

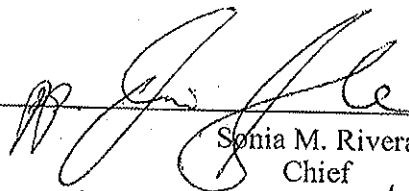
INSTITUTIONAL STRENGTHENING TO INCREASE THE TECHNICAL  
CAPACITY OF THE GOVERNMENT OF HAITI AND INDIRECT  
IMPACTS OF THE PIC

HA-T1182

CERTIFICATION

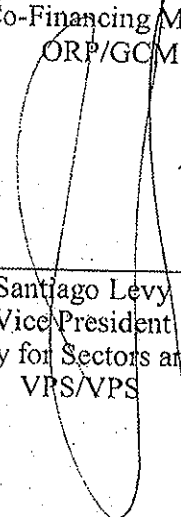
I hereby certify that this operation was approved for financing under the Institutional Capacity Strengthening Thematic Fund (ICS) through a communication dated March 20, 2013 subscribed by Goro Mutsuura (ORP/GCM). Also, I certify that resources from the Institutional Capacity Strengthening Thematic Fund (ICS) are available for up to US\$530,000 in order to finance the activities described and budgeted in this document. This certification reserves resources for the referenced project for a period of six (6) calendar months counted from the date of eligibility. If the project is not approved by the IDB within that period, the reserve of resources will be cancelled, except in the case a new certification is granted. The commitment and disbursement of these resources shall be made only by the Bank in U.S. dollars. The same currency shall be used to stipulate the remuneration and payments to consultants, except in the case of local consultants working in their own borrowing member country who shall have their remuneration defined and paid in the currency of such country. No resources of the Fund shall be made available to cover amounts greater than the amount certified herein above for the implementation of this operation. Amounts greater than the certified amount may arise from commitments on contracts denominated in a currency other than the Fund currency, resulting in currency exchange rate differences, for which the Fund is not at risk.

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Sonia M. Rivera  
Chief  
Grants and Co-Financing Management Unit  
ORP/GCM

*Handwritten:* 06/04/2013  
\_\_\_\_\_  
Date

APPROVAL

  
\_\_\_\_\_  
Santiago Levy  
Vice President  
Vice Presidency for Sectors and Knowledge  
VRS/VPS

**JUN 05 2013**  
\_\_\_\_\_  
Date

## TC DOCUMENT

# INSTITUTIONAL STRENGTHENING TO INCREASE THE TECHNICAL CAPACITY OF THE GOVERNMENT OF HAITI TO ADDRESS DIRECT AND INDIRECT IMPACTS OF THE PIC

### I. Basic Information for TC

▪ Country/Region:	Republic of Haiti
▪ TC Name:	Institutional strengthening to increase the technical capacity of the Government of Haiti to address direct and indirect impacts of the PIC
▪ TC Number:	HA-T1182
▪ Team Leader/Members:	Stefanie Brackmann, Team Leader; Elizabeth Brito; Suzanne Casolaro &; Melissa Barandiaran (VPS/ESG); Jose Irigoyen & Agustin Filippo (CDH/CDH); Arcindo B. Santos & Ana Maria Saiz (FMM/CHA); Taos Aliouat (LEG/SGO)
▪ Associated Loan Name and Number	HA-L1076 - "Productive Infrastructure Program"
▪ Date of TC Abstract Authorization:	January 17, 2012
▪ Donors Providing Funding:	(ICSF)
▪ Beneficiary:	Republic of Haiti
▪ Executing Agency and Contact Name	IDB
▪ IDB Funding Requested:	US\$530,000
▪ Disbursement and Execution Period:	26 months (including 24 months execution period)
▪ Required Start Date:	June 1, 2013
▪ Types of Consultants:	Individual Consultants and Consulting Firms
▪ Prepared by Unit:	Environmental Safeguards Unit (VPS/ESG)
▪ Unit of Disbursement Responsibility:	VPS/ESG
▪ TC Included in Country Strategy & CPD:	Yes <sup>1</sup>
▪ GCI-9 Sector Priority:	This operation reflects the IDB's institutional priorities as outlined in the Report on the GCI 9 (Document AB-2764) as the IDB contributes to the goal of "supporting development in small and vulnerable countries" and of "assisting [...] in dealing with climate change, sustainable energy (including renewable) and environmental sustainability."

### II. Description of the Associated Loan/Guarantee

- 2.1. The Bank is supporting the development of the Caracol Industrial Park (PIC) through a series of investment operations that will total approximately US\$180 million. The first two operations are currently in execution (HA-L1055, Grant #2552/GR-HA; and HA-L1076, Grant #2779/GR-HA), and a third (HA-L1081) currently under preparation.
- 2.2. The PIC is an instrument for regional<sup>2</sup> development in northern Haiti, which is designed with the long-term objective of increasing economic activity by facilitating the establishment of private firms that can create jobs. The IDB's investments will provide the basic infrastructure, industrial facilities,

<sup>1</sup> One of the priority sectors of the Country Strategy is Agriculture & Environment.

<sup>2</sup> Throughout this document, the terms "region" and "regional" are used to refer to the northern area (North and Northeast Departments), specifically the Cap-Haitien-Ouanaminthe Corridor, defined in the Regional Comprehensive Plan for the Cap-Haitien-Ouanaminthe Development Corridor, prepared by AIA, and the *Plan d'Aménagement du Nord/Nord-Est*, by the Comité Interministériel d'Aménagement du Territoire (CIAT).

management support, and complementary resources required for the construction, operation, and expansion of the PIC<sup>3</sup>. The PIC project is being implemented by the *Unité Technique d'Exécution* (UTE), the Executing Agency of Haiti's Ministry of Economy and Finance (MEF). The anchor tenant of the PIC is SAE-A Trading Company Ltd., a private, Korean textile manufacturing firm that will employ up to 20,000 people over the next five years. A second tenant, *Peintures Caraïbes*, a paint manufacturer, is expected to employ up to 300 people over the next two years.

- 2.3. With the PIC, and a series of other investments planned for the northern region by the GoH, the population of the north is projected to grow 114% by 2030<sup>4</sup> (without the PIC and those investments, natural growth is estimated to increase by only 60%). Therefore, investments in infrastructure, environmental and social sustainability, and governance are required to meet the demands of such growth. However, the PIC will increase pressure on the local environment and poses a series of environmental risks including potential contamination of soil, surface and groundwater and impacts to Critical Natural Habitats through inadequate management of wastewater, solid waste (including hazardous waste) and a lack of infrastructure for the projected population influx.
- 2.4. A series of environmental and social assessments have been carried out over the past two years and to ensure the environmental and social sustainability of this complex project several environmental and social compliance measures were included in the Grant Agreement of HA-L1076 to prevent, correct, and mitigate potential impacts. To complement these efforts a TC on urban growth management is already in execution and four additional TCs (scheduled for approval in 2013<sup>5</sup>) have been designed to address the different risks and impacts in the areas of: water resources management, social development, environmental institutional strengthening, and biodiversity. Each of these TCs were included in the 2013 Haiti Country Program Document.
- 2.5. As the PIC is a major investment and is expected to change significantly the northern region, the role of an appropriate urban planning for the region is crucial. For this reason, the *Comité Interministeriel du Territoire* (CIAT) is particularly important given its mission to define government's policy on land use, protection and management of watersheds, water and sanitation management, and urbanism. In fact, CIAT plays an inter-sectorial and coordination role and designed the Master Plan of the Cap-Ouanaminthe corridor including the major investments that will take place in the future.

