

Forest Investment Program
(Promoting Sustainable Community Based Natural Resources Management and Institutional Development Project)

GRANT No. TF0A2858 & TF0A2104

Procurement Plan

Version No.: 02

Date: 12/08/2016

I. General Information

1. Project Implementing Agency:

- a. Directorate of Planning, Land Use and Establishment of Forest Management Area under the Directorate General of Planning and Environmental Management
- b. Directorate of Production Forest Management Unit under the Directorate General of Sustainable Production Forest Management
- c. Center for Human Resources Education and Training under the Directorate General of Extension Services and Human Resources Development Agency
- d. Directorate of Social Forestry and Customary Forest Management under the Directorate General of Social Forestry and Environmental Partnership
- e. Center for Data and Information under Secretariat General of Ministry of Forest and Environment

2. Bank's No Objection Date to the Procurement Plan

- a. Version 1: 17/02/2016
- b. Version ..: [month, date, year]
- c. Version ..: [month, date, year]
- d.

3. Date of General Procurement Notice: 06/20/16

4. Period covered by this procurement plan:

The procurement period of project covered from July 1, 2016 – December 31, 2017

II. Types of Contract, Bank's Review Requirements and Applied Methods

a. Goods and Works and Non-Consulting Services.

- i. **Prior Review Threshold:** Procurement decisions subject to prior review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Ref.No.	Procurement Method	Procurement Method Threshold	Bank's Prior Review Requirement
<i>International</i>			
1	ICB (Goods/IT System)	≥ \$ 2,000,000	All ICB contracts
2	ICB (Non-Consulting Services)	≥ \$ 10,000,000	All ICB contracts
<i>National or Others</i>			
3	NCB (Goods/IT System)	< \$ 2,000,000	1 st NCB contract and then all NCB contracts ≥ \$1,000,000
4	NCB (Non-Consulting Services)	< \$ 10,000,000	1 st NCB contract and then all NCB contracts ≥ 1,000,000
5	Shopping (Goods)	< \$ 100,000	No prior review (only expost review)
6	Shopping (Works and Non-Consulting Services)	< \$ 200,000	No prior review (only expost review)
7	Direct Contracting (Goods/IT System/Non-Consulting Services)	No procurement method threshold. Subject to justification for Direct Contracting as per para. 3.6 of the Procurement Guidelines	All Direct Contracting contracts ≥ \$10,000

- ii. Prequalification: Not Applicable
- iii. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Procurement Guidelines): Reference will be made also to the relevant paragraph of applicable Project Operations Manual, which will be discussed and agreed with the Bank within three months after signing of the Grant Agreement.
- iv. Reference to (if any) Project Operational/Procurement Manual: Project Operations Manual for Promoting Sustainable Community Based Natural Resources Management and Institutional Development Project, FIP Grant, of [date is to be inserted].
- v. Any Other Special Procurement Arrangements: For procurement of commodities, such as fertilizer and seeds, these may be procured in accordance with procedures specified in paragraph 2.68 of Procurement Guidelines or other national competitive procedures acceptable for the Bank. Other alternative procurement arrangements may be discussed and agree with the Bank.

b. Selection of Consultants

- i. **Prior Review Threshold:** Selection decisions subject to prior review by Bank as stated in Appendix 1 to the Guidelines for Selection and Employment of Consultants:

Ref. No.	Selection Method	Selection Method Threshold	Bank's Prior Review Requirement
1	QCBS (Firms)	≥ \$ 300,000	All QCBS contracts
2	CQS (Firms)	< \$ 300,000	No prior review (only ex post review).
3	Single Source Selection of Firms	No selection method threshold. Subject to justification for Single Source Selection of firms as per para. 3.9 and 3.10 of the Consultant Guidelines.	All Single Source contracts with firms ≥ \$ 10,000 (Prior review of only the justification for Single Source Selection, draft TOR, and draft negotiated contract).
4	Competitive Selection of Individual Consultants (comparison of at least 3 CVs)	No threshold.	No prior review (only ex post review)
5	Single Source Selection of Individual Consultants	No Threshold. Subject to justification for single source of individuals as per para. 5.4 of the Consultant Guidelines	All single source contracts with individuals ≥ \$ 10,000 (Prior review of only the justification for Single Source Selection, draft TOR, CV of the recommended candidate, and draft negotiated contract)

- ii. Short list comprising entirely of national consultants: Short list of consultants for services (firms), estimated to cost less than \$400,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- iii. Any Other Special Selection Arrangements: For use of Nongovernmental Organizations (NGOs), the procedures specified in paragraph 3.16 of the Consultant Guidelines shall be applied. The other consultants may be hired through the Service Delivery Contractors procedures and/or contracted under the Indefinite Delivery Contract (IDCs) scheme as per paragraph 3.21 and 4.5 of the Consultant Guidelines, respectively. Other alternative procurement arrangements may be discussed and agree with the Bank.

