

# Myanmar Community Resilience Project

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## Stakeholder Engagement Plan

International Committee of the Red Cross

January 2023

## 1. Introduction

Under the **Myanmar Community Resilience Project (MCRP)**, the World Bank will be supporting ICRC's proposed interventions within the scope of its **multisectoral assistance for violence-affected communities in Myanmar**. The objective of the MCRP is to maintain and build resilience of vulnerable populations to enable their future development. The Project provides support to interventions implemented by ICRC and interventions implemented by the World Food Programme (WFP). This Stakeholder Engagement Plan (SEP) has been prepared to comply with the World Bank Environmental and Social Standard 10 on Stakeholder Engagement and covers the interventions supported by the Project that will be implemented by the ICRC. A separate SEP has been prepared for interventions to be implemented by WFP.

During the preparation of activities under the MCRP, ICRC has and will provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation. The SEP outlines the ways in which the ICRC has and will continue to communicate with project stakeholders and includes the description of the grievance mechanism/community feedback mechanism used by ICRC for people to raise concerns, provide feedback, or make complaints about any activities related to the project. This mechanism is essential to the success of the project to ensure smooth collaboration between the ICRC and local communities, as well as to minimize and mitigate environmental and social risks related to the proposed activities.

## 2. Project Description

As described in the MCRP Project Appraisal Document, the overall project has four components:

### **Component 1. Protect Human Capital**

### **Component 2. Improve Nutrition of Vulnerable Groups**

### **Component 3. Support Sustainable Livelihoods**

### **Component 4. Ensure Access to Basic Services**

ICRC will be implementing Component 3 and Component 4 under the MCRP.

The ICRC's interventions' objective is to ensure that communities affected by armed conflict, other situations of violence and natural disasters in Myanmar are able to meet both their urgent needs and to work towards resilient, long-term recovery. Based on available data on the current humanitarian situation the focus on the proposed activities would likely be in favour of internally displaced people (IDPs), returnees and residents in Chin, Kachin, Rakhine, Shan, Kayah, and Kayah States; and in Sagaing, Mandalay, and Magway Regions. Specific areas of intervention will be chosen based on the following criteria:

- Presence of conflict and resulting protection concerns and weapon contamination;
- Concentrations of IDPs;
- Areas seeing or likely to see significant numbers of IDPs or returnees;
- Areas previously affected by the conflict and which are in proximity to frontlines (high degree of volatility);
- Added value of ICRC presence (including lack of duplication with other humanitarian actors)

The two main components that will be implemented by the ICRC are described in more detail below, with the names they are referred to in the existing ICRC programming:

**Component 3: Sustainable Livelihoods**, including activities that facilitate medium- to long-term recovery via the provision of cash grants, agricultural inputs and productive assets.

- **3.1: Unconditional cash transfers** to assist households from the loss of livelihoods due to displacement.
- **3.2: Cash-for-work** wage transfers to cover critical needs of vulnerable households that would also help to maintain or repair community infrastructure.
- **3.3: Support to farming communities:** Inputs and technical assistance to increase household agricultural production to improve food security.

**Component 4: Community Infrastructure** will finance construction materials, basic equipment, contractor costs, labor and technical oversight for small-scale infrastructure, including investments for water, sanitation and hygiene (WASH) for individual families; rural community infrastructure for water, sanitation, shelter and access; and urban and peri-urban infrastructure for water, sanitation, shelter and access.

- **4.1: Short-term response:** Repair, upgrade or construction of essential infrastructure (water supply pipelines, water distribution points, latrines, showers, wastewater treatment facilities, solid waste management systems, temporary or semi-permanent shelter, household solar power units) for displaced households.
- **4.2 Community infrastructure:** Repair, upgrade or construction of communal structures (water-supply systems such as ponds and other sources, water treatment or distribution systems, permanent latrines, wastewater treatment systems, drainage, semi-permanent and permanent shelters, solid waste management systems, roads, jetties, bridges, community halls, schools, dormitories) for displaced communities.
- **4.3 Urban and peri-urban infrastructure:** Repair, upgrade or construction of communal structures (water-supply systems such as ponds and other sources, water treatment or distribution systems, permanent latrines, wastewater treatment systems, drainage, semi-permanent and permanent shelters, solid waste management systems, roads, jetties, bridges, community halls, schools, dormitories) for displaced communities in urban or peri-urban areas.

### 3. Summary of Previous and Ongoing Stakeholder Engagement Activities

The ICRC began working in Myanmar in 1986. It responds holistically to the needs of IDPs and other people affected by armed conflict and other situations of violence, helping them restore their livelihoods, repairing water, health and prison infrastructure, and supporting primary-health-care, hospital and physical rehabilitation services. It conducts protection activities in favour of violence-affected communities, provides family-links services and works to ensure that the treatment and living conditions of detainees meet internationally recognized standards. It promotes humanitarian principles, both to prevent, reduce, and mitigate the physical and psychosocial impact of conflict and violence. It often works with the Myanmar Red Cross Society (MRCS) who will have limited responsibilities under this project.

The ICRC's long-standing operational presence in Myanmar and its partnership with the MRCS allows it to access hard-to-reach areas and engage with conflict-affected populations on a continuous level. ICRC interactions with stakeholders range from the daily to the quarterly. For example, they include regular dialogue with communities through its own staff and MRCS in understanding needs and assessing the support required for communities; regular meetings with local administrations, IDP camp management committees and development partners; and regular meeting other influential actors. Participatory exchanges involving community members and representatives, traditional

leaders and local administrations continue to be the basis for calibrating the design and implementation of the Project within set broader parameters.

In anticipation of its own programming in 2022, as well as in anticipation of activities to be covered by this project, ICRC and MRCS have engaged with the stakeholders listed below in the past year. These consultations have been conducted in Yangon; Nay Pyi Taw; Shan, Chin, Kachin and Rakhine states; and Magway and Sagaing regions. Engagement methods to date have included: one-on-one meetings; formal and informal group presentations; focus group discussions; key informant interviews; and the sharing of information on the proposed activities. The approach and format of the consultations take into consideration cultural appropriateness, and barriers to language, literacy, and participation. Consulted stakeholder groups include:

- **Potentially affected and beneficiary communities and their representatives:** More specifically, this group consists of women, men, youth and elders from different ethnic groups, who live in protracted IDP camps, who have been recently displaced and live in more ad hoc arrangements, or returnees. It also includes religious and community leaders for such groups.
- **Village/Township administrations** Representatives from General Administrative Department at different levels such as village administrator and/or village tract administrator and/or township administrator; township development committees.; and local representatives of the Department of Agriculture, Livestock and Irrigation.
- **Central administration:** Representatives from the General Administrative Department.
- **Civil society:** Civil society organizations and networks, youth organizations, women organizations, and human rights organizations.
- **Non-state armed groups:** In Shan, Chin, Kachin and Rakhine states, and Magway and Sagaing regions.
- **Development partners/other humanitarian actors:** Representatives of other development partners, humanitarian actors and international NGOs who participate in relevant UN Cluster's (Food and Security, WASH and NFI/Shelter) meetings, MRCS, International Federation of Red Cross and Red Crescent Societies, WFP.

The ICRC project cycle also has specific and detailed requirements for consultation and participation, including for representation of women and inclusion of ethnic and religious minorities, as applicable, and other vulnerable groups. These include needs assessments and prioritization in consultation with communities and community leaders in each participating communities that are used to inform assistance packages and subproject designs, use of local languages for communicating project information, and requirements for minimum levels of community and female participation.

This ESMF, as well as the SEP and the Environmental and Social Commitment Plan (ESCP) that have been prepared for this project, have been disclosed in draft for stakeholder consultations on the ICRC website and shared with relevant stakeholder as part of an invitation for consultations. The link for the documents on the ICRC website can be found here:

<https://www.icrc.org/en/document/icrc-and-world-bank-support-violence-affected-communities-myanmar>

A range of stakeholders were identified for the consultations conducted by ICRC on environmental and social risk management. These stakeholders included women and men with a range of ages from communities affected by conflict; local administrations with previous experience interacting with the

ICRC on infrastructure projects; representatives from a Departments of Agriculture, infrastructure contractors, and construction workers.

Consultations took place in Sittwe (Rakhine), Mrauk U (Rakhine), Lashio (Shan) and Myitkyina and WaingMaw township (Kachin) on 27<sup>th</sup> October and 28<sup>th</sup> October 2022. A summary table of the locations and stakeholder groups can be found below, followed by a summary of discussion points based on the social and environmental safeguards outlined for this project.

**Table 1. Summary of Consultation Meetings**

Sub-delegation/office	Stakeholder group	Number of participants and gender	Location	Date
ICRC Agronomists	Agriculture Specialists	(1) female, (2) males	Department of Agriculture, Myitkyina, Kachin	27 October
Sittwe, Rakhine	Engineering Specialists	(2) female, (1) male	Sittwe Township Municipal Department	28 October
Sittwe, Rakhine	Contractors for infrastructure works	(4) males	ICRC sub-delegation Sittwe	27 October
Mrauk U, Rakhine	Contractors for infrastructure works	(5) males	ICRC office in Mrauk U	27 October
Myitkyina, Kachin	Contractors for infrastructure works	(1) Female (2) male	ICRC sub-delegation in Myitkyina	28 October
Lashio, Shan	Site workers and engineer for infrastructure works	(7) males	, Work site in Lashio township	27 October
Mrauk U, Rakhine	IDPs from Let Kauk Zay 2 site Shwe Htee site	(5) female (4) male (5) female (6) male	ICRC office in Mrauk U	27 October
Lashio, Shan	Female community members of Pong Mun village	(22) female	Village of residence in Lashio township	27 October
Lashio, Shan	Community members of Pong Mun village	(8) female (23) male	, Village of residence in Lashio township	27 October
Sittwe, Rakhine	IDPs and residents of Taung Min Ka Lar village	(7) female (12) male	Village of residence in Kyauk Taw Township	27 October

Myitkyina, Kachin	Community members of Ni Sar	(8) female (4) male	Sadung Town, Place of residence in WaingMaw Township	27 October
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The feedback and discussions are summarized below:

**Stakeholder Engagement and Inclusion.** The stakeholders consulted agreed on the importance of paying attention to specific obstacles that may be faced by ethnic minorities and vulnerable households, such as access challenges, language barriers, discrimination and others. No reports were received during the consultation meetings regarding experience of discrimination or exclusion of ethnic minorities, disadvantaged or vulnerable households during past or present ICRC programming. One all-female focus group discussion held in Shan State included 7 non-Burmese speakers, they were accommodated through the assistance of a local interpreter. The community consultation in Kachin also took place in a mixed ethnic context where the importance of inclusion was well understood. Contractors in Sittwe noted that illustrated signage for illiterate people to inform about construction sites and facilities could be more widely used. In Shan State construction workers suggested wider dissemination of ongoing activities by ICRC as they sometimes received questions from the community.

Many community members who were familiar with the ICRC recalled that the services provided had met their expectations in part because they had been consulted regarding their needs. Several community members commented that they shared the view that it is necessary to obtain broad community support for project activities. The importance of inclusion of the disabled was raised on several occasions by stakeholders with direct reference to accessible latrines. In one case, a contractor in Mrauk U was keen to share with ICRC some ideas for improved design of accessible latrines which will be explored in detail. In Shan State, one community group illustrated the importance of working towards a common goal to the advantage of the whole community by noting that two private landowners in their village had donated land to widen and include drainage for a village road renovation.

Stakeholders consulted saw the value in stakeholder engagement in general and specifically the ability to give feedback, including airing grievances. Many community spokespersons had telephone numbers to contact the ICRC although they also noted that, in general, many members of the community often prefer to relay observations or concerns through a village leader or camp committee rather than directly to ICRC. Community members expressed the view that if feedback was given, it would be acted upon by ICRC. Discussion included reference to the active use of suggestion boxes already provided. The consultations lent some renewed energy to exploring further ways to ensure that all community members as well as those workers engaged in construction are fully aware of their opportunities to give feedback about the project through hotlines and any other means suited to their environment.



**Community and Worker Health and Safety.** The importance of health and safety was voiced in the consultations, particularly in relation to infrastructure and construction sites by both local community members and those directly involved in construction. The importance of safety features such as covers on water tanks and handrails for floating jetties at ponds (water catchment) was raised in Mrauk U by internally displaced persons living in camps. Contractors and their workers commented that the local community interacted with them occasionally on issues such as site clearings and proper drainage. Good relations regarding the upkeep of the sites were reported in both village and camp settings. In both settings, respect for controlled levels of noise or dust on exposed work sites and respect for working hours was found.

