# **Procurement Plan**

### I. General

1. Project Information

Country : India

Borrower : Department of Finance, GoR

Project Name : Strengthening Public Financial Management in Rajasthan (P156869)

Bank's Approval Date of the Procurement Plan: October 06, 2016 [as updated regularly on STEP]

2. Date of General Procurement Notice: March 22, 2016

3. Period covered by this Procurement plan: 18 months

4. Risk Rating for the Implementing Agencies: Substantial

# II. Goods, Works and Non-Consulting Services

# 1. (a) Procurement Methods

	Procurement Method	Threshold (US\$ Equivalent)
1	Open International (Goods)	Above 3 million
2	Open National (Goods)	Above 100,000 and up to 3 million (with NCB
		conditions) – as per para 3.3 and 3.4 of the
		Guidelines
3	Open International (Works)	Above 40 million
	Open National (Works)	Above 100,000
3	Shopping (Goods/Works)	Up to 100,000 and up to 40 million
4.	Open International (Non-consulting	Above 3 million
	Services)	
5	Open National (Non-consulting	Up to 3 million
	Services)	
6	Direct Contract	As per para 3.7 of Guidelines

**(b) Prior Review Threshold**: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Sl.	Category	Prior Review Threshold	Comments
No.		(US\$ Equivalent)	
1	Works	Above US\$ 10.0 million	All Contracts
1	Goods	Above US\$ 2.0 million	All Contracts
2	Non-consulting Services	Above US\$ 2.0 million	All Contracts

- **Pre-qualification:** Bidders shall be pre-qualified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.
- 3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines):** Not applicable

# 4. Reference to (if any) Project Operational/Procurement Manual: Under preparation

#### 5. NCB Provisions:

- a) Only the model bidding documents for NCB agreed with the Bank (and as amended for time to time), shall be used for bidding;
- b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions
  when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from
  any given State;
- d) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- e) Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
- f) Re-bidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
- g) To improve efficiency and transparency of small value purchases in Bank financed projects, the Bank has agreed to allow use of Government e-Market place (GeM) as follows: (i) Use of GeM is allowed in lieu of shopping up to US\$ 30,000 in catalogue mode; (ii) Use of GeM is allowed in lieu of shopping up to US\$ 100,000, provided there are at least 3 suppliers for the item on GeM and the Purchaser uses RFQ (mini competition or bidding among suppliers) feature on GeM to discover the final price; (iii) In both above cases Borrowers will record their assessment on reasonableness of price; and (iv) GeM is not to be used in lieu of NCB.
- h) Two or three envelope system will not be used (except when using e-procurement system assessed and agreed by the Bank).
- 6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)

Available on STEP

### **III. Selection of Consultants**

# 1. (a) Procurement Methods

Sl.	Procurement Method	Threshold (US\$ Equivalent)
No.		
1	Selection based on Consultants' Qualifications / Least	Up to 300,000
	Cost Selection	
2	Single-source Selection of consulting firms	As per para 3.9 – 3.11 of Guidelines
3	Selection of Individual Consultants/ Sole Source	As per Section V of Guidelines
	Procedures for the Selection of Individual Consultants	
4	Quality- and Cost-based Selection/ Quality-based	For all other cases
	Selection/ Selection under a Fixed Budget	
5	i. International Shortlist	Above US\$ 800,000
	ii. Shortlist may comprise national consultants	Up to US\$ 800,000
	only	

**(b) Prior Review Threshold**: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comment
1.	Competitive Methods (Firms)	Above USD 1.0 million	All Contracts
2.	Individual	Up to US\$ 300,000	All Contracts

- **2. Short list comprising entirely of national consultants**: Short list of consultants for services, estimated to cost up to US\$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- 3. Any Other Special Selection Arrangements: NA

### IV. Other Requirements / Information (applicable for all procurements)

- a) Even for Post Review cases, the inputs of Bank on TORs will be obtained by project.
- b) The above thresholds are for the initial 18-month implementation period. Based on the procurement performance of the project, these thresholds may be subsequently modified.
- c) The prior review thresholds will also be indicated in the procurement plan. The procurement plan will be subsequently updated annually (or at any other time, if required), and will reflect any change in prior review thresholds.
- d) The World Bank will carry out an annual ex-post procurement review falling below the prior review thresholds indicated above. To avoid any doubts, the Bank may conduct at any time Independent Procurement Reviews (IPRs) of all the contracts financed under the grant.

