

PROCUREMENT PLAN

Project information: India; Mumbai Urban Transport Project 3 [P159782]

Project Implementation agency: Mumbai Railway Vikas Corporation (Public Sector Unit of Ministry of Railways, Govt. of India)

Date of the Procurement Plan: August 26, 2017

Period covered by this Procurement Plan: 18 months

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: National competition for the procurement of goods, works and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3 – 5.5 of Section V of the Regulations and the following provisions:

(a) Only the model bidding documents agreed with the GoI task force (and as amended for time to time) shall be used for bidding.

(b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily, among others, giving the website/electronic portal details from which the details of the invitation to bid can be downloaded) at least 30 days before the deadline for the submission of bids.

(c) No special preferences will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises, or enterprises from any given state.

(d) Extension of bid validity shall not be allowed with reference to contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks and (ii) for all subsequent requests for extension irrespective of the period (such

concurrency will be considered by the Bank only in cases of force majeure and circumstances beyond the control of the purchaser/employer).

(e) Rebidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a predetermined margin or 'bracket' of prices shall not be used in the project.

(f) Rate contracts entered into by the Directorate General of Supplies and Disposals (DGS&D) will not be acceptable as a substitute for national competition procedures unless incorporation of right to audit and fraud corruption clauses. DGS&D contracts and its new version will be acceptable, however, for any procurement under the shopping procedures.

(g) No negotiations are conducted even with the lowest evaluated responsive bidders.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets May be procurement. If used, the details of items will be included in PPSD.

Procurement of Second Hand Goods: Not Applicable

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations.

Goods: is applicable for those contracts identified in the Procurement Plan tables;

Works: is not applicable

Other Relevant Procurement Information.

All contracts not covered under prior review by the Bank will be subject to post review during implementation support missions and/or special post review missions, including missions by consultants hired by the Bank. To avoid doubts, the Bank may conduct, at any time, Independent Procurement Reviews of all the contracts financed under the loan.

Even for Post Review cases, the inputs of Bank on Technical Specifications / TORs will be obtained by project.

PROCUREMENT PLAN India : Mumbai Urban Transport Project 3

General Information
Country: India **Bank / Approval Date of the Original Procurement Plan:** 2017-07-26
Project ID: P159782 **Revised Plan Date(s): (comma delineated, leave blank if none)** 2018-05-25
Project Name: Mumbai Urban Transport Project 3 **GPN Date:**
Loan / Credit No.:
Executing Agency(ies): Mumbai Railway Vikas Corporation

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--|-------------------|-----------|-------------|------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|------------------------|-----------------------------------|--------|------------------------------------|--------|--|------------|--|------------|-----------------------------|--------|---|------------|--|------------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| MRVCMTCMNCB/MUTP-III/3 / Mid Section Trespass Control Measures in Mumbai Suburban Section of Central and Western Railway under - Mumbai Urban Transport Project -III - Construction of Foot Bridges over Railway Track, Passenger Platforms, Station & other Building, fencing, Etc. | | | Prior | Request for Bids | Open - National | Single Stage - One Envelope | | 33,015,400.00 | 0.00 | Under Implementation | | | | | 2017-12-21 | 2018-01-03 | 2017-12-28 | 2018-01-03 | | | 2018-02-06 | 2018-02-28 | 2018-04-07 | 2018-04-08 | 2018-05-12 | | 2019-11-10 | |
| MRVCMTCMNCB/MUTP-III/3/R1 / Procurement of "Mid-section Trespass Control measures in Mumbai Suburban Section of Central & Western Railways" under MUMBAI URBAN TRANSPORT PROJECT -III in three lots | | | Prior | Request for Bids | Open - National | Single Stage - One Envelope | | 28,400,000.00 | 0.00 | Under Implementation | | | | | 2018-04-16 | | 2018-04-21 | | | | | 2018-06-05 | | 2018-08-04 | | 2018-09-08 | | 2020-05-30 |
| MRVCRFP/MUTP-3/2016/99/R1 / Procurement of Mid-section Trespass Control measures in Mumbai Suburban Section of Central & Western Railways through 3 lots | | | Prior | Request for Bids | Open - National | Single Stage - Two Envelope | | 26,778,000.00 | 0.00 | Pending Implementation | | | | | 2018-05-29 | | 2018-06-03 | | | | | 2018-07-15 | | 2018-09-27 | | 2018-11-01 | | 2020-07-30 |

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| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | | |
| INMRVC-27623-GO-RFB / procurement of maintenance machines for traction distribution | | | Prior | Request for Bids | Open - International | Single Stage - One Envelope | | 12,462,047.00 | 0.00 | Under Implementation | | | | | 2017-09-12 | 2018-02-26 | 2017-10-12 | | | | | 2017-12-05 | | 2018-03-05 | | 2018-04-09 | | 2020-04-04 |
| INMRVC-42294-GO-RFB / PROCUREMENT OF ESCALATORS AND ELEVATORS FOR VARIOUS LOCATIONS/STATIONS OF CENTRAL AND WESTERN RAILWAY | | | Prior | Request for Bids | Open - International | Single Stage - One Envelope | | 6,000,000.00 | 0.00 | Under Implementation | | | | | 2018-01-05 | | 2018-01-10 | | | | | 2018-02-21 | | 2018-03-23 | | 2018-04-27 | | 2018-10-24 |

