

PROCUREMENT PLAN

WEST BENGAL MAJOR IRRIGATION & FLOOD MANAGEMENT PROJECT

**IRRIGATION & WATERWAYS DEPARTMENT
WEST BENGAL**

I. **General**

1. **Project information**

Country : **India**

Borrower : **Government of India**

Project Name : **West Bengal Major Irrigation & Flood management Project**

Project Identification No (ID) : P162679

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Project Implementing Agency (PIA): Irrigation & Waterways Department

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2. **Bank's approval Date of the Initial:
Procurement Plan**

3. **Date of General Procurement Notice:** January 17,2017

4. **Applicable Bank Guideline:**

For Goods ,Works ,Consultancy and Non-consulting Services :Procurement Regulations for IPF Borrowers , July 2016

Period covered by this procurement plan: 18 months from ----- to -----.

II. Goods, Works and Non-Consulting Services

| Category | Method of Procurement | Threshold (US\$ Equivalent)* | Prior review threshold |
|-----------------------------------|-------------------------------------|---|--|
| Goods and Non-consulting services | International competitive Bidding | Contracts more than the equivalent of US\$ 3 million per contract | All ICB, LIB and Framework Contracts . All other contracts equal to or greater than US\$1.5million equivalent ; |
| | Limited International Bidding | As per para 6.12 of the Procurement regulations for IPF Borrowers ,July 2016 . | |
| | National competitive Bidding | Contracts more than the equivalent of US\$ 100,000 but less than the equivalent of US\$ 3 million per contract and as per para 6.15 to 6.18 of the Procurement regulations for IPF Borrowers ,July 2016 (with NCB conditions) | |
| | Shopping ** | Contracts equivalent of US\$ 100,000 or less per contract. | |
| | Direct Selection | Proprietary software; educational journals and other procurement justified as per para 6.8 to 6.10 of the <i>Bank procurement regulation for IPF Borrowers</i> . | |
| | Force Account | As per para 3.9 of Guidelines | |
| | Community Driven Development # | As per para 6.52-6.53 of <i>Bank procurement regulation for IPF Borrowers</i> | |
| | Framework Agreements@ | As per para 6.57 to 6.59 of <i>Bank procurement regulation for IPF Borrowers Guidelines</i> | |
| Works | International Competitive Bidding | Civil works more or equivalent of US\$40,000,000 per contract | All ICB contracts and Force Account contracts. All other contracts equal to or greater than US\$5 million equivalent |
| | National competitive Bidding | Civil works more than \$10000 and Up to \$40,000,000 per contract (with NCB conditions) | |
| | Shopping** | Civil works Up to \$100,000 per contract | |
| | Direct Selection | as per para 6.8 to 6.10 of the <i>Bank procurement regulation for IPF Borrowers</i> . | |
| | Community Driven Development (CDD)# | As per para 6.52-6.53 of <i>Bank procurement regulation for IPF Borrowers</i> | |
| | Force Account | As per para 6.54 to 6.55 of <i>Bank procurement regulation for IPF Borrowers</i> | |

| Category | Method of Procurement | Threshold (US\$ Equivalent)* | Prior review threshold |
|---|--|--|--|
| Consultants' Services | Consultant Qualification /Least cost selection | Contracts Up to \$300,000 or less per contract | All contracts equal to or greater than US\$500,000 equivalent for firms; and equal to or greater than US\$200,000 equivalent for individuals |
| | Direct selection | As per para 7.13 to 7.15 of <i>Bank procurement regulation for IPF Borrowers</i> | |
| | Individual Contracts | As per para 7.34 to 7.39 of <i>Bank procurement regulation for IPF Borrowers</i> | |
| | Quality and cost Based selection /Quality Based Selection /Fixed Based Selection | for All other Contracts | |
| | (i) International shortlist | >\$800,000 | |
| (ii) Shortlist may comprise national consultants only | Up to \$800,000 | | |

*** If a transaction comprises several contracts, lots or slices, the aggregate estimated value of all contracts, lots or slices will determine the applicable threshold amount#**

****State Rate Contracts cannot be used at par with Shopping. If state rate contract exists for an item, the same can be considered as one of the 3 quotations to be sought under shopping procedures.**

.#Community Driven Development would be based on World Bank “Guidance Note For Management of Procurement Responsibilities In Community-Driven Development Projects dated December 2009 and as included in the Procurement manual.

