

INTEGRATED SAFEGUARDS DATA SHEET

APPRAISAL STAGE

Report No.: ISDSA15453

Date ISDS Prepared/Updated: 29-Oct-2015

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I. BASIC INFORMATION

1. Basic Project Data

Country:	Gabon	Project ID:	P151077
Project Name:	Infrastructure and Local Development Program II (P151077)		
Task Team Leader(s):	Mahine Diop,Deo-Marcel Niyungeko		
Estimated Appraisal Date:	28-Oct-2015	Estimated Board Date:	10-Dec-2015
Managing Unit:	GSU13	Lending Instrument:	Investment Project Financing
Sector(s):	General public administration sector (20%), General water, sanitation and flood protection sector (35%), Other social services (6%), Other industry (5%), Urban Transport (34%)		
Theme(s):	Urban services and housing for the poor (60%), Micro, Small and Medium Enterprise support (10%), Participation and civic engagement (10%), Municipal governance and institution building (20%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	100.00	Total Bank Financing:	100.00
Financing Gap:	0.00		
Financing Source			Amount
Borrower			0.00
International Bank for Reconstruction and Development			100.00
Total			100.00
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

The PDO is to improve access to urban services in selected underserved neighborhoods, and to build basic capacities for municipal management in target cities.

3. Project Description

This is a follow-up operation to the Local Infrastructure Development Project I (LIDP1). It is expected that the proposed project will be able to: (i) build on the achievements of the original project, particularly in terms of implementation of basic infrastructure, job creation and capacity building to SME/SMI; and (ii) address the problems of capacity-building for municipalities to enable them to better perform their roles in urban development. The participatory approach initiated under LIDP1, which helped improve transparency of urban investments and promote better lines of accountability between municipalities and local populations, will be maintained and reinforced in the proposed project. This participatory approach was based on the community involvement in project identification, preparation, and monitoring of works.

Project Components

Component 1: Urban infrastructure and services (US\$80 million)

The objective of this component is to increase access to services in underserved neighborhoods and to assure better integration of these neighborhoods into the urban fabric. The component has two sub-components:

- **Priority Investment Program:** Investments in network and structuring infrastructure in Target Cities, inter alia: (i) upgrading of select urban roads and (ii) financing of other urban priorities as determined on the basis of the City Development Plan (CDP) for each Target City.
- **Neighborhood Investments:** Investments in neighborhood infrastructure and basic services, including, inter alia: (i) access roads; (ii) water and sanitation works; (iii) secondary drainage systems; (iv) health centers and schools; and (v) local markets as determined on the basis of the Neighborhood Development Plan (NDP) for each Target Neighborhood.

The Priority Investment Program focuses on network and structuring infrastructure to connect the neighborhood to the fabric of the city, with benefits on a city-wide level, while the Neighborhood Infrastructure sub-component targets infrastructure of a much more reduced scope, for services improvement within neighborhoods. The selection of Project investment activities in will be done in coordination with other relevant sector ministries and other Bank Global Practices (e.g. education, health, transport, water, etc.). To assure reasonable equity in repartition of Component 1 funds, a formula was established whereby each city will receive an equal base allocation of US\$4 million for investments, and with the balance distributed according to population weight. This formula was determined to assure that each city receives sufficient funds to cater to some of the need for structuring investments while recognizing that larger cities have more substantial needs.

The Priority Investment Program is developed to assure completion of some unfinished works and/or already identified works in the neighborhoods targeted under LIDP1, as well as additional investments in connectivity and structuring infrastructure for ILDP2 neighborhoods and cities. An initial program of 8.3 kilometers of road rehabilitation is being developed during Project preparation for three cities. Selection of further investments will be narrowed down during Project preparation and final selection will take place once the City Development Plans (CDPs) are available (see sub-component 2.1) to assure relatively quick visible results on the ground, it is important to assure the Mayors' and citizens' engagement after the long period of discussions with no investments between the LIDP1 and ILDP2. Road upgrading will rely on labor-intensive construction techniques, in continuation of the labor-intensive road construction technique used by the LIDP1.

