

PROJECT INFORMATION DOCUMENT (PID) IDENTIFICATION/CONCEPT STAGE

Report No.: PIDC24129

Project Name	Pan-African Civil Society FCPF Capacity Building Program on REDD+ Project
Region	AFRICA
Country	Africa
Sector(s)	Forestry (100%)
Theme(s)	Participation and civic engagement (20%), Other social development (20%), Social Inclusion (60%)
Lending Instrument	Lending Instrument
Project ID	P155374
Borrower Name	Pan African Climate Justice Alliance (PACJA)
Implementing Agency	Pan African Climate Justice Alliance (PACJA)
Environment Category	C - Not Required
Date PID Prepared	26-Jun-2015
Estimated Date of Approval	30-Sep-2015
Initiation Note Review Decision	The review did authorize the preparation to continue

I. Introduction and Context

Country Context

The FCPF, which became operational in June 2008, is a global partnership focused on reducing emissions from deforestation and forest degradation, promoting forest carbon stock conservation, furthering the sustainable management of forests, and enhancing forest carbon stocks (REDD+). The FCPF has created a framework and processes for REDD+ readiness, which help countries prepare for future systems of financial incentives for REDD+. A REDD+ Country Participant is a developing country located in a subtropical or tropical area that has signed a Participation Agreement to participate in the FCPF Readiness Fund. Forty-seven developing countries that have been selected to join the FCPF, including eighteen in Africa (Burkina Faso, Cameroon, Central African Republic, Democratic Republic of Congo, Republic of Congo, Côte d'Ivoire, Ethiopia, Gabon, Ghana, Kenya, Liberia, Madagascar, Mozambique, Nigeria, Sudan, Tanzania, Togo and Uganda), are expected to undertake activities that lay the foundation for sustainable forest management and sustainable land use management. These activities are expected to benefit millions of people who depend on the forest for their livelihood and well-being. REDD+ thus presents an opportunity to assist REDD+ countries to undertake a participatory national forest and land use planning exercise that will contribute to mitigating the impacts of deforestation and forest degradation, including from developments in other sectors (such as agriculture, infrastructure, and energy) and existing land tenure arrangements.

Concrete activities implemented under readiness include the development of Reference Levels, the adoption of a REDD+ strategy, the design of monitoring systems for reporting and verification, the

establishment of REDD+ national management arrangements, and the identification of social and environmental impacts associated with REDD+. Concerted efforts have been made in those countries in the readiness phase to develop national levels that are socially inclusive of REDD+ strategies. The engagement of stakeholders, including the civil society actors, is crucial for ensuring that REDD+ benefits these stakeholders. The CSOs active engagement is also imperative in managing social and environmental risks and impacts.

Sectoral and Institutional Context

The concept of "citizen engagement" as such began to receive emphasis in 2013, when the World Bank Group hosted a conference on citizen engagement with CIVICUS and InterAction to highlight the value of engaging with citizens for effective development. In 2013, the corporate change process adopted a recommendation to scale up engagement with citizens for improved results in World Bank operations. In addition, the World Bank Group Strategy adopted in October 2013 undertook to engage more systematically with citizens and beneficiaries and integrate citizen voices in development Programs as a key accelerator to achieve results. At the World Bank Group Annual Meetings in October 2013, President Kim undertook to include beneficiary feedback in 100 percent of projects that have clearly identifiable beneficiaries (in this context, the term citizen is not used in a legal sense but is understood in the broad sense of referring to all people in a society or country in an inclusive and nondiscriminatory way). REDD+ readiness activities in particular involve a high degree of consultation amongst various stakeholders, including Civil Society, Indigenous Peoples, and private sector, among others. The national REDD+ strategy, must itself be rooted in a broad-based consultative process, where civil society and local community organizations are represented. The participation of civil society and local communities is critical for REDD+, and requires deep understanding of the objectives of REDD+, the related risks and opportunities and their potential role in the process.

Since the inception of the FCPF in 2008 several civil society organizations in Africa have been engaged in national REDD+ planning and formulation and played an active role in partnering with governments to implement consultation activities, including representation as part of the national REDD+ technical bodies responsible for REDD+ decision making. Strengthened consultation and participation has also helped to publicize and increase the understanding of civil society views and potential contributions to REDD+'s system design and implementation. For example, Cameroon Civil society organization provided critical support to the R-PP completion and eventually submission of its R-PP. Active engagement of CSOs in DRC, Uganda, Liberia, Mozambique, Republic of Congo among other participating countries has been witnessed and participatory structures for inclusion of IPs and CSOs in the SESA working group, and other structures for REDD+ coordination activities. In Uganda and Liberia, Traditional Forest Communities have also taken the lead in supporting Readiness activities..

