

INTEGRATED SAFEGUARDS DATA SHEET

CONCEPT STAGE

Report No.: ISDSC1049

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I. BASIC INFORMATION

A. Basic Project Data

Country:	Gabon	Project ID:	P151077
Project Name:	Infrastructure and Local Development Program II (P151077)		
Task Team Leader(s):	Mahine Diop, Deo-Marcel Niyungeko		
Estimated Appraisal Date:	23-Mar-2015	Estimated Board Date:	28-May-2015
Managing Unit:	GSURR	Lending Instrument:	Investment Project Financing
Sector(s):	General public administration sector (20%), General water, sanitation and flood protection sector (35%), Other social services (6%), Other industry (5%), Urban Transport (34%)		
Theme(s):	Urban services and housing for the poor (60%), Micro, Small and Medium Enterprise support (10%), Participation and civic engagement (10%), Municipal governance and institution building (20%)		
Financing (In USD Million)			
Total Project Cost:	100.00	Total Bank Financing:	100.00
Financing Gap:	0.00		
Financing Source			Amount
Borrower			0.00
International Bank for Reconstruction and Development			100.00
Total			100.00
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

The project development objective is to provide access to services for the population living in targeted low-income settlements and strengthen municipalities' capacity to maintain these services.

C. Project Description

This is a follow-up operation to the Infrastructure and Local Development Project I (ILDPI). It is expected that the proposed project will be able to: (i) build on the achievements of the original project, particularly in terms of implementation of basic infrastructure, job creation and capacity building to SME/SMI; and (ii) address the problems of capacity-building for municipalities to enable them to better perform their roles in urban development. The participatory approach initiated under ILDP1, which helped improve transparency of urban investments and promote better lines of accountability between municipalities and local populations, will be maintained and reinforced in the proposed project. This participatory approach was based on the community involvement in project identification, preparation, and monitoring of works.

Project Components

Component 1 (US\$80 million): Urban infrastructure and services in disadvantaged neighborhoods in the targeted municipalities. The objective of this component is to increase access to improved services in underserved neighborhoods. The investments will improve urban mobility, drainage, sanitation, water, electricity and health and education facilities in specific low-income neighborhoods, while also improving land erosion control, markets and collective facilities for the broader population. This component will have three sub-components:

- (i) Implementation of a Priority Investment Program to allow for the completion of some unfinished works and already identified works in the targeted neighborhoods under ILDP1.
- (ii) Infrastructure and service improvements.
- (iii) Preparation of Strategic Development Plans for additional works in the neighborhoods.

The output of the above Strategic Development Plans will inform the investments under the two first sub-components, and will include: (i) key priority infrastructure investment plans using the same successful participatory approaches developed during the previous project; (ii) capacity development plans; and (iii) institutional development plans. The development of key priority infrastructure plans will include extensive consultation with local authorities, civil society organizations, and beneficiary communities. The consultative and participatory mechanisms inherited from the previous project will help ensure that financed activities are phased in and are of benefit to the entire community. The concerns of youth, women, and vulnerable/marginalized groups will be fully integrated by involving these groups in both the planning process and in key decision-making processes. Social and gender equity issues will also be addressed in the provision of basic social and economic infrastructure. The project will continue to encourage equal benefits to women, in particular through their participation in public works programs.

The infrastructure that the project will finance is demand-driven and includes roads, markets, water supply and sanitation sub-projects, municipal halls, schools, health and social centers, erosion control and environmental works. There will be limited trunk infrastructure investments, which will only be approved if they respond to the following eligibility criteria. To be eligible, all investment sub-projects must: (i) respond to the demand of the beneficiary communities; (ii) be appropriate for the financial capacity of the city (the city should be able to maintain and manage these investments and/or take necessary measures to improve maintenance capacity); (iii) favor the rehabilitation or upgrading of existing infrastructure over new investments; (iv) be socially and economically justified or cost effective; (v) be designed to reflect the most appropriate and beneficial solution for the poorest households and avoid resettlement as much as possible, including through favoring in-situ upgrading; and (vi) avoid any negative impact or, at a minimum, adopt corrective measures to limit or compensate this impact.