Contractors universally noted that they were aware and in full agreement that construction waste should be taken to designated dumpsites and that any hazardous waste such as sharp metal rods was segregated. Protective equipment such as boots, helmets, gloves, glasses for iron fabrication and welding works were reported provided as needed. In camp settings, warning boards were noted as important for construction sites by contractors and local community members. In Sittwe, contractors specifically noted the importance of restricted entry and warning signs that they had observed posted around construction sites.

**Environmental Risks and Impacts.** As part of these consultations, potential environmental risks related to the use of fertilizers were discussed with the State Department of Agriculture in Myitkyina, as well as possible strategic developments in relation to the climate change, the negative consequences of which are already perceived by the farmers in this region. Sittwe Township Municipal Department noted the importance of environmental safeguards, specifically referencing collaboration with the Environmental Conservation Department (ECD) and the National Environmental Quality Emission Guidelines (NEQEG).

Some contractors noted the importance of scheduling the construction of pond excavation in the dry season to prevent soil erosion in Mrauk U; contractors in Kachin noted the importance of scheduling road construction outside of the rainy season. Contractors also agreed on the importance of protecting soil and water from toxic substances during construction. Contractors in Mrauk U also pointed to possible use of metal rather than timber forms for concreting work for road and tank construction to reduce future consumption of wood; to be explored further with the contractors.



Few community members identified any environmental concerns from their own experience but understood and agreed that it is important that any negative impacts on the physical environment are minimized.

#### 4. Stakeholders Identification and Analysis

For the purposes of effective and tailored engagement, stakeholders of the proposed project(s) can be divided into the following core categories:

- **Affected Parties** – persons, groups and other entities within the Project Area of Influence that are directly influenced (actually or potentially) by the Project and/or have been identified as most susceptible to change associated with it, and who need to be closely engaged in identifying impacts and their significance, as well as in decision-making on mitigation and management measures;
- **Other Interested Parties** – individuals/groups/entities that may not experience direct impacts from the Project but who consider or perceive their interests as being affected by the project and/or who could affect its implementation in some way; and
- **Disadvantaged and vulnerable** – persons who may be disproportionately impacted or further disadvantaged by the Project as compared with any other groups due to their vulnerable status and that may require special engagement efforts to ensure their equal representation in consultation and decision-making process.

#### 4.1 Affected Parties

Affected Parties include local communities, community members and other parties that may be subject to direct impacts from the Project. In the context of the project-supported activities, affected parties include beneficiaries, coordinating partners and community-based organizations.

- **Beneficiaries** are considered affected parties because they directly benefit from the project. Beneficiaries have preferences and feedback on project activities and how they are implemented.
- **Implementing agencies** are affected parties because they participate in the implementation of project activities and are directly affected by project activities and implementation arrangements.
- **Community-based organizations** are considered affected parties because the project benefits, activities and implementation modalities directly affect the community members they represent.
- **Local administrations** are considered affected parties because the project benefits, activities and implementation modalities take place within their jurisdictions.

More specifically, the following individuals and groups fall within these categories under different components of the project.

**Table 2. Affected Parties by Project Components**

Component 3: Sustainable Livelihoods	Component 4: Community Infrastructure
<p><b>Beneficiaries:</b></p> <ul style="list-style-type: none"> <li>- Persons affected by conflict, violence or natural disasters who will benefit from project activities</li> <li>- IDPs in the target 6 states/regions</li> <li>- Resident (host) communities where IDPs have settled</li> <li>- Returnees (people who have returned to communities following displacement)</li> <li>- Smallholder farmers affected by conflict, violence or natural disaster</li> <li>- Local businessmen, traders, producers, processors</li> </ul> <p><b>Implementing Agencies:</b></p> <ul style="list-style-type: none"> <li>- ICRC staff implementing project activities</li> <li>- MCRS staff and volunteers</li> </ul> <p><b>Community-based Organizations/Representatives:</b></p> <ul style="list-style-type: none"> <li>- IDP Camp Management Committees</li> <li>- Community/religious leaders</li> </ul>	<p><b>Beneficiaries:</b></p> <ul style="list-style-type: none"> <li>- Persons affected by conflict, violence or natural disasters who will benefit from project activities</li> <li>- IDPs in the target 6 states/regions</li> <li>- Resident (host) communities where IDPs have settled</li> <li>- Returnees (people who have returned to communities following displacement)</li> <li>- Persons whose land may be affected/who donate their land to project activities</li> <li>- Community workers</li> </ul> <p><b>Implementing Agencies:</b></p> <ul style="list-style-type: none"> <li>- ICRC staff implementing project activities</li> <li>- Local contractors</li> <li>- Contracted workers</li> </ul> <p><b>Community-based Organizations/Representatives:</b></p> <ul style="list-style-type: none"> <li>- IDP Camp Management Committees</li> <li>- Community/religious leaders</li> </ul>



<p>- Other IDP representatives such as of women, elder, ethnic minorities', people with disabilities, among other diversity factors</p> <p><b>Local administrations:</b></p> <p>- Local representatives of the Department of Agriculture, Livestock and Irrigation</p> <p>- General Administration Department, Village Tract Administrators, Township Development Committees</p>	<p>- Other IDP representatives such as of women, elder, ethnic minorities', people with disabilities, among other diversity factors</p> <p>- Community level committees formed for infrastructure projects</p> <p><b>Local administrations</b></p> <p>- General Administration Department, Village Tract Administrators, Township Development Committees</p>
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## 4.2 Other Interested Parties

The projects' stakeholders also include parties other than the directly affected communities, including:

- Other ICRC staff, not directly working on project activities (Management, Programme, M&E, Logistics, Security)
- UN Cluster/working group members
- Humanitarian Coordinator (HC)/Resident Coordinator's (RC) Office
- UN agencies, including OHCHR, UNHCR, UNICEF, OCHA, UN Women, UNFPA
- WFP
- Development actors including UNDP, INGOs and local NGOs
- Health and psycho-social services personnel
- Local administrations at central and state/region level
- National Unity Government
- Ministries of Agriculture, Livestock and Irrigation; Natural Resources and Environmental Conservation; Social Welfare, Relief and Reconstruction
- People's Defense Forces and other non-state armed groups in different areas
- Community-based organisations, including local women's organisations
- Civil society
- Local and international media

## 4.3 Disadvantaged and Vulnerable Groups

The project identifies vulnerable groups as any persons or groups who may be disproportionately impacted or further disadvantaged by the project due to their vulnerable status, and who may require special engagement efforts to ensure their equal representation in project consultation, decision-making and access to assistance processes.