For hiring of Government officials and civil servants of the Implementing Agencies, the provision under para 1.13 (d) of the Bank's Consultant Guidelines will be applied. Personnel contracted by the Implementing Agencies/SKPD/KPH to support project implementation also have to be treated as individual consultants. These staffs/individuals may be selected according to the GoI's selection procedures, as reviewed and found acceptable by the Bank. In case of conflict the Bank's Consultant Guidelines will take precedence. These positions should also be listed in the procurement plan and the specific selection procedure/regulation should also be referred under the column method of selection.

iv. Summary and Schedule of Consultancy Assignments – Individual Consultant

Forest Investment Program										
Ref. No.	Contract (Description)	Estimated Cost (USD)	Selection Method	Bank's Review Requirement	Schedule of Selection and Contract Administration Procedures (<i>mon,date,year</i>)					Remarks
				(Prior / Post)	REOI/TOR	Negotiation	Award of Contract	Signing of Contract/ Commencement	Expected Completion of Contract	
C1.CSI.1	Legal Expert - Legislation/Regulatory Review - FY1	47,800	Competitive/Comparison of 3 CVs	Post Review	8-Feb-17	22-Feb-17	1-Mar-17	1-Mar-17	30-Jun-17	
C1.CSI.2	Legal Expert - Legislation/Regulatory Review - FY2	29,200	Competitive/Comparison of 3 CVs	Post Review	8-Feb-18	22-Feb-18	1-Mar-18	1-Mar-18	30-Jun-18	
C1.CSI.3	Forest Specialist - FY 1	71,000	Competitive/Comparison of 3 CVs	Post Review	8-Feb-17	22-Feb-17	1-Mar-17	1-Mar-17	30-Jun-17	
C1.CSI.4	Forest Specialist - FY 2	47,000	Competitive/Comparison of 3 CVs	Post Review	8-Feb-18	22-Feb-18	1-Mar-18	1-Mar-18	30-Jun-18	

B. For Contracts Procured by Pusdiklat & Pusdatin under Component 2										
Ref. No.	Contract (Description)	Estimated Cost (USD)	Selection Method	Bank's Review Requirement	Schedule of Selection and Contract Administration Procedures (<i>mon,date,year</i>)					Remarks
				(Prior / Post)	REOI/TOR	Negotiation	Award of Contract	Signing of Contract/ Commencement	Expected Completion of Contract	
C2.CSI.1	E-module development Specialist	5,000	Competitive/Comparison of 3 CVs	Post Review	8-Feb-17	22-Feb-17	1-Mar-17	1-Mar-17	30-Apr-17	
C2.CSI.2	Training needs assessment for KPHs and national/subnational government	30,000	Competitive/Comparison of 3 CVs	Post Review	8-Feb-17	22-Feb-17	1-Mar-17	1-Mar-17	1-Mar-19	
C2.CSI.3	Regional Knowledge Center Developer	9,000	Competitive/Comparison of 3 CVs	Post Review	11-Mar-18	25-Mar-18	1-Apr-18	1-Apr-18	30-Jul-18	
C2.CSI.4	Strategic Communication Specialist	9,000	Competitive/Comparison of 3 CVs	Post Review	11-Mar-18	25-Mar-18	1-Apr-18	1-Apr-18	28-Sep-18	

D. For Contracts Procured by Dit. RPP under Component 4										
Ref. No.	Contract (Description)	Estimated Cost (USD)	Selection Method	Bank's Review Requirement	Schedule of Selection and Contract Administration Procedures (<i>mon,date,year</i>)					Remarks
				(Prior / Post)	REOI/TOR	Negotiation	Award of Contract	Signing of Contract/ Commencement	Expected Completion of Contract	
C4.CSI.1	Program Coordinator	143,000	Competitive/Comparison of 3 CVs	Prior Review	10-Sep-16	24-Sep-16	1-Oct-16	1-Oct-16	30-Sep-19	
C4.CSI.2	National Senior Technical and Policy Advisor	122,000	Competitive/Comparison of 3 CVs	Prior Review	11-Sep-16	25-Sep-16	2-Oct-16	2-Oct-16	1-Oct-19	
C4.CSI.3	Sr. Financial Management Specialist	54,000	Competitive/Comparison of 3 CVs	Prior Review	12-Sep-16	26-Sep-16	3-Oct-16	3-Oct-16	2-Oct-19	
C4.CSI.4	Sr. Procurement Specialist	54,000	Competitive/Comparison of 3 CVs	Prior Review	13-Sep-16	27-Sep-16	4-Oct-16	4-Oct-16	3-Oct-19	
C4.CSI.5	Junior Procurement and Financial Management Specialist	36,000	Competitive/Comparison of 3 CVs	Post Review	14-Sep-16	28-Sep-16	5-Oct-16	5-Oct-16	4-Oct-19	
C4.CSI.6	Administrative Support Staff (1 of 3)	15,000	Competitive/Comparison of 3 CVs	Post Review	15-Sep-16	29-Sep-16	6-Oct-16	6-Oct-16	5-Oct-19	
C4.CSI.7	Consultant for Project Implementation and Institutional Support	476,000	Competitive/Comparison of 3 CVs	Post Review	16-Sep-16	30-Sep-16	7-Oct-16	7-Oct-16	6-Oct-19	5 Contracts
C4.CSI.8	Consultancy Services for MTR studies	15,000	Competitive/Comparison of 3 CVs	Post Review	10-Jun-19	24-Jun-19	1-Jul-19	1-Jul-19	30-Aug-19	
C4.CSI.9	Consultancy Services for ICR studies	15,000	Competitive/Comparison of 3 CVs	Post Review	10-Jun-21	24-Jun-21	1-Jul-21	1-Jul-21	30-Aug-21	

