

Procurement Plan

I. General

1. Project Information

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|--------------|---|---|
| Country | : | Bhutan |
| Borrower | : | Watershed Management Division, Department of Forests and Park Services, Ministry of Agriculture and Forests |
| Project Name | : | Bhutan REDD Readiness |
| Project No. | : | P150177 |

2. Bank's Approval Date of the Procurement Plan : January 15, 2018

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers" (July 2016) ("Procurement Regulations") the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank's Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

II. Goods, Works and Non consulting Services

1. Prior Review Threshold

| Method of Procurement | Thresholds for Method (USD equivalent) | Prior Review Threshold (USD) |
|-------------------------------|--|--------------------------------|
| ICB (Goods) | > 200,000 USD | All contract above 2 million |
| NCB (Goods) | > USD 30,000 and up to USD 200,000 | |
| Shopping (Goods) | Up to USD 30,000 | |
| ICB (Non-Consulting Services) | > 200,000 USD | All contract above 1.0 million |
| NCB (Non-Consulting Services) | < 200,000 USD | |

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|--------------------|---|--|
| Direct Contracting | No Threshold; meet paragraph 6.8, 6.9 and 6.10 of regulations | |
|--------------------|---|--|

Note: The Prior Review Thresholds will be reviewed during the implementation of the project and modified depending on the risk assessment and implementation of mitigation measures.

In the case of contracts subject to prior review, before granting / agreeing to (a) a material extension of the stipulated time for performance of a contract; or (b) any substantial modification or waiver of the scope of services or other significant changes to the terms and conditions of such that the contract, including issuing; or (c) any change variation order or orders under such contract amendment (except in cases of extreme urgency) which would in aggregate, singly or combined with all variation orders or amendments previously issued, increase the original contract amount of the contract by more than 15% (fifteen percent); or (d) the proposed termination of the original price contract, the Borrower shall seek the Bank's no objection to the proposed extension, modification, or change order.

A copy of all amendments to the contract shall be furnished to the Bank for its record.

2. Prequalification

Not Applicable

3. Reference to Project operational/Procurement Manual

Standard Bidding Documents agreed between Project and Bank will be used for all works, consultancy and goods procurements.

4. Any Other Special Procurement Arrangements:

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country's own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions. Requirements for national open competitive procurement include the following:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance

- with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
 - e. publication of contract award information;
 - f. rights for the Bank to review procurement documentation and activities;
 - g. an effective complaints mechanism; and
 - h. maintenance of records of the Procurement Process..

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

II. **Domestic Preference.** Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods).

Goods: is applicable for those contracts identified in the Procurement Plan tables;

The bid evaluation will be carried out as per agreed timeline in the Procurement Activity Schedule

III. Selection of Consultant

1. Prior Review Threshold

| Method of Procurement | Threshold (USD Equivalent) | Prior Review Threshold |
|--|---|---|
| (a) Quality and Cost Based Selection | No threshold | All Contracts above 2 million USD for Consultant Firms All contracts above \$0.4 million USD for Individual Consultants. |
| (b) Quality Based Selection | No threshold | |
| (c) Selection Made Under a Fixed Budget | No threshold | |
| (d) Least Cost Selection | No threshold | |
| (e) Selection Based on Consultant's Qualifications | < 100,000 | |
| (f) Single Source Selection of firms | As per Section VII of regulations (para 7.13 to 7.15) | |
| (g) Selection of Individual Consultants | No threshold | |

Note: The Prior Review Thresholds will be reviewed during the implementation of the project and modified.

In the case of contracts subject to prior review, before agreeing to: (a) an extension of the stipulated time for performance of a contract; (b) any substantial modification of the scope of services, substitutions of key experts, or other significant changes to the terms and conditions of the contract; or (c) the proposed termination of the contract, the Borrower shall seek the Bank's no objection. A copy of all amendments to the contract shall be furnished to the Bank for its record.

- Short List comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than USD 200,000 equivalent per contract, may comprise entirely of national consultants.

PROCUREMENT PLAN Bhutan : Bhutan REDD Readiness

General Information

Country: Bhutan **Bank's Approval Date of the Original Procurement Plan:** 2016-05-31
Revised Plan Date(s): (comma delineated, leave blank if not) 2019-11-06

Project ID: P150177 **GFN Date:** 2016-09-20

Project Name: Bhutan REDD Readiness

Loan / Credit No: TF / 19030, TF / A6108

Executing Agency(ies): Watershed Management Division, Department of Forests and Park Services

| WORKS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|-------------------|-----------|-------------|------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|---------|--------|---------|--------|
| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | | | | | |
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BT-WMD-83809-CW-RFB / Renovate existing old structure and create space for Project Management Office(PMO) by National Land Commission | TF / A6108 | | Post | Request for Bids | Limited | Single Stage - One Envelope | | 11,784.71 | 11,449.47 | Completed | | | | | | | | | | | | | | | | | | | | | | |

| GOODS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|-------------------|-----------|-------------|------------------------|-----------------|-----------------------------|------------------------|-------------------------|----------------------|----------------|-----------------------------------|--------|------------------------------------|--------|--|--------|--|--------|-----------------------------|--------|---|--------|--|--------|-----------------|--------|---------------------|--------|---------|--------|---------|--------|
| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Procurement Process | Prequalification (Y/N) | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Draft Pre-qualification Documents | | Prequalification Evaluation Report | | Draft Bidding Document / Justification | | Specific Procurement Notice / Invitation | | Bidding Documents as Issued | | Proposal Submission / Opening / Minutes | | Bid Evaluation Report and Recommendation for Award | | Signed Contract | | Contract Completion | | | | | |
| | | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BT-WMD-138-GO-RFQ / Procurement of CHN & S analyser | TF / 19030 | | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 93,000.00 | 92,462.00 | Completed | | | | | | | | | | | | | | | | | | | | | | |
| FRMDR-PP/NFI/3/2017-2018/02 / Printing of National Forest Inventory Report Volume II | TF / 19030 | | Post | Direct Selection | Direct | | | 18,461.53 | 0.00 | Cancelled | | | | | | | | | | | | | | | | | | | | | | |
| BT-WMD-37728-GO-RFQ / Printing of REDD+ related documents, LULUC 2016, Forest and Nature Conservation Rules and Regulations 2017 | TF / 19030 | | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 23,076.92 | 0.00 | Cancelled | | | | | | | | | | | | | | | | | | | | | | |
| BT-WMD-37725-GO-RFQ / Procurement of 5 Laptops, 1 computer, three hard drives | TF / 19030 | | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 9,000.00 | 0.00 | Cancelled | | | | | | | | | | | | | | | | | | | | | | |
| BT-WMD-37725-GO-RFQ / Procurement of Computer and Peripherals | TF / 19030 | | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 1,538.46 | 0.00 | Cancelled | | | | | | | | | | | | | | | | | | | | | | |
| BT-WMD-37729-GO-RFQ / Procurement of Video conference system; TV screen and system; Projector; and Camera and a printer | TF / 19030 | | Post | Request for Quotations | Open - National | Single Stage - One Envelope | | 13,846.15 | 0.00 | Cancelled | | | | | | | | | | | | | | | | | | | | | | |
| BT-WMD-32583-GO-DIR / Procurement of vehicles around (5 nos) | TF / 19030 | | Prior | Direct Selection | Direct | | | 135,000.00 | 0.00 | Cancelled | | | | | | | | | | | | | | | | | | | | | | |