### III. Objectives and Justification for the TC

- 3.1. The primary objective of this TC is to provide support to the GoH by increasing its environmental and planning technical capacity at both the national and regional levels to address the direct and indirect environmental impacts of the PIC, through two specific goals:
- 3.2. **Strengthen the institutional capacity of three national agencies, including the: (i) Ministry of Environment (MOE); (ii) CIAT; and (iii) UTE.** This goal is aligned with the MOE's mission (established in its Draft Law<sup>6</sup>) to ensure that environmental conditions are conducive to a better quality of life and the safety of the population, and to ensure the regulation and control of any public or private action within the MOE's field of competence. This goal is aligned with the commitments assumed by the MEF through the UTE in the Delegation of Authority from the *Société Nationale*

<sup>3</sup> The PIC project includes factory sheds, a water treatment plant, a temporary and permanent wastewater treatment plant, a temporary and permanent solid waste facility, other associated infrastructure (e.g., dormitories, canteens, a training center, offices, a clinic, and storage facilities), and an 18-MW diesel power plant (financed by the United States Agency for International Development, USAID).

<sup>4</sup> AIA Masterplan: IDBDOCS-#37693035-Final Draft 2012-11-10 and CIAT Masterplan : IDBDOCS-#37693056-CIAT MasterPlan 2013-2030.

<sup>5</sup> Two of them: HA-T1181: Mitigating the Social Impacts of the Caracol Industrial Park; and HA-T1179: Water Availability, Quality and Integrated Water Resources Management in Northern Haiti, have already been approved.

<sup>6</sup> Loi organique du Ministère de l'Environnement: <http://www.mde-h.gouv.ht/loiorganique.htm>.

- des Parcs Industriels* (SONAPI) since it will reinforce the UTE's technical capacity to: (i) address any issue that could impede the project execution and to seek effective solutions; and (ii) implement the appropriate measures to achieve the objectives and deadlines of the stages of the PIC project.
- 3.3. **Support the regional planning process at the regional and municipal levels.** This goal is related to Article 7 of the Haitian Legislative Decree (2006 Decree),<sup>7</sup> which states that the central government will be supported by authorities at the departmental and commune levels in the task of protecting the environment and managing natural resources. In addition, as the PIC is considered one of the key elements for regional development, this goal is aligned with CIAT's Regional Master Plan for the North/Northeast (*Plan d'Aménagement du Nord/Nord-Est*) for 2013-2030.
  - 3.4. Haiti's MOE is responsible for the development of national policy regarding environmental management, particularly for the establishment of environmental standards and the management and regulation of protected areas. However, few environmental laws in place, weak administrative procedures, a lack of environmental guidance, and the inadequate enforcement capacity of the MOE, have slowed the decision-making process and consequently the implementation of mitigation measures. The 2006 Decree addresses issues related to ecosystem conservation, protected areas and the protection of habitats, and pollution control. Specific articles describe the requirements for the treatment of industrial wastewater (Art. 122, 123, and 124) and solid waste (Art. 139). Nevertheless, the Decree lacks complementary regulatory enforcement to be undertaken by competent authorities.
  - 3.5. Article 25 of the 2006 Decree states that local authorities (departments, municipalities, communal sections) should concur and participate with the central government in the protection of the environment and natural resources management, planning, and improvement of living conditions. In practice, however, departmental and commune authorities are not directly and actively involved in the design of environmental strategies in the regional planning context.
  - 3.6. Both PIC operations have been prepared within an extremely tight timeframe. The second operation, HA-L1076 (2779/GR-HA), included a series of measures to mitigate the environmental and social impacts and risks associated with construction. However, environmental and social risks persist as the construction progresses. The level of effort that is required of the IDB, other relevant donors, and tenants of the industrial park to help mitigate better those risks, is significant.
  - 3.7. Some of the key environmental risks related to construction activities include: inadequate sanitation facilities; improper handling, management, and disposal of domestic wastewater from construction workers; improper handling, transport, disposal of hazardous waste, and H&S risks<sup>8</sup>. As for operational activities, the main potential risks include: possible contamination of soil and surface and groundwater; inadequate treatment of industrial wastewater from the anchor tenant's activities, which includes the use of dyes; potential over-abstraction of groundwater, which may induce saline intrusion and additional pressures on groundwater reserves due to the anticipated increase in population; potential impacts to Critical Natural Habitats; improper management of solid waste (including hazardous waste); H&S risks; and additional pressures on land and natural resources from the population influx associated with the PIC.
  - 3.8. To ensure environmental compliance, Action Plans have been prepared for key areas such as wastewater, water supply, and solid waste management, but their implementation has been marred with difficulties partially because of the limited capacity of the GoH to respond to the needs of such a complex project in an effective and prompt fashion, but also because of the absence of a Park

<sup>7</sup> The Haitian Legislative Decree (2006): Décret sur la Gestion de l'Environnement, approuvé en Conseil des Ministres le Mercredi 12 octobre 2005 ; et publié au Numéro 11 de la 161e Année du Journal Officiel: <http://www.gerardlatortue.org/bpm/pdf/decrets/environnement.pdf>.

<sup>8</sup> Recently, a Legal Opinion on Hazardous Waste was issued by a law firm in the Dominican Republic: IDBDOCS-#37680296-Legal Opinion on Hazardous Waste.

Manager to carry out management and mitigation of the environmental, H&S, and social issues. Moreover, with additional tenants and the expected increase in workers, the PIC is likely to exacerbate existing environmental and social impacts, leading to increased pressures on already fragile ecosystems and depleted natural resources, thereby increasing the vulnerability of local communities to impoverishment, potentially compromising any development gains.

- 3.9. In this context, this TC is proposed to finance consultancies that will complement and strengthen the technical capacity of the GoH to achieve better management and build capacity for dealing with environmental and H&S issues, and to start implementing appropriate regional and local planning to prevent adverse impacts from the population growth surrounding the PIC.
- 3.10. This TC is aligned with the lending targets of small and vulnerable countries; poverty reduction and equity enhancement; and climate change, sustainable energy, and environmental sustainability of the Report on the Ninth General Capital Increase in the Resources (GCI-9) of the IDB. The TC is aligned with the 2011-2015 Country Strategy with Haiti (GN-2646), as it contributes to this strategic objective of protecting the environment, responding to climate change, and enhancing food security. The TC is aligned with the objectives of the Institutional Capacity Strengthening Thematic Fund (ICSF) GN-2524 since it will help the GoH to: "(i) improve institutional reform strategies; (ii) bring more actors to the table (from across the public sector, society in general, and the private sector); and (iii) provide "Assistance to implement Capacity Building Programs." The TC falls under Dimension I: "optimal preconditions for institutional modernization" as it will provide technical assistance to draft medium and long-term reform programs.