PROCUREMENT
PLAN
India: Strengthening Public Financial Management in Rajasthan
General information
Country: India
Banka Approval Date of the Origin
Banked District Reserve des

India Banie Approval Dete of the Original Procurement Plan: 2016-10-06
Revised Plan Dete(s): (comma delineated, leave blank if none) 2018-03-07
P156869 GPN Dete:
Strengthening Public Financial Management in Rajasthan

Project ID: P156869 Revised Pile
Project Name: Strengthening Public Financial Management in Raja
Loan / Credit No:
Executing Agenry(les): Department of Finance, Government of Rajasthan

|--|

WURRO																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)																		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned A	ctual	Planned	Actual								

GOODS																												
Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-q Docu			ification E	raft Bidding / Justifi	g Document cation		rocurement Invitation		cuments as ued		Submission / g / Minutes	and Recor	ation Report nmendation ward	Signed	Contract	Contract C	Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN-DOF-5924-GO-RFQ / Procurement of Office Furniture Items (Modular office furniture, computer furniture, and storage furniture etc.) for SPFM-PMU office establishments.			Post	Request for Quotations	Open - National	Single Stage - One Envelope		24,220.00	25,256.86	Signed							2017-01-28								2017-03-08	2017-02-22	2017-04-07	
IN-DOF-5925-GO-RFQ / Procurement of computers , peripherals , software licenses (MS Office & Anti-Virus) ,UPS etc. for SPFM-PMU Office Establishments.			Post	Request for Quotations	Open - National	Single Stage - One Envelope		18,713.00	15,501.03	Signed							2017-01-28								2017-03-07	2017-02-14	2017-04-01	
IN-DOF-5927-GO-RFQ / Air Conditioners for SPFM-PMU Office establishments.			Post	Request for Quotations	Open - National	Single Stage - One Envelope		4,550.00	4,013.90	Signed							2017-02-01								2017-03-29	2017-02-14	2017-09-25	
IN-DOF-5932-GO-RFB / Procurement of Hardware for Commercial Taxes Department, GOR.			Post	Request for Bids	Open - National	Single Stage - One Envelope		1,492,537.00	1,220,694.27	Signed					2017-02-23	2017-04-06	2017-03-02				2017-05-15	2017-05-29	2017-05-30	2017-06-20	2017-07-08	2017-07-05	2018-01-04	
IN-DOF-14298-GO-DIR / Procurement of IT Hardware- "OEM -IT Hardware" for Data Center of the Commercial Taxes Department (CTD), Government of Rajasthan on single source basis.			Post	Direct Selection	Direct			140,328.99	148,311.12	Signed					2017-05-05	2017-04-09	2017-05-12	2017-06-06							2017-06-23	2017-07-14	2017-08-22	
IN-DOF-5928-GO-RFQ / Printers (including multi-functional printers) for SPFM-PMU Office Establishments.	s	Project Management and Capacity Building	Post	Request for Quotations	Open - National	Single Stage - One Envelope		6,825.00	0.00	Pending Implementation	on						2017-02-01								2017-03-29		2017-04-28	
IN-DOF-48457-GO-RFB / Suppl and installation of End User Computing IT Hardware-All In One (AIO) Desktops with UPS and Printers including computer related training for Excise Department		Strengthening Expenditure and Revenue Systems	Post	Request for Bids	Open - National	Single Stage - One Envelope		916,570.00	0.00	Pending Implementation	on				2018-02-12		2018-02-19				2018-03-21		2018-04-05		2018-05-10		2018-11-06	

