

# INTEGRATED SAFEGUARDS DATA SHEET

## CONCEPT STAGE

**Report No.:** ISDSC12524

**Date ISDS Prepared/Updated:** 01-Apr-2015

**Date ISDS Approved/Disclosed:** 10-Jun-2015

### I. BASIC INFORMATION

#### A. Basic Project Data

<b>Country:</b>	Kyrgyz Republic	<b>Project ID:</b>	P154778
<b>Project Name:</b>	Third Rural Water Supply and Sanitation Project (P154778)		
<b>Task Team Leader(s):</b>	Anna Cestari		
<b>Estimated Appraisal Date:</b>	14-Dec-2015	<b>Estimated Board Date:</b>	28-Mar-2016
<b>Managing Unit:</b>	GWADR	<b>Lending Instrument:</b>	Investment Project Financing
<b>Sector(s):</b>	Water supply (60%), Sanitation (30%), Public administration- Water, sanitation and flood protection (10%)		
<b>Theme(s):</b>	Rural services and infrastructure (70%), Rural policies and institutions (30%)		
<b>Financing (In USD Million)</b>			
<b>Total Project Cost:</b>	16.25	<b>Total Bank Financing:</b>	13.00
<b>Financing Gap:</b>	0.00		
<b>Financing Source</b>			<b>Amount</b>
BORROWER/RECIPIENT			3.25
International Development Association (IDA)			13.00
Total			16.25
<b>Environmental Category:</b>	B - Partial Assessment		
<b>Is this a Repeater project?</b>	Yes		

#### B. Project Objectives

The proposed Third Rural Water Supply and Sanitation Project (RWSSP-3) is part of a programmatic framework, for which a vision was already laid out in the 'National Program for Water Supply and Sanitation' approved by the Government in September 2014. Accordingly, RWSSP-3 will have a stronger focus on assisting the Government in the development of service delivery options and building up the management capacity of community and local government entities as well as the overall oversight, planning and advisory capacity of State sector authorities. The DO for the

proposed new project will be:

‘To assist the Kyrgyz Republic in i) improving water supply and sanitation services for the target rural communities, and ii) building up capacities at all levels of State and local sector institutions in the WSS sector.

### **C. Project Description**

#### 1. Description

The proposed RWSSP-3 will build and expand on the experience of the recently completed RWSSP-2, and take into account lessons learned from the experience of other donors such as ADB (and, if meaningful, EBRD). Until now budget shortages and limited donors’ engagement have prevented significant progress, a situation that has brought the improvement of WSS to the top of the requests from the rural population to the Government. The project will focus on achieving concrete, verifiable and sustainable results in the improvement of actual WSS delivery to participating rural communities as well as providing critically needed support to State and local authorities to further their ability to develop and improve WSS sector policies and institutions and strengthen their capacity to efficiently implement the project and subsequently ensure technically and financially sustainable management of system operations.

The proposed project will include the following four main components:

(a) Water supply infrastructure and equipment investments: This component will address the needs for rehabilitation of existing and/or construction of new water supply systems in up to 25 villages benefitting possibly up to 50,000 people, including wells, pumping stations, networks, communal standpipes, connection manholes, etc. as well as the provision of service equipment including but not limited to chlorination devices, maintenance tools, and spare parts.

(b) Sanitation development: This component will finance the retrofitting of existing sanitary facilities in schools and other community facilities as well as programs to support the construction of improved individual household latrines by community members. The component, whose precise features must be further discussed with, and agreed to by, the health sector authorities, will also seek to attract external financing to support the development and implementation of additional educational campaigns to promote improved health and hygiene practices.

(c) Development of sector strategies and institutions: This component will support strategic studies and technical assistance to assist the Government in making informed policy decisions for the development, modernization and reform of the WSS sector. It will also support consultancies and studies to help develop and implement (i) capacity building activities for State agencies; and (ii) capacity building activities for CDWUUs, local authorities, and other WSS local institutions that might be created.

(d) Project management: This component will finance the project management costs of the PMU related to staffing, consultancies and equipment costs, M&E program, and financial management including internal and external financial audits.

### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

Although admittedly rural water supply and sanitation systems remain in poor conditions throughout

the entire country, the limited resources available for the proposed project will make it necessary to focus on a geographically limited area in order to ensure efficiency in project implementation management and keep its cost – and that of Bank supervision – within reasonable limits. Subject to the consent of the Government it is therefore proposed to only select communities – on a competitive basis - in one or two oblasts of Northern Kyrgyzstan.

