

INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE

Report No.: 77700

Date ISDS Prepared/Updated: May13, 2013

I. BASIC INFORMATION**A. Basic Project Data**

Country:	Albania	Project ID: TF014761	GRANT
Project Name:	PREPARATION OF THE RESULTS-BASED ROAD MAINTENANCE AND SAFETY PROJECT		
Task Team Leader:	Artan Guxho		
Appraisal Dates:	May 15, 2013	Estimated Board Date:	N/A
Managing Unit:	ECSS5	Lending Instrument:	Grant
Sector:	Rural and Interurban Roads and Highways (70%); Public Administration-transport (30%)		
Theme:	Regional Integration (30%); Infrastructure Services for private Sector Development (25%); injuries and Non- Communicable deceases (25%); Administrative and civil service reform (20%)		
Financing (in USD Million)			
Financing Source			Amount
ECA Region Capacity Development MDTF			0.700
Total			0.700
Environmental Category	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

The Development Objective of the Grant is to support the Government of Albania in preparing a Results-Based Road Maintenance and Safety Project (RRMSP) and to enhance the capacity of the Albanian Road Authority in road asset management and road safety. The sound preparation of RRMSP will contribute to better and effective use of the limited government funding in improving road maintenance.

C. Project Description

The achievement of the GDO will be evaluated through the following indicators:

Indicator 1: Prioritization of the National Road Network repair/maintenance works; and preparation of the RRMSP first year repair/maintenance program.

Indicator 2: Introduction of Road Safety Audit practices in the design of road works.

Indicator 3: Definition of the RRMSP development objectives and disbursement-linked indicators (DLIs), including for maintenance, road safety, institutional reforms; and establishment of the respective baseline and target values.

The proposed grant will finance the preparation of the following activities for the Results-based Road Maintenance and Safety Project:

Component 1 will finance prioritization of the National Road Network repair/maintenance; and preparation of the RRMSP first year repair/maintenance program. This will also include capacity enhancements in these activities:

- (a) Assessment of the existing National Road Network inventory and improving the usability of existing Road Asset Management System in ARA; identifying deficiencies that would be addressed through the project. On-the-job-training of ARA staff to populate, maintain and administer the computer-based Road Asset Management System (RAMS);
- (b) Planning and prioritization of the National Road Network repair/maintenance needs based on actual physical conditions (accessibility, viability, safety), socio-economic criteria and balanced geographical distribution; and preparation of the RRMSP first year design and bidding documents (bidding documents will incorporate the recommendations of the independent road safety audit). Following the successful piloting of performance-based maintenance contracts in Albania, the planning and prioritization will consider a balanced approach between performance-based maintenance contracting and traditional competitively-tendered input/output based maintenance contracting. This component will include capacity support to ARA in planning and prioritization and in the expansion of performance-based maintenance contracting.

Component 2 will finance capacity enhancement of the Albanian Road Authority and the Secretariat of the Inter-ministerial Road Safety Council in road safety:

- (a) Introduction of Road Safety Audit Practices as part of operationalization of the Road Safety Action Plans, including conducting independent road safety audits for priority sections at design stage and incorporation of road safety standards in the bidding documents;
- (b) Road Safety capacity enhancement and training for the Secretariat of the Inter-ministerial Road Safety Council in the area of Inter-Ministerial coordination, public outreach, and monitoring of action plan implementation.

Component 3 will finance Environmental and Social due diligence activities:

- (a) Preparation of Environmental and Social Safeguards documents, including: Environmental Management Framework (EMF), Environmental Management Plans (EMPs) for the RRMSP first year repair/maintenance program, Resettlement Policy Framework (RPF), and Resettlement Action Plans for the RRMSP first year repair/maintenance program (if applicable);
- (b) Review and identification of gaps / inconsistencies between regulations governing

environmental and social safeguard policies of the government and guidelines of the World Bank and the European Union; provision of the on-the-job training of ARA staff to prepare and implement environmental and social safeguard documents.

Component 4 will finance Implementation Support Activities:

- (a) Definition of the RRMSP PDO and DLIs related to the implementation of the road repair/maintenance, and establishing baseline values and annual targets;
- (b) Preparation of RRMSP project operation manual;
- (c) Grant management and implementation support to ARA, including audit and training.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

Technical Assistance for the preparation for undertaking maintenance of already existing roads (sections) throughout Albania.

E. Borrowers Institutional Capacity for Safeguard Policies

The Grant will be implemented through the Implementation Team (previous - PIT), which is now a department within the Albanian Road Authority. This team has been successfully implementing Bank-funded projects since 1998. The most recent project was the Albania Transport Project, Category A construction of a section of the motor-way to the North of the country. During implementation of the Transport Project, the implementation team fully and satisfactorily implemented requirements of the Environmental Assessment and EMPs, with no significant issues or deviations with excellent staff support from the in-house Environmental department and adequate reporting.

