



Indonesia National Slum Upgrading Project (P154782)

EAST ASIA AND PACIFIC | Indonesia | Social, Urban, Rural and Resilience Global Practice Global Practice | IBRD/IDA | Investment Project Financing | FY 2017 | Seq No: 3 | ARCHIVED on 28-Oct-2017 | ISR29802 |

Implementing Agencies: Ministry of Public Works and Housing, Ministry of Finance

Key Dates

Key Project Dates

Bank Approval Date:12-Jul-2016

Effectiveness Date:11-Oct-2016

Planned Mid Term Review Date:04-Mar-2019

Actual Mid-Term Review Date:--

Original Closing Date:31-Mar-2022

Revised Closing Date:31-Mar-2022

Project Development Objectives

Project Development Objective (from Project Appraisal Document)
To improve access to urban infrastructure and services in targeted slums in Indonesia.

Has the Project Development Objective been changed since Board Approval of the Project Objective?
No

Components

Name

Institutional and Policy Development:(Cost \$7.00 M)

Integrated Planning Support and Capacity Building for Local Government and Communities:(Cost \$84.00 M)

Urban Infrastructure and Services Investment Support:(Cost \$1578.00 M)

Implementation Support and Technical Assistance:(Cost \$74.00 M)

Contingency for Disaster Response

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Satisfactory
Overall Implementation Progress (IP)	● Satisfactory	● Satisfactory
Overall Risk Rating	● Moderate	● Moderate



Implementation Status and Key Decisions

Overall progress towards achieving the PDO is on track, despite a few delays in implementation, and reaching the targeted intermediate KPIs is progressing well. In the last six months, implementation of the National Slum Upgrading Project (NSUP) has been also supported by the technical assistance component of the PNP Urban Project (Ln 8213). It supports preparation of the integrated Slum Improvement Action Plans (SIAPs) at the city level and community settlement plans (CSPs) at the kelurahan level. SIAPs set out a slum alleviation action plan over five years including investment plans and potential funding sources. The CSPs are being prepared or reviewed in 680 slum kelurahans, and being consolidated with the SIAPs. DEDs for connecting infrastructure and tertiary infrastructure will be prepared in 20 priority cities for implementation of 2018.

Training of Local Government Task Force was partially conducted in early October 2017 involving about 200 participants from 46 Local Governments and 25 provinces. The second batch of training is planned for early November 2017, which will target 165 participants from 51 Local Governments and 6 Provinces. The training was coordinated by Bappenas (National Planning Agency) as the CCMU (Central Coordinating Management Unit) of the program, and also involving Ministry of Home Affairs (MOHA) and Housing Directorate General of MPWH. The training covers policy or direction to support the NSUP; Institutional set-up of the task force; SIAP and synchronization of programming and budgeting to achieve target; and program monitoring.

A slum profile for all participating urban wards has been published in the project website (www.kotaku.pu.go.id), and the data is being updated in all cities and urban wards. The web-based MIS monitoring system, which will be used to monitor the progress of kelurahans in achieving zero slums is being prepared, and will be launched in early January 2018.

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	● Moderate	● Moderate	● Moderate
Macroeconomic	● Moderate	● Moderate	● Moderate
Sector Strategies and Policies	● Low	● Low	● Low
Technical Design of Project or Program	● Moderate	● Moderate	● Moderate
Institutional Capacity for Implementation and Sustainability	● Substantial	● Substantial	● Substantial
Fiduciary	● Moderate	● Moderate	● Moderate
Environment and Social	● Moderate	● Moderate	● Moderate
Stakeholders	● Moderate	● Moderate	● Moderate
Other	--	--	--
Overall	● Moderate	● Moderate	● Moderate

Results

Project Development Objective Indicators



► People provided with improved infrastructure under the project (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	9,500,000.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

No target was set for 2017. Construction for 2017 is still ongoing, and the results are expected to be available by December 2017

▲ People provided with "improved water sources" under the project (of which women) (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	800,000.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

▲ People provided with "improved sanitation" under the project (of which women) (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	1,200,000.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

▲ People provided with access to all-season roads within 500 meter range under the project (of which women) (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3,700,000.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021



▲ People provided with regular solid waste collection under the project (of which women) (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	1,500,000.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

▲ People provided with "improved drainage" under the project (of which women) (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3,600,000.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

▶ Slum Area Alleviated (Hectare(Ha), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	7,800.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

No targets were set for 2017. Construction for 2017 is still ongoing, and results are expected to be available by December 2017

▶ Percentage of slum dwellers who are satisfied with the quality of urban infrastructure and services (of which women, of which bottom 40%, of which poor) (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	80.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

No targets were set for 2017. The necessary survey will be carried out in 2018.