The Neighborhood Infrastructure sub-component will finance investments to rehabilitate or construct smaller infrastructure in one or a few targeted neighborhoods per Project city. A number of activities identified in neighborhoods benefiting from the LIDP1 could not be implemented under the LIDP1 but some of these will be included in the ILDP2. Other investments will be taken from Neighborhood Development Plans developed under Component 2 in consultation with the beneficiary populations, and will thus start after the Priority Investment Program. To guide the selection of investments, these will need to reasonably respond to criteria of: (1) cost-effectiveness; (2) equity of costs and benefits to the stakeholders; (3) sustainability; (4) operation and maintenance; (5) potential employment impact; and (6) considerations of vulnerable groups. The selection process will take longer than for the Priority Investment Program, and the investments of sub-component 1.2 will start after sub-component 1.1 investments are well on the way.

The environmental and social management under the project will draw on experience gained under the previous operation. To further enhance the environmental and social sustainability of future infrastructure investments, Component 1 will finance the planting of indigenous tree species on subproject sites where appropriate and areas prone to erosion.

Component 2: Institutional support and capacity building (US\$15 million)

This component aims to strengthen the capacity of municipalities and other stakeholders in urban development to plan, program, implement, and manage urban services in a transparent and inclusive manner. The component has five sub-components:

- **Municipal strengthening:** Provision of capacity support to Target Cities through, inter alia: (i) elaboration of CDPs, to determine investment priorities in Target Cities; (ii) elaboration of NDPs, to determine investment priorities in Target Neighborhoods; (iii) elaboration of Urban Master plans; (iv) support to revenue collection; (v) support to establishing municipal technical services and including municipal asset management system; (vi) support to municipal organization; and (vii) allocation of funds for capacity support based on specific demands from municipalities.
- **Government strengthening:** Provision of capacity building to central government and deconcentrated ministerial departments through, inter alia: (i) training, technical assistance and limited contribution to equipment and goods; (ii) elaboration of regulation governing decentralization and local government finance; (iii) elaboration of regulation and strategies for urban development.
- **Private sector strengthening:** Provision of, inter alia: (i) SME sector study; (ii) training to SMEs; and (iii) support to establishing professional organizations in the construction sector.
- **Civil society strengthening:** Provision of, inter alia: (i) community facilitation; (ii) training and equipment; and (iii) regulation governing the relationships between NGOs and municipalities.
- **Crosscutting support for,** inter alia: (i) environmental training and awareness-raising; (ii) development of a municipal solid waste strategy; (iii) training in infrastructure implementation; and (iv) communication.

Component 3: Management, coordination, monitoring and evaluation (US\$5 million)

This component will assure correct implementation of all project activities. It will finance cost related to, inter alia: (i) training for the CN-TIPPEE personnel; (ii) environmental and social monitoring; (iii) project monitoring and evaluation; (iv) operating expenditures; and (v) audits. The cost will be shared with the other Bank-funded projects, managed by the CN-TIPPEE. Cost will be shared with three other Bank-funded project implemented by the CN-TIPPEE.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

All nine provincial capitals will benefit from Project interventions. The Project will be implemented in the six cities targeted during the first phase (Libreville, Port-Gentil, Franceville, Oyem, Lambaréné and Mouila) and the three other provincial capitals (Makokou, Koulamoutou, and Tchibanga) for a total of nine cities. The criteria for the selection of neighborhoods within the cities are similar to those used in LIDP1, namely the degree of isolation, access to basic urban services, housing density and typology, and insalubrity.

5. Environmental and Social Safeguards Specialists

Antoine V. Lema (GSURR)

Emmanuel Ngollo (GEN05)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	<p>OP/BP 4.01 will be triggered due to the project activities that will include inter alia: (i) road upgrading and neighborhood access roads; (ii) water and sanitation works; (iii) secondary drainage systems; (iv) health centers and schools; and (v) local markets as determined on the basis of the City Development Plan (CDP) for each targeted city and Neighborhood Development Plan (NDP) for each Targeted Neighborhood.</p> <p>The sites for subprojects will be selected by local governments on the basis of their City Development Plans and Neighborhood Development Plans. Activities include the rehabilitation of roads and construction works, which usually involves the opening of quarries. All these activities may induce moderate adverse environmental impacts, of limited significance and magnitude, which can be easily managed and mitigated. Therefore, the project is classified as category B. Because the exact locations of all project's activities are yet to be determined, an Environmental and Social Management Framework (ESMF) has been the relevant safeguards instrument that was prepared. The document was consulted upon in-country and published in Gabon on July 23, 2015 and in the Bank's Infoshop on August 11, 2015. The ESMF prepared describes the guidelines and procedures for the preparation of Environmental and Social Impact Assessments (ESIAs), including environmental and social management plans (ESMPs) and their implementation for investments in site-specific sub-projects as they are identified. For investments for which the sites are known prior to appraisal, investment-specific ESIAs/NIES and ESMPs have been disclosed in country on October 7, 2015 and at the Bank's Infoshop on October 20, 2015.</p>

