

Inter-American Development Bank

Registration number: RG-X1178

I. GENERAL INFORMATION:

▪ Country/Region:	Regional
▪ TC Name:	Urban Planning Trust Fund Appointee
▪ TC Number:	RG-X1178
▪ Associated Loan/Guarantee Name:	N/A
▪ Associated Loan/Guarantee Number:	N/A
▪ Team Leader/Members:	Ellis Juan, Team leader (VPS/VPS); Andrés Blanco (IFD/FMM); Luis López Torres (IFD/FMM); Luis Manuel Espinoza (IFD/IFD); and Javier Jiménez (LEG/SGO)
▪ Date of TC Abstract authorization:	February 28, 2013
▪ Beneficiary (countries or entities which are the recipient of the technical assistance):	LAC/TBD
▪ Executing Agency:	Inter-American Development Bank, through the Emerging Sustainable Cities Initiative (VPS/ESCI)
▪ Donors providing funding:	US\$104,474.00
▪ IDB Funding Requested:	US\$104,474.00
▪ Local counterpart funding, if any:	US\$0
▪ Disbursement period (which includes Execution period):	June 1, 2013 – May 30, 2014
▪ Required start date:	June 1, 2013
▪ Types of consultants:	Individual
▪ Prepared by Unit:	VPS/ESCI
▪ Unit of Disbursement Responsibility:	IFD
▪ TC Included in Country Strategy (y/n):	N/A
▪ TC included in CPD (y/n):	N/A
▪ GCI-9 Sector Priority:	N/A

II. OBJECTIVES:

- 2.1 The objective of this operation is to provide financing for a Trust Fund Appointee (TFA) for 12 months renewed for a second 12 months period based on performance and needs at the Emerging and Sustainable Cities Initiative (ESCI). TFA will provide assistance to the ESCI by developing a concrete and contextual urban design strategy consisting of a series of urban interventions for the selected city and location that will be determined based on technical conditions. The scope of the work of this TFA assistance will be built upon the diagnosis and prioritization exercise of the ESCI program.

III. DESCRIPTION:

- 3.1 The Ministry of Finance in Austria contributes to the development of the ESCI program in LAC via the inclusion of technical advice from an Austrian Academic Advisory Board (AAAB). The AAAB will combine expertise in the areas under consideration by the Initiative (i.e. urban planning and urban design, climate change, municipal infrastructure, architecture etc.).
- 3.2 The TFA contribution will be focused in one particular city within the ESCI program that will be identified in 2013. A work program to support the implementation of the Initiative in this city will be developed by the Bank and the AAAB, “the pilot project”.
- 3.3 The pilot project will focus on developing a concrete and contextual urban design strategy consisting of a series of urban interventions for the selected location and will deliver a participatory methodology and consultation process, a feasibility study (TORs to be agreed), an urban design project for future realization, and a detailed project to be constructed on site. These projects will be translated into a catalogue of operational instruments - an urban design toolbox - to be tested in emerging cities in the LAC region.
- 3.4 As the main responsibility, the Trust Fund Appointee will have a coordinating role of the work to be performed by the AAAB in tandem with sector specialists from the Bank as well as government officers from the city government in the pilot city.
- 3.5 In addition to that, and as a member of the ESCI team, the Trust Fund Appointee will assist the diagnostic, prioritization, action plan, and implementation phase of the ESCI program, as well as providing advice and operational support to activities in the program related to urban planning and/or climate change and/or provision of municipal infrastructure services. The TFA will also support in the development of knowledge products (research and dissemination) related to the ESCI and the cities involved, with particular emphasis on the areas of urban planning and/or climate change and/or municipal infrastructure services.
- 3.6 **Consultant skills and experience:** Graduate academic in one of the relevant fields (architecture, urban planning and urban design, climate change, provision of infrastructure services), with a particular interest and experience in integrating creative, artistic, socio-political dimensions in his/her work, engineering, finance and other areas related to environment.
- 3.7 At least five years of relevant working experience with the related areas, including experience in an LAC environment; excellent knowledge and relevant work experience in project development and management.
- 3.8 **Payments:** payments will be semi-monthly.
- 3.9 **Duration:** The TFA will be contracted for a period of one (1) year. Depending on performance, needs and available budget, the contract could be renewed a second year.
- 3.10 The TFA will be selected according to Bank’s applicable rules.