The ICRC recognizes that the socio-economic and other effects of conflict, displacement or COVID-19 pandemic are not the same for all: People who are already socially marginalized prior to conflict, displacement or COVID-19 are often rendered even more vulnerable. For instance, the travel necessitated by conflict, the accommodations due to displacement or the lockdowns necessitated by the pandemic may expose women and adolescent girls to abuse and other violence; the inability to access schools may expose schoolchildren to abuse or neglect; and conflict related safety and security issues, as well as conflict and pandemic-related movement restrictions, may make it harder for persons with disabilities, victims of violence (including sexual violence), and older people to obtain the services or the assistance they need. ICRC policies and operational modalities aim to ensure that the distinct needs of marginalized groups and people at risk – women and adolescent girls; children; the elderly; persons with disabilities; victim/survivors of sexual and gender-based violence; people with pre-existing medical conditions, among others – are actively addressed through participatory consultations and inclusive decision-making.

For activities under this project, the following groups are identified as potentially disadvantaged and vulnerable groups:

- Women and adolescent girls
- Children
- Older people
- Persons with disabilities
- People with pre-existing medical conditions and health needs
- Sexual and gender-based violence survivors
- People who do not have digital access
- People who are illiterate and/or do not speak Bamar language
- Ethnic minorities

In addition, it is worth noting that most of the target beneficiaries for project activities are IDPs. IDPs, who have been uprooted from their homes and forced to move elsewhere, are a vulnerable group as a whole, as they have lost access to their standard livelihood streams, accommodation, access to services and benefits, and possibly their social safety networks. When any of the above listed vulnerability groups intersect with the IDP status of persons or groups, this intersection increases and compounds the vulnerability of such persons and groups. For example, a person with disability who was taken care of by relatives in an accessible environment in their home will be additionally vulnerable and in need of assistance as an IDP, potentially without a support network, accessible accommodation or transportation, or local services.

ICRC seeks to ensure that its policies, approaches and practices are sensitive to gender, age and disability and that beneficiaries can access its services in an equitable manner. Through an ongoing process to develop an operational approach for addressing gender, age, disability and other diversity factors, the ICRC is strengthening its understanding of these issues and how they compound people's vulnerabilities. This approach allows the ICRC aims to better integrate these various facets in its operations and ensure that its processes are inclusive and participatory.

#### **4.4. Ethnic Minorities**

Myanmar is one of the most ethnically diverse countries in Asia. The 2008 Constitution recognizes 135 distinct ethnic groups as "national races" in which there are eight major ethnic groups: Kachin, Kayar, Kayin, Chin, Bamar, Mon, Rakhine and Shan. These 135 groups are legalized based on the origin of 135 languages and races by British Colonial Census 1931. The largest national race is the Bamar that makes up approximately two-thirds of the Myanmar population. Other national races or ethnic groups/minorities account for approximately one third of the population. Ethnic groups, who satisfy the criteria under World Bank's ESS7 on Indigenous People's, reside in the states and regions that will be targeted by project activities. Based on ESS7, free, prior and informed consent (FPIC) will not be required under the project as there will be no (a) adverse impacts on land and natural resources subject to traditional ownership or under customary use or occupation; (b) relocation of members of ethnic minority groups required or (c) significant impacts to cultural heritage that is material to the identity and/or cultural, ceremonial, or spiritual aspects of the affected people. While FPIC is not required, the ICRC will aim to hold culturally appropriate and gender sensitive free, prior and informed consultations with ethnic minorities.

Ethnic minority groups are considered under the disadvantaged and vulnerable groups, because certain criteria, such as lack of identification documents, exposure to conflict and displacement, restrictions of movement or inability to travel due to safety concerns, may apply to them at disproportional rates compared to the majority Bamar population. In addition, they may face discrimination or intimidation. Some ethnic minority communities may speak exclusively their own

ethnic language or may understand spoken Bamar language but may be illiterate in the written form. For these reasons, and to ensure compliance with the World Bank's ESS7, additional stakeholder engagement measures are included below to ensure free, prior and informed consultation with ethnic minority communities to ensure that there is broad community support from them for project activities.

Based on ICRC's Accountability to Affected People Institutional Framework, in line with the requirements under the World Bank ESS7, and based on ICRC's existing operational practices, ICRC will conduct stakeholder engagement with ethnic groups based on the following principles:

- In identifying subproject activities and beneficiaries, ICRC conducts inclusive, accessible, culturally appropriate and gender-sensitive consultations with ethnic communities, as well as with NGOs, religious and community leaders, and community-based organizations representing ethnic minorities. These consultations take into the specific obstacles that may be faced by ethnic minorities such as, access challenges, language barriers, discrimination, intimidation, and travel restrictions.
- These consultations enable ethnic groups to provide input to the design of project activities and priorities, as well as provide feedback on implementation of project activities, benefits and risks to ethnic group communities, with the objective of obtaining broad community support for project activities.
- ICRC provides transparent information on project activities, benefits, eligibility criteria to ethnic minority communities, through accessible and culturally appropriate channels, trusted intermediaries, in relevant ethnic languages.
- ICRC proactively identifies, consults with and reaches out to ethnic minority groups (through surveys, consultations or other means as appropriate), and includes specific culturally appropriate measures to address the potential obstacles to access for them in delivery of food and cash assistance.
- ICRC ensures that its grievance mechanism is accessible to ethnic groups and culturally appropriate for them to bring forward grievances, through raising awareness among these groups in relevant ethnic languages, providing different intake channels etc.
- ICRC and MRCS employ staff and volunteers from among the ethnic groups and who speak relevant ethnic languages, as needed and feasible. For MRCS staff and volunteers who are from outside the ethnic communities, provide awareness raising on culturally appropriate behaviour, issues related to ethnicity, religion and marginalization.

## **5. Stakeholder Engagement Program**

The ICRC's operational approach, in which it carries out direct implementation and has teams carrying out activities with targeted communities, is one that facilitates an ongoing process of participation and feedback from key stakeholders.