#### IV. Description of Activities/Components and Budget

- 4.1. **Component 1: Support for the Institutional Capacity of National Agencies (US\$390,000):** In order to ensure the effective implementation of environmental measures and mitigation strategies, this component will support targeted capacity-building activities for the UTE and the MOE in the areas of environmental management and H&S. This component will finance the following consultancies:
- a. Subcomponent 1. Reinforcement of Environmental Technical Capacity at the National Level (US\$290,000). The aim of this subcomponent is to support the GoH (especially the UTE and the MOE) by building capacity to ensure the environmental measures and mitigation strategies already designed for the PIC are carried out in a timely fashion. The PIC project triggers several environmental and social directives from the IDB Environmental and Safeguards Compliance Policy (OP-703<sup>9</sup>)<sup>10</sup>. This subcomponent comprises specific consultancies for the implementation of environmental planning tools and mitigation measures primarily in the following areas (see Annex 2 for the Terms of Reference (TORs) of key consultancies):
- (i) Technical Support (US\$145,000). This activity includes technical assistance on environmental aspects related with Pollution Prevention and Abatement (B.11), Hazardous Materials (B.10) and Environmental Assessment Requirements (B.5) such as: water and wastewater safety monitoring, air monitoring, environmental monitoring and management systems support, etc.
- (ii) Capacity Building (US\$145,000). This activity includes knowledge transfer and capacity building to the GoH agencies, especially the UTE and the MOE on topics related to

<sup>9</sup> OP: Operational Policy

<sup>10</sup> The Directives triggered include B.410 (Other Risk Factors); B.5 (Environmental Assessment Requirements); B.7 (Supervision and Compliance); B.8 (Transboundary Impacts); B.9 (Natural Habitats and Cultural Sites); B.10 (Hazardous Materials); B.11 (Pollution Prevention and Abatement); B.12 (Project Under Construction); B.14 (Multiple Phase and Repeat Loans)

environmental issues (e.g. Environmental Impact Assessment (EIA) process, legislative framework, Environmental Management System (EMS), environmental planning, etc.).

The expected outputs will include, but will not be limited to, monitoring and technical reports from the technical specialists on the activities for environmental compliance; and capacity building activities and recommendations for mitigation measures to support the GoH.

b. **Subcomponent 2. Reinforcement of H&S Aspects (US\$100,000).** This subcomponent is aimed at reinforcing H&S issues at the PIC through:

(i) **Capacity building on H&S (US\$50,000):** Part of an ongoing activity at the PIC, which includes a set of on-site training events with the different stakeholders, the operationalizing of an H&S Committee, and the development of an H&S reference manual for the PIC.

(ii) **Regional training events on Occupational, Health and Safety (OHS) (US\$50,000):** This consultancy will take place in coordination with Better Works Haiti (BWH)<sup>11</sup> to: (a) train factory owners on proactive OHS programs (including emergency response plans, fire safety); and (b) provide a basis for well-functioning OHS Committees in textile manufacturing to address key risks in Haiti's factories.

4.2. **Component 2: Support for the regional planning process (US\$80,000):** In 2012, a comprehensive Master Plan was developed by the American Institute for Architects (AIA) to assess governance capacity and responsibilities to address expected changes in the north caused by the PIC and other investments. The Northern Regional Master Plan was published by CIAT in December 2012. This component will support CIAT with the regional planning process in the north through the proposal of creation of the Planning Authority, *Autorité d'Aménagement du Nord/Nord-Est* (AANNE). AANNE, placed at the highest level of the government<sup>12</sup>, will perform the following activities: (i) produce law and decree proposals for the implementation of the institutional architecture of the territorial planning; (ii) lead the search for funds for public and private investments, and direct the budget; and (iii) update the management plan.

4.3. **Component 3: Dissemination (US\$20,000):** The results achieved by this TC will be publicly shared through reports, dissemination meetings and video, which will include the activities and lessons learned to be prepared at the end of the execution period of the TC.

#### Indicative Results Matrix

Project Activity	Outputs	Results
<b>Component 1: Support for the Institutional Capacity of National Agencies</b>		
i. Reinforcement of Environmental Technical Capacity of the National Agencies: 1. Technical Support 2. Capacity Building	<ul style="list-style-type: none"> <li>Monthly/ Bi-monthly monitoring and technical reports</li> <li>On-the-job and/or on-site training with the UTE/MOE in the specific topics of the consultancies</li> </ul>	<b>Outcome 1:</b> Improve the environmental performance and mitigation measures of the PIC
ii. Reinforcement of H&S Aspects at the PIC: 1. H&S Consultant 2. OHS Consulting Firm	<ul style="list-style-type: none"> <li>H&amp;S reference manuals and H&amp;S audit reports.</li> <li>On-the job training for the key PIC stakeholders</li> <li>BWH – H&amp;S training events and reports</li> </ul>	<b>Outcome 2:</b> Improve the H&S management and performance at the PIC
<b>Component 2: Support for the regional planning process</b>		
iii. Support to CIAT with the creation of the Planning Authority, <i>Autorité d'aménagement</i> (AANNE)	<ul style="list-style-type: none"> <li>Monthly progress reports and final proposal on the development of AANNE</li> </ul>	<b>Outcome 3:</b> Proposal for the development of the institutional design of the AANNE
<b>Component 3: Dissemination</b>		
	<ul style="list-style-type: none"> <li>Reports, dissemination meeting and video</li> </ul>	<b>Outcome 4:</b> Share lessons learned from the TC.

<sup>11</sup> BWH is a unique partnership program between the International Labor Organization (ILO) and the International Finance Corporation (IFC). It was launched in Haiti in October 2009. The program aims at improving both compliance with labor standards and competitiveness in global supply chains. BWH also supports enterprises in implementing the ILO core international labor standards and national labor laws.

<sup>12</sup> At the same level of the CIAT in the central government and, on top of the Departmental Directions (*Directions départementales*).

- 4.4. The total amount of this TC has been estimated at US\$530,000, and the TC will be financed with the resources from the ICSF.

#### Indicative Budget (US\$)

Activity/Component	Description (expected output/# days)	IDB/Fund Funding	Counterpart Funding	Total Funding
<b>Component 1: Institutional Capacity of National Agencies</b>		<b>390,000</b>	<b>--</b>	<b>390,000</b>
<b>i. Reinforcement of Environmental Technical Capacity of National Agencies (esp. UTE and MOE)</b>		<b>290,000</b>		<b>290,000</b>
Technical Support	Technical assistance on different environmental aspects, such as: water and wastewater safety monitoring, air monitoring, environmental monitoring and management systems support	145,000		145,000
Capacity Building	Knowledge transfer and capacity building e.g. EIA process, legislative framework, EMS, environmental planning, etc.	145,000		145,000
<b>ii. Reinforcement of H&amp;S Aspects at the PIC</b>		<b>100,000</b>		<b>100,000</b>
H&S Specialist	H&S capacity building, reference manual for the PIC and mission reports	50,000		50,000
OHS training in coordination with Better Work Haiti	Training events and reports	50,000		50,000
<b>Component 2: Regional Planning Process</b>		<b>80,000</b>	<b>--</b>	<b>80,000</b>
Support to CIAT for the creation of the AANNE	Monthly progress reports and proposal on the development of AANNE	80,000		80,000
<b>Component 3: Dissemination</b>		<b>20,000</b>		<b>20,000</b>
Dissemination	Stakeholder meetings, video, and reports to share lessons learned from the TC	20,000		20,000
<b>Bank Supervision<sup>13</sup></b>	Coordination and follow-up of consultancies under the TC	<b>40,000</b>		<b>40,000</b>
<b>TOTAL</b>		<b>530,000</b>	<b>--</b>	<b>530,000</b>

- 4.5. The TC will be implemented in close coordination with the Fiscal and Municipal Management Division (FMM), responsible for the execution of the PIC investment program. FMM is aware of the environmental concerns related to the program, and have been engaged in the seeking of solutions. For this reason, they have been included as team members of this TC and will be involved in its execution.
- 4.6. The TC will be supervised by the Sector Specialist from the Environmental Safeguards Unit (ESG), based in the Haiti Country Office and responsible for the supervision disbursements, monitoring, and results delivery. The Sector Specialist will be supported by an individual consultant already contracted by the ESG to assist with the Haiti portfolio. Travel expenses from Port-au-Prince to the PIC will form part of the supervision activities of the existing operations, and which will absorb these costs.