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | | |

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Expression of Interest Notice | | Short List and Draft Request for Proposals | | Request for Proposals as Issued | | Opening of Technical Proposals / Minutes | Evaluation of Technical Proposal | Combined Evaluation Report and Draft Negotiated Contract | | Signed Contract | | Contract Completion | | |
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| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned |
| MRVCMUTP-III/CONSULTANCY/GC/01/2017 / Selection of Consultant for "Providing General Consultancy Services (GC) for MUTP-III Projects covering Bid Process Management and Project Management Services | | | Prior | Quality And Cost-Based Selection | Open - International | | 12,000,000.00 | 0.00 | Under Implementation | 2017-07-26 | | 2017-08-09 | | 2017-09-04 | | | | 2017-10-16 | | 2017-11-15 | | 2017-12-20 | | 2018-01-24 | | 2023-07-24 |
| INMRVC-24687-CS-QCBS / CONSULTANCY FOR TESTING ROLLING STOCK LEASING MARKET FOR PROCUREMENT OF EMU TRAINS FOR MUMBAI SUBURBAN | | | Post | Quality And Cost-Based Selection | Open - International | | 125,000.00 | 0.00 | Under Implementation | 2017-09-29 | | 2017-10-20 | 2017-09-08 | 2017-12-03 | 2018-04-04 | | | 2017-12-31 | | 2018-01-30 | | 2018-03-06 | | 2018-04-10 | | 2019-04-10 |
| INMRVC-24684-CS-QCBS / Providing consultancy for ascertaining the maintenance facility requirement on western and Central railway for increased holding of emu rakes after MUTP-3 and other projects | | | Post | Quality And Cost-Based Selection | Open - International | | 330,000.00 | 0.00 | Under Implementation | 2017-08-31 | 2017-09-08 | 2017-09-21 | 2017-09-29 | 2017-11-04 | 2018-04-11 | | | 2017-12-02 | | 2018-01-01 | | 2018-02-05 | | 2018-03-12 | | 2019-03-12 |
| INMRVC-26362-CS-QCBS / Providing consultancy for design of car body interior furnishing/cable layout and harnessing for EMU rakes of MUTP-3. | | | Prior | Quality And Cost-Based Selection | Open - International | | 2,017,951.00 | 0.00 | Under Implementation | 2017-09-15 | | 2017-10-06 | 2017-09-15 | 2017-11-19 | 2018-02-09 | | | 2017-12-17 | | 2018-01-16 | | 2018-02-20 | | 2018-03-27 | | 2019-03-27 |

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| INMRVC-26850-CS-QCBS / Consultancy for Environmental Impact Assessment (EIA) of Parvel-Virar Suburban Corridor Project. | | | Post | Quality And Cost-Based Selection | Open - National | | 250,000.00 | 0.00 | Under Implementation | 2017-09-11 | 2017-09-15 | 2017-10-02 | 2017-09-28 | 2017-11-15 | 2018-01-20 | | | 2017-12-13 | 2018-02-28 | 2018-01-12 | 2018-04-06 | 2018-02-16 | | | 2018-03-23 | 2019-03-23 |
| INMRVC-27597-CS-QCBS / Providing Project Management Consultancy for implementation of ENTERPRISE RESOURCE PLANNING (ERP) based online application at MRVC. | | | Post | Quality And Cost-Based Selection | Open - National | | 312,400.00 | 0.00 | Under Implementation | 2017-09-15 | 2017-09-14 | 2017-10-06 | | 2017-11-30 | | | | 2017-12-30 | | 2018-01-29 | 2018-02-26 | | | 2018-04-02 | 2019-06-26 | |
| INMRVC-31052-CS-QCBS / Consultancy for 'Study of Benchmarking environmental performance of Mumbai Suburban Rail System.' | | | Post | Quality And Cost-Based Selection | Open - International | | 80,000.00 | 0.00 | Under Implementation | 2017-10-05 | | 2017-11-10 | 2017-10-24 | | 2018-02-08 | 2018-03-23 | | | 2018-03-25 | | 2018-05-09 | 2018-06-23 | | | 2018-07-18 | 2019-01-18 |
| INMRVC-36077-CS-QCBS / Selection of Consultant for 'Feasibility study for implementation of CBTC on Mumbai suburban railway network and preparation of detailed project report for implementation of CBTC on Harbour and Trans Harbour line of Mumbai suburban section' | | | Post | Quality And Cost-Based Selection | Open - International | | 616,400.00 | 0.00 | Under Implementation | 2017-11-13 | 2017-12-29 | 2017-12-04 | 2017-12-29 | 2018-01-15 | 2018-05-25 | | | | 2018-02-14 | | 2018-03-16 | 2018-04-20 | | | 2018-05-25 | 2018-11-23 |
| INMRVC-42988-CS-QCBS / Selection of Consultant for Social Impact Assessment (SIA) and preparation of Social Management Plan (SMP) for Parvel-Virar New Suburban Corridor Project | | | Post | Quality And Cost-Based Selection | Open - National | | 62,000.00 | 0.00 | Under Implementation | 2018-01-15 | | 2018-02-05 | 2018-01-20 | 2018-03-21 | 2018-04-16 | | | | 2018-04-18 | | 2018-05-18 | 2018-06-22 | | | 2018-07-27 | 2019-07-27 |
| INMRVC-43078-CS-QCBS / Selection of Consultant for Preliminary Consultancy (PC) for preliminary designs and assistance in procurement for Parvel-Virar New Suburban Corridor Project | | | Post | Quality And Cost-Based Selection | Open - National | | 1,790,000.00 | 0.00 | Pending Implementation | 2018-01-15 | | 2018-02-05 | | 2018-03-21 | | | | | 2018-04-18 | | 2018-05-18 | 2018-06-22 | | | 2018-07-27 | 2019-07-27 |

INDIVIDUAL CONSULTANTS

| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | | | | | | | | | |
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