► Percentage of complaints resolved (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	99.56	90.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

Complaint resolution database is managed by the National Management Consultant through an offline MIS.

► Establishment of functional task forces for slum alleviation at local level (%Local Governments) (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	61.00	76.00	90.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

Of 154 cities, 121 Local Governments have formed Task Forces for Housing and Settlements, and 117 Task Forces (76%) are actively functioning. The target of 30% of local task forced established by December 31, 2017 has already been exceeded.

► Direct project beneficiaries (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	9,700,000.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

**Comments**

No targets were set for 2017. Construction for 2017 is still ongoing, and results are expected to be available by December 2017

Female beneficiaries (Percentage, Custom Supplement)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4,850,000.00

Overall Comments**Intermediate Results Indicators****Indicator 1.1. Establishment of functional task force for slum alleviation at national level (Yes/No, Custom)**

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	Y	Y	Y
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

Establishment of the National Task Force is in progress. The highest level of officials in relevant ministries were assigned in January 2017 to lead the program, however their assignment letters are awaiting approval by the Ministry of National Development Planning/Head of Bappenas.



► Indicator 1.2. Establishment of slum inventory database/profiling (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	No	in progress	yes	Done & updated
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

Slum profiles for all participating urban wards have been published in the project website (www.kotaku.pu.go.id), and some of the indicators are currently being updated in all cities and urban wards.

► Percentage of local governments that have completed Slum Improvement Action Plans (SIAPs) which have been approved by Bupati/Walikota (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	43.00	56.21	90.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

In response to the Bank's input to improve the quality of the SIAPs: 44 priority cities are in the process of refining the SIAPs including area development plans and a 5-year investment list. The process has been underway since June 2017, and it is expected that all cities will complete the process by December 2017 (source: NMC monitoring data)

► Indicator 2.2. Percentage of kelurahans which have Community Settlement Plans (CSPs) that have been consolidated with SIAPs (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	97.00	58.59	90.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

The actual achievement is lower than the previous period because in Q2-Q3 of 2017, all CSPs were undergoing annual reviews to prepare project implementation in 2018. Previous achievement (97%) reflected the number of CSPs completed their annual review for implementation in 2017. (Source: NMC monitoring data)



► Indicator 3.1. Number of cities which have completed 80% of primary and secondary infrastructure works and services connected to slum areas (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	40.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

No targets were set for 2017. Construction for 2017 is still ongoing, and results are expected to be available by December 2017

► Indicator 3.2. Number of kelurahans that have completed 90% of tertiary infrastructure and services implemented in slum areas (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	2,500.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

1400 kelurahans were targeted for 2017. It was reported that 706 kelurahans in 143 cities have received funds for tertiary infrastructure and services. Construction in those kelurahans is still ongoing, and results are expected to be available by December 2017.



► Indicator 3.3. Percentage of good quality of infrastructure and services (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	90.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

No targets were set for 2017. Construction for 2017 is still ongoing, and results are expected to be available by December 2017

► Indicator 3.4. Percentage of infrastructure built that is fully functioning (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

no target was set for 2017

► Indicator 4.1. Percentage of local governments that have project monitoring structure and provide regular information on project implementation (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	70.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

Comments

MIS development is still underway and expected to be running by November 2017. The MIS will be used by the Local Governments to monitor implementation progress and update information.



► Indicator 4.2. Percentage of kelurahans with completed annual financial audits (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	99.20	84.19	90.00
Date	01-Apr-2016	28-Feb-2017	30-Sep-2017	31-Dec-2021

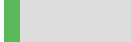
Comments

Not all kelurahans received grant for 2016-2017. Thus, financial audits were only done by those who received the grants.