NON CONSULTIN	SERVICES																												
Activity Reference No Description	./ Loan / Credi	No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-q Docu		Prequal Evaluation		Draft Biddin / Justifi		Specific Pro Notice / In		Bidding Doc Issu		Proposal Su Opening /	bmission / Minutes	Bid Evaluat and Recom for Av	mendation	Signed (	Contract	Contract Co	ompletion
												Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of I	Reference	Expression No		Short List Request fo	t and Draft r Proposals	Request for as Is:		Opening o Proposals		Evaluation Prop		Combined Report a Negotiate	Evaluation and Draft d Contract	Signed	i Contract	Contract C	Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN-DOF-2276-CS-QCBS / Selection of Consultant for carry out an Institutional Assessment including GST Readiness Assessment and training.			Post	Quality And Cost- Based Selection	Open - International		540,000.00	1,047,716.20	Signed	2016-10-07	2016-10-19	2016-10-12		2016-11-10	2016-10-25			2016-12-08	2016-12-28	2017-01-07	2017-04-04	2017-02-11	2017-04-21	2017-03-18	2017-04-26	2018-03-18	
IN-DOF-5930-CS-QCBS / Enhancing Effectiveness of Public Audit Institutions in the Government of Rajasthan			Post	Quality And Cost- Based Selection	Open - National		671,641.00	845,562.76	Signed	2017-02-05	2016-04-12	2017-02-08		2017-02-20	2017-04-21			2017-03-23	2017-06-12	2017-04-22	2017-10-09	2017-05-21	2017-10-30	2017-06-18	2017-11-06	2019-06-18	
IN-DOF-5931-CS-QCBS / Strengthening Decentralized Participative Planning in Government of Rajasthan			Post	Quality And Cost- Based Selection	Open - National		373,134.00	0.00	Under Implementation	2017-02-05	2016-04-17	2017-02-10		2017-02-24	2017-04-18			2017-03-26	2017-06-05	2017-04-05	2017-10-16	2017-04-22	2018-02-28	2017-05-20		2019-05-20	
IN-DOF-4591-CS-QCBS / Consultancy for Training Needs Assessment (TNA) and Development of Training Strategy	У		Post	Quality And Cost- Based Selection	Open - National		221,000.00	237,697.82	Signed	2016-12-15	2016-04-17	2016-12-16		2017-02-18	2017-02-21			2017-03-20	2017-04-12	2017-04-04	2017-07-10	2017-04-24	2017-07-17	2017-05-06	2017-07-31	2017-11-02	

IN-DOF-4592-CS-QCBS / Consultancy for Developing and Establishing a Commitment Control System and Information System in Government of Rajasthan		Post	Quality And Cost- Based Selection	Open - National	220,000.00	713,912.79	Signed	2016-12-16	2016-04-17	2016-12-26	2017-02-26	2017-03-03		2017-04-17	2017-04-27	2017-05-25	2017-07-10	2017-06-04	2017-07-18	2017-06-18	2017-07-31	2019-06-18	
IN-DOF-18473-CS-QCBS / Consulting Services for Data for Policy Action in Government of Rajasthan	Strengthening Public Financial Management Framework	Post	Quality And Cost- Based Selection	Open - National	935,701.93	0.00	Under Implementation	2017-09-22	2017-05-04	2017-09-24	2017-10-03	2017-06-19		2017-10-31	2017-08-16	2017-11-15	2017-10-16	2017-11-24	2018-02-27	2017-12-11		2020-12-10	
IN-DOF-18552-CS-QCBS / Consultancy for Business Process Re-Engineering (BPR) Study of Transport Department in Rajasthan, India		Post	Quality And Cost- Based Selection	Open - National	507,024.09	410,618.44	Signed	2017-06-19	2017-04-17	2017-06-19	2017-06-19	2017-06-19		2017-07-21	2017-08-16	2017-08-16	2017-10-09	2017-08-30	2017-10-30	2017-09-07	2017-11-06	2018-09-07	

INDIVIDUAL CONS	ULTANTS																		
Activity Reference No. Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of F	Reference	Invitat Identified Cons	/Selected	Draft Ne Con		Signed (	Contract	Contract C	ompletion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual