

## PROCUREMENT PLAN

**Project information:** India; Mumbai Urban Transport Project 3 [P159782]

**Project Implementation agency:** Mumbai Railway Vikas Corporation (Public Sector Unit of Ministry of Railways, Govt. of India)

**Date of the Procurement Plan:** August 26, 2017

**Period covered by this Procurement Plan:** 18 months

### Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

***The Bank’s Standard Procurement Documents:*** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

**National Procurement Arrangements:** National competition for the procurement of goods, works and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3 – 5.5 of Section V of the Regulations and the following provisions:

(a) Only the model bidding documents agreed with the GoI task force (and as amended for time to time) shall be used for bidding.

(b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily, among others, giving the website/electronic portal details from which the details of the invitation to bid can be downloaded) at least 30 days before the deadline for the submission of bids.

(c) No special preferences will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises, or enterprises from any given state.

(d) Extension of bid validity shall not be allowed with reference to contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks and (ii) for all subsequent requests for extension irrespective of the period (such

concurrency will be considered by the Bank only in cases of force majeure and circumstances beyond the control of the purchaser/employer).

(e) Rebidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a predetermined margin or 'bracket' of prices shall not be used in the project.

(f) Rate contracts entered into by the Directorate General of Supplies and Disposals (DGS&D) will not be acceptable as a substitute for national competition procedures unless incorporation of right to audit and fraud corruption clauses. DGS&D contracts and its new version will be acceptable, however, for any procurement under the shopping procedures.

(g) No negotiations are conducted even with the lowest evaluated responsive bidders.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

***Leased Assets*** May be procurement. If used, the details of items will be included in PPSD.

***Procurement of Second Hand Goods:*** Not Applicable

***Domestic preference as specified under paragraph 5.51*** of the Procurement Regulations.

Goods: is applicable for those contracts identified in the Procurement Plan tables;

Works: is not applicable

**Other Relevant Procurement Information.**

All contracts not covered under prior review by the Bank will be subject to post review during implementation support missions and/or special post review missions, including missions by consultants hired by the Bank. To avoid doubts, the Bank may conduct, at any time, Independent Procurement Reviews of all the contracts financed under the loan.

Even for Post Review cases, the inputs of Bank on Technical Specifications / TORs will be obtained by project.

**PROCUREMENT PLAN India : Mumbai Urban Transport Project 3**

**General Information**  
**Country:** India **Bank / Approval Date of the Original Procurement Plan:** 2017-07-26  
**Revised Plan Date(s): (comma delineated, leave blank if none)** 2017-08-31  
**Project ID:** P159782 **GPN Date:**  
**Project Name:** Mumbai Urban Transport Project 3  
**Loan / Credit No.:**  
**Executing Agency(ies):** Mumbai Railway Vikas Corporation

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

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Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
INMRV/MUTP III/CONSULTANCY/CC/01/2017 / Selection of Consultant for "Providing General Consultancy Services (GC) for MUTP III Projects covering Bid Process Management and Project Management Services"			Prior	Quality And Cost-Based Selection	Open - International		12,000,000.00	0.00	Under Review	2017-07-26		2017-08-09		2017-09-04				2017-10-16		2017-11-15		2017-12-20		2018-01-24		2023-07-24	
INMRV/C-26584-CS-OCRS / Providing consultancy for ascertaining the maintenance facility requirement on western and Central railway for increased holding of emu rakes other MUTP 3 and other projects			Post	Quality And Cost-Based Selection	Open - International		330,000.00	0.00	Pending Implementation	2017-08-31		2017-09-21		2017-11-04				2017-12-02		2018-01-01		2018-02-05		2018-03-12		2019-03-12	
INMRV/C-26897-CS-OCRS / CONSULTANCY FOR TESTING ROLLING STOCK LEASING MARKET FOR PROCUREMENT OF EMU TRAINS FOR MUMBAI SUBURBAN			Post	Quality And Cost-Based Selection	Open - International		125,000.00	0.00	Pending Implementation	2017-09-29		2017-10-20		2017-12-03				2017-12-31		2018-01-30		2018-03-06		2018-04-10		2019-04-10	
INMRV/C-08363-CS-OCRS / Providing consultancy for design of car body interior furnishing/cable layout and harnessing for EMU rakes of MUTP-3.			Prior	Quality And Cost-Based Selection	Open - International		2,017,951.00	0.00	Pending Implementation	2017-09-15		2017-10-06		2017-11-19				2017-12-17		2018-01-16		2018-02-20		2018-03-27		2019-03-27	

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual