

INTEGRATED SAFEGUARDS DATA SHEET

IDENTIFICATION / CONCEPT STAGE

Report No.: ISDSC14940

Date ISDS Prepared/Updated: 02-Oct-2015

I. BASIC INFORMATION

A. Basic Project Data

Country:	East Asia and Pacific	Project ID:	P156884
Project Name:	Establishment of ISSAI Facilitators Pool for ASEANSAI Members		
Team Leader(s):	Unggul Suprayitno		
Estimated Date of Approval:	09-Nov-2015		
Managing Unit:	GGODR	Lending Instrument:	Lending Instrument
Sector(s):	General public administration sector (100%)		
Theme(s):	Public expenditure, financial management and procurement (100%)		
Financing (in USD Million)			
Total Project Cost:	0.325	Total Bank Financing:	0
Financing Gap:	0		
Financing Source		Amount	
Borrower		0	
Supreme Audit Institutions Capacity Development Fund		0.325	
Environment Category:	C - Not Required		

B. Project Development Objective(s)

The proposed development objective is to strengthen the capacity of members of ASEANSI through accelerated implementation of ISSAIs in each member country.

C. Project Description

The project will consist of three components: (1) Workshop on ISSAI implementation focusing on Internal Control Systems and Risk-Based Audit; (2) Certification of ISSAI Facilitators; (3) Monitoring and Evaluation.

Component 1: Workshop on ISSAI implementation focusing on Internal Control Systems (IC) and Risk-Based Audit (RBA). Based on the needs identified in Bandung on August 18, 2014 that identified challenges to implement some standards. A workshop for 30 financial auditors will be held on the theme IC/RBA Workshop. The workshop will be delivered by the existing 11 ASEANSI ISSAI Facilitators. They will be supported by subject matter expert from SAI Sweden and Training Specialists from IDI. The workshop will be followed by a pilot audit implemented by the participants in their respective SAIs. Assistance will be provided to the SAIs who are unable to apply ISSAI on the pilot audit through twinning of with a more experienced SAI. Thus establishing a mechanism for

peer learning will supplement the classroom workshop. The workshop and the pilot audit will provide the participants with a good basis of theoretical and practical knowledge on ISSAI implementation. This will be complemented through the second component with further training on ISSAI and facilitation skills in order to award an IDI-Certificate to the 30 financial auditors.

Component 2: Certification- Using IDI training materials, PIU will organize the certification of the 30 financial auditors. It will be imparted by IDI staff. The training will be on Training of Trainers (TOT) mode and the trained facilitators will then share their knowledge with auditors inside their respective organizations. The certified auditors will integrate the ASEANSI ISSAI Facilitators Pool to share knowledge and sustain the ISSAI implementation.

Component 3: Monitoring and Evaluation - The database on the certified ISSAI facilitators will be maintained on ASEANSI website. A year after the implementation of the grant, an analysis will be carried out to review the ISSAI implementation. If the project is successful, a second project could be considered to increase the Pool of ISSAI Facilitators further.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The Project Management Unit will be in ASEANSI secretariat that is located in Jakarta, Indonesia. However, the project's activities may take place in other capital city of ASEANSI member countries. There is no activities that relevant to the Bank safeguard policy.

E. Borrower's Institutional Capacity for Safeguard Policies

Since all project activities do not have direct link to the Bank safeguard requirements. Hence the recipient does not need to fulfill safeguard requirement or establish institutional capacity for safeguard policies.

F. Environmental and Social Safeguards Specialists on the Team

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	No	The project will not finance any civil works nor do the activities target any local communities.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/ BP 4.11	No	
Indigenous Peoples OP/BP 4.10	No	
Involuntary Resettlement OP/BP 4.12	No	
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

III. SAFEGUARD PREPARATION PLAN

Appraisal stage ISDS required?: No

IV. APPROVALS

Team Leader(s):	Name: Unggul Suprayitno	
<i>Approved By:</i>		
Safeguards Advisor:	Name: Peter Leonard (SA)	Date: 02-Oct-2015
Practice Manager/ Manager:	Name: Roberto Tarallo (PMGR)	Date: 02-Oct-2015

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.