Moreover, the ICRC's multi-sectoral approach to assistance – integrating elements of its Health, Water and Habitat (WatHab), and Economic Security (EcoSec), as well as Protection concerns, ensures that needs across sectors are taken into consideration and programs are adapted accordingly, with feedback integrated and communicated across sectors to be reflected into activities as pertinent and feasible.

The ICRC follows the principle that consultations need to be inclusive of all social/economic groups, gender, youth, and marginalized or at-risk groups. The aim of this dialogue is to inform key

stakeholders of the project, obtain their feedback, obtain broad ownership of project activities and discuss how negative impact and grievances will be mitigated.

People benefiting from humanitarian action depend on the quality of the services they obtain from organizations, a process over which they can have limited influence. Humanitarian organizations have an ethical responsibility to consider affected populations' wishes, factoring in vulnerabilities, local capacities and culture, to manage resources efficiently, and to produce results maximizing beneficial effects. The ICRC thus takes pains to improve the effectiveness and efficiency of its work and to increase its accountability to affected populations, first to the people it serves, and second to external stakeholders, notably partners.

In all its stakeholder engagement, the ICRC will continue to observe the “do no harm” principle, which is at the core of its action. It works to ensure that people are provided with a safe space for expressing their concerns, suggestions and complaints, and that their doing so will not expose them to retaliation, stigmatization or any further harm.

The ICRC, informed by its decades-long operations in Myanmar and its proximity and close interaction with violence-affected communities, has and will apply the following principles for stakeholder engagement:

- *Openness and life-cycle approach*: ICRC holds regular consultations with the community including discussions on the status of the Project during its implementation, whenever possible; discussions will be carried out openly, free of manipulation, interference, coercion or intimidation; local health authorities, community and religious leaders and others will be requested to inform community members in advance about the time, location, and frequency of these meetings.
- *Free, prior and informed consultation and feedback*: Information will be provided to all stakeholders in an appropriate and accessible format, in relevant local languages, to ensure the accessibility and effectiveness of the medium and space for addressing comments and concerns; opportunities will be provided for constructive discussions of stakeholders' feedback.
- *Inclusiveness and sensitivity*: Stakeholder identification is undertaken to support better communication and build effective relationships. The participation process for the Project will be inclusive. Stakeholders will be encouraged to be involved in the consultation process, ensuring equal access to information for all. Sensitivity to stakeholders' needs will guide the selection of engagement methods. The cultural sensitivities of diverse ethnic groups will be taken into account, and special attention will be given to marginalized or at-risk groups and others with particular vulnerabilities, such as women, children, the youth, persons with physical disabilities and the elderly.

Specifically in Myanmar and for this project activities, ICRC identifies priority communities for assistance based on the humanitarian and conflict context, in consultation with other humanitarian partners, in order to target assistance to the most vulnerable populations and to avoid duplication of efforts.

**Assessment and planning.** Once potential communities are identified, ICRC conducts participatory needs assessments at the community level. These participatory assessments and information collected are used to identify potential assistance and/or infrastructure activities. These proposed activities are presented to and discussed with the communities to further refine and prioritize what will be funded. Community feedback is used to adapt activities as needed.

**Implementation and monitoring.** During the project, community volunteers help support, implement and supervise activities; they also act as facilitators for the project grievance/feedback mechanism.

**Access considerations.** While ICRC is steadfast in its commitment to following the principles and management cycle outlined above as rigorously as possible, it should be acknowledged that there may be barriers to doing so, many of them specific to the volatile situation in Myanmar.

- Assessment capacities may be affected by restrictions on access owing to an armed conflict or other situation of violence; the ICRC’s ability to monitor and review an operation once implementation has begun may also become limited, or even no longer useful, owing to a radical change in the situation.
- Unfavourable weather conditions, such as monsoon rains, or damaged infrastructure, such as destruction of roads or bridges, may also obstruct the management cycle.
- Specific circumstances may require urgent action. Where time is of utmost importance, assessments will be kept to a minimum, to ensure that the operation can take place and benefit the target population as soon as possible. Similar constraints can also limit monitoring and review processes.

Implementing activities in conflict-and violence-affected areas of Myanmar has always been challenging, and the current situation has added a layer of complexity. For livelihood support (component 3 of the project), recurrent access constraints linked to the COVID-19 pandemic and security restrictions have considerably impacted the “normal” life cycle of ICRC interventions described above, and required the EcoSec team to re-evaluate its portfolio of activities and working modalities (e.g. switching from “normal” procedures to remote programmatic modalities in some areas). The major constraint is related to livelihood-support projects, where a certain time lapse between the assessment and the monitoring of the activities is necessary to successfully achieve the intended outcomes. The variability of access can affect the required follow-ups. To better accommodate these risks, the field teams have instituted the following operational procedures:

- where ICRC direct access to operational areas is expected to be challenged, explore “remote programmatic modalities” and apply the most suitable one/s, such as: 1) reviewing and using available alternative communication channels (e.g. WhatsApp, Viber vs. suggestion boxes); 2) identifying additional key stakeholders at the time of the assessment, beyond community/camp leaders; 3) inviting community representatives to travel to areas where the ICRC has access to receive the assistance on behalf of beneficiaries (with the ICRC paying per diems for transportation/accommodation); and modifying monitoring modalities to verify that the beneficiaries have received the assistance intended for them
- constantly readjusting the activity plan/plans of action to remain reactive and flexible by: grouping, to the extent possible, activities that can be carried out in the same area when access is granted for a short period of time; reducing the timeframe between the different steps of the project cycle (e.g. between the assessment and the implementation phase or between the beneficiary registration and the distribution of assistance); mobilizing surge human resources capacities whenever access has been temporarily granted or security has improved in the target area (e.g. 90% of the team can be involved for one month in one area to carry out different activities at the same time such as provision of conditional cash grants, vaccination campaign and registration of beneficiaries for distributions of agricultural inputs, etc.)
- relying on secondary data (mainly through the different established humanitarian clusters at state level) and engaging bilaterally through coordination mechanisms with civil society organizations and local/international partners to gather additional information on an area

that is temporarily off-limits, in order to help the team assess the feasibility of alternative options to deliver the assistance

- maintaining advocacy channels and continuous humanitarian dialogue with administrations on the importance of facilitating timely access to communities in need so that the planned humanitarian activities can be carried out.

