

INTEGRATED SAFEGUARDS DATA SHEET

CONCEPT STAGE

Report No.: ISDSC3693

Date ISDS Prepared/Updated: 06-Aug-2013

Date ISDS Approved/Disclosed: 07-Aug-2013

I. BASIC INFORMATION

A. Basic Project Data

Country:	China	Project ID:	P133069
Project Name:	Shaanxi Small Towns Infrastructure Project (P133069)		
Task Team Leader:	Guangming Yan		
Estimated Appraisal Date:	14-Jan-2014	Estimated Board Date:	12-Aug-2014
Managing Unit:	EASCS	Lending Instrument:	Specific Investment Loan
Sector(s):	General water, sanitation and flood protection sector (40%), Rural and Inter-Urban Roads and Highways (60%)		
Theme(s):	City-wide Infrastructure and Service Delivery (60%), Water resource management (40%)		
Financing (In USD Million)			
Total Project Cost:	223.00	Total Bank Financing:	150.00
Total Cofinancing:		Financing Gap:	0.00
Financing Source			Amount
Borrower			73.00
International Bank for Reconstruction and Development			150.00
Total			223.00
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

B. Project Objectives

The project development objective is to improve the infrastructure services in selected small and medium towns in Shaanxi Province.

C. Project Description

The Shaanxi Province and Bank agreed that project formulation will be guided by the following

principles: (i) support Shaanxi's efforts of promoting the urban development of county seats (third tier cities defined in Guan-Tian Economic Zone Development Plan) and selected key towns of provincial level, which perform critical roles and have great potential for facilitating rural-to-urban migration from vast rural area in Shaanxi; (ii) address gaps of basic urban infrastructure services in existing built-up area with high priority, supplemented by support to prioritized infrastructure investments in town's expansion area being developed; (iii) give high priority for urban infrastructures shared by multiple towns to encourage integration of infrastructure, facilitate efficient operations, and improve the financial and operational performance; and (iv) give higher priority for construction of urban infrastructures that have added-value of facilitating job creation or industrial development in project towns.

The following components (Indicative cost US\$ 223 million) have been initially selected for preparation, but are subject to refinement and amendment as project preparation proceeds:

(a) Component 1: Improvement of Basic Infrastructure Services (Indicative cost US\$ 213 million): including: (i) rehabilitation, upgrading and filling gaps in basic infrastructure services in existing built up areas, including rehabilitation of neighborhood roads and lanes, and improved level of service and coverage in water supply, drainage and wastewater, solid waste collection, river rehabilitation, etc.; and (ii) construction of basic infrastructure in urban expansion areas.

(b) Component 2: Improvement of Town Management and Institutional Capacity Enhancement (Indicative cost US\$ 7 million): Introduction of systems and procedures for improvement of management including: public infrastructure asset management; town planning and development control regulations; and training, etc.

(c) Component 3: Project Implementation Support and M&E (Indicative cost US\$ 3 million): Project Management Consultant support for design review, bid document preparation, project management, reporting , monitoring evaluation systems , etc.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

An inland province along the middle reaches of the Yellow River and a gateway to northwest China, Shaanxi links the west with the east and connects the north with the south. It borders Ningxia, Inner Mongolia, Shanxi, Henan, Hubei, Sichuan and Gansu provinces, covering an area of 205,600 sq km. Total population is about 37 million.

The province has three distinctive landforms from north to south. The Loess Plateau in the north (Shaanbei Region) has an elevation of 800-1,300 meters, and accounts for 45% of the total area of the province. The Central Shaanxi Plain (Guanzhong Region) in the middle averages an elevation of 520 meters. The Qinling and Daba mountainous areas in the south (Shaannan Region) include the Qinling Mountains, Daba Mountains, and Hanjiang River Valley, accounting for 36% of the total area of the province. The Qinling Mountains and the Daba Mountains in the southernmost of the province measure 1,000-3,000 meters and 1,500-2,000 meters in elevation, respectively.

The proposed components would serve 10 towns (average population about 35,000 and built-up area around 3-5 km²) in nine counties/districts across seven municipalities: Xi'an, Xianyang, Baoji, Tongchuan, Weinan, Hanzhong and Ankang. Geographically, eight towns (Wutun, Guanshan , Wugong, Zhitian, Run, Guo, Chenglu, Chengcheng) are within the Guanzhong-Tianshui Economic Zone and two towns (Pu Town, Hanying) locates in Shaannan Region (southern part of Shaanxi) .

