



Government of Tuvalu
Ministry of Transport, Energy and Tourism
(MTET)
Tuvalu Safe and Resilient Aviation Project
(TuSRAP) - P180674

[Draft]

ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)

[11 April 2023]

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Tuvalu (the Recipient) will implement the Tuvalu Safe and Resilient Aviation Project (TuSRAP) (the Project), with the involvement of the Ministry of Transport, Energy and Tourism (MTET) as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide financing for the Project, as set out in the referred agreement.
 2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
 3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
 4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient, through the Minister of Transport, Energy, and Tourism (MTET) and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient, the Minister of Transport, Energy and Tourism. The Recipient shall promptly disclose the updated ESCP.
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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of the ESMP required under the ESCP, stakeholder engagement activities under the SEP, functioning of the grievance mechanism(s) including submission of the grievance log.</p>	<p>Quarterly reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 30 days after the end of each reporting period.</p>	<p>Project Management Unit (PMU) at the MTET</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), accidents that result in death, serious or multiple injury to project workers or community residents, significant find of cultural heritage, significant environmental release, a natural disaster, or other emergency affecting project beneficiaries.</p> <p>Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising entity, as appropriate. Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence. See also notification of operational health and safety (OHS) requirements in the Labor Management Plan (LMP) and notification requirements in the ESMP.</p>	<p>Throughout Project implementation.</p> <p>The PMU shall notify the Association within 48 hours after learning of the incident or accident. A report shall be provided within a timeframe acceptable to the Association.</p>	<p>PMU under MTET</p>
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the PMU. Monthly reports shall be submitted to the Association by the MTET upon request.</p>	<p>Submit the monthly reports to the Association upon request, and include them as annexes to the reports to be submitted under action A above</p>	<p>PMU under MTET</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a Project Management Unit (PMU) supported by qualified staff and resources including an International Environmental and Social (E&S) Specialist within the Central Project Management Office (CPMO) (as engaged by Ministry of Finance) to support management of ESHS risks and impacts of the Project.</p>	An international E&S specialist from CPMO shall be appointed within 30 days after the Effective Date and maintained throughout Project implementation.	PMU under MTET CPMO under Ministry of Finance
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>Update, adopt and implement the Environmental and Social Management Plan (ESMP) for the Project, consistent with the relevant ESSs and acceptable to the Association. The ESMP shall be based on an updated version of the approved ESMP for the Tuvalu Aviation Investment Project.</p>	Within 60 days after the Effective Date, or before launching the bidding process for the activities that require such ESMP, whichever is earlier, and thereafter implement such ESMP throughout Project implementation.	PMU under MTET
1.3	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p> <p>Ensure that the contractors' environmental and social management plans (C-ESMP) including occupational health and safety, waste management, traffic management plans, and emergency/spill response are prepared and enforced to align the hierarchy of reduce, reuse, recycle wherever possible.</p>	<p>Before launching the bidding process, and thereafter supervise contractors throughout Project implementation.</p> <p>Prior to the carrying out of the activities that require the adoption of such C-ESMP. Once adopted, implement the respective plans throughout Project implementation.</p>	<p>PMU under MTET</p> <p>PMU under MTET.</p>
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	PMU under MTET