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|---|------------|------|------------------------|---------|-----------------------------|--|-----------|--------|------------------------|--|--|--|------------|------------|--|--|--|--|--|------------|--|--|------------|------------|
| BT-WMD-85974-NC-RFQ / Visit to 6th International Trade Fair for Furniture Production Technologies, Woodworking Machinery, Tools, Fittings, Accessories, Raw Materials and Products at India expo center and Mart, Greater Noida, India from March 13-16 2019 | TF / A8108 | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 15,000.00 | 278.05 | Completed | | | | | | | | | | | 2019-02-13 | | | 2019-04-10 | 2019-10-07 |
| BT-WMD-85975-NC-RFQ / Visit to ICIMOD Nepal by the field staffs under Tairang & Degana Forest Division, Department of Forests & Park Services to learn on forest management and related issues | TF / A8108 | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 18,400.00 | 0.00 | Pending Implementation | | | | | | | | | | | 2019-01-30 | | | 2019-03-27 | 2019-09-23 |
| BT-WMD-85988-NC-DIR / Ex-country training workshop for Development of a National Wetland inventory framework for Bhutan at Institute for Land, Water and Society (ILWS) in Oxford, UK for 3 officials from WMD, under Department of Forest and Park Services | TF / A8108 | Post | Direct Selection | Direct | | | 30,000.00 | 0.00 | Canceled | | | | 2019-02-25 | 2019-03-02 | | | | | | | | | 2019-04-06 | 2019-10-03 |
| BT-WMD-86017-NC-RFQ / Budgeting & Accounting training for one official (REDD plus accountant) from MoAF at Philippines | TF / A8108 | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 3,790.00 | 0.00 | Pending Implementation | | | | | | | | | | | 2019-02-20 | | | 2019-04-17 | 2019-10-14 |
| BT-WMD-78947-NC-RFQ / Exchange program/workshop @ Switzerland (given similar landscape with Bhutan) for 8 officials from National Land Commission | TF / A8108 | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 20,000.00 | 0.00 | Canceled | | | | | | | | | | | 2019-02-20 | | | 2019-04-17 | 2019-10-14 |
| BT-WMD-86029-NC-RFQ / In-house Public consultation meeting for 35 participant and Printing of management plan for developing LFMP (Forest inventory for assessment of volume, basal area and number of tree per hectare) by Gyelposhing Range Office under Mongar TFD | TF / A8108 | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 2,307.00 | 0.00 | Canceled | | | | | | | | | | | 2019-02-06 | | | 2019-04-03 | 2019-09-30 |
| BT-WMD-86024-NC-RFQ / In-country training on NWF, SLMP and LFMP for 40 farmers by Mongar Territorial Field Division, under Department of Forest and Park Services. | TF / A8108 | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 4,615.00 | 0.00 | Canceled | | | | | | | | | | | 2019-02-06 | | | 2019-04-03 | 2019-09-30 |
| BT-WMD-86026-NC-RFQ / In-country training on power chainaw operators for 40 farmers at Themaanghi-Jabab watershed by Mongar Territorial Field Division, under Department of Forest and Park Services. | TF / A8108 | Post | Request for Quotations | Limited | Single Stage - One Envelope | | 3,077.00 | 0.00 | Canceled | | | | | | | | | | | 2019-02-06 | | | 2019-04-03 | 2019-09-30 |

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|--|------------|--|-------|------------------------------------|----------------------|--|------------|------|--------------|------------|------------|------------|------------|------------|------------|------------|------------|
| BT-WMD-104942-CS-QBS / Hire Consultancy Firm to develop Spatial Decision Support System (SDSS) for DoFPS to assist in awarding forestry clearance by Forest Resources Management Division, DoFPS | TF / A8108 | | Post | Quality Based Selection | Open - National | | 150,000.00 | 0.00 | Canceled | 2019-03-30 | 2019-04-20 | 2019-06-03 | 2019-07-01 | 2019-07-31 | 2019-08-30 | 2019-10-04 | 2020-10-03 |
| BT-WMD-114391-CS-CQS / Hire Consultancy firm to develop a spatially-enabled knowledge base and a decision support system to support DoFPS in issuing forestry clearance in Bhutan by Forest Resource Management Division | TF / A8108 | | Prior | Consultant Qualification Selection | Open - International | | 101,900.00 | 0.00 | Under Review | 2019-06-09 | 2019-06-30 | 2019-08-13 | | 2019-09-12 | 2019-10-17 | 2020-04-14 | |
| BT-WMD-138465-CS-CQS / Hiring of consultancy for carrying out assessment of plantations in the Country by SFED | TF / A8108 | | Post | Consultant Qualification Selection | Open - National | | 23,076.92 | 0.00 | Canceled | 2019-10-14 | 2019-11-04 | 2019-12-18 | | 2020-01-17 | 2020-02-21 | 2020-08-19 | |
| BT-WMD-89244-CS-QCBS / Hire consultant to conduct cost benefit analysis on Glulam & develop marketing strategies by Royal Academy | TF / A8108 | | Post | Consultant Qualification Selection | Open - National | | 8,307.70 | 0.00 | Canceled | 2019-12-01 | 2019-12-22 | 2020-02-04 | | 2020-03-05 | 2020-04-09 | 2020-10-06 | |