2. Pre-qualification: Not Applicable

3. **Reference to Project Procurement Manual:** A Procurement Manual based on World Bank Procurement Regulations for IPF Borrowers, July 2016 shall be prepared. All procurements in the project will be governed by the procedures described in the Manual. In case of any inconsistency between the Procurement Manual and the World Bank Procurement Regulation for IPF Borrower, July 2016, the provisions of the Regulation shall prevail.

4. Any Other Special Procurement Arrangements (Goods & Works) :

- A. Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided they are procured in accordance with agreed processes and procedures for the project and have been cleared as part of procurement plan.
- B. National Competitive Bidding (NCB) method for procurement of goods, works and non-consulting services as per the above value thresholds will be conducted in accordance with paragraph 3.3 and 3.4 of the World Bank Procurement Guidelines and the following provisions:
- (i) Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
 - (ii) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access) along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
 - (iii) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.
 - (iv) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
 - (v) Extension of bid validity shall not be allowed with reference to contracts subject to prior review without the prior concurrence of the World Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for prior approved packages, all subsequent requests for extension irrespective of the period. (Such concurrence will be considered by the Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
 - (vi) Re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank.
 - (vii) The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project.
 - (viii) Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures **unless agreed with the Bank on case to case basis**. Such contracts will be acceptable however for any procurement under the Shopping procedures.
 - (ix) Two or three envelop system will not be used (except when using e-Procurement system assessed and agreed by the Bank).
 - (x) As per 1.1(e) of World Bank Procurement Guidelines, the Association's right to inspect accounts and records of the bidders, suppliers and contractors will be included in the bidding document.

III) Selection of Consultants

1. Methods and Value thresholds for Consultancy Services

| Consulting Services | Methods | Value Threshold*/Regulation Provision | Review Arrangements |
|-----------------------------|--|--|---|
| Consulting Services (Firms) | i) Quality and Cost Based Selection (QCBS) | | First QCBS Contract irrespective of value and all subsequent contracts valued above US\$ 500,000 will be subject to Prior Review by the World Bank. All other contracts will be subject to post review. All Direct selection in accordance with para 7.13 to 7.15 of the <i>Bank Procurement Regulation for IPF Borrowers</i> . |
| | ii) Quality Based Selection (QBS) | | |
| | iii) Selection based on a Fixed Budget (FBS) | | |
| | iv) Selection Based on Least Cost Basis (LCS) | | |
| | v) (Selection based on Consultant's Qualification (CQS)) | Contracts estimated to cost equivalent of US\$ 300,000 or less per contract. | |
| | vi) Direct Selection | In accordance with para 7.13 to 7.15 | |
| Individual Consultants | i) Competitive Selection | Procurement to be in accordance with para 7.34 to 7.38 of <i>Bank procurement regulation for IPF Borrowers</i> | All contracts valued above US\$ 500,000 will be subject to Prior Review by the World Bank. All other contracts will be subject to post review. |
| | ii) Direct Selection # | as per para 7.39 of <i>Bank procurement regulation for IPF Borrowers</i> | All Direct selection to be Prior approved in procurement plan and in accordance with para 7.39 of the <i>Bank Procurement Regulation for IPF Borrowers</i> . |

* If a transaction comprises several packages, lots or slices, the aggregate estimated value of contracts will determine the applicable threshold amount.

Irrespective of contract value any hiring of Government or Research Institutes of unique and exceptional nature requires prior no objection of the World Bank on case to case basis.

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than US \$800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of Para 2.7 the World Bank Consultant Guidelines.

3. Any Other Special Selection Arrangements (Consulting Services) :

- (a) Contracts procured in advance will be financed under retroactive financing within the specified limits, as agreed to in the Credit Agreement provided procurement is in accordance with agreed processes and procedures for the project.
- (b) Requests for Expression of Interest (REOI) for assignments expected to cost more than US\$ 300,000 shall be advertised in *UNDB online* in accordance with Para 2.5 of the Consultant Guidelines.
- (c) With respect to each contract for the employment of individual consultants estimated to cost the equivalent of US \$ 30,000 or more, the qualifications, experience, terms of reference and terms of employment of the consultants shall be furnished to the World Bank for its prior review and No Objection.

iv) Implementing Agency Capacity Building Activities with Time Schedule

| S. No. | Expected outcome / Activity Description | Start Date | Comments |
|---------------|--|-------------------|--|
| 1 | Training in World Bank online STEP system | June 2017 | Completed. 12 officials received STEP training |
| 2 | Trainings in Bank Procurement at ASCI | December 2017 | |
| 3 | Training in New Procurement Framework | December 2017 | |

PROCUREMENT PLAN India : West Bengal Major Irrigation and Flood Management Project