For community infrastructure projects, the first, when access is not possible, community representatives report to the ICRC directly, with photos and videos, via WhatsApp/Viber. Exceptionally, the ICRC's WatHab team may use external consultants to conduct engagement and supervision. This alternative and complementary methodologies are well established, and the ICRC continues using the same methods to ensure the quality of its projects. Nevertheless, it is important to acknowledge that shifting circumstances can lead some projects to vary from the standardized step-by-step approach outlined above and described in more detail in the stakeholder engagement tables below.

Based on ICRC's policies, operational procedures, and experience in Myanmar, the stakeholder engagement tables below outline the stakeholder engagement plan for this project and the activities to be supported under each component. While stakeholder engagement activities for each component and each stage of the project cycle are listed in separate tables (due to some differing affected stakeholders), it should be noted that when multiple components are taking place in the same communities due to targeting or access issues, stakeholder engagement activities will be handled holistically to achieve the objectives and principles of this SEP. Similarly, in cases where the security situation and access opportunities deteriorate, ICRC may vary the engagement activities listed in the tables below to avoid putting beneficiaries, its workers and its volunteers at risk.

All stakeholder engagement measures must take into account the risk of increased transmission of COVID-19 and follow the broad guidelines included in Annex 2.

**Table 3. Stakeholder Engagement Plan for Component 3 on Sustainable Livelihoods**

Assessment and Planning Stage			
Target Stakeholders	Indicative Information Disclosure and Engagement Methods	Indicative Topics of Engagement	Responsible Party
<b>Location: Community level</b>			
<p><b>Affected parties:</b></p> <ul style="list-style-type: none"> <li>- Potential beneficiaries</li> <li>- IDPs</li> <li>- Host communities</li> <li>- Returnees</li> <li>- Smallholder farmers affected by conflict, violence or natural disaster</li> <li>- Local businessmen, traders, producers, processors</li> <li>- Community workers</li> <li>- IDP Camp Management Committees</li> <li>- ICRC and MCRS staff and volunteers implementing activities</li> <li>- Community / religious leaders</li> <li>- Local administrations</li> <li>- Local businessmen, traders, producers, processors</li> </ul>	<ul style="list-style-type: none"> <li>- Community meetings</li> <li>- Small gatherings/focus group discussions</li> <li>- Participatory needs assessment</li> <li>- Social media</li> <li>- Radio</li> <li>- Banners</li> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> </ul>	<ul style="list-style-type: none"> <li>- Project activities, eligibility criteria, project processes, timing, implementation arrangements</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Stakeholder engagement plan and grievance mechanism</li> <li>- Labor risks (including basic OHS risks and mitigation measures for community workers and landmine risks and procedures)</li> </ul>	<p>ICRC Staff MCRS Staff and Volunteers</p>
<p><b>Affected parties:</b></p> <ul style="list-style-type: none"> <li>- Disadvantaged and vulnerable groups, such as:                             <ul style="list-style-type: none"> <li>• Women and adolescent girls</li> <li>• Children</li> <li>• Older people</li> <li>• Persons with disabilities</li> <li>• People with pre-existing medical conditions and health needs</li> <li>• Sexual and gender-based violence survivors</li> <li>• People who do not have digital access</li> <li>• People who are illiterate and/or do not speak Bamar language</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>- Targeted and segregated small gatherings/focus group discussions</li> <li>- Participatory needs assessment</li> <li>- Social media</li> <li>- Radio</li> <li>- Working with community and NGO partners who are trusted intermediaries</li> <li>- Banners</li> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> </ul> <p><i>Respecting confidentiality</i></p>	<ul style="list-style-type: none"> <li>- Project activities, eligibility criteria, project processes, timing, implementation arrangements</li> <li>- Potential barriers to access to consultations/access to benefits, preferences for consultation and delivery modalities</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Stakeholder engagement plan and grievance mechanism</li> <li>- Labor risks (including basic OHS risks and mitigation measures for community workers and landmine risks and procedures)</li> </ul>	<p>ICRC Staff MCRS Staff and Volunteers Community intermediaries Community based organizations/service providers</p>
<p><b>Affected parties:</b></p> <p>Ethnic minorities</p>	<ul style="list-style-type: none"> <li>- Targeted and segregated small gatherings/focus group discussions</li> <li>- Participatory needs assessment</li> <li>- Social media</li> <li>- Radio</li> <li>- Working with community and NGO partners who are trusted intermediaries</li> <li>- Banners</li> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> </ul> <p><i>Consultations will be done in a culturally appropriate and gender-sensitive manner, in relevant ethnic minority languages, and preferably by staff and volunteers hired from within the ethnic groups</i></p>	<ul style="list-style-type: none"> <li>- Project activities, eligibility criteria, project processes, timing, implementation arrangements</li> <li>- Potential barriers to access to consultations/access to benefits, preferences for consultation and delivery modalities</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Stakeholder engagement plan and grievance mechanism</li> <li>- Labor risks (including basic OHS risks and mitigation measures for community workers and landmine risks and procedures)</li> </ul>	<p>ICRC Staff MCRS Staff and Volunteers Community intermediaries Community based organizations/service providers</p>

