation of activities financed by this project continue to address national development goals and the respective countries' broader engagement with the World Bank on climate change, REDD+, and forestry.

In line with the World Bank's Strategy in South Asia and East Asia and the Pacific, the activities under the project are expected to (i) directly support both the climate change and the shared prosperity agenda by strengthening the capacity of indigenous peoples to participate in REDD+ activities, (ii) further the regions' priority area of inclusion and empowerment by enabling indigenous peoples' participation in REDD+, (iii) enhance regional integration and cooperation by disseminating good practices and lessons learned to support the capacity-building needs of indigenous peoples at all levels for their effective engagement in all aspects of REDD+, and (vi) strengthen the capacity of indigenous peoples to benefit from REDD+, including the sustainable management of biodiversity, the provision of alternative livelihoods, and the equitable sharing of revenues generated from emissions reductions, thus contributing to human development and social welfare.

## **II. Project Development Objective(s)**

### **Proposed Development Objective(s)**

The objective of the project is to build the capacity of targeted forest-dependent indigenous peoples in the EAP and SAR regions to enhance their knowledge and understanding of REDD+, thereby allowing them to increase their participation in REDD+ processes at the national level.

### **Key Results**

PDO level indicators:

- (i) Number of capacity building activities at the country and regional level that successfully completed and achieved their intended objectives.
- (ii) Number of knowledge products developed under the project at the national and regional level.
- (iii) Number of forest-dependent indigenous peoples communities involved or participating in the capacity building activities.
- (iv) Number of activities aiming to strengthen forest-dependent indigenous people's platforms for engaging with REDD+ in FCPF participating countries in EAP and SAR.
- (v) Number of workshop, training materials, studies and analytical reports aimed at mainstreaming the concerns and interests of women and youth.

### **III. Preliminary Description**

#### **Concept Description**

The project will finance the following three main components (detailed implementation arrangements are included under Implementing Agency Information):

Component 1: Capacity Building Activities for Forest-Dependent Indigenous Peoples (Approx. US \$294,450)

This component will support the implementation of capacity-building activities for forest-dependent indigenous peoples to (i) enhance their understanding and knowledge of REDD+, (ii) promote their participation in the preparation and implementation of REDD+ activities, (iii) enhance their participation in discussions of the role of REDD+ in climate change mitigation, (iv) support capacity building/enhancement of forest-dependent indigenous peoples' platforms and networks at the national level that engages with REDD+ and climate change, and (v) enhance indigenous women's participation in REDD+ activities. Capacity building activities under this component include the carrying out of training and workshops and the preparation of studies, analytical reports, and learning materials.

Under this component, forest-dependent indigenous people's organizations/institutions are eligible for the implementation of capacity building activities (through direct implementation and/or sub-grants) in their respective FCPF countries (Bhutan, Cambodia, Fiji, Indonesia, Lao PDR, Nepal, Pakistan, Papua New Guinea, Thailand, Vanuatu and Vietnam). Eligible countries will be selected based on criteria and procedures, acceptable to the World Bank. This criteria will included provisions to ensure coordination within the World Bank, including, inter alia, that REDD+ countries benefitting from the Dedicated Grant Mechanism (DGM) of the Forest Investment Program (FIP), will not be eligible for support from the project. This approach is an attempt to ensure that as many eligible organizations and countries can benefit from the DGM and CBP, while avoiding any potential duplications under the two programs.

Component 2: Regional level activities and Dissemination of Project results (Approx. US \$147,225)

This component will finance regional-level activities and the design and implementation of a communication strategy to disseminate at the country and regional level, the results of activities carried out by the project, including the preparation of good practices and lessons learned, aimed at addressing capacity building needs of forest-dependent indigenous peoples at all levels so as to

foster their effective engagement in REDD+. The dissemination of results will target fellow indigenous peoples' organizations and networks in countries in the region participating in the FCPF. Communication strategies will draw upon existing resources, relationships, and networks to the maximum extent possible this will include a regional learning and exchange forum.

Activities under this component will finance dissemination of information, regional level network forums on REDD+, and the publication of materials (produced in appropriate languages, where necessary). Data on women and youth participation will be highlighted at the regional level.

Component 3: Management and Administration (Approx. US\$49,075)

Under this component, support will be provided for Tebtebba's day-to-day project implementation and management including, procurement, financial management, preparation of annual work plans and organization of audit reports. It will also support overhead incremental costs incurred as a result of the implementation of the project.

#### IV. Safeguard Policies that Might Apply

Safeguard Policies Triggered by the Project	Yes	No	TBD
Environmental Assessment OP/BP 4.01	x		
Natural Habitats OP/BP 4.04		x	
Forests OP/BP 4.36		x	
Pest Management OP 4.09		x	
Physical Cultural Resources OP/BP 4.11		x	
Indigenous Peoples OP/BP 4.10	x		
Involuntary Resettlement OP/BP 4.12		x	
Safety of Dams OP/BP 4.37		x	
Projects on International Waterways OP/BP 7.50		x	
Projects in Disputed Areas OP/BP 7.60		x	

#### V. Financing (in USD Million)

Total Project Cost:	0.49075	Total Bank Financing:	0
Financing Gap:	0		
<b>Financing Source</b>			<b>Amount</b>
Readiness Fund of the Forest Carbon Partnership Facility			0.49075

#### VI. Contact point

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