General Information
Country: India **Bank:** Approval Date of the Original Procurement Plan: 2017-08-02
Project ID: P162679 **Revised Plan Date(s):** (comma delineated, leave blank if none) 2018-08-01
Project Name: West Bengal Major Irrigation and Flood Management Project **GNP Date:**
Loan / Credit No.:
Executing Agency(ies): Irrigation and Waterways Department

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|-------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|--|-------------------|-----------|-------------|------------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|------------|-----------------------------|--------|---|--------|--|--------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-HWD-58988-GO-RFB / PROCUREMENT OF IT EQUIPMENT INCLUDING COMPREHENSIVE ANNUAL MAINTENANCE CONTRACT FOR FOUR YEARS AFTER WARRANTY PERIOD OF ONE YEAR UNDER WEST BENGAL MAJOR IRRIGATION AND FLOOD MANAGEMENT PROJECT | | | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 92,223.00 | 0.00 | Cancelled | | | | | | | | 2018-05-02 | | | | | | | | 2018-06-27 | | 2018-12-24 |

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | |
|-------------------------------------|-------------------|-----------|-------------|--------|-----------------|---------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Expression of Interest Notice | | Short List and Draft Request for Proposals | | Request for Proposals as Issued | | Opening of Technical Proposals / Minutes | | Evaluation of Technical Proposal | | Combined Evaluation Report and Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
|---|-------------------|-----------|-------------|----------------------------------|----------------------|---------------|-------------------------|----------------------|------------------------|--------------------|------------|-------------------------------|------------|--|------------|---------------------------------|--------|--|------------|----------------------------------|------------|--|--------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-HWD-18735-CS-QCBS / Preparation of feasibility study, detailed engineering design and bidding documents for irrigation rejuvenation and flood management in the Damodar Command area and Lower Damodar Sub-basin in the State of West Bengal in India. | | | Prior | Quality And Cost-Based Selection | Open - International | | 597,015.00 | 0.00 | Under Implementation | 2017-06-21 | 2017-08-07 | 2017-06-23 | 2017-08-25 | 2017-06-27 | 2017-08-29 | | | 2017-06-29 | 2017-04-05 | 2017-07-01 | 2017-09-05 | 2017-07-05 | | | 2017-07-22 | | 2018-10-15 |
| IN-HWD-18748-CS-QCBS / Environmental and Social Impact Analysis by a separate consultancy organisation to supplement the feasibility study report of WBMFMP. | | | Prior | Quality And Cost-Based Selection | Open - International | | 373,134.00 | 0.00 | Under Implementation | 2017-06-21 | 2017-08-09 | 2017-07-01 | 2017-08-14 | 2017-06-05 | 2017-10-25 | | | 2017-06-02 | 2017-11-24 | 2017-10-02 | 2017-12-31 | 2017-11-06 | | | 2017-12-11 | | 2018-07-09 |
| IN-HWD-44796-CS-LCS / Selection of Consultancy firm for "Providing support to the State Project Management Unit (SPMU) of WBMFMP during project preparation period" in the State of West Bengal in India. | | | Post | Least Cost Selection | Open - National | | 183,456.00 | 0.00 | Cancelled | 2018-01-19 | 2018-01-19 | 2018-01-19 | 2018-02-21 | 2018-02-01 | 2018-02-05 | | | 2018-03-07 | 2018-04-03 | 2018-03-14 | 2018-04-03 | 2018-03-28 | | | 2018-04-25 | | 2019-02-19 |
| IN-HWD-61030-CS-LCS / Selection of Consultancy firm for "Providing support to the State Project Management Unit (SPMU) of WBMFMP during project preparation period" in the State of West Bengal in India. | | | Post | Least Cost Selection | Open - National | | 183,456.00 | 0.00 | Pending Implementation | 2018-04-27 | | 2018-05-18 | | 2018-07-01 | | | | | 2018-07-29 | 2018-08-28 | 2018-10-02 | | | 2018-11-06 | | 2019-05-05 | |

| Activity Reference No./ Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
|--|-------------------|-----------|-------------|------------------|-----------------|---------------|-------------------------|----------------------|------------------------|--------------------|--------|--|--------|---------------------------|--------|-----------------|------------|---------------------|------------|
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| IN-HWD-67394-CS-CDS / Engagement of Transaction Advisor in connection with engagement of Private Operators for management, operation and maintenance of irrigation delivery system starting from distributary level and extending up to the field (chak) level under WBMFMP. | | | Post | Direct Selection | Direct | | 87,427.00 | 0.00 | Pending Implementation | 2018-06-21 | | 2018-07-01 | | | | | 2018-09-04 | | 2019-03-03 |