vi. Summary and Schedule of the Procurement Packages - Goods, Small Works and Non-Consulting Services

For Contracts Procured by Pusdatin under Component 2										
Forest Investment Progra	Contract (Description/Type)	Estimated Cost (USD)	Contract Type/ Procurement Method	Bank's Review Requirement (Prior / Post)	Schedule of Procurement and Contract Administration Procedures (<i>mon,date,year</i>)					Remarks*
					Invitation to bid	Bid-Opening	Award of Contract	Signing of Contract	Expected Completion of Contract	
C2.G.1	KMIS Development Equipment	220,000	Goods/NCB	Post Review	1-Oct-16	22-Oct-16	5-Nov-16	11-Nov-16	10-May-17	
C2.NCS.1	KMIS - Internet Bandwidth Services	230,000	Non-Consulting Services/NCB	Post Review	1-Oct-16	22-Oct-16	5-Nov-16	12-Nov-16	11-Nov-21	

For Contracts Procured by Pusdatin under Component 3										
Ref. No.	Contract (Description/Type)	Estimated Cost (USD)	Contract Type/ Procurement Method	Bank's Review Requirement (Prior / Post)	Schedule of Procurement and Contract Administration Procedures (<i>mon,date,year</i>)					Remarks*
					Invitation to bid	Bid-Opening	Award of Contract	Signing of Contract	Expected Completion of Contract	
C3.G.1	Electronic equipment and furniture for Supporting Units - FY 1	22,000	Goods/Shopping	Post Review	11-Jan-17	25-Jan-17	1-Feb-17	1-Feb-17	1-Jun-17	The signing of the contract will take place once supporting unit is in place and this will be specified in the contract.
C3.G.2	Electronic equipment and furniture for Supporting Units - FY 2	45,000	Goods/Shopping	Post Review	10-Jun-17	24-Jun-17	1-Jul-17	1-Jul-17	29-Oct-17	The signing of the contract will take place once supporting unit is in place and this will be specified in the contract.
C3.G.3	Electronic equipment and furniture for Supporting Units - FY 3	45,000	Goods/Shopping	Post Review	10-Jun-18	24-Jun-18	1-Jul-18	1-Jul-18	29-Oct-18	
C3.SW.1	Refurbishing office space for knowledge resource centre	40,000	Small Works/Shopping	Post Review	10-Jun-19	24-Jun-19	1-Jul-19	1-Jul-19	29-Oct-19	
C3.NCS.1	Communication and Outreach Materials for KPH (Design and Production) (FY-2, KPH 1)	10,000	Non-Consulting Services/Shopping	Post Review	11-Jul-17	25-Jul-17	1-Aug-17	1-Aug-17	31-Aug-17	
C3.NCS.2	Communication and Outreach Materials for KPH (Design and Production) (FY-2, KPH 2)	10,000	Non-Consulting Services/Shopping	Post Review	11-Jul-17	25-Jul-17	1-Aug-17	1-Aug-17	31-Aug-17	

For Contracts Procured by Dit. RPP under Component 4										
Ref. No.	Contract (Description/Type)	Estimated Cost (USD)	Contract Type/ Procurement Method	Bank's Review Requirement (Prior / Post)	Schedule of Procurement and Contract Administration Procedures (<i>mon,date,year</i>)					Remarks*
					Invitation to bid	Bid-Opening	Award of Contract	Signing of Contract	Expected Completion of Contract	
C4.G.1	Electronic equipment and furniture for PCU and PMU	20,000	Goods/Shopping	Post Review	24-Nov-16	8-Dec-16	15-Dec-16	15-Dec-16	13-Jun-17	Payment of contract will be made in early 2017, this will be specified in the contract.

For Contracts Procured by Dit. BUPSHA under Component 3										
Ref. No.	Contract (Description/Type)	Estimated Cost (USD)	Contract Type/ Procurement Method	Bank's Review Requirement (Prior / Post)	Schedule of Procurement and Contract Administration Procedures					Remarks*
C3.CE.1	Community Engagement Activities	2,570,000	Community Participation in Procurement as further defined in the POM or other applicable methods to be procured by SKPD/KPH	Post Review	[to be specified for specific contract/package under each sub-project]					