The FCPF Capacity-Building Program for civil society provides support for activities in REDD+ countries participating in the FCPF. It has funded from fiscal year (FY) 09 to FY13 a total of 20 proposals for civil society organizations (7 proposals in Africa, 7 proposals in Asia, and 10 proposals in Latin America) totaling \$1.2 million dollars. Proposals for capacity-building activities from FY09 to FY13 were processed through the World Bank's general corporate procurement system. Under this system, such organizations were selected and contracted as consultants/vendors to carry out specific capacity-building activities in line with the overall objectives of the Program.

In moving forward with implementation of the FCPF Capacity-Building Program, the Facility Management Team (FMT) presented to the Participant's Committee (PC) in June 2012 (PC12) the conclusions resulting from internal discussions held at the World Bank in relation to the Program, including (i) discontinuing use of the World Bank's corporate procurement system for its management by June 30, 2013, and (ii) implementing new activities under the Program in accordance with the World Bank's "New Procedures for Small Recipient-Executed Trust Fund Grants." Note that under the vendor system, organizations were hired as consultants, implementing capacity building based on outputs and with limited oversight during implementation of the activities. As a result, after a thorough internal review of the vendor system, a transition toward Recipient-executed trust fund grants was recommended, allowing the World Bank to supervise project activities. At a technical level, FMT Note 2012-5 provided the following recommendations in moving forward with the Program:

- As countries are starting to engage relevant stakeholder groups in developing their REDD+ strategies, substantial awareness must be raised and capacity building is required for people at the grassroots to participate meaningfully in the national dialogue during which critical issues with implications for their livelihoods will be discussed.
- It is critical to engage civil society organizations in all phases of REDD+ (i.e., readiness, investments, and performance-based payments).
- Capacity building is needed at the national, regional and global levels to build knowledge base of civil society organizations on climate change and REDD+, thus empowering them to translate global knowledge into actionable plans at the national level.

Relationship to CAS/CPS/CPF

By addressing the drivers of deforestation and forest degradation, the FCPF is expected to achieve benefits that go beyond climate change mitigation, including poverty reduction and biodiversity promotion. Climate change is expected to hit developing countries the hardest. Its effects—higher temperatures, changes in precipitation patterns, rising sea levels, and more frequent weather-related disasters—pose risks for agriculture, food, and water supplies. At stake are recent gains in the fight against poverty, hunger and disease, and the lives and livelihoods of billions of people in developing countries. Addressing climate change requires unprecedented global cooperation across borders. Note that the conservation of forest ecosystems will also enhance the ecosystems' and the forest communities' resilience in the face of climate variability.

The project will work among 15 countries in Africa that are part of the FCPF. However, further eligibility criteria will be developed to ensure that there is no overlap with other capacity building efforts including Forest Investment Program Dedicated Grant Mechanism. The region includes 19 fragile and conflict-affected states, 11 other low-income countries, 13 lower middle income countries, seven upper middle-income countries, and 1 high income country. Development challenges in these countries vary greatly. The World Bank Group continues to engage with all countries across this range to support the broad-based eradication of extreme poverty and to help generate shared prosperity across the Region. The African Region strategy that focus on reducing vulnerability and improving resilience works to deepen the impact of its development mission and complement the efforts of other key partners, including African governments and economic communities, the private sector, civil society, think tanks, and others, fits well in the proposed project to build the capacity of civil society and local communities in the region.

The FCPF Capacity-Building Program provides avenues through which civil society can be more informed and knowledgeable about REDD+ in a manner that will facilitate their active engagement in (i) informing national REDD+ strategies, (ii) consultation and participation processes in REDD+ readiness in the respective countries, (iii) analysis of land tenure, benefit distribution, and governance that are pertinent in the region, (iv) designing and contributing to the implementation of social and environmental safeguards, and (v) monitoring and evaluating REDD+ implementation.

The regional strategy of addressing climate change can therefore be supplemented through building capacity of stakeholders including civil society to; (i) Further the region's priority area of inclusion and empowerment of stakeholders and community participation in development processes, including REDD+, (ii) Build civil society organizations capacity for REDD+ to achieve climate change mitigation, protect forests, and achieve vital social and environmental objectives, which will contribute to managing the effects of climate change, (iii) directly supporting both the climate change and the shared prosperity agenda by strengthening the capacity civil society to participate in REDD+ activities, (iv) Enhance regional integration and cooperation by disseminating good practices and lessons learned to support the capacity-building needs of civil society at all levels for their effective engagement in all aspects of REDD+ and (v) Strengthen the capacity civil society organizations to benefit from REDD+, including the sustainable management of biodiversity, the provision of alternative livelihoods, and the equitable sharing of revenues generated from emissions reductions, thus contributing to human development and social welfare.

II. Project Development Objective(s)

Proposed Development Objective(s)

To build the capacity of targeted civil society organizations in Africa and enhance their knowledge, and understanding of REDD+, and increase their participation in REDD+ processes at national and regional levels. (Participating countries will be defined further during preparation.)