Natural Habitats OP/BP 4.04	No	This OP is not triggered, as there will be no potential impact on natural habitats.
Forests OP/BP 4.36	No	The proposed project will not affect forests, nor will it involve reforestation.
Pest Management OP 4.09	No	There will be no use of pesticides or health-related concerns with respect to vector management.
Physical Cultural Resources OP/BP 4.11	Yes	The works will take place in inhabited areas and will involve excavations and demolitions. There is a possibility of chance finds of physical cultural resources. To mitigate potential adverse impacts, the ESMF, the ESIA's and the ESMPs will include guidance and procedures for physical cultural resources management. No separate safeguards instrument is needed.
Indigenous Peoples OP/BP 4.10	No	There are no indigenous people in the project areas.
Involuntary Resettlement OP/BP 4.12	Yes	Component 1 (Urban Infrastructure and Services) will likely induce land acquisition related to the rehabilitation and/or construction of socio-economic infrastructures such as roads, markets, water supply and sanitation sub-projects, municipal halls, schools, health and social centers, erosion control and environmental works. As only parts of the project sites have been identified, and likewise detailed design still have to be completed, a Resettlement Policy Framework (RPF) has been prepared, consulted upon for those investments. The RPF was disclosed in-country and published in Gabon on July 23, 2015 and at the Bank's Infoshop on August 11, 2015 to address potential environmental and social issues. Subsequent Resettlement Action Plans (RAPs) have been prepared and implemented as needed for these investments. For investments with known sites, investment-specific RAPs have been disclosed at the Bank's Infoshop on October 29, 2015.
Safety of Dams OP/BP 4.37	No	This OP is not triggered, as there will be no dam construction or rehabilitation of dams that will necessitate safety status reports.
Projects on International Waterways OP/BP 7.50	No	This OP is not triggered, for there are no international waterways in the project sites.
Projects in Disputed Areas OP/BP 7.60	No	This OP is not triggered because project intervention sites will not be within disputed areas.

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

<p>Project will likely induce land acquisition, but no physical displacement is expected. Economic displacement will be limited. Nevertheless, five types of impacts are foreseen under this project, arising from the project implementation activities:</p> <p>a. Civil Works: Nuisance and pollution associated with activities and waste from construction and rehabilitation works (noise, dust and construction wastes) will affect especially the construction workers and the closest neighbors to the sites. Unmanaged production of waste and residues from the sites could constitute a source of nuisance for public health if no sustainable ecologic management system is put in place. Also, excavation works at the sites could cause the unstable soil subsidence, which can cause the destruction of property and accidents.</p> <p>b. Construction accidents: During the work phase, there will be risks of accidents associated with construction equipment/instruments and the presence of building materials poorly protected or used inappropriately. The risk of falling exists for all authorized and unauthorized persons on construction sites in terms of narrow and congested traffic areas. The risk of accidents also relates to road traffic for the delivery of building materials (movement of vehicles: collision, skidding, striking, pinching, crushing, or load-fall, stumbling during operations).</p> <p>c. Degradation of cultural relics: It is intended that the project avoid cultural sites, sources of social conflicts. However, in case of fortuitous discovery (chance finds), the builders must commit to notify immediately the relevant services of the Ministry of Culture, and the work will be oriented in accordance with their guidelines.</p> <p>d. Social conflicts in the event of non-employment of the local workforce: Construction works should require participation the local workforce, which will be a potential source of increase in income at the local level. On the other hand, non-use of the local workforce during the work could result in frustrations or conflicts, which may interfere with the smooth implementation of works. Also, failure to observe local customs by staff may result in conflict with local populations.</p> <p>e. Populations and workers' health: Health wise, there are risks of sexually transmitted diseases STD/HIV/AIDS transmission associated with the presence of temporary foreign workers, composed usually of youths, with a tendency to increase contacts with young girls and women within the local population in the work areas.</p>	<p>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</p>
<p>No long-term impacts are expected due to anticipated, future activities in the project area. The potential environmental and social impacts are likely to be of small-scale, site-specific, manageable and thus easily mitigated. There are no indirect or long terms adverse impacts expected during project implementation.</p>	<p>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</p>
<p>Not relevant.</p>	<p>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</p>
<p>The Borrower developed and sustained its safeguards capacity during the preparation and implementation of LIDP1. A social scientist in the project unit was recruited to ensure social safeguards compliance. Safeguards documents were timely prepared. Ministry of Environment has</p>	

