

INTEGRATED SAFEGUARDS DATASHEET
Appraisal Stage

Date prepared/updated: **October 08, 2014**

I. Basic Information

1. Basic Project Data

Country: Democratic Republic of Congo		Project ID: P150874	
		Additional Project ID (if any): n/a	
Project Name: Reinforcing Social Accountability of Health Services in Bas Congo and South Kivu Provinces Project			
Task Team Leader: Josef Trommer			
Appraisal Date: 5/12/2014		Estimated Board Date: n/a	
Managing Unit: WBIGA		Lending Instrument: Small Grant	
Sector: Health [100%]			
Theme:			
IBRD Amount (US\$m.):			
IDA Amount (US\$m.):			
GEF Amount (US\$m.):			
PCF Amount (US\$m.):			
Other financing amounts by source: GPSA Grant :US\$800,000			
Environmental Category: C			
Is this a transferred project		Yes [X]	No []
Simplified Processing		Simple []	Repeater []
Is this project processed under OP 8.00 (Rapid Response to Crises and Emergencies)		Yes []	No [X]

2. Project Objectives:

The development objective of this proposal is to improve access and quality of health care services in targeted regions (Bas Congo and South Kivu) by strengthening of Health Facility Committees.

3. Project Description:

Component 1: Reinforcing the health facility committee system

The objective of this component is to reinforce the health facility committee system by working with its members and care providers to better understand the mechanism. The main activities of this component include:

- (a) Conducting information session and training at the provincial level for provincial-level officials on the role and responsibilities of HFCs and the nature of their engagement with HFCs.
- (b) Conducting information session and training in the eleventh selected health zones on the role and responsibilities of HFCs and the nature of their engagement with HFCs.
- (c) Conducting training for HFCs that were not part of the pilot using the guidelines that were developed during the pilot project.
- (d) Conducting refresher sessions for HFCs; two per HFC in the first year and one per HFC in the next two years.
- (e) Setting-up a supervision and support system through partners CSOs and provincial health authorities.
- (f) Developing and implementing a contract mechanism to further integrate HFCs into the objectives of the health facilities and health system.

Component 2: Empowering health facility through community actions and projects

- (a) Defining criteria and conditions for micro-grants.
- (b) Allocating micro-grants to HFCs that meet the requirements to apply. A limited number of micro-grant will be granted each year through a competitive transparent process.
- (c) Monitoring of the micro-grant execution in coordination with partner CSOs.
- (d) Supporting micro-grant management strategy in coordination with partner CSOs.
- (e) Establishing HFCs hotlines for service users in the most remote areas of the interventions.

Component 3: Integrating the poorest and most vulnerable fringes of the population in the health facility committee and decisions on health-care services

- (a) Selecting 100 health facilities where the approach to include most vulnerable groups will be experimented for 2 years before it is scaled-up. This will provide the baseline against which to monitor success of the mechanism.
- (b) Conducting session with HFCs and medical staff to identify vulnerable groups and include them in the HFCs.
- (c) Developing a quota-system for the participation of vulnerable groups in the HFCs, including executive positions, and electing vulnerable groups representatives of the HFCs.
- (d) Provide support and training to the vulnerable people representatives within the HFCs.
- (e) Conducting special training session and following-up on the creation of social commissions within HFCs. The Social Commissions are an existing mechanism that is under-used.
- (f) Evaluating the impact of the intervention to include most vulnerable groups in the HFCs.
- (g) Scaling-up the intervention to include other facilities.

Component 4: (K&L) health facility committees are fully integrated into the health system and beyond and project management

- (a) Integrating of a social accountability approach in all health-related interventions of Catholic Organization for Relief and Development Aid (CORDAID - DRC) through CORDAID country policy and working sessions with HFCs.
- (b) Preparing a Quarterly newsletter for all the partners involved in the project, including CSOs and HFCs, to promote best practices in HFCs.
- (c) Conducting training on social accountability for partner CSOs.
- (d) Exposing project through active participation in online communities of practice such as: GPSA, COPASAH (Community of practice on Accountability and Social Accountability in Health), CoP Financial Access to Health, and CoP Performance-based financing and media.
- (e) Conducting visits and exchanges with similar projects supported by CORDAID in Burundi and other countries.
- (f) Conducting a national-level working session with the Ministry of Health to strengthen central level commitment with HFCs.
- (g) Creating and disseminating new guidelines and refreshed framework for HFCs.
- (h) Project management, monitoring and evaluation of project activities including audits.

5. Environmental and Social Safeguards Specialists on the Team:

n/a

6. Safeguard Policies Triggered (please explain why)	Yes	No
Environmental Assessment (OP/BP 4.01)		X
Natural Habitats (OP/BP 4.04)		X
Forests (OP/BP 4.36)		X
Pest Management (OP 4.09)		X
Physical Cultural Resources (OP/BP 4.11)		X
Indigenous Peoples (OP/BP 4.10)	X	
Involuntary Resettlement (OP/BP 4.12)		X
Safety of Dams (OP/BP 4.37)		X
Projects on International Waterways (OP/BP 7.50)		X
Projects in Disputed Areas (OP/BP 7.60)		X

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:

The project will not finance any physical activities, no risks related to environmental or social safeguards policies are anticipated during implementation of project activities.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:

N/A

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts: N/A

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described:

This project is not expected to trigger any safeguards policies as the project screening identified minimal environmental or social risks related to project activities. The project does not anticipate any civil works to be financed under this Grant. The project is, therefore, Environmental Category C, requiring no further environmental and social assessment. However, as the project is operating in an area where indigenous groups are present, it triggers OP 4.10 to ensure screening for inclusion of these indigenous groups into the project implementation. The project will use the Indigenous Peoples Plan developed for the DRC Prevention and Mitigation of Sexual and Gender Based Violence (SGBV) in North and South Kivu Project to address people and/or issues unique to the health project. The IPP for the SGBV project has been consulted upon and publicly disclosed in DRC and in the Bank InfoShop on July 9, 2014. A key aspect of this project is outreach to and consultation with vulnerable groups to ensure they are receiving adequate medical attention. In addition to special outreach for women and children, the project will also ensure other vulnerable groups in the project area, such as Indigenous Peoples, are included in consultations and studies regarding services.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people:

The Project's direct beneficiaries include: the health service users in targeted rural communities particularly, vulnerable groups (including women, children, and Indigenous Peoples) and the medical staff that works on these health care facilities. The project will cover nine stable health zones of South Kivu (Katana, Miti-Muresha, Walungu, Idjwi, Uvira, Lemera, Kalehe, Mubumbano) and three health zones (two among them are qualified as development zones) in the more stable province of Bas Congo (Mwanda, Kitona and Boma-Bungu). An estimated 190 health facilities will be covered by the project, serving over 2.2 million people (a third of the population of South Kivu and a tenth of the population of Bas Congo).

The Project's indirect beneficiaries include: all the citizens of DRC. The strategy behind the scale up of the intervention is to re-launch the role of the Health Facility Committees at national level and improve the health care system nationally.

B. Disclosure Requirements Date	
Environmental Assessment/Audit/Management Plan/Other:	
Was the document disclosed <i>prior to appraisal</i> ?	N/A
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
Resettlement Action Plan/Framework/Policy Process:	
Was the document disclosed <i>prior to appraisal</i> ?	N/A

Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
Indigenous Peoples Plan/Planning Framework:	
Was the document disclosed <i>prior to appraisal</i> ?	Yes
Date of receipt by the Bank	05/01/2014
Date of "in-country" disclosure	07/09/2014
Date of submission to InfoShop	10/08/2014
Pest Management Plan:	
Was the document disclosed <i>prior to appraisal</i> ?	
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
* If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

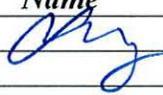
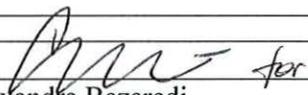
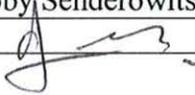
C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting)

OP/BP 4.01 - Environment Assessment	
Does the project require a stand-alone EA (including EMP) report?	Yes [] No [] N/A [X]
If yes, then did the Regional Environment Unit or Sector Manager (SM) review and approve the EA report?	
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	
OP/BP 4.04 - Natural Habitats	
Would the project result in any significant conversion or degradation of critical natural habitats?	Yes [] No [] N/A [X]
If the project would result in significant conversion or degradation of other (non-critical) natural habitats, does the project include mitigation measures acceptable to the Bank?	
OP 4.09 - Pest Management	
Does the EA adequately address the pest management issues?	Yes [] No [] N/A [X]
Is a separate PMP required?	Yes [] No [] N/A [X]
If yes, has the PMP been reviewed and approved by a safeguards specialist or Sector Manager? Are PMP requirements included in project design? If yes, does the project team include a Pest Management Specialist?	
OP/BP 4.11 – Physical Cultural Resources	
Does the EA include adequate measures related to cultural property?	Yes [] No [] N/A [X]

Does the credit/loan incorporate mechanisms to mitigate the potential adverse impacts on physical cultural resources?	
OP/BP 4.10 - Indigenous Peoples	
Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	Yes [X] No [] N/A []
If yes, then did the Regional unit responsible for safeguards or Sector Manager review the plan?	
If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit?	
OP/BP 4.12 - Involuntary Resettlement	
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes [] No [] N/A [X]
If yes, then did the Regional unit responsible for safeguards or Sector Manager review and approve the plan/policy framework/process framework?	
OP/BP 4.36 – Forests	
Has the sector-wide analysis of policy and institutional issues and constraints been carried out?	Yes [] No [] N/A [X]
Does the project design include satisfactory measures to overcome these constraints?	
Does the project finance commercial harvesting, and if so, does it include provisions for certification system?	
OP/BP 4.37 - Safety of Dams	
Have dam safety plans been prepared?	Yes [] No [] N/A [X]
Have the TORs as well as composition for the independent Panel of Experts (POE) been reviewed and approved by the Bank?	
Has an Emergency Preparedness Plan (EPP) been prepared and arrangements been made for public awareness and training?	
OP/BP 7.50 - Projects on International Waterways	
Have the other riparians been notified of the project?	Yes [] No [] N/A [X]
If the project falls under one of the exceptions to the notification requirement, has this been cleared with the Legal Department, and the memo to the RVP prepared and sent?	
What are the reasons for the exception? Please explain:	
Has the RVP approved such an exception?	
OP/BP 7.60 - Projects in Disputed Areas	
Has the memo conveying all pertinent information on the international aspects of the project, including the procedures to be followed, and the recommendations for dealing with the issue, been prepared	Yes [] No [] N/A [X]
Does the PAD/MOP include the standard disclaimer referred to in the OP?	

The World Bank Policy on Disclosure of Information			
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes []	No []	N/A [X]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?			
All Safeguard Policies			
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes []	No []	N/A [X]
Have costs related to safeguard policy measures been included in the project cost?			
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?			
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?			

D. Approvals

Signed and submitted by:	Name	Date
Task Team Leader:	Josef Trommer 	5/28/2014
Environmental Specialist:		
Social Development Specialist		
Approved by:		
Regional Safeguards Coordinator:	Alexandra Bezeredi 	5/28/2014
Comments: N/A		
Sector Manager:	Roby Senderowitsch	5/28/2014
Comments:		

(Template Version November 2007)