E. Borrowers Institutional Capacity for Safeguard Policies

The proposed project will be managed by the existing Provincial Project Management Office (PPMO) which is housed at the Shaanxi Provincial Development and Reform Commission (PDRC). It has experience in managing World Bank financed projects, and is currently implementing the Western Rural Water Supply, Sanitation Project (P095315). The PPMO has good understandings on Bank's Safeguards Policies. During the project preparation, additional experienced environment and social consultants will be engaged to help PPMO to prepare safeguards documents. However, county level PIUs have no prior experience in implementing World Bank financed project, trainings on Bank's Safeguards policies will be conducted during project preparation.

F. Environmental and Social Safeguards Specialists on the Team

Chongwu Sun (EASCS)

Jun Zeng (EASCS)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/ BP 4.01	Yes	<p>Based on the project proposals and preliminary screening during project identification, this policy is triggered, mainly because of the activities under the component 1.</p> <p>The project will have significant benefits in terms of providing improved basic infrastructure and services at the township level. Investments under Component 1 include civil works for infrastructure such as roads, drainage, water supply, wastewater collection, solid waste collection facilities, etc.</p> <p>Potential negative environmental and social impacts mainly occurs in construction phase, including soil erosion, noise, dust, and shipping and disposal of construction waste, etc.</p> <p>A category B is assigned mainly because the impacts are site-specific and few is irreversible, at the same time the mitigation measures can be designed during project preparation and be readily for taken into implementation.</p> <p>Environmental safeguards instruments proposed include: An EIA and a standalone EMP will be prepared. The EIA will cover the entire project area of influence, including all project activities, linked activities and ancillary facilities. Where relevant, cumulative impacts at the subproject/ town level will also be included in the EIA. The EIA will include the screening procedures and results for determining whether or not OP/BP 4.04 and OP/BP 4.11 are triggered by the</p>

		<p>project. Further, the results of the Social Assessment (SA) will be integrated in the EIA. An EMF will be prepared for cases when the exact locations of individual activities might change during project implementation. The EMF will be included as an annex to the EMP.. During the preparation of the proposed instruments, WBG's Environmental, Health and Safety Guideline is considered as important reference. And due diligence review would be conducted if there are any existing facilities and projects related to the proposed project. Public consultation and information disclosure will be conducted following OP4.01 requirements during EA preparation. At least two rounds of public consultation will be carried out with potentially affected persons and other relevant stakeholders through various methods, e.g., questionnaire surveys, interviews and public meetings as needed. The full draft EA will be disclosed locally.</p>
Natural Habitats OP/BP 4.04	TBD	<p>Based on the information provided, there is no significant natural habitats be found in the proposed project areas. However, since the project components are located in various places and detailed survey will be conducted in the EA process, the EA consultant is required to do a screening, under the guidance of Bank's task team, in the EA preparation to determine the policy triggering.</p>
Forests OP/BP 4.36	No	<p>Based on current information available, the proposed project components are not located in forest areas. Therefore, this policy is not triggered.</p>
Pest Management OP 4.09	No	<p>The proposed project will neither procure pesticides and nor will result in increased use of pesticides.</p>
Physical Cultural Resources OP/ BP 4.11	TBD	<p>Based on current information available, there are no any physical cultural relics found within the proposed project areas. In the EA process, EA consultants will check and confirm the presence/absence of the PCR with relevant authorities. This will be done under the guidance of Bank's task team. Chance finds during construction may occur and relevant</p>

		clauses would be included in all construction contracts.
Indigenous Peoples OP/BP 4.10	No	The TT visited all project towns during project identification and social screenings were conducted. It is concluded that the project areas are predominantly Han Chinese and there is no ethnic minority groups as defined by OP4.10 such as Hui, Manchu and Mongolian communities present in, or have collective attachment to the project area.
Involuntary Resettlement OP/BP 4.12	Yes	Involuntary resettlement is unavoidable. The main impact will include urban/rural structure demolition, land acquisition and temporary land taking. A Resettlement Action Plan will be prepared by the client in both English and Chinese. In the project preparation phase, locations of some subcomponents might not be determined or might change during implementation; a Resettlement Policy Framework will also be prepared.
Safety of Dams OP/BP 4.37	No	The proposed project will not directly finance construction and rehabilitation of any dams.
Projects on International Waterways OP/BP 7.50	No	Not applicable
Projects in Disputed Areas OP/BP 7.60	No	Not applicable

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 23-Jan-2014

B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

In parallel with the project feasibility study, EA/EMP (including EMF as an annex to EMP) and RAP/RPF will be drafted and submitted to the Bank for review by December, 2013.

IV. APPROVALS

Task Team Leader:	Name: Guangming Yan	
Approved By:		
Regional Safeguards Coordinator:	Name:	Date:
Sector Manager:	Name: Mark R. Lundell (SM)	Date: 07-Aug-2013

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.