The environmental and social management under the project will draw on experience gained under the previous operation. To further enhance the environmental and social sustainability of future infrastructure investments, Component 1 will finance the planting of indigenous tree species on subproject sites where appropriate and areas prone to erosion.

Component 2 (US\$15 million): Institutional support and capacity-building for project stakeholders. The objective of this component is to strengthen the capacity of municipalities for planning, programming, managing basic services, infrastructure and maintenance, and environmental and social protection measures, and collecting municipal revenues. These objectives will be supported by improving systems and capacity to collect taxes through the updating of the municipal tax base, improved revenue collection capacity, and capacity-building for the administrative and financial management of municipalities and municipal agencies responsible for provision of the services. Organizational and financial audits of the participating municipalities will take place during project preparation. Those audits will help identify the areas of capacity building needed in the participating municipalities as well as the performance contracts to be agreed between the participating municipalities and the Ministry. This component will have four sub-components: (i) capacity building support for the participating municipalities in financial management, revenue collection, procurement and urban planning; (ii) capacity building and efforts to involve other project stakeholders in the targeted municipalities (including community organizations, NGOs) to improve local governance; (iii) capacity building support for central government entities involved in urban management in terms of training, technical assistance and limited contribution to equipment and goods, etc.; and (iv) training and capacity building support for the private sector, primarily for SMEs involved in project implementation.

Furthermore, the project includes a budget for: (i) environmental training and awareness raising for local authorities, consulting firms, small and medium enterprises, NGOs, and relevant ministry staff with a focus on the implementation of the ESMF and national environmental issues; (ii) training in the management, monitoring and maintenance of infrastructure subprojects for municipal staff and neighborhood organizations; (iii) training for the environmental specialist within the CN-TIPPEE with a focus on environmental audit and appraisal; (iv) environmental and impact assessments for future subprojects, as well as environmental and social audits of subprojects under implementation for one year; and (v) environmental and social monitoring in the field to ensure that the provisions of the ESMF have been implemented appropriately. To further enhance the environmental and social sustainability of future infrastructure investments, this component will finance the planting of indigenous trees where appropriate on subproject sites and areas prone to erosion.

Component 3 (US\$5 million): Management, coordination, monitoring and evaluation. This component will finance project management costs, audits, monitoring and evaluation of project activities, training and the costs of oversight of environmental protection activities.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The project will be implemented in the six cities targeted by the ILDP1 - Libreville, Port-Gentil, Franceville, Oyem, Lambaréné and Mouila - and other provincial capitals. The total number of cities, including the six cities targeted during the first phase of the project, will be limited to nine (9) to avoid spreading the resources too thinly.

E. Borrowers Institutional Capacity for Safeguard Policies

This is a follow-up operation to the ILDP1. It is expected that the proposed project will be able to build on the achievements of the original project in the area of safeguards.

During the implementation for the ILDP1, the client built its environmental safeguards capacity, with Bank support. The environmental unit of the Permanent Secretariat of the National Commission for Public Infrastructure Works and Promotion of Small-Scale Enterprises (CN-TIPPEE) which was the implementation agency (IA) under ILDP1 prepared TORs for safeguards studies environmental and social safeguards framework policy documents and likewise Environmental and Social Impact Assessments (ESIAs), Resettlement Action Plans (RAPs), and Resettlement Policy Framework (RFP), and monitored the preparation and implementation of the instruments. Refreshment capacity building on the above tools will be provided during the implementation of the ILDP II.

The Ministry of Promotion of Investment, Infrastructure, and Housing which will oversee the project is not familiar with the World Bank safeguard policies, and will therefore draw on the expertise of the ILDP1, and experience from CN-TIPPEE (which will be the IA for this new operation) to ensure safeguards compliance. The project will also support the Ministry to strengthen its safeguards management capacity, through hands-on training and formal training. Regular supervision by the Bank's safeguards specialists will also be used to contribute to strengthen the Borrower's safeguards compliance and capacity.