<b>Interested parties:</b> - Civil society - Health and psycho-social services personnel - Local businessmen, traders, producers, processors	- Focus group discussions - Key informant interviews - Social media - Internet based meeting platforms - Regular e-mail updates - Project pamphlets	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism	ICRC Staff MCRS Staff and Volunteers
<b>Location: State/region level</b>			
<b>Interested parties:</b> - Cluster/working group members - Other humanitarian partners, INGOs, NGOs, civil society - Local administrations - Non-state armed groups - Ethnic minority organizations	- Meetings - Key informant interviews - Internet based meeting platforms - Regular e-mail updates	- Coordination/non-duplication of efforts - Project activities, eligibility criteria, project processes, timing, implementation arrangements - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism - Risks to project workers, safety, security (including landmine risks)	ICRC Staff
<b>Location: National level</b>			
<b>Interested parties:</b> - Cluster/working group members - Other humanitarian partners, INGOs, NGOs, civil society - Local administrations	- Meetings - Internet based meeting platforms - Regular e-mail updates	- Coordination/non-duplication of efforts - Project activities, eligibility criteria, project processes, timing, implementation arrangements - Stakeholder engagement plan and grievance mechanism - Risks to project workers, safety, security	ICRC Staff
<b>Implementation and Monitoring Phase</b>			
<b>Target Stakeholders</b>	<b>Information Disclosure and Engagement Methods</b>	<b>Topics of Engagement</b>	<b>Responsible Party</b>
<b>Location: Community level</b>			
<b>Affected parties:</b> - Potential beneficiaries - IDPs - Host communities - Returnees - Smallholder farmers affected by conflict, violence or natural disaster - - Community workers - IDP Camp Management Committees - ICRC and MCRS staff and volunteers implementing activities - Community / religious leaders - Local administrations	- Community meetings - Small gatherings/focus group discussions - Social media - Radio - SMS - Banners - Loudspeakers with messages in local languages - Through community leaders/committees - Monitoring/perception surveys - Grievance/feedback mechanism	- Project progress - Protection from SEA, COVID-19 risks, other potential risks to community members - Fertilizer and Pest Management Plan/Practices - Sustainable farming practices - Grievance mechanism - Satisfaction with/perception of project assistance	ICRC Staff MCRS Staff and Volunteers Local Representatives for Department of Agriculture, Livestock and Irrigation
<b>Affected parties:</b> - Disadvantaged and vulnerable groups, such as: • Women and adolescent girls • Children • Older people • Persons with disabilities • People with pre-existing medical conditions and health needs	- Targeted and segregated small gatherings/focus group discussions - Social media - Radio - Working with community and NGO partners who are trusted intermediaries - Banners	- Project progress - Potential barriers to access to benefits, preferences for delivery modalities - Protection from SEA, COVID-19 risks, other potential risks to community members - Fertilizer and Pest Management Plan/Practices - Sustainable farming practices	ICRC Staff MCRS Staff and Volunteers Community intermediaries Community based organizations/service providers



<ul style="list-style-type: none"> <li>• Sexual and gender-based violence survivors</li> <li>• People who do not have digital access</li> <li>• People who are illiterate and/or do not speak Bamar language</li> </ul>	<ul style="list-style-type: none"> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> <li>- Monitoring/perception surveys</li> <li>- Grievance/feedback mechanism</li> </ul> <p><i>Respecting confidentiality</i></p>	<ul style="list-style-type: none"> <li>- Grievance mechanism</li> <li>- Satisfaction with/perception of project assistance</li> </ul>	
<p><b>Affected parties:</b> Ethnic minorities</p>	<ul style="list-style-type: none"> <li>- Targeted and segregated small gatherings/focus group discussions</li> <li>- Social media</li> <li>- Radio</li> <li>- Working with community and NGO partners who are trusted intermediaries</li> <li>- Banners</li> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> <li>- Monitoring/perception surveys</li> <li>- Grievance/feedback mechanism</li> </ul> <p><i>Consultations will be done in a culturally appropriate and gender-sensitive manner, in relevant ethnic minority languages, and preferably by staff and volunteers hired from within the ethnic groups</i></p>	<ul style="list-style-type: none"> <li>- Project progress</li> <li>- Potential barriers to access to benefits, preferences for delivery modalities</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Fertilizer and Pest Management Plan/Practices</li> <li>- Sustainable farming practices</li> <li>- Grievance mechanism</li> <li>- Satisfaction with/perception of project assistance</li> </ul>	<p>ICRC Staff MCRS Staff and Volunteers Community intermediaries Community based organizations/service providers</p>
<p><b>Interested parties:</b> - Civil society - Health and psycho-social services personnel</p>	<ul style="list-style-type: none"> <li>- Focus group discussions</li> <li>- Key informant interviews</li> <li>- Social media</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> <li>- Project pamphlets</li> <li>- Grievance/feedback mechanism</li> </ul>	<ul style="list-style-type: none"> <li>- Project progress</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Grievance mechanism</li> <li>- Feedback on project impacts</li> </ul>	<p>ICRC Staff MCRS Staff and Volunteers</p>
<b>Location: State/region level</b>			
<p><b>Interested parties:</b> - Cluster/working group members - Other humanitarian partners, INGOs, NGOs, civil society - Local administrations - Non-state armed groups - Ethnic minority organizations</p>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Key informant interviews</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> <li>- SMS</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project progress</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Grievance mechanism</li> <li>- Risks to project workers, safety, security</li> <li>- Feedback on project impacts</li> </ul>	<p>ICRC Staff</p>
<b>Location: National level</b>			
<p><b>Interested parties:</b> - Cluster/working group members - Other humanitarian partners, INGOs, NGOs, civil society - Local administrations</p>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project progress</li> <li>- Risks to project workers, safety, security</li> <li>- Feedback on project impacts</li> </ul>	<p>ICRC Staff</p>

**Table 4. Stakeholder Engagement Plan for Component 4 on Community Infrastructure**

Assessment and Planning Stage			
Target Stakeholders	Indicative Information Disclosure and Engagement Methods	Indicative Topics of Engagement	Responsible Party
<b>Location: Community level</b>			
<b>Affected parties:</b> - Potential beneficiaries - IDPs - Host communities - Returnees - IDP Camp Management Committees - Persons whose land may be affected/who donate their land to project activities - Community level committees formed for infrastructure projects - ICRC staff and volunteers implementing activities - Local contractors - Community workers - Community / religious leaders - Local administrations	- Community meetings - Small gatherings/focus group discussions - Participatory needs assessment - Social media - Radio - Banners - Loudspeakers with messages in local languages - Through community leaders/committees	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Project ESMF and its approach: Identification and assessment of risks, screening of project activities, selection of appropriate mitigation measures - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism	ICRC Staff
<b>Affected parties:</b> - Disadvantaged and vulnerable groups, such as: <ul style="list-style-type: none"> <li>• Women and adolescent girls</li> <li>• Children</li> <li>• Older people</li> <li>• Persons with disabilities</li> <li>• People with pre-existing medical conditions and health needs</li> <li>• Sexual and gender-based violence survivors</li> <li>• People who do not have digital access</li> <li>• People who are illiterate and/or do not speak Bamar language</li> </ul>	- Targeted and segregated small gatherings/focus group discussions - Participatory needs assessment - Social media - Radio - Working with community and NGO partners who are trusted intermediaries - Banners - Loudspeakers with messages in local languages - Through community leaders/committees  <i>Respecting confidentiality</i>	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Potential barriers to access to consultations/access to benefits, preferences for consultation and delivery modalities - Project ESMF and its approach: Identification and assessment of risks, screening of project activities, selection of appropriate mitigation measures - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism	ICRC Staff Community intermediaries Community based organizations/service providers
<b>Affected parties:</b> Ethnic minorities	- Targeted and segregated small gatherings/focus group discussions - Participatory needs assessment - Social media - Radio - Working with community and NGO partners who are trusted intermediaries - Banners - Loudspeakers with messages in local languages - Through community leaders/committees  <i>Consultations will be done in a culturally appropriate and gender-sensitive manner, in relevant ethnic minority languages, and preferably by staff and volunteers hired from within the ethnic groups.</i>	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Potential barriers to access to consultations/access to benefits, preferences for consultation and delivery modalities - Project ESMF and its approach: Identification and assessment of risks, screening of project activities, selection of appropriate mitigation measures - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism	ICRC Staff Community intermediaries Community based organizations/service providers

