

INTEGRATED SAFEGUARDS DATA SHEET

IDENTIFICATION / CONCEPT STAGE

Report No.: ISDSC13580

Date ISDS Prepared/Updated: 10-Dec-2015

I. BASIC INFORMATION

A. Basic Project Data

Country:	Latin America	Project ID:	P155976
Project Name:	FCPF Capacity Building Program IPs (LCR)		
Team Leader(s):	Kimberly Vilar		
Estimated Date of Approval:	30-Nov-2015		
Managing Unit:	GSU04	Lending Instrument:	Lending Instrument
Sector(s):	Forestry (100%)		
Theme(s):	Participation and civic engagement (20%), Indigenous peoples (20%), Social Inclusion (60%)		
Financing (in USD Million)			
Total Project Cost:	0.727609	Total Bank Financing:	0
Financing Gap:	0		
Financing Source		Amount	
Readiness Fund of the Forest Carbon Partnership Facility		0.727609	
Environment Category:	C - Not Required		

B. Project Development Objective(s)

The objective of the project is to build the capacity of the indigenous peoples of Central and South America to enhance their knowledge and understanding of REDD+, thereby enabling them to expand and enhance their participation in REDD+ processes at national and regional levels.

Specific Activities:

- i. Design and implement a regional training program for indigenous leaders and negotiators on issues of REDD+ safeguards and the rights of indigenous peoples.
- ii. Facilitate the construction of cultural indicators, techniques and methodologies relating to forest management by indigenous peoples.
- iii. Support the full and effective participation of indigenous leaders in negotiations and dialogues on REDD+ at the national and regional levels.

- iv. Publish and disseminate information, through regional-level networks, on project activities, good practices, and lessons learned to continue supporting the capacity-building needs of indigenous peoples at all levels in the region, for their effective engagement in all aspects of REDD+.

C. Project Description

The project will finance the following three main components:

Component 1: Capacity Building Activities (Approx. US \$327,424). Preliminary proposals for project activities have been submitted to the Bank. These were validated through a participatory process led by Sotz'il with regional indigenous networks. They include the following three programs that will make up the following three subcomponents.

- a. Component 1.1. Design and implement a regional training program for indigenous leaders and negotiators on issues of REDD+ safeguards and the rights of indigenous peoples. This program would include (i) Designing a module for graduate studies on REDD+ and indigenous peoples to be developed and validated with indigenous networks; (ii) Developing a university-level diploma program on REDD+ and indigenous peoples; (iii) Developing face-to-face training materials on REDD+. All three capacity building activities will be developed and further validated with indigenous networks during preparation and implementation of the project.
- b. Component 1.2. Facilitate the construction of cultural indicators, techniques and methodologies relating to forest management by indigenous peoples. This program would develop and publish a study on cultural indicators, forest management techniques and methodologies. The study would provide qualitative and quantitative data to enhance indigenous proposals related to REDD+ in different countries.
- c. Component 1.3. Support the full and effective participation of indigenous leaders in negotiations and dialogues on REDD+ at the national and regional levels by supporting national indigenous peoples-government dialogues on REDD+ and knowledge exchange events amongst indigenous communities and organizations participating in REDD+ processes in Central and South America.

Component 2: Regional-Level Communication and Dissemination of Project Results (Approx. US \$327,424): This component will finance regional-level activities and the design and implementation of a communication strategy to disseminate (at the country and regional level) the results of activities carried out by the project, including the preparation of good practices and lessons learned, aimed at addressing capacity building needs of indigenous peoples at all levels so as to foster their effective engagement in REDD+. Activities under this component will finance dissemination of information, regional level network forums on REDD+, and the publication of materials (produced in appropriate indigenous languages, where necessary). Data on women and youth participation will be highlighted at the regional level. Regional organizations and networks participating in the FCPF will be targeted. Thus, the communication strategies to be employed will draw upon existing resources, relationships, and networks, including regional learning and exchange forum to the maximum extent possible.

Component 3: Management and Administration (Approx. US \$72,761): This component will support Sotz'il's day-to-day project implementation and management costs, including the incremental time of the project coordinator. Moreover, financial management and procurement assessments (to be completed by appraisal), will determine whether more capacity is needed and whether it will entail an increase in the time of the professionals associated to the project. This would include the preparation of annual work plans, the financing of audit reports, and any other incremental overhead costs incurred as a result of the implementation of the project.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The exact locations of the capacity building activities have not yet been defined, although options will be narrowed down during preparation of the project. In terms of physical characteristics, the project will not have a physical footprint, as its activities will consist of training services, events and online courses. However given that its target audience is specifically and exclusively the region's indigenous peoples, OP 4.10 will be triggered. Its operational manual will spell out (i) mechanisms to ensure broad community support; (ii) the active participation of key stakeholders; and (iii) access to grievance redress mechanisms, in order for the entire grant to abide by the principles of the policy.