#### V. Executing Agency and Execution Structure

- 5.1. Executing Agency: The Executing Agency (EA) for this TC will be the IDB through its ESG unit, as requested by the GoH (per the letter of request from the Ministry of Economy and Finance attached in Annex 1). Due to the need to contract highly qualified international consultants with agility and prior experience in the Bank with executing TCs in Haiti, this TC will be Bank-executed. Since the main objective of this TC is to strengthen the institutional capacity of the GoH through individual consultants and/or consulting firms, the GoH will support the consultants during their visits and will review, and provide technical comments on the reports and products submitted by them. Since the key TORs for the required consultancies have already been drafted, needing only minor adjustments, the Procurement Plan is presented in Annex 3.

<sup>13</sup> Bank execution of the TC through ESG includes coordination and follow-up regarding the ToR for the different consultancies under this TC. Resources for Bank supervision will support non routine and customary activities of the Bank for the coordination needed to work in Haiti and specifically to deal with this complex project.

- 5.2. Executing Structure, Period and Disbursement Schedule: The TC will be executed by the Bank in close coordination with the GoH (especially the UTE, the MOE, and CIAT). The Bank will be hiring the consultancies for this TC. It is anticipated that the TC will have an execution period of 24 months and a disbursement period of 26 months.
- 5.3. Procurement and Program Implementation Readiness: For the selection and hiring of individual consultants, the project team will follow Bank procedures, as established by the Human Resources Division (HRD) per AM-650 Complementary Workforce Employees (CWEs). For the selection and hiring of consulting firms, the project team will follow the Bank's procedures for project-related contracting in the Policy for the Selection and Contracting of Consultants Financed by IDB (GN-2350-9) using the e-sourcing tool.

## **VI. Major Issues**

- 6.1. The execution of the TC poses a low risk since the EA will be the Bank. However, a potential risk is the lack of continuity of any activities and results associated with TC once the execution period ends, given the impacts that will be addressed through this TC are long-term challenges. However, as part of its capacity-building elements, the TC will support the Bank and the UTE in the design of management tools to ensure that subsequent investment grants for financing the PIC include a specific budget for the mitigation of environmental and H&S impacts.
- 6.2. The lack of poor coordination between the Haitian stakeholders and the Bank when implementing the TC constitutes an additional risk. The proposed mitigation measures are the establishment of semi-annual milestones and a semi-annual evaluation to assess whether the TC is achieving the expected outcomes during the execution period.
- 6.3. An additional risk for the TC is the lack of ownership and the weak inter sectorial coordination in Haiti. To mitigate this risk, the consultancy under the second component will work directly with CIAT, the institution responsible for the design of coordinated actions on land management. In addition, as part of the task of supporting the creation of AANNE, the consultant will map the stakeholders from different sectors to assure an appropriate inter-sectorial coordination among them.

## **VII. Exceptions to Bank Policy**

- 7.1. There are no exceptions to Bank policy.

## **VIII. Environmental and Social Strategy**

- 8.1. According to the results of the ESG Toolkit, this TC is classified as Category "C". Therefore, this TC does not require any special measures for the implementation of safeguards and environmental conservation. ESG classified this operation on environmental and social risk according to the Screening and Filter Reports dated April 03, 2013.

### **Annexes:**

- Annex 1: Letter from Beneficiary (IDBDOCS-#37771294-Request letter from GoH\_HA-T1182)
- Annex 2: Terms of Reference (IDBDOCS-#37746453-ANNEX 2\_ToRs)
- Annex 3: Procurement Plan (IDBDOCS-#37746472-ANNEX 3\_Procurement Plan\_HA-T1182)





RÉPUBLIQUE D'HAÏTI

MINISTÈRE  
DE L'ÉCONOMIE ET DES FINANCES

*Le Ministre*

BM/BID/289/05-13

No. ....

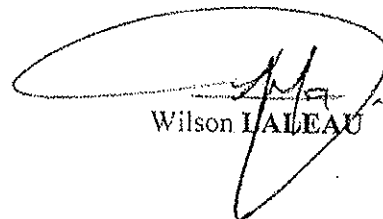
Port-au-Prince, le..... **MAY 10 2013**

Monsieur Gilles DAMAIS  
Chef des Opérations  
Banque Interaméricaine de Développement en Haïti  
En ses bureaux.-

Monsieur le Chef des Opérations,

Le Ministère de l'Économie et des Finances (MEF) vous présente ses compliments et a l'avantage de vous informer qu'il donne son accord pour que la nouvelle Coopération Technique HA-T1182 « Renforcement des capacités pour la gestion des impacts directs et indirects du Parc Industriel de Caracol », inscrite dans le document de programme de pays 2013 (CPD 2013), soit gérée directement par les services de la Banque Interaméricaine de Développement dans l'objectif de garantir une plus grande efficacité dans son exécution.

Le Ministère de l'Économie et des Finances vous renouvelle, Monsieur le Chef des Opérations, l'expression de sa considération distinguée.

  
Wilson LALEAU

## ANNEX II

### Institutional Strengthening to Increase the Technical Capacity of the Government of Haiti to Address Direct and Indirect Impacts of the PIC - HA-T1182

#### TERMS OF REFERENCE

#### Water and Sanitation Specialist

##### I. Background

The Caracol Industrial Park (PIC, by its French acronym) in Northern Haiti is one of the key elements of the IDB investment portfolio to support the economic and social development of Haiti. The PIC is a multi-phase investment supported by the Bank to finance the infrastructure required to attract the investment of manufacturing firms to the North.

The PIC has the potential to cause significant environmental impacts that may have profound implications for the natural resources in the area if the proper mitigation measures are not implemented. On top of the complexity and tight timeline for project execution, a lack of environmental guidance and enforcement capacity characterizes the government agencies dealing with this operation.

Within the scope of environmental management and monitoring of the PIC Project, water and sanitation issues are particularly important because of the potential consequences to the environment from inadequate management. For this reason, the Environmental Safeguards Unit (VPS/ESG) is looking for an Environmental Specialist with expertise in Water and Sanitation to work closely with the UTE (*Unité Technique d'Exécution*/ Government of Haiti), the Executing agency for the PIC.

##### II. Objective of the Consultancy

The objective of this consultancy is to: (i) provide technical assistance to the PIC Project in water and sanitation, pollution control and environmental monitoring. The Consultant will work closely with the UTE (*Unité Technique d'Exécution*/Government of Haiti), the Executing agency for the Caracol Industrial Park (PIC) Project.

##### III. Activities

The Water and Sanitation Specialist will perform the following tasks:

- i. Ensure compliance with the Water Supply and Wastewater Action Plan, the Environmental and Social Management Plan (ESMP), and the Rules and Regulations of the PIC as approved by the UTE.

- ii. Provide technical assistance on water and sanitation related queries, specifically: water supply; wastewater treatment; pollution control; water and wastewater quality monitoring; and the water availability and Integrated Water Resources Management (IWRM) Plan for Northern Haiti (HA-T1179).
- iii. Provide technical assistance for the development and implementation of the environmental monitoring program.
- iv. Provide recommendations and support the implementation of plans designed to achieve compliance with the established standards for water supply and wastewater discharge.
- v. Assist with any other activity necessary to help improve and maintain the environmental and social performance of the project.
- vi. Provide training and capacity building on: water and wastewater safety; environmental management and monitoring and supervision.

#### IV. CHARACTERISTICS OF THE CONSULTANCY

**Type of Consultancy:** Individual

**Place of Work:** The work will be carried out in Washington DC (or another approved international location). It is expected that most of the work will be carried out during missions to Haiti.

**Experience and Skills:**

- Seven to ten years of experience in the water supply and sanitation sector, including specific experience developing and monitoring the implementation of environmental, water supply, wastewater and sludge management plans and programs;
- Specific experience and academic qualification in water resources management, environmental engineering, environmental management or a highly related discipline;
- Extensive field experience in developing countries working in unfavorable conditions;
- Experience managing environmental assessments, implementation of action plans, and environmental management, and health and safety at work;
- Knowledge of Haitian law, and knowledge of policies, procedures and operational policies of the Inter-American Development Bank and IFC Environmental Health and Safety (EHS) Guidelines; and
- Fluent in French and English, excellent computer skills, including: word processing, spreadsheets and database software.

#### V. REPORTS / OUTPUTS

The consultant will provide:

- Reports, analyses and technical documentation related to the Activities listed in Section III;
- Training and capacity building workshops as required by the Project.

**Institutional Strengthening to Increase the Technical Capacity of the  
Government of Haiti to Address Direct and Indirect Impacts of the PIC - HA-T1182**

**TERMS OF REFERENCE**

**Environmental Specialist – Environmental Monitoring and Management Systems expert**

**I. Background**

The Caracol Industrial Park (PIC, by its French acronym) in Northern Haiti is one of the key elements of the IDB investment portfolio to support the economic and social development of Haiti. The PIC is a multi-phase investment supported by the Bank to finance the infrastructure required to attract the investment of manufacturing firms to the North.

The PIC has the potential to cause significant environmental impacts that may have profound implications for the natural resources in the area if the proper mitigation measures are not implemented. On top of the complexity and tight timeline for project execution, a lack of environmental guidance and enforcement capacity characterizes the government agencies dealing with this operation.

Within the scope of environmental management of the PIC Project, environmental monitoring and management systems are particularly important because of the potential consequences to the environment from inadequate management. For this reason, the Environmental Safeguards Unit (VPS/ESG) is looking for an Environmental Specialist with expertise in Water and Sanitation to work closely with the UTE (*Unité Technique d'Exécution*/Government of Haiti), the Executing agency for the PIC.

**II. Objective of the Consultancy**

The objective of this consultancy is to ensure environmental monitoring and management systems are in place at the PIC. The consultant must work closely with the UTE (*Unité Technique d'Exécution*/Government of Haiti) and will liaise as needed, with the Ministry of Environment (MOE) and CIAT (*Comité Interministériel d'Aménagement du Territoire*), who is responsible for the implementation of the Northern Regional Masterplan).

**III. Activities**

The Environmental Specialist will perform the following tasks:

- i. Support the UTE with the management and monitoring of the implementation of the PIC Action Plans, including follow-up and reporting on their status on a weekly basis;
- ii. Support the UTE with the management of the environmental, social, and health and safety (ESHS) aspects of the contracts for the Park Manager and the ESHS manager for the PIC; this

- includes assistance with preparing or reviewing Terms of Reference, bidding documents (Appel d'Offres), as well as supervising and reporting on the adequate execution of such contracts;
- iii. Support the UTE with the transition of the implementation and operation of the ESHS Management System, including assistance to the UTE in reviewing the PIC's Rules and Regulations, as well as each tenant's specific environmental, social and health and safety management plan (ESMP);
  - iv. Provide advice to the UTE on the preparation and review of environmental, health and safety reports related to the PIC;
  - v. Provide advice on the identification of environmental and potential impacts and risks, including any potential cumulative impacts, propose prevention or mitigation measures when necessary, and liaise with the corresponding institutional agency responsible for its implementation;
  - vi. Assist with any other activity necessary to help improve and maintain the environmental and social performance of the project; and
  - vii. Provide and/or participate in training and capacity building opportunities as required by the Project.

#### **VI. Characteristics of the Consultancy**

**Type of Consultancy:** Individual

**Place of Work:** The work will be carried out in Washington DC (or another approved international location). The consultancy will include missions to Haiti.

**Experience and Skills:**

- Experience in project Environmental Social and Health and Safety (ESHS) analysis and management, especially in complex and multi-faceted projects.
- Experience with the practical implementation of ESHS Management systems at the project level.
- Knowledge of Haitian Law, ESHS international best practices, including the policies, procedures and operational policies of the Inter-American Development Bank and IFC Environmental Health and Safety (EHS) Guidelines.
- Excellent communication and writing skills.
- Experience in Haiti (or another developing country working in unfavorable conditions).
- Fluent in French and English and excellent computer skills, including: word processing, spreadsheets and database software.

#### **VII. Reports / Outputs**

The consultant will provide:

- Reports, analyses and technical documentation related to the Activities listed in Section III;
- Training and capacity building workshops as required by the Project.

**Institutional Strengthening to Increase the Technical Capacity of the  
Government of Haiti to Address Direct and Indirect Impacts of the PIC - HA-T1182**

**TERMS OF REFERENCE**

**Health and Safety Consultant**

**I. Background**

The Caracol Industrial Park (PIC, by its French acronym) in Northern Haiti is one of the key elements of the IDB investment portfolio to support the economic and social development of Haiti. The PIC is a multi-phase investment supported by the Bank to finance the infrastructure required to attract the investment of manufacturing firms to the North.

The PIC has the potential to cause significant environmental impacts that may have profound implications for the natural resources in the area if the proper mitigation measures are not implemented. On top of the complexity and tight timeline for project execution, a lack of environmental guidance and enforcement capacity characterizes the government agencies dealing with this operation.

Within the scope of environmental management of the PIC Project, health and safety (H&S) issues are particularly important because of the potential consequences to the environment from inadequate management. Since 2012, H&S trainings have been ongoing activities at the PIC. These activities were designed to: be a vehicle for enhancing H&S aspects of IDB projects financed across different sectors in Haiti, especially the PIC Project; strengthen safeguards compliance in Haiti; and lead to a better implementation of H&S aspects on the different projects across sectors in the post-disaster situation at a country level.

For this reason, the Environmental Safeguards Unit (VPS/ESG) is looking for a Health and Safety Specialist with expertise in to work closely with the UTE (*Unité Technique d'Exécution*/Government of Haiti), the Executing agency for the PIC.

**II. Objective of the Consultancy**

The objective of this consultancy is to: (i) raise awareness on H&S issues for different technical sectors; (ii) provide on-the-job H&S training as well as auditing the PIC and associated facilities, and; (iii) provide support to on-site supervision of H&S aspects for the PIC and associated facilities.