| INDIVIDUAL CONSULTANTS | | | | | | | | | | | | | | | | | | | |
|--|-------------------|-----------|-------------|---------------------------------|-----------------|---------------|-------------------------|----------------------|----------------|--------------------|--------|--|--------|---------------------------|--------|-----------------|--------|---------------------|--------|
| Activity Reference No. / Description | Loan / Credit No. | Component | Review Type | Method | Market Approach | Contract Type | Estimated Amount (US\$) | Actual Amount (US\$) | Process Status | Terms of Reference | | Invitation to Identified/Selected Consultant | | Draft Negotiated Contract | | Signed Contract | | Contract Completion | |
| | | | | | | | | | | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual | Planned | Actual |
| BT-WMD-157-CS-INDV / Consultancy work on Drivers study. | TF / 19030 | | Prior | Individual Consultant Selection | Open - National | | 130,000.00 | 130,125.00 | Completed | 2016-05-31 | | 2016-05-31 | | 2016-06-05 | | 2016-07-10 | | 2017-01-06 | |
| BT-WMD-1470-CS-CDS / Sale sourcing of FAO to develop FRELMIIV for FCPF REDD+ Readiness Program of Bhutan | TF / 19030 | | Prior | Direct Selection | Direct | | 210,000.00 | 260,500.00 | Signed | 2016-09-09 | | 2016-09-19 | | | | 2016-11-23 | | 2017-05-22 | |
| BT-WMD-21669-CS-INDV / Legal Consultant (National) for Safeguards | TF / 19030 | | Post | Individual Consultant Selection | Open | | 15,000.00 | 11,572.70 | Completed | 2017-07-24 | | 2017-09-11 | | 2017-10-02 | | 2017-11-06 | | 2018-05-05 | |
| FMRD/R-PP/NF13/2017-2018/01 / Hiring of Experts for production of National Forestry Inventory report Volume II | TF / 19030 | | Post | Individual Consultant Selection | Direct | | 9,230.76 | 0.00 | Canceled | 2018-03-30 | | 2018-04-09 | | 2018-04-30 | | 2018-06-04 | | 2018-12-01 | |
| BT-WMD-2378-CS-INDV / Consultancy on Grievance Redress Mechanism for REDD+ | TF / 19030 | | Post | Individual Consultant Selection | Open | | 13,707.00 | 0.00 | Canceled | 2016-11-01 | | 2016-12-20 | | 2017-01-10 | | 2017-02-14 | | 2017-08-13 | |
| BT-WMD-68637-CS-INDV / Hiring of a National Consultant for evaluation & revision of National Community Forestry Strategy | TF / A8108 | | Post | Individual Consultant Selection | Open | | 26,000.00 | 22,816.40 | Signed | 2018-06-19 | | 2018-08-07 | | 2018-08-28 | | 2018-10-02 | | 2019-03-31 | |