F. Environmental and Social Safeguards Specialists on the Team

Bekim Ymeri, Social Scientist (ECSSO)

Esma Kreso, Environmental Specialist (ECSN)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered ?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	OP 4.01 is triggered because the grant will support preparation of the design and bidding documents for the road maintenance/repair activities to be undertaken in the first year of the RRMSP (Component 1). As the RRMSP will be prepared under the project, the sections to be covered in the first year have not yet been identified. Under Component 3 the Grant will finance preparation of environmental and social due diligence documents for the

		<p>RRMSP. This will include:(i) an Environmental Management Framework document (EMF), which will set forth the guidelines for preparation of site-specific EMPs; (ii) site-specific EMPs to be prepared during project implementation in parallel with the preparation of design and bidding documents for the first-year target road sections as they are identified. The EMF will include sample EMPs for the road sections and priority actions identified beyond the first year activities for which EMPs will have been prepared within the EMF.</p> <p>The EMF will also set out measures to help formulate sound environmental performance into the planning and design stages of the activity. This will include integration of environmental aspects into the results-based approach for the issued maintenance contracts.</p> <p>Anticipated environmental issues which the EMF and then EMPs are expected to address include dust and noise generation from machinery, adequate site organization, storage of paints, equipment and machines, waste separation and management, chance findings, use of salt and/or materials in winter maintenance, and possible small construction interventions in order to improve road safety.</p> <p>The Terms of Reference for consultancy services to prepare the RRMSP first year repair/maintenance program include an environmental section, which is considered as due diligence environmental management document for this preparation Grant, while the actual EMF and sample EMPs to be prepared under the Grant will be used as due diligence documentation for the actual future investment Project.</p>
Natural Habitats OP/BP 4.04	Yes	The policy is triggered because of the possibility that the RRMSP will include sections of road which lie within Protected Areas or other sensitive natural habitats. The EMF will address this by setting out precautionary measures for the road maintenance activities in such areas.
Forests OP/BP 4.36	Yes	As above: the policy is triggered because of

		the possibility that the RRMSP may include road sections in forested areas or forest plantations. The EMF will set out appropriate measures
Pest Management OP 4.09	No	It is not anticipated that the road rehabilitation/maintenance will involve or result in any use of pesticides, including for clearing of roadside vegetation.
Physical Cultural Resources OP/BP 4.11	Yes	The EMF and site-specific EMPs will have provisions in case of chance findings on site, while precautionary section in the EMF will be included for works that may deal with maintenance of specific bridges, which may, due to their historical value or age be a cultural monument.
Indigenous Peoples OP/BP 4.10	No	
Involuntary Resettlement OP/BP 4.12	Yes	No land acquisition will be financed under the Grant, and at present no land acquisition or resettlement is anticipated under the proposed future RRMS investment project. Nevertheless, as a precautionary measure under this Grant, a Resettlement Policy Framework (RPF) will be developed for the RRMSP to provide for the possibility that adjustments in the maintenance and rehabilitation program result in the necessity for some land acquisition. The RPF will serve as guidance for the entire program. Should it emerge that any of the work on road sections selected for the first year work program of RRMSP do require land acquisition or resettlement, RAPs will be prepared in parallel with the design of those works. The RAPs will follow the RPF and be subject to prior WB approval. The Terms of Reference for consultancy services to prepare the RRMSP first year repair/maintenance program include a social section, which is considered as due diligence document for this preparation Grant, while the actual RFP and RAP (if required) to be prepared under the Grant will be used as due diligence documentation for the actual future investment Project.
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

III. SAFEGUARD PREPARATION PLAN

A. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing should be specified in the PAD-stage ISDS.

For the purposes of preparation and approval of this Grant, detailed Terms of Reference have been prepared for the EMF, EMP for the first year sections and RPF that will be financed from the Grant. These TOR was disclosed in country¹ and in Infoshop on May 13, 2013, serving as the environmental and social “due diligence” documents for the Grant. The Client will ensure that the EMF, EMPs for the first year section, and RFP are done in accordance with the Terms of Reference.

The EMF, the RPF, and site-specific EMPs and/or RAPs for the first year RRMS work program, all of which are to be prepared under this Grant, will serve as due diligence documents for the proposed Results-based Road Maintenance and Safety Project (RRMSP). In addition, any EMPs and RAPs prepared in the future for works to be financed by the RRMSP will adhere to the EMF and RPF.

The Client will ensure, together with the support of the World Bank team that environmental and social issues are adequately addressed in the design and planning documents.

IV. APPROVALS

Task Team Leader:	Name: Artan Guxho	
<i>Approved By:</i>		
Regional Safeguards Coordinator:	Name: Agnes I. Kiss	Date: April 17, 2013
Sector Manager:	Name: Juan Gaviria	Date: April 15, 2013

¹Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

¹ The TOR was disclosed on the webpage of the Ministry of Public Works and Transport of Albania and can be accessed here: <http://www.mppt.gov.al/> or http://www.mppt.gov.al/previewdoc.php?file_id=1892