The H&S challenges include controlling risks relative to all ongoing operational activities, and simultaneously and progressively, shaping a frame of reference and an H&S culture in Haiti, despite the lack of regulation (in term of risk assessment, training inspections, reporting, etc.).

**III. Characteristics of the Consultancy**

Type of Consultancy: Individual

**Starting Date and Duration:** 90 days – From July 15<sup>th</sup> to December 31<sup>st</sup>, 2014.

**Place of Work:** The majority of the work will be carried out in Haiti.

**Qualification, Experience and Skills:**

- Master's degree in Engineering or a related discipline;
- Extensive experience in the design, development and administration of health & safety programs in areas such as general safety, training and regulatory compliance; (iii) demonstrated experience in healthcare or emergency response; (iv) experience managing projects across a wide variety of systems and programs, including job Safety Analysis Systems, training and coaching programs;
- Experience with the safe storage and management of data, to diminish future data losses due to disasters; and
- Be familiar with the functioning and purpose of a Multilateral Development Bank, in particular the IDB.

**Languages:** Full proficiency in French and English.

**IV. Activities**

The Consultant will conduct the following activities:

1. On the job H&S training and auditing of the PIC and associated facilities: Training all key stakeholders involved in the PIC on EHS matters to ensure that all concerned parties share the same safety principles, and engage in the H&S activities implemented at the PIC. This will include: (i) assessing workplace safety; (ii) assessing whether or not new project documents include H&S aspects and if not, proposing measures to be included in project documents (ESMP, Operational Manuals, Park Management Contract, Supervision TORs, rules and standards for a Global Management System of H&S issues at the PIC, other technical documents related to H&S issue, etc.); and (iii) developing an appropriate H&S reporting system.
2. Elaborate a "PIC EHS frame of reference": The objective of this activity is to design a frame of reference in terms of legal and managerial aspects for the PIC. This frame of reference will include General EHS policy and strategy; leadership, roles, regulation monitoring; objectives and targets; PIC safety directives for management of High Risk Activities (HRA).
3. Set up a H&S toolbox: Provide and share with the different stakeholders common tools in order to improve efficiency and promote the exchange of best practices. This activity will include training and communication modules, managerial inspections, safety observation visits, and planned general inspections.
4. Provide advice and guidance on technical H&S aspects to the PIC H&S Committee.

## V. Reports / Output

The consultant will deliver the following

- H&S training materials (in French);
- H&S Workshop Reports including recommendations for follow-up; and
- H&S Training events at the PIC and reports on PIC training events, including recommendations for follow-up.

## VI. EHS Plan for the PIC

PIC 2013 - 2014 / Two Years Safety Plan (EHS )			
The general idea of this plan is to shape a future without accidents within the PIC, build a strong safety frame of reference, and embed sustainable safety acts and behaviors.			
KEY ACTION	OBJECTIVE	TARGET	MAIN CONTENT
Train on EHS matters all actors involved in PIC activities	Ensure that all concerned parties share the same safety principles, and engage in active contribution	Companies operating within the PIC (Top and local management) / Contractors / Civil work operators/ UTE team / official authorities / other stakeholders	EHS stakes and management principles Hazard / risk evaluation and control Prevention and protection means Day to day risk management EHS event analysis and reporting EHS performance indicators
Build a "PIC EHS frame of reference"	The "PIC EHS management system" is the only frame of reference in term of legal and managerial aspects	All actors operating within the PIC, permanently or temporarily	General EHS policy and strategy: leadership, roles, mission, regulation monitoring; objectives and targets; PIC safety directives for management of High Risk Activities (HRA) General instructions on PIC directives and "Zero tolerance to deviation" policy Check-lists for the (self) evaluation of compliance to the requirement for HRA
Implement "Day to day risk management" practices	Each party actively contribute in implementing shared practices in line with the PIC EHS Directives	All actors operating within the PIC, permanently or temporarily	Operational activities in line with PIC Directives such as: Risk management, control of contractors, electrical safety, lock-out / tag-out, chemical safety, machine safety, work at height, excavation work, lifting operation, vehicle movement, emergency preparedness, fire prevention, first aid, etc.
Set up a tool box	Share common tools in order to be more efficient and exchange best practices	All actors operating within the PIC, permanently or temporarily	Training and communication modules, managerial inspections, safety observation visits, planned general inspections, deviation identification and treatment, EHS event/accident analysis, internal reporting, EHS reviews, internal audits, etc.
Regularly follow-up EHS initiatives and actions	Sustain initiatives, and support all actor; Evaluate continuous improvements	All actors operating within the PIC, permanently or temporarily	Self-internal inspections Zero Deviation Tolerance audits Regular EHS reviews Communication plan



**Institutional Strengthening to Increase the Technical Capacity of the  
Government of Haiti to Address Direct and Indirect Impacts of the PIC - HA-T1182**

**TERMS OF REFERENCE**

**Occupational, Health and Safety training in Coordination with Better Work**

**I. Background**

Manufacturing is one of the sectors the Bank is growing, especially the private sector entity. In Haiti, textile manufacturing is expected to grow as a result of projects such as the Caracol Industrial Park (PIC) designed to take advantage of trade preference programs with the United States. These programs include the 2008 Haitian Hemispheric Opportunity through Partnership Engagement (HOPE II)<sup>1</sup> and its successor, the 2010 Haiti Economic Lift Program (HELP) Act.<sup>2</sup>

In this context the IDB is looking at collaborating with organizations such as Better Work to enhance global tools to help provide sustainable solutions that build cooperation between governments, employers' and workers' organizations and international buyers. Better Work is a unique partnership program between the International Labor Organization (ILO) and the International Finance Corporation (IFC). Launched in October 2009 in Haiti, the program aims to improve both compliance with labor standards and competitiveness in global supply chains. Better Work also supports enterprises in implementing the ILO core international labor standards and national labor law.

**II. Objective of the Consultancy**

The objectives of this consultancy are: (a) training support for Better Work Haiti staff with a focus on emergency response plans, fire and life safety; (b) shadow audits on Occupational, Health and Safety (OHS); (c) workshop at SONAPI for factory owners; and (d) building strong OHS Committees focusing on two different targets: (i) introductory training for managers and workers, and; (ii) advanced workshop for experienced managers.

**III. Characteristics of the Consultancy**

**Type of consultancy:** Consulting Firm

**Starting date and duration:** 10 days of support quarterly, including a site visit to the North. The timing and duration of the visits is to be agreed between the Executive

<sup>1</sup> Approved by the US Congress, HOPE II initiated support efforts to expand the industry in Haiti by extending duty-free treatment of textiles, apparel and other goods until 2018. Labor standards compliance was included as a prerequisite of this legislation.

<sup>2</sup> The HELP Act passed by the US Congress in the wake of the January 12, 2010 earthquake in Haiti will expand duty-free access to the US market for additional Haitian textile and apparel exports, and extend existing trade preference programs for the country through 2020.

Director of the UTE and the IDB (ESG and the Project Team Leader). The firm would commence work upon signing of the contract associated with these Terms of Reference, whichever is sooner, and extending until December 2014.

**Place of work:** Offices of the firm, with mission travel to Northern Haiti.

**Qualifications of the firm:** The firm will have staff with the following qualifications:

- Extensive experience creating good OHS business practices specifically with corporations, non-governmental organizations (NGOs), and international agencies.
- Experience providing training on risk assessment, and best practices on OHS Issues.
- Environmental hygiene.
- Previous experience in developing countries, experience in Haiti is a plus.

