

Procurement Plan

I. General

1. Project Information

Country	:	Bhutan
Borrower	:	Watershed Management Division, Department of Forests and Park Services, Ministry of Agriculture and Forests
Project Name	:	Bhutan REDD Readiness
Project No.	:	P150177

2. Bank's Approval Date of the Procurement Plan : January 15, 2018

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers" (July 2016) ("Procurement Regulations") the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank's Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

II. Goods, Works and Non consulting Services

1. Prior Review Threshold

Method of Procurement	Thresholds for Method (USD equivalent)	Prior Review Threshold (USD)
ICB (Goods)	> 200,000 USD	All contracts above 100,000
NCB (Goods)	> USD 30,000 and up to USD 200,000	
Shopping (Goods)	Up to USD 30,000	
ICB (Non-Consulting Services)	> 200,000 USD	All contract above 100,000
NCB (Non-Consulting Services)	< 200,000 USD	

Direct Contracting	No Threshold; meet paragraph 6.8, 6.9 and 6.10 of regulations	
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Note: The Prior Review Thresholds will be reviewed during the implementation of the project and modified depending on the risk assessment and implementation of mitigation measures.

In the case of contracts subject to prior review, before granting / agreeing to (a) a material extension of the stipulated time for performance of a contract; or (b) any substantial modification or waiver of the scope of services or other significant changes to the terms and conditions of such that the contract, including issuing; or (c) any change variation order or orders under such contract amendment (except in cases of extreme urgency) which would in aggregate, singly or combined with all variation orders or amendments previously issued, increase the original contract amount of the contract by more than 15% (fifteen percent); or (d) the proposed termination of the original price contract, the Borrower shall seek the Bank’s no objection to the proposed extension, modification, or change order.

A copy of all amendments to the contract shall be furnished to the Bank for its record.

2. Prequalification

Not Applicable

3. Reference to Project operational/Procurement Manual

Standard Bidding Documents agreed between Project and Bank will be used for all works, consultancy and goods procurements.

4. Any Other Special Procurement Arrangements:

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions. Requirements for national open competitive procurement include the following:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance

- with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
 - e. publication of contract award information;
 - f. rights for the Bank to review procurement documentation and activities;
 - g. an effective complaints mechanism; and
 - h. maintenance of records of the Procurement Process..

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

II. **Domestic Preference.** Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods).

Goods: is applicable for those contracts identified in the Procurement Plan tables;

The bid evaluation will be carried out as per agreed timeline in the Procurement Activity Schedule

III. Selection of Consultant

1. Prior Review Threshold

Method of Procurement	Threshold (USD Equivalent)	Prior Review Threshold
(a) Quality and Cost Based Selection	No threshold	All Contracts above 70,000 USD for Consultant Firms All contracts above 50,000 USD for Individual Consultants.
(b) Quality Based Selection	No threshold	
(c) Selection Made Under a Fixed Budget	No threshold	
(d) Least Cost Selection	No threshold	
(e) Selection Based on Consultant's Qualifications	< 100,000	
(f) Single Source Selection of firms	As per Section VII of regulations (para 7.13 to 7.15)	
(g) Selection of Individual Consultants	No threshold	

Note: The Prior Review Thresholds will be reviewed during the implementation of the project and modified.

In the case of contracts subject to prior review, before agreeing to: (a) an extension of the stipulated time for performance of a contract; (b) any substantial modification of the scope of services, substitutions of key experts, or other significant changes to the terms and conditions of the contract; or (c) the proposed termination of the contract, the Borrower shall seek the Bank's no objection. A copy of all amendments to the contract shall be furnished to the Bank for its record.

- Short List comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than USD 200,000 equivalent per contract, may comprise entirely of national consultants.