Key Results

- i. Number of capacity building activities at the country and regional level that successfully completed and achieved their intended objectives.
- ii. Number of knowledge products developed under the project at the national and regional level.
- iii. Number of civil society organizations involved or participating in REDD+ process in the FCPF targeted countries in Africa.
- iv. Number of workshop reports, training materials, studies and analytical reports prepared by civil society organizations under the project. .
- v. Number of activities aimed at building the capacity of CSOs platforms engaging with REDD+ process at the national level.
- vi. Number of workshop, training materials, studies and analytical reports mainstreaming the concerns, interests of women and youth.

A detailed result chain with activities, outputs, short-, medium- and long-term outcomes will be developed during project preparation.

III. Preliminary Description

Concept Description

The project will finance the following three main components:

Component 1: Capacity Building Activities for targeted Pan-African civil society organizations (Approx. US \$230,296.00)

Under this component capacity building activities will be supported in eligible REDD+ countries in Africa, i.e.: Cameroon, Central African Republic, Republic of Congo, Côte d'Ivoire, Ethiopia, Gabon, Kenya, Liberia, Madagascar, Mozambique, Nigeria, Sudan, Tanzania, Togo and Uganda). Countries under the FIP Program in Africa i.e. Ghana, Burkina Faso and Democratic Republic of Congo will not receive support to implement local level activities under this component.

Keeping in mind that formulation of the REDD+ strategy is a process that is participatory in nature, capacity-building activities provide CSOs with a valuable tool for engaging more meaningfully in the implementation of REDD+ activities. Activities under this component will be proposed and implemented by civil society organizations based on their current needs and a self-assessment of areas in which additional information and knowledge are needed. These activities will be defined during project preparation.

This component will support the implementation of capacity building activities for selected civil society organizations in eligible REDD+ participating countries in Africa, to: (i) enhance their understanding of climate change and REDD+; (ii) improve their participation in the preparation of REDD+ strategies and implementation of REDD+ Programs; and (iii) enhance their participation in the discussions on the role of REDD+ in climate change mitigation. Capacity building activities under this component will include activities such as: the carrying out of training and workshops, the preparation of studies and analytical reports, and the preparation of training and other materials. The scope of the capacity building activities at the country level will be further developed during project appraisal. Further, strengthening the CSOs capacities of accountability to their constituencies and enhancing of gender issues all REDD+ activities will also be considered under this component.

Component 2: Regional level activities and Dissemination of project results (Approx. US \$ 90,500.00)

This component will finance the design and implementation of a communication strategy to disseminate at the country and regional level the results of the activities carried out, including the preparation of good practices and lessons learned. The dissemination of results will target fellow southern civil society organizations in each of the targeted project countries, as well as national and regional REDD+ process and climate change forums. Communication strategies will draw upon existing resources, relationships, and networks to the maximum extent possible. The materials will be culturally appropriate. In addition, it provides valuable information to identify replicable materials that can be customized and adapted to context-specific situations. Data on women and youth participation will be highlighted.

Activities under this component will finance dissemination, civil society regional networks and platforms forums, and the publication of written and audio-visual materials. Materials may be produced to the extent possible both in appropriate languages, taking into considerations Africa main languages i.e. French, English and Portuguese given that Mozambique is part of the eligible countries participating in the FCPF. PACJA will consult with existing regional networks relevant to REDD+ in the Africa region to seek broader support of the work plan. Events like the African Carbon Forum, AMCEN meetings, Conference of Parties regional preparatory forums and other events in the region will be used a good platforms to share knowledge with other regional networks.

CSO forums in the region will provide inputs to the planned activities and these will be communicated to the World Bank through PACJA.

Under this component FCPF countries in the region will be eligible to receive materials and other knowledge exchange products.

Component 3: Management and Administration (Approx. US \$ 35,644)

Under this component, support will also be provided for PACJA day-to-day project implementation and management including, procurement, financial management, preparation of annual work plans and organization of audit reports. It will also support overhead costs including for the PACJA secretariat in dispensing its mandate in regards to this project.

IV. Safeguard Policies that Might Apply

Safeguard Policies Triggered by the Project	Yes	No	TBD
Environmental Assessment OP/BP 4.01		x	
Natural Habitats OP/BP 4.04		x	
Forests OP/BP 4.36		x	
Pest Management OP 4.09		x	
Physical Cultural Resources OP/BP 4.11		x	
Indigenous Peoples OP/BP 4.10		x	
Involuntary Resettlement OP/BP 4.12		x	
Safety of Dams OP/BP 4.37		x	
Projects on International Waterways OP/BP 7.50		x	
Projects in Disputed Areas OP/BP 7.60		x	

V. Financing (in USD Million)

Total Project Cost:	0.35644	Total Bank Financing:	0
Financing Gap:	0		
Financing Source			Amount
Readiness Fund of the Forest Carbon Partnership Facility			0.35644

VI. Contact point

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