#### IV. Activities

The Consulting firm will perform the following tasks:

1. Support for Better Work Haiti: This activity has the objective to assist Better Work Haiti staff to attain higher levels of knowledge and skill in order to meet Better Work Haiti objectives related to HSE. This activity could take the form of a shadow audit, an informal conversation with Better Work Haiti staff, or classroom-style training on key OSH issues in Haiti and/or the use of OSH committees. This concept would only be useful if deemed of benefit by Better Work Haiti.
2. Factory Owners Workshop (SONAPI): The aim of this activity is to ensure the support of the factory owners for proactive HSE programs in the workplace. A discussion paper prepared in 2012 by the Cahn Group<sup>3</sup> showed that a strong commitment of factory owners was essential to the implementation of OSH precautions in general and the utilization of OSH committees in particular. In this high-level seminar, the business case of HSE will emerge from a discussion of the HSE risks in Haitian garment factories today. The benefits of a strong management system that identifies and mitigates risk will be introduced.
3. Training and Shadow Audit at the PIC: The purpose of this activity is to conduct a Training session with the Better Work team at the PIC. This activity will allow evaluating the risks in garment factories that are already operating in Northern Haiti and comparing the practices on OHS at the PIC with the ongoing practices at the Port-au-Prince factories.
4. Building Strong OSH Committees (SONAPI) – Introduction: The objective of this activity is to provide the basis for well-functioning OSH committees based on a strong understanding of the purpose and role of OSH committees in addressing key risks in Haiti garment factories to managers on OSH committees (or who have the responsibility for those who do) and workers who serve on OSH committees but who have not received previous training.

<sup>3</sup> <http://www.iadb.org/en/publications/publication-detail,7101.html?id=60526&dcL.language=en&dcType=Discussion>

5. Building Strong OSH Committees (SONAPI) - Advanced Workshop: This activity is an in-depth training for experienced (previously trained) managers and workers, with the purpose to promote the use of hazard/risk assessments through hands on, in-factory activities for experienced staff.

**V. Reports / Output**

The consultant firm will deliver the following:

- Training materials for the Workshops;
- OHS Training for Better Work Haiti and Factory owners;
- OHS Workshop Reports including recommendations for follow-up.

**Institutional Strengthening to Increase the Technical Capacity of the  
Government of Haiti to Address Direct and Indirect Impacts of the PIC - HA-T1182**

**TERMS OF REFERENCE**

**Institutional Specialist to support CIAT in the development of the  
Autorité d'Aménagement du Nord (AANNE)**

**I. Background**

The complexity of the Caracol Industrial Park (PIC), and the development of other projects in the Northern region such as the Roi Henri Christophe University and its hospital, the protected area of Caracol Bay, the upcoming construction of a port and additional housing projects, etc. reflects the urban development that will take place in the Northern region and that will require an increased need for coordination and support for the different government agencies and ministries within Haiti. A number of institutional agencies face severe capacity limitations and struggle to implement their mandates and respond to demands in a timely fashion.

IDB and USAID financed a consultancy by the American Institute of Architects (AIA) to develop a Northern Regional Master Plan under the coordination of "*Comité Interministériel d' Aménagement du Territoire (CIAT)*"; based on this consultancy, CIAT published the "*Plan d'Aménagement du Nord / Nord-Est – Couloir Cap – Quananthe*" in December 2012.<sup>4</sup> One of the proposals of this plan is the creation and implementation of a Planning authority for the North (*Autorité d'Aménagement du Nord - AANNE*). This Authority will be placed at the high level of the Government and will serve as a mechanism for coordination, legal advice, technical assistance, implementation of the Masterplan and research.

To this end, the IDB seeks to strengthen the support of the Urban Planning in Northern Haiti by hiring a Consultant to support CIAT to develop an approach for the implementation of the Regional Masterplan and especially to support the development of AANNE.

**II. Objective of the Consultancy**

The objective of this consultancy is to provide institutional support to CIAT to develop the governance structure of AANNE; provide technical analysis of the local context of the northern region and identify the key stakeholders to support the creation of AANNE.

**III. Characteristics of the Consultancy**

**Type of Consultancy:** Individual

<sup>4</sup> IDBDOCS-#37693056-CIAT MasterPlan 2013-2030.

**Starting Date and Duration:** Approximately 60 days from July 1<sup>st</sup> to December 31<sup>st</sup>, 2014.

**Place of Work:** The work will be carried out in Washington DC (or another approved International location). The consultancy will include missions to Haiti.

**Experience and Skills:**

- Advanced degree in institutional development, environment and/or social planning and management, public administration or a related field.
- At least 15 years of relevant experience in assisting international institutions in coordination and management of operations, especially in conflict, or disaster/post-disaster affected countries and administration;
- Proven record of strong presentation, negotiation and management skills as well as excellent communication skills;
- Extensive experience in strategic assessment of difficult operations, analytic skills of political, economic and strategic issues, working with international organizations;
- Extensive experience and knowledge of public administration;
- Strong understanding of environmental and social safeguards issues;
- Extensive knowledge of monitoring and evaluation of contractors and operations.

**Languages:** Full proficiency in French and English.

**IV. Activities**

The Consultant will support CIAT and conduct the following activities:

1. Draft a proposal for the institutional set-up of AANNE, including budget requirements, legal background, and internal coordination, structure for stakeholder involvement, roles and responsibilities of members of AANNE and reporting.
2. Undertake a mapping of local stakeholders and research how local stakeholders could be involved in the implementation of the Masterplan.
3. Act as a liaison between CIAT, the IDB, different counterparts and local stakeholders, to facilitate the undertaking of specific tasks as required for the implementation of the Masterplan and the set-up of AANNE.
4. Identify opportunities and risks for: (i) the development of AANNE; (ii) the implementation of the Masterplan, and; (iii) provide innovative ideas to address these risks and constraints.
5. Identify: (i) the responsibilities and sphere of competence of the agencies identified in the Masterplan for every administrative level; (ii) the human resources (including the specific skills) required to control the planning authority (AANNE) at the borough level and municipalities "collectivités territoriales", and; (iii) the budget for running these units and proposed financing model to ensure their sustainability.
6. Elaborate the Terms of Reference for a Table de Concertation composed by representatives of the different ministries and local stakeholders.

7. Develop by-laws, other legislative documents and administrative regulations necessary for the implementation of the AANNE as well as the other identified institutions.

**V. Reporting / Outputs**

The Consultant will be responsible for delivering the following products to CIAT and the IDB:

- Preparation of project-related documents, concept notes, minutes of coordination and consultation meetings;
- Proposal on the development of AANNE;
- Monthly progress reports.