PROCUREMENT PLAN Bhutan : Bhutan REDD Readiness

General Information

Country: Bhutan **Bank's Approval Date of the Original Procurement Plan:** 2016-05-31
Revised Plan Date(s): (comma delimited, leave blank if no) 2020-07-13
Project ID: P150177 **GFN Date:** 2016-09-20
Project Name: Bhutan REDD Readiness
Loan / Credit No: TF / 19030, TF / A6108
Executing Agency(ies): Watershed Management Division, Department of Forests and Park Services

WORKS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SE/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
BT-WMD-53809-CW-RFB / Revisit existing old structures and create system for Forest Management Office(FMO) by National Land Commission	TF / A6108		Post	Request for Bids	Limited	Single Stage - One Envelope				11,764.71	11,449.47	Completed					2018-11-30	2018-08-18	2018-12-05	2018-08-14			2019-01-16	2018-09-28	2019-02-15	2018-10-15	2019-03-31	2018-11-14	2019-09-18

GOODS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
BT-WMD-138-GO-RFQ / Procurement of CHN & S analyzer	TF / 19030		Post	Request for Quotations	Limited	Single Stage - One Envelope		93,000.00	92,492.00	Completed							2018-05-31								2018-07-08	2018-07-28	2018-08-31	2017-10-17	
FEMD-B-FVANS/13/2017-2018/02 / Printing of National Forest Inventory Report Volume II	TF / 19030		Post	Direct Selection	Direct			18,441.53	0.00	Cancelled				2018-03-06	2018-06-12	2018-05-30									2018-07-04		2018-12-31		
BT-WMD-37728-GO-RFQ / Printing of REDD+ related documents, LILE 2016, Forest and Nature Conservation Rules and Regulations 2017	TF / 19030		Post	Request for Quotations	Open - National	Single Stage - One Envelope		23,076.92	0.00	Cancelled						2018-01-06										2018-03-03		2018-08-30	
BT-WMD-37723-GO-RFQ / Procurement of 5 Laptops, 1 computer, three hard drives	TF / 19030		Post	Request for Quotations	Limited	Single Stage - One Envelope		9,000.00	0.00	Cancelled						2017-12-16	2017-11-20									2018-02-10		2018-08-09	
BT-WMD-37724-GO-RFQ / Procurement of Computer and Peripherals	TF / 19030		Post	Request for Quotations	Limited	Single Stage - One Envelope		1,538.48	0.00	Cancelled						2017-12-30										2018-02-24		2018-08-31	
BT-WMD-37729-GO-RFQ / Procurement of Video conference system, TV screen and system, Projector, and Camera and a printer	TF / 19030		Post	Request for Quotations	Open - National	Single Stage - One Envelope		13,846.15	0.00	Cancelled						2017-12-30										2018-02-24		2018-08-31	
BT-WMD-52683-GO-DIR / Procurement of vehicles around (3 nos)	TF / 19030		Pre	Direct Selection	Direct			130,000.00	0.00	Cancelled				2018-04-12		2018-04-17										2018-05-22		2018-11-18	
BT-WMD-54298-GO-RFQ / Procurement of heavy duty multipurpose machine for printing and photographing for Director office	TF / 19030		Post	Request for Quotations	Limited	Single Stage - One Envelope		3,000.00	0.00	Cancelled						2018-04-05	2018-05-05									2018-05-31		2018-11-07	
BT-WMD-54344-GO-RFQ / Procurement of furniture for WMD and field office	TF / 19030		Post	Request for Quotations	Limited	Single Stage - One Envelope		20,000.00	0.00	Cancelled						2018-04-06										2018-06-01		2018-11-28	
BT-WMD-61100-GO-RFQ / Procurement of EGM-5 Portable CO2 Gas Analyzer to measure CO2 sequestration and Soil CO2 efflux	TF / 19030		Post	Request for Quotations	Limited	Single Stage - One Envelope		11,569.00	9,185.55	Completed						2018-05-12	2018-03-30								2018-07-07	2018-05-07	2019-01-03	2018-05-28	

