

**INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE**

Report No.: ISDSA12694

Date ISDS Prepared/Updated: 18-Mar-2015

Date ISDS Approved/Disclosed: 02-Feb-2015, 19-Mar-2015

I. BASIC INFORMATION

1. Basic Project Data

Country:	China	Project ID:	P147367
Project Name:	China:Xinjiang Technical and Vocational Education and Training Project (P147367)		
Task Team Leader(s):	Liping Xiao		
Estimated Appraisal Date:	02-Feb-2015	Estimated Board Date:	01-Jun-2015
Managing Unit:	GEDDR	Lending Instrument:	Investment Project Financing
Sector(s):	Vocational training (80%), General education sector (20%)		
Theme(s):	Education for the knowledge economy (80%), Education for all (20%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	102.68	Total Bank Financing:	50.00
Financing Gap:	0.00		
Financing Source			Amount
Borrower			52.68
International Bank for Reconstruction and Development			50.00
Total			102.68
Environmental Category:	B - Partial Assessment		
Is this a Repeater project?	No		

2. Project Development Objective(s)

Improve the quality and relevance of project schools to produce students' skills that respond to the labor market demand in Xinjiang.

3. Project Description

The project will have two main components: (i) school-based reforms and innovations; and (ii)

provincial level policy development, school twinning partnership, monitoring and evaluation (M&E) and project management. School level reforms will improve the quality, relevance of TVET provision in five selected project schools and their respective partner schools through a set of innovations centering around school-industry linkage and competence-based teaching and learning. Provincial level activities will promote project management through knowledge sharing and monitoring and evaluation, and enhance governance through policy making and school twinning partnerships.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The project will be carried out in Xinjiang Uygur Autonomous Region, where 60 percent of total population is ethnic minorities. The project will include five project schools, namely: Xinjiang Agricultural Vocational Technical College, Xinjiang institute of Light Industry Technology; Xinjiang Institute of Engineering; Urumqi Vocational University and College of Xinjiang Uygur Medicine. The Agriculture College locates at Changji City; the Uygur Medicine College locates at Hetian City; and the other three colleges locate at Urumqi City. While ethnic minorities account for only 27.3 percent and 26.9 percent of total population in Changji City and Urumqi City, 96.3percent of total population is ethnic minorities in Hetian City.

The primary beneficiaries of the project will be the students, especially those who are from poor households in rural areas and from minority families. 71 percent of project school students are from rural areas. About 60 percent of the students are from poor households who are eligible for subsidies from the government. On average 44 percent of the total number of students are from minority ethnic groups ranging from 30 percent to 98 percent. In the College of Xinjiang Uygur Medicine most of the students are from ethnic minority groups. These data shows that the proposed TVET project will meet the education needs from students in poverty, and students from ethnic minority groups is a significant part of the beneficiaries.

The students from poor households and ethnic groups will have better employment prospects and higher wage incomes after graduation. The proposed project will help promote shared prosperity and mitigate income inequality through providing the required skills for students from lower income households and from ethnic minority groups in Xinjiang.

Ethnic minorities in the project area will benefit from the educational improvement of the vocational colleges. During the preparation stage, social assessment was carried out among five project schools and extensive consultations were conducted among students and faculty there, including minority students and faculty. Following the consultations, an Ethnic Minority Development Plan (EMDP) has been developed for the project, under which issues concerning minority students and faculty are identified and concrete measures for improvement are proposed. They are incorporated in the project design and included in the EMDP. By doing so, the project will ensure the inclusion and promotion of ethnic minorities.

In particular, the inclusion of the College of Xinjiang Uygur Medicine into this project demonstrates the project design emphasizes inclusiveness. The College is the only institute in China aiming at inheriting and developing the Uygur medicine and pharmacy through cultivation of applied technical talents. Uygur medicine and pharmacy had been recognized as national intangible culture heritage in 2011 due to its long history, high effect, low cost, and wide outreach serving minority people in remote and poor region. In addition to all school reform activities, the project will support the College to translate and publicize some ancient Uygur medical literatures. Hence, the local Uygur

people will not only benefit from better health care services from more and higher skilled graduates, but also enjoy the public goods produced by the project.