E. Borrower's Institutional Capacity for Safeguard Policies

Sotz'il has developed a process for participatory monitoring and technical assessment in order to ensure that the rights of indigenous peoples be respected and implemented, particularly as related to free, prior and informed consent, full and effective participation, strengthening forms of self-organization, implementation and recognition of traditional knowledge which allows for responsible use, management and conservation of forests on indigenous territories and the implementation of the United Nations Declaration on the Rights of Indigenous Peoples, Convention ILO 169 on Indigenous Peoples, and the Convention on Biological Diversity Article 8J.

Sotz'il generated biocultural monitoring baselines to establish the current state of forests and biodiversity, in order to implement the systems and traditional knowledge of indigenous peoples for the restoration and conservation of ecosystems as well as sustainable management. This focuses on the verification of the states' compliance with commitments towards collective rights of indigenous peoples, such as the Special Rapporteur of the United Nations on the Rights of Indigenous Peoples' Committee Recommendations on the Elimination of Racial Discrimination. In this process Sotz'il has formulated and presented alternative reports to the UN bodies, ILO, CERD Commission.

Specifically on conflict resolution, Sotz'il has developed grievance redress mechanisms at local, national and international levels based on the principles of prior, free and informed dialogue, consultation, and consent.

F. Environmental and Social Safeguards Specialists on the Team

Kimberly Vilar (GSU04)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	No	Given the scope and scale of the Project, it is not expected that significant and irreversible environmental impacts and risks will be generated. The operational manual for the Project will not require screening and follow-up procedures for the management of potential environmental and social impacts for activities to be implemented under the Project.
Natural Habitats OP/BP 4.04	No	The fundamental purpose of the activities financed by the Project is to strengthen forest-dependent indigenous peoples' organizations to

		contribute to their national REDD+ Readiness processes. No screening or follow up procedures will be required.
Forests OP/BP 4.36	No	Activities financed under the Project are expected to have eventual positive impacts on forest management by strengthening the capacity of forest-dependent indigenous peoples' organizations to contribute to their national REDD+ Readiness processes. Since there are no direct and/or physical impacts on forests, no screening and follow up procedures are required.
Pest Management OP 4.09	No	None of the activities that would be supported by the Project are expected to involve the procurement or a substantial increase in the use of pesticides.
Physical Cultural Resources OP/ BP 4.11	No	This policy is not triggered because the Project is not expected to support any activities having an adverse impact on physical cultural resources. This would be specified in an exclusion list.
Indigenous Peoples OP/BP 4.10	Yes	OP/BP 4.10 is triggered as the project directly targets indigenous peoples. Furthermore, this will be treated as an indigenous peoples' project and no separate Indigenous Peoples Plan will be required, as per OP 4.10. The implementing agency has and continues to lead a participatory process with regional indigenous networks to allow their meaningful participation and support in the selection of the activities. The capacity building activities to be supported were defined through an iterative and participatory process of dialogue that Sotz'il has led with indigenous networks themselves. They have also mapped the project stakeholders, led the discussion on cultural indicators and methodologies and determined priority activities, over the course of the last two years, through six indigenous peoples dialogue events with the FCPF, as well as through self-financed internal meetings and consultations. Moreover, a planning workshop will take place in November 2015 with indigenous representatives from the 17 FCPF member countries with the objective of selecting beneficiary organizations and validating activities. These mechanisms will be

		documented in the Project operational manual as well as measures such as: (i) mechanisms to ensure broad community support; (ii) the active participation of key stakeholders; and (iii) access to culturally appropriate grievance redress mechanisms.
Involuntary Resettlement OP/BP 4.12	No	None of the activities that would be supported by the Project are expected to involve physical displacement and resettlement. Nor are they expected to involve the involuntary restriction of community access to natural resources in legally designated parks or protected areas. This would be specified in an exclusion list.
Safety of Dams OP/BP 4.37	No	None of the activities that would be supported by the Project are expected to involve the construction or operation of new or existing dams.
Projects on International Waterways OP/BP 7.50	No	This policy is not triggered because the Project is not expected to support any activities having adverse impacts on the efficient utilization and protection of international waterways.
Projects in Disputed Areas OP/BP 7.60	No	This policy is not triggered because the Project will not support activities in disputed areas.

III. SAFEGUARD PREPARATION PLAN

A. Appraisal stage ISDS required?: Yes

i. Explanation

The project triggers one policy, OP4.10 Indigenous Peoples.

ii. Tentative target date for preparing the Appraisal Stage ISDS

10-Nov-2015

B. Time frame for launching and completing the safeguard-related studies that may be needed.

The specific studies and their timing should be specified in the Appraisal Stage ISDS.

No safeguard-related studies are foreseen.

IV. APPROVALS

Team Leader(s):	Name: Kimberly Vilar	
Approved By:		
Safeguards Advisor:	Name: Francis V. Fragano (SA)	Date: 22-Oct-2015
Practice Manager/ Manager:	Name: Markus Kostner (PMGR)	Date: 30-Oct-2015

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.