CONSULTING FIRMS																											
Activity Reference No. / Description	Lot / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
BT-WMD-136-CS-INDV / consultancy review for REDD+ study	TF / 1900		Prior	Quality And Cost-Based Selection	Open - International		108,000.00	0.00	Cancelled	2016-06-05		2016-06-26		2016-08-09					2016-09-06		2016-10-06		2016-11-10		2016-12-15		2017-12-15
BT-WMD-1700-CS-QBS / Consultancy on VES	TF / 1900		Prior	Quality Based Selection	Open - International		380,000.00	0.00	Cancelled	2016-09-30		2016-10-11	2016-09-22	2016-11-04					2016-12-23	2016-10-17	2017-01-21	2017-09-15	2017-02-20		2017-03-27		2018-03-27
BT-WMD-2068-CS-QBS / Hiring of Consultant for work on SESA package	TF / 1900		Prior	Quality Based Selection	Open - International		380,000.00	338,600.00	Signed	2016-10-31		2016-11-21	2016-12-13	2017-01-04					2017-02-01		2017-03-03	2017-09-22	2017-04-02	2017-11-10	2017-05-07	2017-05-18	2018-05-07
BT-WMD-2965-CS-QBS / Consultancy work on National REDD+ Strategy and Action Plan, Benefit Sharing and Fund mobilization	TF / 1900		Prior	Quality Based Selection	Open - International		150,000.00	0.00	Cancelled	2016-11-04		2016-11-25		2017-01-06	2016-12-20				2017-02-05	2016-12-25	2017-03-07		2017-04-08		2017-05-11		2018-05-11
BT-WMD-4500-CS-QBS / Work package on VES	TF / 1900		Prior	Quality Based Selection	Open - International		380,000.00	214,517.00	Signed	2016-12-13		2016-12-13		2016-12-13					2016-12-13	2016-12-14	2016-12-21	2016-12-19	2017-11-01	2017-01-16	2017-02-28	2018-05-12	
BT-WMD-21286-CS-QCBS / Consultancy on PGDM	TF / 1900		Past	Quality And Cost-Based Selection	Open - National		70,000.00	0.00	Cancelled	2017-07-24	2017-08-23	2017-08-14	2018-05-06	2017-09-27	2017-10-30				2017-10-26	2018-03-02	2017-11-24		2017-12-29		2018-02-02		2019-02-02
WMD-472017-2014/06 / Feedback and Grievance Redress mechanism	TF / 1900		Past	Quality And Cost-Based Selection	Open - National		80,000.00	0.00	Cancelled	2017-11-25	2017-08-25	2017-12-14	2018-05-05	2018-01-27	2017-11-20				2018-02-24	2017-12-25	2018-03-28		2018-04-30		2018-06-04		2019-06-04
BT-WMD-52073-CS-FBS / Project Support Officer for REDD+ Secretariat	TF / 1900		Past	Fixed Budget Selection	Open - National		20,000.00	0.00	Cancelled	2018-03-09		2018-03-30		2018-05-13					2018-06-10		2018-07-10		2018-08-14		2018-09-18		2019-09-18
BT-WMD-52088-CS-QBS / Consultancy work on National REDD+ strategy Package	TF / 1900		Past	Quality Based Selection	Open - International		150,000.00	156,028.00	Signed	2018-03-12	2018-10-25	2018-04-02	2018-03-29	2018-05-18	2018-12-09				2018-06-13	2018-12-25	2018-07-13	2017-01-02	2018-05-12	2017-01-02	2018-09-18	2017-04-24	2019-09-16
BT-WMD-55017-CS-QCBS / Hiring of consulting firm for the developing the Feedback and Grievance redress Mechanism for REDD+ Program of States by WMD-FIU	TF / A6108		Past	Quality And Cost-Based Selection	Open - National		70,000.00	36,692.55	Signed	2019-03-04	2017-07-18	2019-03-25		2019-05-06	2017-10-30				2019-06-05	2017-12-25	2019-07-05	2019-01-07	2019-08-09	2019-01-07	2019-09-13	2019-05-13	2020-08-12
BT-WMD-101028-CS-QCBS / Hiring of consultants for development of Green design for DaFPS Buildings by Forest Protection and Enforcement Division, DaFPS-FIU	TF / A6108		Past	Quality And Cost-Based Selection	Open - National		36,153.85	0.00	Pending Implementation	2019-05-15		2019-06-05		2019-07-19					2019-06-16		2019-09-15		2019-10-20		2019-11-24		2020-11-23
BT-WMD-106942-CS-QBS / Hire Consultancy Firm to develop Spatial Decision Support System (SDSS) for DaFPS to assist in averting forest clearance by Forest Resource Management Division, DaFPS	TF / A6108		Past	Quality Based Selection	Open - National		150,000.00	0.00	Cancelled	2019-03-30		2019-04-20		2019-06-03					2019-07-01		2019-07-31		2019-08-30		2019-10-04		2020-10-03
BT-WMD-114391-CS-QBS / Hire Consultancy firm to develop a spatially-enabled knowledge base and a decision support system to support DaFPS in issuing forest clearance to States by Forest Resource Management Division	TF / A6108		Prior	Consultant Qualification Selection	Open - International		101,900.00	102,234.00	Signed	2019-06-09	2019-06-28	2019-06-30	2019-07-09	2019-08-13	2019-11-06								2019-09-12	2019-12-03	2019-10-17	2019-12-16	2020-04-14
BT-WMD-138465-CS-QBS / Hiring of consultancy for carrying out assessment of plantation in the Country by SFED	TF / A6108		Past	Consultant Qualification Selection	Open - National		23,076.92	0.00	Cancelled	2019-10-14		2019-11-04		2019-12-16									2020-01-17		2020-02-21		2020-08-19
BT-WMD-89244-CS-QCBS / Hire consultant to conduct cost benefit analysis on Glutan & develop marketing strategies by Royal Academy	TF / A6108		Past	Consultant Qualification Selection	Open - National		8,267.70	0.00	Cancelled	2019-12-01		2019-12-22		2020-02-04									2020-03-05		2020-04-09		2020-10-06