Urumqi City is located in the hinterland of the Eurasian continent, along the northern slope of Tianshan Mountains and in the middle of southern margin of the Junggar Basin. Urumqi belongs to the temperate continental arid climate with a wide scope of diurnal temperature difference, and dramatic changes from summer to winter; it features little rainfall while high degree of evaporation, the annual rainfall is 236mm with annual evaporation of 2616.9mm, the annual average temperature is 7.6 °C. Hetian County is of typical warm temperate arid desert climate. The annual average temperature is 12.2 °C with four typical seasons. The annual rainfall is only 33.5 mm per year. Changji is in the temperate zone, the annual average temperature is 6.8 °C, and the average annual rainfall is 190mm per year.

5. Environmental and Social Safeguards Specialists

Yiren Feng (GENDR)

Zhefu Liu (GSURR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	The project is classified as Category B due to the potential impacts that are small scale and limited to the site. An Environmental Management Plan (EMP) was prepared for the civil works of the five schools to cover the environmental management activities of the project.
Natural Habitats OP/BP 4.04	No	The civil works of the project are within the existing campuses, sites visit confirmed that the project will not affect any natural habitats or critical natural habitats as defined under the policy.
Forests OP/BP 4.36	No	Project activities will not affect forest areas; it will not involve any logging or planting activities in forest areas.
Pest Management OP 4.09	No	The project will not procure pesticides or increase use of pesticides.
Physical Cultural Resources OP/BP 4.11	No	There are no any physical cultural relics within the campuses. Chance finding procedure was included in the EMP, and relevant clauses will be included in all construction contracts.
Indigenous Peoples OP/BP 4.10	Yes	According to initial social screening by task team, the policy is triggered as ethnic minorities in the project area will benefit from the educational improvement of the vocational colleges. Among five project schools, 44% percent of students and 31% percent of faculty are ethnic minorities. A Social Assessment and Ethnic Minority Development Plan (EMDP) commensurate to the project issues had been undertaken during project preparation to ensure that the project design and implementation takes into account the specific requirements of ethnic minorities groups that are covered by the Bank definition. Based on extensive

		consultations, issues concerning minority students and faculty were identified and concrete measures for improvement are incorporated in the project design and included in the EMDP, which has been disclosed locally and through the InfoShop.
Involuntary Resettlement OP/BP 4.12	No	The proposed project will finance new construction of school buildings, workshops, and laboratories. Based on the information provided by clients all civil works will be within the existing campuses and no involuntary land acquisition will be involved. It is anticipated that no people will be adversely affected by the civil works supported by the project. Based on the due diligence review, the task team concluded that the proposed project will not involve any land acquisition and the project will not trigger the Bank Policy on Involuntary Resettlement OP 4.12.
Safety of Dams OP/BP 4.37	No	The project will not finance construction or rehabilitation of any dams as defined under this policy.
Projects on International Waterways OP/BP 7.50	No	The project is not located in any international waterways as defined under the policy.
Projects in Disputed Areas OP/BP 7.60	No	The project is not located in any known disputed areas as defined under the policy.