ANNEX III  
**INSTITUTIONAL STRENGTHENING TO INCREASE THE TECHNICAL CAPACITY OF THE COH TO ADDRESS DIRECT AND INDIRECT IMPACTS OF THE PIC (HA-T1182)**

<b>PROCUREMENT PLAN FOR NON-REBURSABLE TECHNICAL COOPERATION</b>										
Country: Haiti										
Executing Agency: VPS/ESG										
Project Number: HA-T1182										
Period covered by the Plan: May 2013 to August 2015										
Threshold for ex post review of procurements: N/A										
Goods and services (in US\$)					Consulting services (in US\$): 530,000					
Item No.	Ref. AWP	Description (1)	Estimated Contract Cost (US)	Procurement Method (2)	Review of Procurement (ex ante or ex post)	Source of financing and IDB (%)	Local / Other (%)	Estimated date of the procurement	Status (pending, in process)	Comments
1		<b>GOODS</b>								
		Not applicable								
2		<b>WORKS</b>								
		Not applicable								
3		<b>NON CONSULTING SERVICES</b>								
		Not applicable								
4		<b>CONSULTING SERVICES</b>								
		Water and Sanitation Specialist	70,000	QCII	N/A	100%		Jun-13	Pending	Consultant/ Consulting firm
		Environmental Monitoring and Management Systems Expert	60,000	QCII	N/A	100%		Jun-13	Pending	Consultant/ Consulting firm
		Other consultancies for UTE and MOE	160,000	QCBS	N/A	100%		Jun-13	Pending	Consultant/ Consulting firm
		Health and Safety Specialist	50,000	QCBS	N/A	100%		Jun-13	Pending	Consultant
		Occupational, Health and Safety training in Coordination with Betf	50,000	QCBS	N/A	100%		Jun-13	Pending	Consulting firm
		Institutional Specialist to support CIAT (AANNIE)	80,000	QBS	N/A	100%		May-13	Pending	Consultant
		Dissemination Video and Reports	20,000	LCS	N/A	100%		May-13	Pending	Consultant/ Consulting firm

(1) If there are a number of similar individual contracts to be executed in different places or at different times, these can be grouped together under a single heading, with an explanation in the comments column, indicating the average individual amount and the period during which the contracts would be executed. For example: an education project that includes school construction might include an item labeled "Construction" for an estimated cost of US\$20 million and an explanation under the Comments column such as this: "This item encompasses some 200 contracts for school construction averaging US\$100,000 each, to be awarded individually by the participating municipal governments over a three-year period between January 2006 and December 2008."

(2) Goods and Works: ICB: International competitive bidding; LIB: limited international bidding; NCB: national competitive bidding; PC: price comparison; DC: direct contracting; FA: force account; PSA: Procurement through specialized agencies; PAs: Procurement agents; PLFI: Procurement in loans to financial intermediaries; BOO/BOT/BOOT: Build, own, operate/build, operate, transfer/build, own, operate, transfer; PPP: Performance-based procurement; PLGB: Procurement under loans guaranteed by the Bank; PCP: Community participation procurement.

(3) Consulting firms: QCBS: Quality- and cost-based selection; QBS: Quality-based selection; QBS: Selection under a fixed budget; LCS: Least-cost selection; CQS: Selection based on the consultant qualifications; SSS: Single-source selection.

(4) Individual Consultants: QCNI: Selection based on comparison of qualifications of national individual consultants; QCII: Selection based on comparison of qualifications of international individual consultants.

(5) Ex ante/ex post review: In general, depending on the Institutional Capacity and level of risk associated with the procurement, ex post review is the standard modality. Ex ante review can be specified for critical or complex procurements.

(6) Column "Status" will be used for retroactive procurement and when updating the procurement plan.

## SAFEGUARD SCREENING FORM

This Report provides a summary of the project classification process and is consistent with Safeguard Screening Form requirements. The printed Report should be attached as an annex to the PP (or equivalent) and sent to ESR.

1. Save as a Word document.
2. Enter additional information in the spaces provided, where applicable.
3. Save new changes.

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	[Not Set]
	<b>Type of Operation</b>	Technical Cooperation
	<b>Additional Operation Details</b>	
	<b>Country</b>	
	<b>Project Status</b>	
	<b>Investment Checklist</b>	Generic Checklist
	<b>Team Leader</b>	[Not Set]
	<b>Project Title</b>	Toolkit: INSTITUTIONAL STRENGTHENING TO INCREASE THE TECHNICAL CAPACITY OF THE GOVERNMENT OF HAITI TO ADDRESS DIRECT AND INDIRECT IMPACTS OF THE PIC
	<b>Project Number</b>	[Temporary Project]
	<b>Safeguard Screening Assessor(s)</b>	Barandiaran Salcedo, Doris Melissa (DORISS@Contractual.iadb.org)
	<b>Assessment Date</b>	2013-04-03
	<b>Additional Comments</b>	

<b>PROJECT CLASSIFICATION SUMMARY</b>	<b>Project Category:</b> C	<b>Override Rating:</b>	<b>Override Justification:</b>
			<b>Comments:</b>
	<b>Conditions/ Recommendations</b>	<ul style="list-style-type: none"> <li>• No environmental assessment studies or consultations are required for Category "C" operations.</li> </ul>	



		<ul style="list-style-type: none"> <li>• Some Category "C" operations may require specific safeguard or monitoring requirements (Policy Directive B.3). Where relevant, these operations will establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.).</li> <li>• The Project Team must send the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.</li> </ul>
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SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS	Identified Impacts/Risks	Potential Solutions

ASSESSOR DETAILS	Name of person who completed screening:	Barandiaran Salcedo, Doris Melissa (DORISS@Contractual.iadb.org)
	Title:	
	Date:	2013-04-03

## SAFEGUARD POLICY FILTER REPORT

This Report provides guidance for project teams on safeguard policy triggers and should be attached as an annex to the PP (or equivalent) together with the Safeguard Screening Form, and sent to ESR.

1. Save as a Word document. 2. Enter additional information in the spaces provided, where applicable. 3. Save new changes.

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	[Not Set]
	<b>Type of Operation</b>	Technical Cooperation
	<b>Additional Operation Details</b>	
	<b>Investment Checklist</b>	Generic Checklist
	<b>Team Leader</b>	[Not Set]
	<b>Project Title</b>	Toolkit: INSTITUTIONAL STRENGTHENING TO INCREASE THE TECHNICAL CAPACITY OF THE GOVERNMENT OF HAITI TO ADDRESS DIRECT AND INDIRECT IMPACTS OF THE PIC
	<b>Project Number</b>	[Temporary Project]
	<b>Safeguard Screening Assessor(s)</b>	Barandiaran Salcedo, Doris Melissa (DORISS@Contractual.iadb.org)
	<b>Assessment Date</b>	2013-04-03
	<b>Additional Comments</b>	

<b>SAFEGUARD POLICY FILTER RESULTS</b>	<b>Type of Operation</b>	[Not Set]	
	<b>Safeguard Policy Items Identified (Yes)</b>	The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy-- OP-102
		The operation is in compliance with environmental, specific women's rights, gender, and indigenous laws and regulations of the country where the	(B.02)

	operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements).	
	The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)
	If a Technical Cooperation, the operation is associated with the design and/or implementation of a major investment loan in infrastructure.	(B.04)
	Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups.	(B.06)
	The Bank will monitor the executing agency/borrower's compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations.	(B.07)
	Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement.	(B.17)
	<b>Potential Safeguard Policy Items(?)</b>	No potential issues identified
	<b>Recommended Action:</b>	Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.

	<b>Additional Comments:</b>	
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<b>ASSESSOR DETAILS</b>	<b>Name of person who completed screening:</b>	Barandiaran Salcedo, Doris Melissa (DORISS@Contractual.iadb.org)
	<b>Title:</b>	
	<b>Date:</b>	2013-04-03