BT-WMD-166084-CS-CDS / Hiring of Consultancy for the development of Investment and Implementation Plan for the National REDD+ Strategy & Action Plan of Bhutan	TP / A/108		Prior	Consultant Qualification Selection	Open - National		80,000.00	0.00	Under Implementation	2020-04-23	2020-05-02	2020-05-14	2020-06-04	2020-06-27							2020-07-27	2020-08-31	2021-09-27
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Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
BT-WMD-157-CS-INDV / Consultancy work on Drivers study.	TP / 19000		Prior	Individual Consultant Selection	Open - National		130,000.00	130,125.00	Completed	2016-05-31		2016-05-31	2016-06-01	2016-06-05	2016-09-26	2016-07-10	2016-06-08	2017-01-06	2017-01-30
BT-WMD-1470-CS-CDS / Sale sourcing of FAO to develop FREELABRY for PCFP REDD+ Business Program of Bhutan	TP / 19000		Prior	Direct Selection	Direct		210,000.00	200,500.00	Signed	2016-09-09		2016-09-19	2016-09-25			2016-11-23	2017-03-16	2017-05-22	
BT-WMD-21000-CS-INDV / Legal Consultant (National) for Subgrants	TP / 19000		Post	Individual Consultant Selection	Open		15,000.00	11,572.70	Completed	2017-07-24	2017-05-23	2017-09-11	2017-07-19	2017-10-02	2017-07-27	2017-11-06	2017-07-28	2018-06-06	2017-12-30
FEMD/S-PONETS/2017-2018/01 / Hiring of Experts for production of National Forestry Inventory report Volume II	TP / 19000		Post	Individual Consultant Selection	Direct		9,250.74	0.00	Cancelled	2018-03-30		2018-04-09	2018-04-30		2018-06-04			2018-12-01	
BT-WMD-2370-CS-INDV / Consultancy on Extension Redress Mechanism for REDD+	TP / 19000		Post	Individual Consultant Selection	Open		13,707.00	0.00	Cancelled	2016-11-01	2016-10-07	2016-12-20		2017-01-10		2017-02-14		2017-06-13	
BT-WMD-66037-CS-INDV / Hiring of a National Consultant for evaluation & revision of National Community Forestry Strategy	TP / A/108		Post	Individual Consultant Selection	Open		26,000.00	22,816.40	Completed	2018-06-19		2018-06-07	2018-06-02	2018-06-28	2018-06-14	2018-10-02	2018-09-13	2019-03-31	2019-07-09
BT-WMD-71254-CS-INDV / Hiring of a National Consultant for review and revision of National Strategy for the development of Non-wood forest products in Bhutan	TP / A/108		Post	Individual Consultant Selection	Limited		30,000.00	16,711.93	Completed	2018-09-01	2018-05-20	2018-06-06	2018-10-19	2018-09-27	2018-11-23	2018-10-01	2018-11-27	2019-03-30	2019-10-31
BT-WMD-75647-CS-INDV / Hiring of Consultant for the evaluation and revision of the National Plantation Strategy for Bhutan, 2010' by Social Forestry Extension Division	TP / A/108		Post	Individual Consultant Selection	Limited		30,000.00	16,754.29	Completed	2018-09-15	2018-11-14	2018-09-20	2019-03-09	2018-10-11	2019-01-21	2018-11-15	2019-02-20	2019-05-14	2019-09-28
BT-WMD-80040-CS-CDS / Hire experts to conduct onsite training on jomary and erections by Royal Academy	TP / A/108		Post	Direct Selection	Direct		35,076.00	0.00	Pending Implementation	2019-01-01		2019-01-11				2019-03-17		2019-09-13	
BT-WMD-101024-CS-CDS / Hiring of services of two experts from United State Department of Agriculture (USDA), Forest Service and Red Cross Resources to develop SOP for MTDS by Forest Protection and Enhancement Division, DaFPS PU	TP / A/108		Post	Direct Selection	Direct		14,054.00	0.00	Pending Implementation	2019-05-21		2019-05-21				2019-06-04		2020-01-31	
BT-WMD-101029-CS-CDS / Hiring of consultants Green facilitated to enhance the FEMS by Forest Resource Management Division, DaFPS PU	TP / A/108		Post	Direct Selection	Direct		8,560.31	6,782.85	Signed	2019-02-25	2019-02-26	2019-03-07	2019-02-26			2019-05-11	2019-04-01	2019-11-07	
BT-WMD-101039-CS-INDV / Hiring of one National Legal Consultant to support international consultancy work on SESA and ESMF by WMD	TP / 19000		Post	Individual Consultant Selection	Open		15,000.00	0.00	Under Implementation	2019-02-26	2017-05-01	2019-04-18		2019-05-09		2019-06-13		2019-12-31	