It is expected that the most part of these investments will be implemented on public land and within existing rights of way.

#### **E. Borrowers Institutional Capacity for Safeguard Policies**

The Borrower and the Community Development and Investment Agency (ARIS) have implemented several World Bank projects, have extensive experience and are familiar with WB policies and procedures, including Safeguards aspects. ARIS, in particular, has an extensive experience of working with rural communities as well as the NGO community and its continuing involvement can be expected to help minimize potential problems.

#### **F. Environmental and Social Safeguards Specialists on the Team**

Alisher Khamidov (GSURR)

Asli Gurkan (GSURR)

Cesar Niculescu (GENDR)

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

<b>Safeguard Policies</b>	<b>Triggered?</b>	<b>Explanation (Optional)</b>
Environmental Assessment OP/BP 4.01	Yes	<p>Effective measures have been put in place under the RWSSP-2 to address and closely monitor the safeguards issues. An Environmental Management Framework (EMF) will be prepared and disclosed prior to appraisal. Each investment to be financed under the project will be reviewed for safeguard risks in line with the OP4.01.</p> <p>For sub-projects where designs are completed prior to appraisal, site-specific Environmental Management Plans (EMPs) will be prepared by ARIS and disclosed also prior to appraisal. The Project is expected to have limited negative environmental and social impacts, as physical works will be undertaken to only construct/rehabilitate local facilities. Its only minor impact will be temporary nuisances resulting from construction activities, which will be mitigated by including into the work contracts specific measures to be taken by contractors and close supervision of compliance by ARIS.</p> <p>With regard to potential social impacts the conflict filter will be applied. Specifically, ARIS will explain to beneficiaries and the public the benefits from the project; apply clear criteria for the selection of sub-</p>

		projects; disseminate grievance redress standards and measures.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	The environmental screening process will screen for the presence of physical cultural resources. In addition, chance find procedures will be included in all works contracts.
Indigenous Peoples OP/BP 4.10	No	
Involuntary Resettlement OP/BP 4.12	Yes	Component (a) will finance works for the rehabilitation of existing and/or the construction of new infrastructure including wells, pumping stations, networks, communal standpipes and connection manholes. Similar to its predecessor project, rehabilitation/construction works may lead to land acquisition/demolishing of structures, and resettlement. Temporary/permanent loss of economic livelihoods may also be possible as a result of chopping down fruit trees, affecting land plots that bear annual crops for community members. In order to mitigate such potential impacts, OP 4.12 is triggered. Resettlement Policy Framework will be prepared before appraisal, followed by site-specific RAPS if needed, once the project sites are known.
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	Yes	The project will support activities that could take place on trans-boundary waterways as defined in OP 7.50. (i.e. in the basins of the Chui and Syr-Darya rivers, respectively). Nevertheless, it is anticipated that investments supported under RWSSP3 will not (i) adversely change the quality or quantity of water flows to the other riparians; and (ii) will not be adversely affected by the other riparians' possible water use. Project investments are expected to support the rehabilitation, improvement or minor additions or minor expansions of existing schemes. The Team will therefore seek an exemption from the OP 7.50 riparian notification requirement.

Projects in Disputed Areas OP/ BP 7.60	No	
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### III. SAFEGUARD PREPARATION PLAN

- A. Tentative target date for preparing the PAD Stage ISDS:** 30-Nov-2015
- B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing<sup>1</sup> should be specified in the PAD-stage ISDS:**

The preparation of the EMF and RPF for RWSSP3 will start in May 2015 and be completed and disclosed prior to appraisal (November/December 2015).

Site specific EMPs and RAPs will be prepared with the designs and they will be disclosed in due course during project implementation.

Not specific studies are required because the project investments will be similar in nature and scope to those implemented under RWSSP2.

### IV. APPROVALS

Task Team Leader(s):	Name: Anna Cestari	
<b><i>Approved By:</i></b>		
Safeguards Advisor:	Name: Agnes I. Kiss (SA)	Date: 30-Apr-2015
Practice Manager/ Manager:	Name: Dina Umali-Deininger (PMGR)	Date: 10-Jun-2015

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.