

# INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

**Report No.:** ISDSC7679

**Date ISDS Prepared/Updated:** 26-Jun-2014

**Date ISDS Approved/Disclosed:** 26-Jun-2014

## I. BASIC INFORMATION

### A. Basic Project Data

<b>Country:</b>	Kyrgyz Republic	<b>Project ID:</b>	P146970
<b>Project Name:</b>	Third Village Investment Project (P146970)		
<b>Task Team Leader:</b>	Asli Gurkan		
<b>Estimated Appraisal Date:</b>		<b>Estimated Board Date:</b>	26-Mar-2015
<b>Managing Unit:</b>	ECSSO	<b>Lending Instrument:</b>	Investment Project Financing
<b>Sector(s):</b>	Public administration- Other social services (100%)		
<b>Theme(s):</b>	Participation and civic engagement (60%), Rural services and infrastructure (40%)		
<b>Financing (In USD Million)</b>			
<b>Total Project Cost:</b>	12.00	<b>Total Bank Financing:</b>	12.00
<b>Financing Gap:</b>	0.00		
<b>Financing Source</b>			<b>Amount</b>
BORROWER/RECIPIENT			0.00
International Development Association (IDA)			12.00
Total			12.00
<b>Environmental Category:</b>	B - Partial Assessment		
<b>Is this a Repeater project?</b>	No		

### B. Project Objectives

12. The higher order objective of the project is to alleviate rural poverty. This is to be addressed through the project development objective (PDO) of strengthening the institutional capacity of local self-government bodies and communities supported by the project to deliver social and economic infrastructure.

### C. Project Description

The project would include the following four inter-linked components:

#### Component 1: Capacity Building and Empowerment

This component will support capacity building of local communities and local government bodies in participatory local governance and development planning. The following activities will be carried out under this component:

- (i) Social Mobilization. The project will support the sustainable development of participating communities by promoting social mobilization, and encouraging the mobilization of internal resources for planning and investment activities. Local governments will play a key role in mobilization, design, and implementation of projects under VIP-3. Close collaboration will be encouraged with institutional mechanisms that played this role in previous phases of the project.
- (ii) Trainings. The training activities under VIP3 will be closely linked to what is taking place under the JSDF-funded Building Demand-Side Capacity for Effective Local Governance Project (P126873), and the proposed SPF-funded project on scaling up participatory development training in the country. Training will be provided to community members and local government bodies on participatory local governance and participatory development planning and implementation.
- (iii) Peer-to-peer Learning: Exchange visits among communities in different parts of the country, and among local councils and local government administrations will be supported to learn good practices in participatory governance and local development.

#### Component 2: Village Investments

Targeted villages will be supported in developing local investment plans through a participatory process in which local stakeholders collect basic data, assess local needs and funding sources, and carry out participatory identification of priorities. Projects for financing under VIP-3 will be selected through a competitive process. This is a significant shift from the VIP 1 and 2 approach, in which block grants were provided to participating communities on a per capita basis, based on population size. While this approach was successful in addressing certain needs and teaching people how to collaborate for local development, it did not allow for the funding of larger projects. Under the proposed competitive process under VIP 3, strategic development plans will be judged against a set of criteria, and those ranked highest will have their priorities funded. A comprehensive selection process will be developed and agreed upon during project preparation, with special attention paid to criteria that will engage and strengthen weaker municipalities in the competition. Activities that may be identified for financing include construction/rehabilitation works for community halls, clinics, schools, water supply systems, bridges etc.

VIP 3 will pay special attention to ensuring the technical quality of community-based infrastructure from the outset. VIP 1 and 2, as well as other donor-funded projects, have experienced a number of poorly constructed works as a result of poor design and supervision. VIP 2 required additional financing to retrofit poorly designed and constructed works. To mitigate this risk, community-level monitoring will be coupled with rigorous technical monitoring by specialists.

#### Component 3: Project Management

This component will finance incremental costs of the implementing agency for grant management, specifically coordination and supervision of implementation activities, financial management, annual grant audit, and monitoring and evaluation.

### **D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

The project is nationwide in scope and will be undertaken in all 475 ayil okrugs (local municipalities) in the country.

### E. Borrowers Institutional Capacity for Safeguard Policies

Over the last decade the implementing agency - ARIS - has implemented the first and second phases of the Village Investment Project. In addition, since 2011 ARIS has managed five Bank-funded projects. ARIS has strong capacity to implement projects in compliance with Bank safeguards policies. ARIS has also enhanced its capacity to manage environmental and social safeguards issues.

### F. Environmental and Social Safeguards Specialists on the Team

Angela Nyawira Khaminwa (ECSSO)

Cesar Niculescu (ECSEN)

## II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	Yes	<p>The Project will have limited negative environmental and social impacts, as physical works will be undertaken to only construct/ rehabilitate local facilities. The expected temporary environmental impacts and inconvenience to the surrounding areas during construction are limited and typical to small/ medium scale construction works, such as generation of dust, noise and vibration; movement of the construction vehicles and machinery; piling of construction materials; and accumulation of demolition/construction waste. Some associated risks include improper disposal of construction waste, asbestos, minor operational or accidental spills of fuel and lubricants from the construction machinery, and improper reinstatement of construction sites upon completion of works. A general EMP including the mitigation measures for most type of construction works will be prepared at the project level, and will be followed by derived site-specific EMPs that will be prepared for each sub-project that will be implemented. Very effective measures have been put in place under the VIP2 to address safeguards issues, and they are being applied and closely monitored under VIP2 Additional Financing. They are laid out in detailed Guidelines for Environmental Review of Micro-Projects, which will also be part of the Operational Manual of VIP3 and will be provided to all participating ayil aimaks. Each sub-project will</p>

		be reviewed for environmental and social risks in line with the OP4.01. Implementation of environmental mitigation and compliance measures during under the VIP2 Additional Financing was carried out by the contractors (construction firms) and monitored by ARIS PIU staff (engineers). This practice will continue under VIP3. Appropriate training on WB safeguards will continue to be provided under VIP3 to local officials and community safeguards volunteers.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	The environmental screening process will screen for the presence of physical cultural resources. In addition, chance find procedures will be included in all works contracts.
Indigenous Peoples OP/BP 4.10	No	Indigenous Peoples' as per OP 4.10 are not present in the project area.
Involuntary Resettlement OP/BP 4.12	Yes	Under Component Two, the project will finance grants for community level investments such as clinics, social halls, and bridges. The implementation of these investments may result in the involuntary acquisition of land and the impacts detailed in OP 4.12. The investments will be identified during project implementation based on community demand, consequently the specific impacts will not be known prior to project appraisal. A Resettlement Policy Framework will be prepared prior to project appraisal.
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

### III. SAFEGUARD PREPARATION PLAN

**A. Tentative target date for preparing the PAD Stage ISDS: 28-Nov-2014**

**B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing<sup>1</sup> should be specified in the PAD-stage ISDS:**

<sup>1</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

The EMP will be prepared, disclosed in the country and in info-shop and consulted prior to the project appraisal scheduled for November 2014.

During project preparation, the VIP 2 Resettlement Policy Framework (RPF) will be revised and updated based on a review of its' previous implementation. A revised RPF will be disclosed prior to project appraisal which is scheduled for November 2014.

#### IV. APPROVALS

Task Team Leader:	Name: Asli Gurkan	
<b><i>Approved By:</i></b>		
Regional Safeguards Coordinator:	Name: Agnes I. Kiss (RSA)	Date: 26-Jun-2014
Sector Manager:	Name: Elisabeth Huybens (SM)	Date: 26-Jun-2014