actively endorsed the project.

An additional environmental safeguards specialist will be hired under the ILDP2 to manage and oversee the environmental management process, to provide institutional strengthening and on-the-job training services throughout the project period. Both safeguards specialists will continue to work closely within the CN-TIPPEE and collaborate with the Directorate General of the Environment and Protection of Nature (DGEPN) to apply and strengthen the existing or updated ESMF and RPF procedures that will improve subprojects' quality and sustainability through compliance with safeguards under the conditions of the Financing Agreement.

During implementation, Environmental and Social Impact Assessments (ESIAs), Environmental and Social Management Plans (ESMPs) and/or Resettlement Action Plans (RAPs) or Abbreviated Resettlement Action Plans (ARAPs) will be prepared as and when necessary once new sub-project sites and plans for civil works or other infrastructure development are finalized.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.

Key stakeholders are mayors of the beneficiary cities, and the population of the targeted neighborhoods, in addition to governments line ministries. These stakeholders were consulted in public consultations in the process to review the draft final safeguards instruments. All key stakeholders, together with NGOs, private sector and development partners will continue to be consulted regularly. The project will continue the dialogue with all stakeholders, relying on the RPF and ESMF to ensure that the appropriate mitigation measures are taken into account in accordance with the Gabonese and the Bank's safeguards policies. This participatory approach will be carried out throughout implementation, maintenance, supervision and evaluation of the project activities.

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	14-Jul-2015
Date of submission to InfoShop	11-Aug-2015
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	00000000
"In country" Disclosure	
Gabon	13-Jul-2015
<i>Comments:</i> Environmental and Social Management Framework (ESMF) disclosed. NIES for Libreville, Port Gentil and Oyem have been disclosed at the Bank's Infoshop on October 20, 2015.	
Resettlement Action Plan/Framework/Policy Process	
Date of receipt by the Bank	14-Jul-2015
Date of submission to InfoShop	11-Aug-2015
"In country" Disclosure	
Gabon	13-Jul-2015
<i>Comments:</i> Resettlement Policy Framework (RPF) disclosed. The Abbreviated Resettlement Action Plan has been disclosed at the Bank's Infoshop on October 29, 2015.	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the	

respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.
If in-country disclosure of any of the above documents is not expected, please explain why:

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment			
Does the project require a stand-alone EA (including EMP) report?	Yes [<input type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input checked="" type="checkbox"/>]
OP/BP 4.11 - Physical Cultural Resources			
Does the EA include adequate measures related to cultural property?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Does the credit/loan incorporate mechanisms to mitigate the potential adverse impacts on cultural property?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
OP/BP 4.12 - Involuntary Resettlement			
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Is physical displacement/relocation expected?	Yes [<input type="checkbox"/>]	No [<input checked="" type="checkbox"/>]	TBD [<input type="checkbox"/>]
Provided estimated number of people to be affected			
Is economic displacement expected? (loss of assets or access to assets that leads to loss of income sources or other means of livelihoods)	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	TBD [<input type="checkbox"/>]
Provided estimated number of people to be affected			
The World Bank Policy on Disclosure of Information			
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
All Safeguard Policies			
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]	NA [<input type="checkbox"/>]

Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [] No [] NA []
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III. APPROVALS

Task Team Leader(s):	Name: Mahine Diop,Deo-Marcel Niyungeko	
<i>Approved By</i>		
Safeguards Advisor:	Name: Johanna van Tilburg (SA)	Date: 30-Oct-2015
Practice Manager/ Manager:	Name: Maria Angelica Sotomayor Araujo (PMGR)	Date: 30-Oct-2015