The investment program will be implemented at city and local neighborhood levels. Citywide investments include a program of trunk investments, drainage network or central markets that will be executed by well-established, experienced contractors. At the local neighborhood level, most of the investments will be of simple design and technology, some using labor-intensive methods accessible to local contractors and SMEs. The previous project provided small contractors an opportunity to learn and grow, which has increased construction capacity in the country. Regular technical audits will be carried out during project implementation and define improvement plans. This project will require contractors familiar with the use of labor-intensive techniques with appropriate managerial capabilities to undertake construction and rehabilitation. The project also requires civil engineering consulting firms to design and supervise works. The project will provide support through technical and managerial training for contractors and consulting firm's personnel to enhance their capacity.

F. Environmental and Social Safeguards Specialists on the Team

Antoine V. Lema (GSURR)

Emeran Serge M. Menang Evouna (GENDR)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	OP/BP 4.01 will be triggered due to the project activities that will include the rehabilitation and/or construction of socio-economic infrastructure such as roads, small bridges, integrated health centers, primary schools, and other municipal infrastructure in the targeted cities, as well as erosion control and environmental works. The sites for subprojects will be selected by local governments on the basis of their local development plans and based on existing

		<p>studies. Activities potentially include the rehabilitation of roads and construction works, which usually involves the opening of quarries. All these activities may induce moderate adverse environmental impacts, of limited significance and magnitude, which can be easily managed and mitigated. Therefore, the project is classified as category B. Because the exact locations of all project's activities is yet to be determined, an Environmental and Social Management Framework (ESMF) would be the relevant safeguards instrument to be prepared, consulted upon and disclosed both in country and at the World Bank's Infoshop prior to appraisal. The ESMF prepared will describe the guidelines and procedures for the preparation of Environmental and Social Impact Assessments (ESIAs), including environmental and social management plans (ESMPs) and their implementation for investments in site-specific sub-projects as they are identified. For investments for which the sites are known prior to appraisal, investment-specific ESIAs and ESMPs will be prepared before appraisal. All relevant safeguards instruments will be consulted upon, reviewed and cleared by the Government and the Bank and disclosed in-country and at Infoshop.</p>
Natural Habitats OP/BP 4.04	No	This OP is not triggered, as there will be no potential impact on natural habitats.
Forests OP/BP 4.36	No	The proposed project will not affect forests, nor will it involve reforestation.
Pest Management OP 4.09	No	There will be no use of pesticides or health-related concerns with respect to vector management.
Physical Cultural Resources OP/BP 4.11	Yes	The works will take place in inhabited areas and will involve excavations and demolitions. There is a possibility of chance finds of physical cultural resources. To mitigate potential adverse impacts, the ESMF, the ESIAs and the ESMPs will include guidance and procedures for physical cultural resources management. No separate safeguards instrument is needed.
Indigenous Peoples OP/BP 4.10	No	There are no indigenous people in the project areas.
Involuntary Resettlement OP/BP 4.12	Yes	Component 1 (Urban Infrastructure and Services) will induce land acquisition related to the rehabilitation and/or construction of socio-economic infrastructures such as roads, markets, water supply

		and sanitation sub-projects, municipal halls, schools, health and social centers, erosion control and environmental works. As not all specific locations of infrastructures are known, a Resettlement Policy Framework (RPF) will be prepared prior to appraisal and subsequent Resettlement Action Plans (RAPs) will be prepared and implemented as needed for these investments. For investments with known sites, investment-specific RAPs will be developed before appraisal. The RPF and the RAPs will be consulted upon, reviewed and cleared by the Government and the Bank and disclosed in-country and at Info-shop.
Safety of Dams OP/BP 4.37	No	This OP is not triggered, as there will be no dam construction or rehabilitation of dams that will necessitate safety status reports.
Projects on International Waterways OP/BP 7.50	No	This OP is not triggered, for there are no international waterways in the project sites.
Projects in Disputed Areas OP/BP 7.60	No	This OP is not triggered because project intervention sites will not be within disputed areas.

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 01-Jun-2015

B. Time frame for launching and completing the safeguard-related studies that may be needed.

The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

Between April and June 2015.

IV. APPROVALS

Task Team Leader(s):	Name: Mahine Diop,Deo-Marcel Niyungeko	
Approved By:		
Safeguards Advisor:	Name:	Date:
Practice Manager/ Manager:	Name:	Date:

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.