Overall Comments

Data on Financial Performance

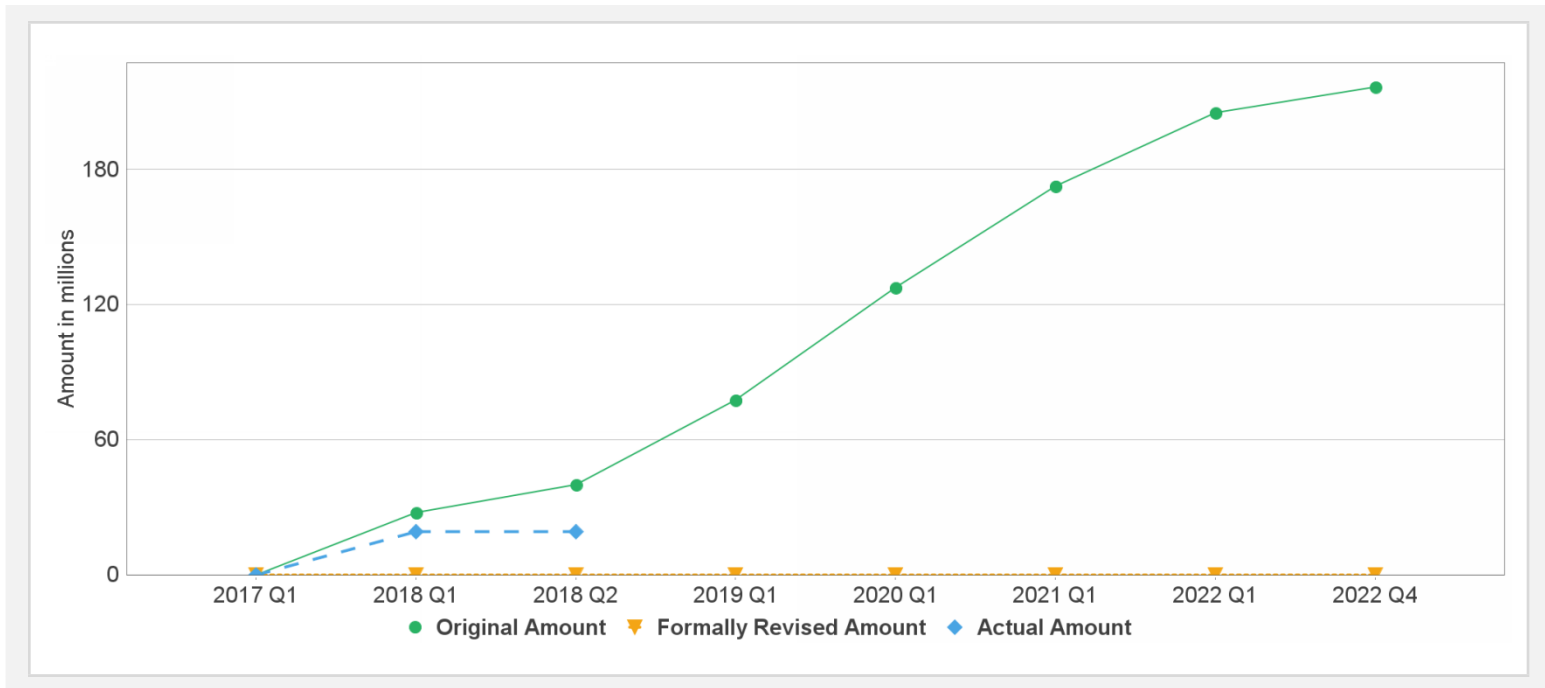
Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P154782	IBRD-86360	Effective	USD	216.50	216.50	0.00	19.00	197.50	 9%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P154782	IBRD-86360	Effective	12-Jul-2016	22-Aug-2016	11-Oct-2016	31-Mar-2022	31-Mar-2022

Cumulative Disbursements



Restructuring History

There has been no restructuring to date.

Related Project(s)

There are no related projects.