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

<p>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</p> <p>OP4.01 Environmental Assessment. The proposed project will finance the construction of new school buildings for additional training space and skills training. All planned civil works will be built on the existing land within the campuses of 5 schools. The project is classified as Category B by considering the type, location, sensitivity, and scale of the proposed project, and the potential environmental and social impacts. The project will have very limited adverse impacts during the construction and operation phase. The negative environmental impacts of the project are the construction related impacts. These include negative impacts on ambient air, water, acoustic environments, solid wastes, and worker safety. These negative impacts will be limited, short-term, temporary and site-specific. During the operational stage, main environmental impacts are expected from domestic waste management.</p> <p>OP4.10 Indigenous Peoples The policy is triggered as 44percent minority students and 31percent minority faculty in five project schools will benefit from the project activities. The project will bring positive social impacts to students and faculty from project schools. Issues concerned to minority students and faculty, like bilingual education, were identified and concreted measures for improvements are incorporated in the project design and included in EMDP developed for the Project.</p> <p>OP4.12 Involuntary Resettlement</p>
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<p>The policy is not triggered as all new school buildings are located within the existing campuses of project schools with no new land acquisition.</p>
<p>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</p>
<p>This project will play a significant role in improving the quality and relevance of Xinjiang vocational education, which will have great benefits for the project schools, teachers and students, and also for the industries in Xinjiang. The project implementation can not only directly improve the employment prospects for youth especially ethnic youth, but also can promote enterprises and industries cooperated with the project schools. By improving the living standards especially for ethnic minorities, in the long term, harmonious relations among ethnic groups would be developed and sustained in Xinjiang, which is one of the goals of the development strategy from Xinjiang government. Overall, the World Bank's support for Xinjiang Technical and Vocational Education and Training Project is of positive social significance.</p>
<p>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</p>
<p>N/A</p>
<p>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</p>
<p>Environmental Management Plan (EMP) was selected as the EA instrument due to the nature of the project. The project owner engaged accredited EIA consultant to prepare the EMP. EMP was prepared based on national norms, practice proven in other Bank financed projects and the practice recommended in Environmental Health and Safety (EHS) Guidelines. It specifies each aspect of the environmental management during the whole project cycle, including but not limited to, site preparation, construction dust management, air pollution control, noise impact control, water pollution control, waste management, public and workers' health and safety. It also includes the institutional arrangement, the training and capacity building, reporting, monitoring and supervision arrangements for the implementation phase of the project. Proper implementation of the mitigation measures required by the project will minimize the impacts to acceptable level, or eliminate them entirely. Given that 44percent of students and 31percent of faculty of project schools are ethnic minorities, extensive consultations had been conducted to ensure their concerns and requirements were identified and included into the project design. An Ethnic Minority Development Plan (EMDP) was developed for the project based on broad consultation with concrete measures, detailed budget and responsible agencies. The EMDP and EMP were reviewed by the Bank team and found satisfactory to the Bank safeguards requirements. The EMP will be included in the bidding documents and the corresponding contracts.</p> <p>The World Bank has supported several projects in Xinjiang; this is the first investment project in education and vocational training. The project will be managed by the provincial Project Management Office (PMO) established under Xinjiang Uygur Autonomous Region Education Department. However, there are no major safeguards policy issues as noted above. The Bank assessment indicates that the borrower has reasonable capacity to conduct construction and project implementation consistent with the EMDP and EMP. The PMO is staffed with 6 fulltime staff; one staff will be responsible for safeguard-related work. The Bank's safeguards specialists will provide further training on safeguards during the project implementation phase.</p>
<p>5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.</p>
<p>During the project preparation, the project schools, PMO, environmental and Social Consultants</p>

carried out information disclosure and public consultation through posters, questionnaire survey, interviews and public meetings in project cities. The key stakeholders include staffs and students of the schools, communities nearby, and local relevant government agencies. Public opinions were incorporated into the project design and safeguard reports including EMDP and EMP properly. Prior to project appraisal, the social assessment report, EMDP and EMP were disclosed locally on January 5, 2015 and are publicly available. These reports were also disclosed at the World Bank Infoshop on January 12, 2015. Updated reports were disclosed at the World Bank Infoshop on March 12, 2015.

B. Disclosure Requirements

Environmental Assessment/Audit/Management Plan/Other	
Date of receipt by the Bank	08-Jan-2015
Date of submission to InfoShop	12-Jan-2015
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	////
"In country" Disclosure	
China	05-Jan-2015
<i>Comments:</i> Updated reports were disclosed in county on March 10, 2015.	
Indigenous Peoples Development Plan/Framework	
Date of receipt by the Bank	08-Jan-2015
Date of submission to InfoShop	12-Jan-2015
"In country" Disclosure	
China	05-Jan-2015
<i>Comments:</i> Updated reports were disclosed in county on March 10, 2015.	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP/GP 4.01 - Environment Assessment	
Does the project require a stand-alone EA (including EMP) report?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If yes, then did the Regional Environment Unit or Practice Manager (PM) review and approve the EA report?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
OP/BP 4.10 - Indigenous Peoples	
Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]

If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit or Practice Manager?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]

III. APPROVALS

Task Team Leader(s):	Name: Liping Xiao	
<i>Approved By</i>		
Practice Manager/ Manager:	Name: Harry Anthony Patrinos (PMGR)	Date: 19-Mar-2015