IV. JUSTIFICATION:

- 4.1 The “Emerging Sustainable Cities Initiative” employs a multidisciplinary approach to addressing the challenges facing the urban areas of Latin America and the Caribbean, integrating environmental sustainability, comprehensive urban development, fiscal sustainability and good governance. The Initiative will provide a set of tools for intermediate cities to be able to: (i) identify key bottlenecks that they may face in their path towards sustainability; (ii) weigh and prioritize the identified problems to guide investment decisions in the sectors that may generate more positive impacts; (iii) find specific adequate solutions according to their cost-benefit that would pave the road towards increasing sustainability. In addition solutions should take into consideration potential different local sources to finance them as well as the institutional capacity for their implementation; and (iv) follow up progress and advances in closing gaps and reaching goals.
- 4.2 The Initiative’s approval process involves two main actions: Adoption of the Initiative by the Bank as Special Program and the establishment of a IDB Fund to support governments in securing resources for technical assistance geared toward: (i) establishing diagnostics for the sustainability of the cities; (ii) designing Action Plans where priority is given to activities necessary to improve existing conditions and to determine the resources and times required to complete them, and (iii) supporting the generation of expertise and diffusion of innovative experiences among the cities’ governments in order to improve urban, environmental and management policies in the entire region.
- 4.3 The Initiative will include all of the countries in the region and will be open to any municipality, state or provincial governments or groups of municipalities. However, the initial priority will be to focus on medium size cities, the 70 emerging local economies of the region, where the impact of the actions has the potential to be greater and more immediate.

V. BUDGET:

The estimated budget is \$104,473.88

Type of Expense	Estimated total over one year, in US\$
Professional fees (7,258.33 x 12months)	\$87,099.00
Savings Plan 9% of fee (653.24 x 12months)	\$7,838.88
Medical Insurance (250 x 12 months)	\$3,000.00
Relocation and repatriation allowance	4,500.00
Air tickets relocation/repatriation	\$2,036.00
Total	\$104,474.00

* The actual amounts will be determined by HRD depending on the years of experience of the TFA.

VI. RESPONSIBILITY IN THE BANK:

6.1 **Technical Responsibility:** Mr. Ellis Juan, General Coordinator of the Emerging Sustainable Cities Initiative. Contact information: Ellis Juan, (202) 623-2651, ELLISJ@iadb.org;

6.2 After each contract period, ESCI will prepare and provide the donor with a detailed report that includes the work performed by the TFA and his/her contribution.

6.3 **Responsibility for Disbursements:** Infrastructure and Environment Sector, INE/INE.

VII. ANNEXES:

A. Term of Reference for the TFAs Program

EMERGING AND SUSTAINABLE CITIES INITIATIVE MULTIDONR FUND
RG-X1178

TERMS OF REFERENCE

AUSTRIAN TRUST FUND APPOINTEE

The present note describes the terms of reference for a Trust Fund Appointee to support the implementation of the Emerging Sustainable Cities Initiative (ESCI) of the Inter-American Development Bank (IDB). The Trust Fund Appointee will act as a liaison between the Bank and other academic institutions, among them the Technical University of Vienna, the University of Applied Arts in Vienna and the Swiss Federal Institute of Technology, coordinating their work and managing the collaboration of these institutions in selected target cities.

BACKGROUND: Emerging and Sustainable Cities Initiative (“The Initiative”)

The “Emerging and Sustainable Latin American and Caribbean Cities Initiative” employs a multidisciplinary approach to addressing the challenges facing the urban areas of LAC, integrating environmental sustainability, comprehensive urban development, fiscal sustainability and good governance. The Initiative will provide a set of tools for intermediate cities to be able to: (i) identify key bottlenecks that they may face in their path towards sustainability; (ii) weigh and prioritize the identified problems to guide investment decisions in the sectors that may generate more positive impacts; (iii) find specific adequate solutions according to their cost-benefit that would pave the road towards increasing sustainability. In addition solutions should take into consideration potential different local sources to finance them as well as the institutional capacity for their implementation; and (iv) follow up progress and advances in closing gaps and reaching goals.

