

INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC4409

Date ISDS Prepared/Updated: 25-Feb-2015

Date ISDS Approved/Disclosed: 25-Feb-2015

I. BASIC INFORMATION

A. Basic Project Data

Country:	Africa	Project ID:	P130871
Project Name:	Regional Communications Infrastructure Program Phase 5 - Uganda (P130871)		
Task Team Leader(s):	Peter Silarszky		
Estimated Appraisal Date:	23-Mar-2015	Estimated Board Date:	26-May-2015
Managing Unit:	GTIDR	Lending Instrument:	Investment Project Financing
Sector(s):	Telecommunications (50%), Information technology (35%), Public administration- Information and communications (15%)		
Theme(s):	Regulation and competition policy (5%), Rural services and infrastructure (5%), Infrastructure services for private sector development (50%), e-Services (10%), e-Government (30%)		
Financing (In USD Million)			
Total Project Cost:	75.00	Total Bank Financing:	75.00
Financing Gap:	0.00		
Financing Source		Amount	
BORROWER/RECIPIENT		0.00	
International Development Association (IDA)		75.00	
Total		75.00	
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

The development objectives of the proposed RCIP 5 project are consistent with the PDO of the RCIP Program as a whole, namely to support the Recipient's efforts to:

- (i) lower prices for international capacity and extend the geographic reach of broadband networks (the connectivity development objective); and

(ii) improve the Government's efficiency and transparency through e-Government applications (the transparency development objective).

C. Project Description

This is the fifth horizontal phase of the Regional Communications Infrastructure Program (RCIP) that was approved on March 29, 2007. RCIP is focused on closing the terrestrial connectivity gap by linking the capitals and major cities in E&SA. Each project under RCIP complements the submarine cable projects in the region by accelerating national infrastructure roll-out and facilitate uptake of services through e-Government initiatives. To maximize flexibility and client responsiveness in this multi-country effort, RCIP has been designed as a customizable menu of options from which individual governments can choose from and adapt to their unique circumstances. The menu of activities is categorized under three overarching components: (a) enabling environment including monitoring and evaluation; (b) connectivity; and (c) e-Government applications. The instrument is a series of Projects under Investment Project Financing (formerly known as an Adaptable Program Loan, APL).

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The instrument is a series of Projects under Investment Project Financing (formerly known as an Adaptable Program Loan, APL). The proposed RCIP 5 is the fifth phase of RCIP which was approved by the Board on March 29, 2007. RCIP 5 would include two country operations – RCIP South Sudan and RCIP Uganda.

SOUTH SUDAN

The proposed RCIP credit for South Sudan would be for US\$30 million over five years (one third regional and two thirds' national IDA), complemented by anticipated funding of US\$12 million in co-financing from the African Development Bank and a US\$12m parallel investment from the private sector, which would be secured with the help of an IDA Partial Risk Guarantee, making US \$54 million in total. The proposed project is composed of three components, as described below:

Component 1: Enabling Environment (US\$10m, of which US\$2m from AfDB and US\$8m from WBG)

1A Strengthening the National Communications Authority (US\$8m from WBG)

1B Applications development and piloting, including Mobile Money and eGovernment (US\$2m from AfDB)

Component 2: Connectivity (US\$42m, of which US\$20m from the WBG; US\$10m from AfDB, and US\$12m in parallel financing from the private sector)

2A Government backbone network and data centres (US\$10m from AfDB)

2B Accelerating the roll-out of a fibre backbone network, under a Public Private Partnership (US \$20m in WBG funding and credit guarantees plus around US\$12m in parallel private sector investment)

Component 3: Project Implementation Unit (US\$2m WBG)

The final component for the investment grant in South Sudan would be funding for a project implementation unit (PIU). RCIP-5 will need its own PIU with its own technical staff and will need to maintain liaison with the future regulator and the private sector.

