

Access to Sustainable Energy Project (ASEP) Procurement Plan

I. General

1. **Bank's approval Date of the Procurement Plan:** August 18, 2016 (Original)
2. **Date of General Procurement Notice:** September 29, 2016
3. **Period covered by this Procurement Plan:** January 2017 to December 2017

II. Goods and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB (Goods)	US\$ 4 million and above	
2.	Commercial Practice	US\$ 2 million and above	
3.	Shopping	None	
4.	Direct Contracting	US 2 million and above	Justification for all proposed Direct Contracts regardless of value subject to prior approval by the Bank as part of the procurement plan.
5.	Community Participation	None	

2. **Prequalification.** Not applicable.
3. **Proposed Procedures for CDD Components (as per paragraph. 3.19 of the Guidelines):** Request for Quotations or Direct Contracting
4. **Reference to (if any) Project Operational/Procurement Manual:** ASEP Procurement Manual with LGU Guarantee Corporation as Project Manager, April 2016; ASEP Projects Operations Manual for LGU Guarantee Corporation, 2016
5. **Any Other Special Procurement Arrangements:** None
6. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness**

1	2	3	4	5	6
Ref. No.	Description	Estimated Cost US\$ million	Methods	Review by Bank (Prior / Post)	Comments

1.	Supply and Installation of 10,000 Solar Home Systems	3.532	ICB	Post	
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III. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comments
1.	Quality- and Cost-based Selection	US\$ 2 million and above	Below US \$ 1 million, only TOR may be reviewed.
2.	Quality-based Selection	US\$ 2 million and above	Below US \$ 1 million, only TOR may be reviewed.
3.	Selection based on Consultants' Qualifications	None	Only TOR may be reviewed.
4.	Single-source Selection (Firm)	US\$ 1 million and above	Below US \$ 1 million, Only TOR may be reviewed. Justification for all proposed Single Source contracts regardless of value subject to prior approval of Bank as part of Procurement Plan.
5.	Commercial Practice	US\$ 1 million and above	
6.	Individual (Competitive)	US\$ 400,000 and above	Below US \$ 400,000, Only TOR may be reviewed. All procurement and legal consultants contracts, regardless of value.
7.	Individual (Sole-source)	US\$ 400,000 and above	Below US \$ 400,000, Only TOR may be reviewed. Justification for all proposed Single Source contracts regardless of value subject to prior approval of Bank as part of Procurement Plan. All procurement and legal consultants contracts, regardless of value.

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 300,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** None

4. **Consultancy Assignments with Selection Methods and Time Schedule**

1	2	3	4	5	6
Ref. No.	Description of Assignment	Estimated Cost US\$	Methods	Review by Bank (Prior / Post)	Comments
1.	Hiring of ASEP Project Manager	172,800	Single Source	Post	Consultant Selection Guidelines Section 5.6a, continuation of previous work.
2.	Hiring of Procurement Consultant	36,000	Single Source	Prior	Consultant Selection Guidelines Section 5.6a, continuation of previous work.
3.	Hiring of Verification Agent	167,808	CQS	Post	
4.	Hiring of Technical Consultant	13,200	CQS	Post	
5.	Hiring of External Auditor	3,000	Single Source	Post	Consultant Selection Guidelines Section 3.9c, for very small assignments.
6.	Hiring of PMO Specialist	7,200	Single Source	Post	Consultant Selection Guidelines Section 5.6a, continuation of previous work.

PROCUREMENT PLAN Philippines : Access to Sustainable Energy Project

General Information
Country: Philippines **Bank / Approval Date of the Original Procurement Plan:** 2016-09-11
Revised Plan Date(s): (comma delineated, leave blank if none) 2017-04-20
Project ID: P153268 **GPN Date:** 2016-09-29
Project Name: Access to Sustainable Energy Project
Loan / Credit No.: TF / A2497, TF / A2379
Executing Agency(ies): Local Government Unit Guarantee Corporation

WORKS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

GOODS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
PH.LGU.GC-1456-GO-CP / Acquisition of Program Management and Procurement Tracking Tool (ERP)	TF / A2497	PV Mainstreaming	Post	Commercial Practices	Open - International	Single Stage - One Envelope		159,575.00	0.00	Pending Implementation					2016-10-24		2016-10-29					2016-11-19		2016-11-26		2016-12-17		2017-04-16
PH.LGU.GC-1457-GO-RFB / Supply and installation of 40,500 solar home system (SHS) units.	TF / A2379 TF / A2497	PV Mainstreaming	Prior	Request for Bids	Open - International	Single Stage - Two Envelope		15,221,106.00	0.00	Canceled					2017-01-30		2017-02-04					2017-05-05		2017-07-11		2017-08-08		2018-02-04
PH.LGU.GC-2316-GO-RFB / The Solar Home Systems (SHS) Project is a component of the Access to Sustainable Energy Project supported by EU and GPOBA. For the 1st bidding window, 10,000 SHS packages shall be supplied and installed in the service areas of 4 electric cooperatives.	TF / A2379 TF / A2497	PV Mainstreaming	Prior	Request for Bids	Open - International	Single Stage - One Envelope		3,532,800.00	0.00	Pending Implementation					2017-04-07		2017-04-10					2017-06-05		2017-07-27		2017-08-31		2018-02-28

NON CONSULTING SERVICES																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
PH.LGU.GC-2087-CS-COS / Verification of the quality of 10,000 Solar Home Systems installed by suppliers for the Window 1, PVM component of ASEP.	TF / A2497	PV Mainstreaming	Post	Consultant Qualification Selection	Open - International		44,079.00	0.00	Under Implementation	2017-07-19	2017-01-05	2017-07-28		2017-09-01									2017-09-20		2017-10-06		2018-04-04

INDIVIDUAL CONSULTANTS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion										
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual									
PH.LGU.GC-1458-CS-INDV / Hiring of technical consultant	TF / A2497	PV Mainstreaming	Post	Individual Consultant Selection	Limited		14,043.00	0.00	Pending Implementation	2016-12-01		2016-12-06		2017-01-03		2017-02-07												