The **environmental and climate change dimension** is concerned with environmental management and local pollution control issues (including air and water contamination, solid waste management and disaster prevention), climate change mitigation (through energy efficiency and other measures), and climate vulnerability reduction and adaptation measures. The **urban development dimension** refers to the effects of the city’s design and footprint (or its ability to control its growth through effective planning and land use control), social inequality and uneven distribution of urban services, efficiency of its urban transportation network, economic competitiveness and the level of public safety. The **fiscal sustainability dimension** is related to the ability of local governments to prioritize and finance needed investments, fund and maintain their urban and social services, control adequately their expenditures and debt, and make decisions in a transparent manner.

The Initiative's approval process involves two main actions: Adoption of the Initiative by the Bank as Special Program and the establishment of a IDB Fund to support governments in securing resources for technical assistance geared toward: (i) establishing diagnostics for the sustainability of the cities; (ii) designing Action Plans where priority is given to activities necessary to improve existing conditions and to determine the resources and times required to complete them, and (iii) supporting the generation of expertise and diffusion of innovative experiences among the cities' governments in order to improve urban, environmental and management policies in the entire region.

The Initiative will include all of the countries in the region and will be open to any municipality, state or provincial governments or groups of municipalities. However, the initial priority will be to focus on medium size cities, the 70 emerging local economies of the region, where the impact of the actions has the potential to be greater and more immediate. The Initiative is aimed at mobilizing and coordinating the technical capacity of all the areas of the Bank in supporting the cities in closing the gaps identified and prioritized in the process.

Program's Implementation

- **First phase:** sample projects to test the methodology and set the Initiative in motion. The four selected cities will have aligned themselves with the Initiative and have the political will to move forward to comply with their commitments. Additionally, the following preliminary selection criteria have been established: (i) city population between 100,000 to 2,000,000 people depending on the overall country population ; (ii) availability of information that will allow for the construction of an indicators system to be proposed as part of the methodology; (iii) an existing planning framework (planning process, urban and environmental plans, etc.) that will provide the initial institutional support required for the application of the proposed methodology and the development of the Action Plan; and (iv) strong leadership from the city Mayor's office and/or the specialized urban/environmental sector, that will guarantee full participation and support during the execution of the consultancy, as well as medium and long term commitment to sustainability objectives.

The Four cities are: Trujillo in Peru, Santa Ana in El Salvador, Port of Spain in Trinidad and Tobago, and Goianias in Brazil. During year 2011 the city of Montevideo, Uruguay was added. These five cities have completed their actions plans and are moving now to the implementation phase (developing the pre-investment for one selected prioritized intervention).

- **Second phase:** Ten more cities have been added during 2012. La Paz, BCS, in Mexico was added via the Special Program with funding from two private sector foundations, as well as the City of Salta in Argentina (funding from government sources). Barranquilla, Manizales, Pereira and Bucaramanga were added via a strategic partnership signed with Findeter (national development bank assisting municipalities) in Colombia. In addition, through the Initiative regular program the cities of Mar de Plata in Argentina, Cochabamba in Bolivia, Managua in Nicaragua, and Montego Bay in Jamaica have been added for a total of 15 cities in the program.

SCOPE OF WORK – Cooperation with Austria

Austria supports the ESCI program in LAC both through a financial contribution and the technical advice it makes available through academic partner institutions. Their technical expertise will comprise the areas under consideration by the Initiative (i.e. urban planning and urban design, climate change, municipal infrastructure, architecture etc.), with a particular emphasis on integrating creative and socio-political dimensions in their analytical work and the design of sustainable solutions. The Austrian technical contribution will be focused on a particular city within the ESCI program that will be identified in 2013. A work program to support the implementation of the Initiative in this city (“the pilot project”) will be developed by the Bank and the Austrian experts.

The pilot project will focus on developing a concrete and contextual urban design strategy consisting of a series of urban interventions (typologies and prototypes) for the selected location. This project will build upon the diagnosis and prioritization exercise of the ESCI program, and will integrate creative and socio-political dimensions to implement a multidisciplinary approach.

The pilot project will deliver:

- a participatory methodology and consultation process,
- a feasibility study (TORs to be agreed) for selected location,
- an urban design project for future realization, and
- a detailed project to be constructed on site.

As a long-term goal these projects will be translated into a catalogue of operational instruments - an urban design toolbox - to be tested in emerging cities in the LAC region. The catalogue will address the necessity of upgrading and rehabilitation of vulnerable areas and communities.