UGANDA

The proposed RCIP Uganda IDA credit would be for US\$70 million over a period of five years, of which about US\$27 million would come from the Regional IDA allocation. Discussions have been initiated for financing of related activities with the African Development Bank, the Islamic Development Bank, and the Government of Korea should additional funding be required. The proposed RCIP 5 project in Uganda would consist of the following four components:

Component 1: Enabling Environment (US\$5m)

This component aims to support the capacity of NITA-U (implementation agency), Ministry of ICT and other stakeholders to develop and review the relevant national policies, strategies, laws and technical regulatory frameworks in order to support the development of a vibrant ICT sector

Component 2: Connectivity (US\$30m)

This component would support implementation of ICT infrastructure in Uganda through the following subcomponents: (i) pre-purchase of international bandwidth for priority targeted user groups (US\$6 million); (ii) implementation of missing links to improve reach, availability and resiliency of the National Backbone Infrastructure (NBI) (US\$14 million) and (iii) Government network providing broadband connectivity to MDAs, schools, hospitals, Universities and Research Institutions and NGOs (US\$10 million).

Component 3: e-Government Applications (US\$30m)

The objective of e-Government component under RCIP Project is to support the Government of Uganda in leveraging advances in ICT with the aim to transform public service delivery using ICT to improve the lives of Ugandans. In this respect, the government intends to:

- 1) Establish the enabling e-Government foundations (US\$21 million)
- 2) Sector e-Transformations (US\$9 million)
 - a. 2-4 strategic e-services
 - b. sector “Quick Wins”

Component 4: Project Management (US\$5m)

This component will finance project management related issues including project coordination, procurement, financial management, monitoring & evaluation, project communication, and environmental and social safeguards. This component will finance consultancy on managing RCIP project including logistics, consumables and would also provide support incremental operating costs, and audits. This will also support development and implementation of communication strategy, awareness and partnership building as well as technical assistance (TA) necessary to support the successful implementation of the operation and providing support for monitoring and evaluation (M&E) of RCIP operation.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

In Uganda, project locations would be concentrated in and around Kampala City and other major urban and periurban areas in Uganda, and transit corridors for the fiber-optic network. The exact routing of the network and auxiliary infrastructure (e.g., antennae, masts, etc.) would be determined during project feasibility studies during implementation. The salient physical characteristics relevant to the safeguards analysis include: telecommunications infrastructure components of the project, particularly associated with the linear excavations for burying fiber-optic cable (alternatively overhead stringing of the cable) and civil works associated with building of national terrestrial backbones and roll-out of wireless networks.

E. Borrowers Institutional Capacity for Safeguard Policies

In Uganda, the Borrower has abundant experience with linear infrastructure and telecommunication infrastructure projects, including World Bank financed. The Borrower also has an established national EIA system that would be used to ensure environmental due diligence. The National Information Technology Authority – Uganda (NITA-U) would be the implementing agency and would prepare environmental due diligence documents by engaging a consultant from an existing roster of registered environmental practitioners and would obtain approval by the National Environment Management Authority (NEMA) before proceeding with civil works. NITA-U does not have in-house environmental and social safeguards capacity. During project Appraisal, safeguards implementation arrangements and staffing shall be discussed with a view of either recruiting Safeguards Specialists or hiring Safeguards Consultants on a Retainer basis, in order to establish capacity for safeguards implementation.

F. Environmental and Social Safeguards Specialists on the Team

Constance Nekessa-Ouma (GSURR)

Herbert Oule (GENDR)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	RCIP 5 is assigned to social and environmental category B due to site specific and easily manageable risks. There would be localized potential environmental and social impacts associated with the infrastructure components of the project, particularly associated with the linear excavations for burying fiber-optic cable (alternatively overhead stringing of the cable) and civil works associated with building of national terrestrial backbones and roll-out of wireless networks. Uganda will prepare ESMF that would set forth the basic principles and prerogative to be followed during project implementation. The ESMF shall be prepared in a Consultative manner. Once completed, the ESMF will be reviewed and cleared by the World Bank and then publicly disclosed both in-country and at InfoShop prior to appraisal. During project implementation, ESIA's and/or ESMPs shall be prepared where applicable before start of any civil works. In South Sudan, the ESMF will be prepared during project implementation, as it is an FCS.
Natural Habitats OP/BP 4.04	Yes	The project may traverse through ecosystems such as forests and wetlands. Assessment and mitigation of any likely impacts on natural habitats shall be covered under the project ESMF.
Forests OP/BP 4.36	Yes	The project may traverse through forest areas. Assessment and mitigation of any likely impacts on forests shall be covered under the project ESMF.

Pest Management OP 4.09	No	N/A because the project will not involve procurement and/or use of pesticides.
Physical Cultural Resources OP/BP 4.11	Yes	This policy is triggered because of the linear infrastructure/civil works aspects of the project which may affect known or unknown PCRs. As part of the ESMF, a Chance Finds Procedure shall be developed. During project implementation a PCRs inventory and assessment shall be undertaken and their mitigation measures included in the projects ESIA's and/or ESMPs.
Indigenous Peoples OP/BP 4.10	Yes	Since the project will be implemented throughout Uganda, it may affect IPs areas in Kisoro District and Karamoja Region. An Indigenous Peoples Planning Framework (IPPF) shall be prepared in a Consultative manner, and disclosed both in-country and at InfoShop before Project Appraisal. In South Sudan, it is proposed that the safeguards instruments will be deferred until implementation, as it is an FCS.
Involuntary Resettlement OP/BP 4.12	Yes	The activities proposed to be funded under RCIP 5 could involve land acquisition for or loss of access (even temporarily) to some socioeconomic assets during the construction of telecommunications infrastructure such as ducts for laying fibers optic networks or access roads. However, whenever possible, the linear infrastructure would be placed in the existing, unencumbered rights of way (road reserves, railway reserves, etc.). To comply with OP/BP 4.12 policy requirements, Uganda will in a Consultative manner prepare RPF that sets forth the basic principles and prerogative to be followed during project implementation. Like the ESMF, once cleared, the RPF will be publicly disclosed both in-country and at InfoShop prior to appraisal.
Safety of Dams OP/BP 4.37	No	N/A since the project does not support and is not associated with development of any dam structures.
Projects on International Waterways OP/BP 7.50	No	The project does not depend or support developments related to International Waterways.
Projects in Disputed Areas OP/BP 7.60	No	There is a potential risk of project activity in a disputed area in South Sudan, but no construction work is currently planned close to the border with the North. In Uganda, the project is not envisaged to be implemented in any area of dispute.

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 27-Feb-2015

B.

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

In Uganda, preparation of ESMF, RPF and IPPF was initiated in October 2013, and expected to be completed by February 27, 2015.

As the detailed physical location of facilities or/and infrastructures to be financed are still unknown and would be determined only during implementation through feasibility studies, Uganda will prepare the required social and environmental safeguards instruments, namely the ESMF, RPF, and IPPF. These will set forth the principles and guidelines borrowers must follow to comply with the requirements of the triggered policies. To the extent possible, the ESMF, RPF, and IPPF would draw on existing frameworks prepared and implemented for similar types of infrastructure. These documents will be reviewed, cleared and disclosed at publicly accessible locations and in a form and language that are accessible to potentially affected persons (i) in-country, and (ii) at InfoShop prior to appraisal. World Bank staff would help the Borrower with detailed guidance on the preparation of these environmental and social safeguard instruments and would conduct close supervision. In South Sudan, it is proposed that the safeguards instruments will be deferred until implementation, as it is an FCS.

IV. APPROVALS

Task Team Leader(s):	Name: Peter Silarszky	
<i>Approved By:</i>		
Regional Safeguards Coordinator:	Name: Alexandra C. Bezeredi (RSA)	Date: 25-Feb-2015
Practice Manager/ Manager:	Name: Mavis A. Ampah (PMGR)	Date: 25-Feb-2015