BT-WMD-10276-CS-CDS / Hiring consultant - Professor Timothy Garton Grogan from Yale University, USA for a period of 3-6 months to provide technical assistance and development of ex measurement guidelines for plots unable to relocate in future necessary by WMD	TP / A6108		Post	Direct Selection	Direct		17,000.00	0.00	Pending Implementation	2019-03-20		2019-03-30				2019-06-01		2019-11-30
BT-WMD-141100-CS-INDV / Hiring of consultancy for carrying out Impact Assessment of Forest Plantations in the Country by SFED	TP / A6108		Post	Individual Consultant Selection	Open		14,080.00	15,618.18	Signed	2019-11-04	2019-11-12	2019-12-23	2020-02-12	2020-01-23	2020-03-02	2020-02-17	2020-02-20	2020-06-15
BT-WMD-100764-CS-CDS / The report to conduct online training wood preservation or estate training on use of less poisoned timber species by L'WELCKE	TP / A6108		Post	Direct Selection	Direct		8,272.00	0.00	Pending Implementation	2020-03-31		2020-04-10				2020-06-14		2020-12-11
BT-WMD-101020-CS-INDV / Hiring of individual consultant to carry out Gender assessment and action plan by WMD	TP / A6108		Post	Individual Consultant Selection	Open		20,000.00	0.00	Cancelled	2019-05-02		2019-06-20		2019-07-31		2019-06-15		2020-02-11
BT-WMD-101034-CS-INDV / Hiring of two individual consultants to develop proposal for mobilizing fund for REDD+ Strategy implementation by WMD	TP / A6108		Post	Individual Consultant Selection	Open		50,000.00	0.00	Cancelled	2019-05-02		2019-06-20		2019-07-31		2019-06-15		2020-02-11