ACTIVITIES

The collaboration with Austrian academic institutions is part of a broader program that seeks to ensure the participation and involvement of academic institutions in Europe in the design and implementation of ESCI. The Trust Fund Appointee will thus have as his/her main responsibility to manage the collaboration between the IDB, the participating academic institutions as well as government officials and other key actors in the city governments of selected target cities.

With respect to the collaboration with other academic institutions and the implementation of ESCI in targeted cities, the Trust Fund Appointee will:

- (a) Promote and inform on the current research and design work and achievements of these academic institutions
- (b) Identify new fields of collaboration and propose the use of current strategies and projects developed by these academic institutions

- (c) Organize field missions to collect relevant information on urban and governance conditions, norms, regulations, statistics in selected target cities
- (d) Prepare extensive field mission reports in order to keep the IDB team and the academic institutions up to date on the project implementation status, results and next steps
- (e) Collect feedback from IDB officials, academic experts and other key actors and stakeholders
- (f) Hold regular meetings with the academic partner institutions

In addition to that, and as a member of the ESCI team, the Trust Fund Appointee will assist the implementation of the Initiative in LAC in the following areas:

- (g) Support in the diagnostic, prioritization, action plan, and implementation phase of the ESCI program, as well as providing advice and operational support to activities in the program related to urban planning and/or climate change and/or provision of municipal infrastructure services.
- (h) Support in the development of knowledge products (research and dissemination) related to the ESCI and the cities involved, with particular emphasis on the areas of urban planning and/or climate change and/or municipal infrastructure services

CHARACTERISTICS OF THE CONSULTANCY

The appointee will be sponsored (paid) by the Government of Austria through its contribution to the ESCI Multidonor Fund. The terms and reach of such sponsorship will be under the Bank's trust fund appointment guidelines.

Duration: 12 months. The contract may be renewed for a second 12 months period based on performance and needs.

Start date: June 1, 2013

Place of work: IDB Headquarters in Washington, DC, with frequent travel to the LAC region.

Qualification: Graduate academic with at least 3 to 5 year expertise in one of the relevant fields (architecture, urban planning and urban design, climate change, provision of infrastructure services), with a particular interest and experience in integrating creative, artistic, socio-political dimensions in his/her work. Master's Degree in a relevant field.

Languages: Fluent in English and Spanish; other IDB languages a plus.

Competencies: excellent written and oral communication in Spanish and English; strong interpersonal and teamwork skills, ability to work independently, self-initiative and responsibility; knowledge of Bank procedures and LAC urban context a plus. History of

collaboration with the relevant Austrian academic institutions (Technical University, University of Applied Arts)

Payment: The consultant will receive monthly payments

COORDINATION

The coordination for the activities and presentation of different products described in these Terms of Reference will fall under the responsibility of Mr. Ellis Juan, VPS/VPS, ellisj@iadb.org, tel: (202) 623-2651 and Andres Blanco, Especialista Senior, IFD/FMM, ablanco@iadb.org tel: (202) 623-1331.

URBAN PLANNING TRUST FUND APPOINTEE

RG-X1178

CERTIFICATION

I hereby certify that this operation was approved for financing under the Emerging and Sustainable Cities Multidonor Trust Fund (ESC) through a communication dated on March 18, 2013 sent by Jane de Souza Silva, (ORP/GCM.) Also, I certify that resources from the Emerging and Sustainable Cities Multidonor Trust Fund (ESC). are available for up to US\$104,474 in order to finance the activities described and budgeted in this document. This certification reserves resources for the referenced project for a period of four (4) calendar months counted from the date of eligibility. If the project is not approved by the IDB within that period, the reserve of resources will be cancelled, except in the case a new certification is granted. The commitment and disbursement of these resources shall be made only by the Bank in US dollars. The same currency shall be used to stipulate the remuneration and payments to consultants, except in the case of local consultants working in their own borrowing member country who shall have their remuneration defined and paid in the currency of such country. No resources of the Fund shall be made available to cover amounts greater than the amount certified herein above for the implementation of this operation. Amounts greater than the certified amount may arise from commitments on contracts denominated in a currency other than the Fund currency, resulting in currency exchange rate differences, for which the Fund is not at risk.

Original signed

Sonia M. Rivera

Chief

Grants and Co-Financing Management Unit

ORP/GCM

03/22/2013

Date

APPROVAL

Approved by:

Original signed

Vicente Fretes Cibils

Division Chief

Fiscal and Municipal Management Division

IFD/FMM

03/27/2013

Date