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p> <p>In the period between the Effective Date and the adoption of the LMP, the provisions of the Government of Tuvalu’s Labour and Employment Relations Act 2017 shall be applied, which substantively cover terms and conditions of employment, non-discrimination and equal opportunity, rights to organize, prevention/restriction of child labor, prevention of forced labor, identification of potential hazards, training of workers and maintenance of training records, and emergency preparedness.</p>	<p>Adopt the LMP within 60 days after the Effective Date, and thereafter implement the LMP throughout Project implementation.</p> <p>From the Effective Date until the adoption of the LMP.</p>	<p>PMU under MTET</p> <p>PMU under MTET</p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p>Establish grievance mechanism prior to engaging project workers, and thereafter maintain and operate it throughout Project implementation.</p>	<p>PMU under MTET</p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: Incorporate resource efficiency and pollution prevention and management measures including a project Waste Management Plan in the ESMP under action 1.2 above, and ensure measures are implemented in a manner acceptable to the Association.</p>	<p>Within 60 days after the Effective Date or before launching the bidding process, whichever is earlier, and thereafter implement such measures throughout Project implementation.</p>	<p>PMU under MTET</p>
3.2	<p>PRIMARY SUPPLY Ensure aggregates and sand shall be imported and no sand or coral shall be taken from any beach in Tuvalu for the Project.</p>	<p>Throughout Project implementation</p>	<p>PMU under MTET</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in the ESMP under action 1.2 above, and ensure measures are implemented in a manner acceptable to the Association.	Within 60 days after the Effective Date or before launching the bidding process, whichever is earlier, and thereafter implement such measures throughout Project implementation.	PMU under MTET
4.2	COMMUNITY HEALTH AND SAFETY: Incorporate measures as required in the ESMP under action 1.2 above to assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, dust, noise, behavior of project workers, construction related impacts, and ensure measures are implemented in a manner acceptable to the Association.	Within 60 days after the Effective Date or before launching the bidding process, whichever is earlier, and thereafter implement measures throughout Project implementation.	PMU under MTET
4.3	SEA AND SH RISKS Adopt and implement a SEA/SH Action Plan as part of the ESMP, to assess and manage the risks of SEA and SH including a Code of Conduct for the prevention of SEA/SH. Include the Code of Conduct in construction bid documents.	Adopt the SEA/SH Action Plan and include the relevant mitigation measures in the ESMP within 60 days after the Effective Date or before launching the bidding process, whichever is earlier. Thereafter implement the SEA/SH Action Plan throughout Project implementation.	PMU under MTET
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	RESETTLEMENT PLANS Implement the Post-Closure Action Plan (PCAP) for mitigating outstanding resettlement impacts from the Tuvalu Aviation Investment Project P128940 (TvAIP), in a manner acceptable to the Association and consistent with ESS5, and report on outcomes.	According to the timeframe in the TvAIP PCAP.	PMU under MTET
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	Not relevant		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	Not relevant		
ESS 8: CULTURAL HERITAGE			
8.1	CHANCE FINDS Describe and implement the chance finds procedures, as part of the Project ESMP.	Within 60 days after the Effective Date or before launching the bidding process, whichever is earlier, and thereafter implement the procedures throughout Project implementation.	PMU under MTET

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Adopt and implement the Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	<p>A SEP has been prepared and disclosed prior to Project appraisal, , and shall be implemented throughout Project implementation. The SEP shall be updated as needed through Project implementation.</p>	<p>PMU under MTET</p>
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Project GRM to be operational and disseminated to stakeholders within 60 days after the Effective Date, and maintained throughout Project implementation</p>	<p>PMU under MTET</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
CAPACITY SUPPORT (TRAINING)			
CS1	<p>The MoF CPMO, shall coordinate the preparation of a training and capacity building plan for relevant PMU staff responsible for the Project, including ESS personnel, to receive training on:</p> <ul style="list-style-type: none"> • The project’s ESF instruments, including the Code of Conduct (CoC) and provisions to prevent SEA/SH, • The relevant requirements of the ESMP, LMP and SEP • The relevant requirements of ESHS in bidding and contractual documents • The roles and responsibilities of different key agencies in implementation of the Project ESMP. • Grievance handling and training: for project and contractor workers to effectively manage and resolve project grievances 	Prior to prior to finalization of ESMP and reviewed annually	PMU under MTET
CS2	<p>Project workers shall be trained on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.</p> <p>Provide training of project staff on E&S risk management instruments , with refresher courses as needed.</p> <p>Provide training to contractors, suppliers, and project beneficiaries before site-specific Project activities and throughout Project implementation as needed, including but not limited to management of E&S risks, labor management and OH&S, resource efficiency and pollution management, community health and safety and grievance mechanisms.</p>	Throughout Project implementation	PMU under MTET