

# INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

**Report No.:** ISDSC8844

**Date ISDS Prepared/Updated:** 11-Jun-2014

**Date ISDS Approved/Disclosed:** 17-Jun-2014

## I. BASIC INFORMATION

### A. Basic Project Data

<b>Country:</b>	Mexico	<b>Project ID:</b>	P147185
<b>Project Name:</b>	Mexico School Based Management Project (P147185)		
<b>Task Team Leader:</b>	Rafael E. De Hoyos Navarr		
<b>Estimated Appraisal Date:</b>	23-Jun-2014	<b>Estimated Board Date:</b>	22-Oct-2014
<b>Managing Unit:</b>	GEDDR	<b>Lending Instrument:</b>	Investment Project Financing
<b>Sector(s):</b>	Primary education (90%), Public administration- Education (10%)		
<b>Theme(s):</b>	Education for all (40%), Participation and civic engagement (40%), Managing for development results (20%)		
<b>Financing (In USD Million)</b>			
<b>Total Project Cost:</b>	785.00	<b>Total Bank Financing:</b>	300.00
<b>Financing Gap:</b>	0.00		
<b>Financing Source</b>			<b>Amount</b>
Borrower			485.00
International Bank for Reconstruction and Development			300.00
Total			785.00
<b>Environmental Category:</b>	C - Not Required		
<b>Is this a Repeater project?</b>	No		

### B. Project Objectives

The objective of the Project is to strengthen school based management, schools' managerial capacity and parental participation to increase retention and reduce repetition rates in PEC schools.

### C. Project Description

The proposed Project would consist of three Components: i) School grants to eligible schools to support the implementation of school improvement plans that have been agreed with parents

association; ii) Technical assistance to improve schools' managerial capacity, and iii) Project monitoring, management, and evaluation.

Component 1 (US\$250 million) School Grants. Support to PEC through the provision of school grants to eligible schools to implement school improvement plans that have been previously discussed and agreed with parents association.

Component 2 (US\$40 million) Technical Assistance to Improve Managerial Capacity. Component 2 would include activities to improve schools' managerial capacities. The school supervisor will be responsible for providing schools the necessary tools, technical assistance and advice to improve management practices. The supervisor's diagnosis will be based on a newly developed instrument to measure school director's managerial capacity. The instrument will identify the most important deficits in school directors' managerial capacity vis-à-vis a set of standards defined by the Secretariat of Education (Secretaría de Educación Pública, SEP). The intervention to schools will focus on challenging areas of managerial skills that are highly correlated with the quality of education services in a context of school autonomy. The following two new subcomponents will be supported:

(i) Subcomponent 2.1: Design of the toolkit for supervisors and school directors to improve schools' management practices.

(ii) Subcomponent 2.2: Technical support and training in the use of toolkits to improve school management practices and, in general, the functioning of the system of technical assistance to the school "SATE" (for its acronym in Spanish).

Component 3 (US\$10 million) Monitoring, Management and Evaluation. This subcomponent would make the Project's structure easier to monitor while giving monitoring and evaluation a prominent role. The following two subcomponents will continue to be supported:

(i) Subcomponent 3.1: Technical assistance to States to improve adherence to PEC's Operating Rules. The activities carried out under the umbrella of technical assistance to the States include supervision visits, national and regional meetings, training and workshops, intended to support States implementing units' understandings of the operating, financial and implementation rules of the Program.

(ii) Subcomponent 3.2: A new impact evaluation, using randomized control trials, will be implemented in selected States to estimate and quantify the effect of the intervention supported by the Project. The outcome variables will include intermediate results, or indicators directly linked with the intervention, such as school directors' managerial capacity and, long term indicators measuring the quality of education services: efficiency rates, dropouts, repetition and learning outcomes of a standardized test.

#### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

The Project focuses on strengthening schools' managerial capacity and promoting parent participation in school activities. To support this effort, the Project would provide grants to support schools' improvements plans, offer technical assistance, and would support the Project's monitoring system. None of the Project's activities are likely to cause adverse environmental impacts. Grants would support minor works (such as maintenance and repairs of schools' existing facilities) that would not cause any adverse environmental impact. These minor works would be conducted

according to environmental, health, and safety guidelines included within the Operational Rules of PEC. Therefore, the Project has been categorized as Category C and OP/BP 4.01 Environmental Assessment is not triggered.

The Project would have a national coverage with a focus on marginal and poor rural localities and in selected poor urban areas. Because of this targeting, the Project includes as potential beneficiaries indigenous communities that represent around 10% of the national population and are among the poorest in the country. Hence, OP/BP 4.10 Indigenous Peoples applies to the Project; accordingly, an Indigenous Peoples Plan (IPP) is being prepared. A consultation process of the IPP will be conducted and completed before Appraisal following this safeguard's principles, as well as national regulations established by the National Indigenous Commission (CDI) in charge of indigenous affairs in Mexico. After consultation, the final IPP will be disclosed following the Bank's Disclosure Policy.

### **E. Borrowers Institutional Capacity for Safeguard Policies**

PEC Coordination, the executing agency, has the institutional capacity to manage OP/BP 4.10 triggered by the Project. Through the implementation of Indigenous Peoples Plan under the previous operations, PEC Coordination has been able to develop its own capacity to comply with the Indigenous Peoples safeguard. PEC staff is directly updating the IPP and defining its participation strategy and will conduct its consultation.

### **F. Environmental and Social Safeguards Specialists on the Team**

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
Environmental Assessment OP/BP 4.01	No	None of the Project's activities is likely to cause any adverse environmental impacts. However, minor works financed by the Project would be implemented according to the environmental, health and safety guidelines included within the PEC operational rules, which are mandatory for all participating agencies.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	
Indigenous Peoples OP/BP 4.10	Yes	The Project has a national coverage focusing on marginal poor areas in which indigenous communities are usually located. Therefore, the Project triggers this safeguard policy. An Indigenous Peoples Plan is under preparation. Consultation of the IPP will be conducted before Appraisal. The final IPP will be

		disclosed according to the Bank's Disclosure Policy.
Involuntary Resettlement OP/BP 4.12	No	The Project does not include any activity that may cause involuntary resettlement.
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

### III. SAFEGUARD PREPARATION PLAN

**A. Tentative target date for preparing the PAD Stage ISDS:** 18-Jun-2014

**B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing<sup>1</sup> should be specified in the PAD-stage ISDS:**

The IPP is currently under preparation, including the design of the consultation process that has been scheduled to be conducted in the following weeks. Both activities will be completed and the IPP will be published before Appraisal.

### IV. APPROVALS

Task Team Leader:	Name: Rafael E. De Hoyos Navarr	
<b><i>Approved By:</i></b>		
Regional Safeguards Coordinator:	Name: Glenn S. Morgan (RSA)	Date: 17-Jun-2014
Sector Manager:	Name: Reema Nayar (SM)	Date: 17-Jun-2014

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.