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|--|------------|--|------|---------------------------------|---------|-----------|-----------|------------------------|------------|------------|------------|------------|------------|
| BT-WMD-71254-CS-INDV / Hiring of a National Consultant for review and revision of National Strategy for the development of Non-wood forest products in Bhutan | TF / A8108 | | Post | Individual Consultant Selection | Limited | 30,000.00 | 16,711.93 | Signed | 2018-08-01 | 2018-08-06 | 2018-08-27 | 2018-10-01 | 2019-03-30 |
| BT-WMD-75647-CS-INDV / Hiring of Consultant for the evaluation and revision of the National Plantation Strategy for Bhutan, 2010' by Social Forestry Extension Division | TF / A8108 | | Post | Individual Consultant Selection | Limited | 30,000.00 | 16,754.39 | Signed | 2018-09-15 | 2018-09-20 | 2018-10-11 | 2018-11-15 | 2019-05-14 |
| BT-WMD-89240-CS-CDS / Hire experts to conduct onsite training on joinery and erections by Royal Academy | TF / A8108 | | Post | Direct Selection | Direct | 35,076.00 | 0.00 | Pending Implementation | 2019-01-01 | 2019-01-11 | | 2019-03-17 | 2019-09-13 |
| BT-WMD-101024-CS-CDS / Hiring of services of two experts from United State Department of Agriculture (USDA), Forest Service and Red Castle Resource to develop SOP for MTBS by Forest Protection and Enhancement Division, DoFPS PU | TF / A8108 | | Post | Direct Selection | Direct | 14,054.00 | 0.00 | Pending Implementation | 2019-05-21 | 2019-05-31 | | 2019-06-04 | 2020-01-31 |
| BT-WMD-101028-CS-CDS / Hiring of consultants (Green solution) to enhance the FRMS by Forest Resources Management Division, DoFPS PU | TF / A8108 | | Post | Direct Selection | Direct | 8,560.31 | 5,782.85 | Signed | 2019-02-25 | 2019-03-07 | | 2019-05-11 | 2019-11-07 |
| BT-WMD-101032-CS-INDV / Hiring of individual consultant to carry out Gender assessment and action plan by WMD | TF / A8108 | | Post | Individual Consultant Selection | Open | 20,000.00 | 0.00 | Pending Implementation | 2019-05-02 | 2019-06-20 | 2019-07-11 | 2019-08-15 | 2020-02-11 |
| BT-WMD-101034-CS-INDV / Hiring of two individual consultants to develop proposal for mobilizing fund for REDD+ Strategy implementation by WMD | TF / A8108 | | Post | Individual Consultant Selection | Open | 50,000.00 | 0.00 | Pending Implementation | 2019-05-02 | 2019-06-20 | 2019-07-11 | 2019-08-15 | 2020-02-11 |
| BT-WMD-101059-CS-INDV / Hiring of one National Legal Consultant to support international consultancy work on SESA and ESMF by WMD | TF / 19030 | | Post | Individual Consultant Selection | Open | 15,000.00 | 0.00 | Pending Implementation | 2019-02-28 | 2019-04-18 | 2019-05-09 | 2019-06-13 | 2019-12-10 |
| BT-WMD-102276-CS-CDS / Hiring consultant Professor Timothy Gordon Gregoire from Yale University, USA for a period of 3-6 months, to provide technical assistance and development of re measurement guidelines for plots unable to relocate in future inventory by FRMD | TF / A8108 | | Post | Direct Selection | Direct | 17,000.00 | 0.00 | Pending Implementation | 2019-03-20 | 2019-03-30 | | 2019-06-03 | 2019-11-30 |

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|--|------------|--|------|---------------------------------------|------|--|-----------|------|---------------------------|------------|--|------------|--|------------|--|------------|--|------------|--|
| BT.WMD-142190-CS-INDV / Hiring of consultancy for carrying out Impact Assessment of Forest Plantations in the Country by SFED | TF / A8108 | | Post | Individual Consultant Selection | Open | | 14,085.00 | 0.00 | Pending Implementation | 2019-11-04 | | 2019-12-23 | | 2020-01-13 | | 2020-02-17 | | 2020-06-15 | |
|--|------------|--|------|---------------------------------------|------|--|-----------|------|---------------------------|------------|--|------------|--|------------|--|------------|--|------------|--|