<p><b>Interested parties:</b></p> <ul style="list-style-type: none"> <li>- Civil society</li> <li>- Health and psycho-social services personnel</li> <li>- Local contractors</li> </ul>	<ul style="list-style-type: none"> <li>- Focus group discussions</li> <li>- Key informant interviews</li> <li>- Social media</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> <li>- Project pamphlets</li> </ul>	<ul style="list-style-type: none"> <li>- Project activities, eligibility criteria, project processes, timing, implementation arrangements</li> <li>- Project ESMF and its approach: Identification and assessment of risks, screening of project activities, selection of appropriate mitigation measures</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Stakeholder engagement plan and grievance mechanism</li> </ul>	<p>ICRC Staff</p>
<b>Location: State/region level</b>			
<p><b>Interested parties:</b></p> <ul style="list-style-type: none"> <li>- Cluster/working group members</li> <li>- Other development partners, INGOs, NGOs, civil society</li> <li>- Local administrations</li> <li>- Non-state armed groups</li> <li>- Ethnic minority organizations</li> </ul>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Key informant interviews</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project activities, eligibility criteria, project processes, timing, implementation arrangements</li> <li>- Project ESMF and its approach</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Stakeholder engagement plan and grievance mechanism</li> <li>- Risks to project workers, safety, security (including landmine risks)</li> </ul>	<p>ICRC Staff</p>
<b>Location: National level</b>			
<p><b>Interested parties:</b></p> <ul style="list-style-type: none"> <li>- Cluster/working group members</li> <li>- Other development partners, INGOs, NGOs, civil society</li> <li>- Local administrations</li> </ul>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project activities, eligibility criteria, project processes, timing, implementation arrangements</li> <li>- Project ESMF and its approach</li> <li>- Stakeholder engagement plan and grievance mechanism</li> <li>- Risks to project workers, safety, security</li> </ul>	<p>ICRC Staff</p>
<b>Implementation and Monitoring Phase</b>			
<p><b>Target Stakeholders</b></p>	<p><b>Indicative Information Disclosure and Engagement Methods</b></p>	<p><b>Indicative Topics of Engagement</b></p>	<p><b>Responsible Party</b></p>
<b>Location: Community level</b>			
<p><b>Affected parties:</b></p> <ul style="list-style-type: none"> <li>- Potential beneficiaries</li> <li>- IDPs</li> <li>- Host communities</li> <li>- Returnees</li> <li>- IDP Camp Management Committees</li> <li>- Persons whose land may be affected/who donate their land to project activities</li> <li>- Community level committees formed for infrastructure projects</li> <li>- ICRC staff and volunteers implementing activities</li> <li>- Local contractors</li> <li>- Contracted workers</li> <li>- Community workers</li> <li>- Community / religious leaders</li> </ul>	<ul style="list-style-type: none"> <li>- Community meetings</li> <li>- Small gatherings/focus group discussions</li> <li>- Social media</li> <li>- Radio</li> <li>- SMS</li> <li>- Banners</li> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> <li>- Monitoring/perception surveys</li> <li>- Grievance/feedback mechanism</li> </ul>	<ul style="list-style-type: none"> <li>- Project progress</li> <li>- Progress on E&amp;S risk mitigation</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Labor Management Procedures (LMP), including Code of Conduct</li> <li>- Landmine Procedures</li> <li>- Voluntary Land Donation Procedures</li> <li>- Chance Find Procedures</li> <li>- ECOP or ESMP, as relevant</li> <li>- Grievance mechanism</li> <li>- Satisfaction with/perception of project assistance</li> </ul>	<p>ICRC Staff Contractors</p>

<p>- Local administrations</p> <p><b>Affected parties:</b></p> <ul style="list-style-type: none"> <li>- Disadvantaged and vulnerable groups, such as: <ul style="list-style-type: none"> <li>• Women and adolescent girls</li> <li>• Children</li> <li>• Older people</li> <li>• Persons with disabilities</li> <li>• People with pre-existing medical conditions and health needs</li> <li>• Sexual and gender-based violence survivors</li> <li>• People who do not have digital access</li> <li>• People who are illiterate and/or do not speak Bamar language</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>- Targeted and segregated small gatherings/focus group discussions</li> <li>- Social media</li> <li>- Radio</li> <li>- Working with community and NGO partners who are trusted intermediaries</li> <li>- Banners</li> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> <li>- Monitoring/perception surveys</li> <li>- Grievance/feedback mechanism</li> </ul> <p><i>Respecting confidentiality</i></p>	<ul style="list-style-type: none"> <li>- Project progress</li> <li>- Progress on E&amp;S risk mitigation</li> <li>- Potential barriers to access to benefits, preferences for delivery modalities</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Labor Management Procedures (LMP), including Code of Conduct</li> <li>- Landmine Procedures</li> <li>- Voluntary Land Donation Procedures</li> <li>- Chance Find Procedures</li> <li>- ECOP or ESMP, as relevant- Grievance mechanism</li> <li>- Satisfaction with/perception of project assistance</li> </ul>	<p>ICRC Staff</p> <p>Community intermediaries</p> <p>Community based organizations/service providers</p> <p>Contractors</p>
<p><b>Affected parties:</b></p> <p>Ethnic minorities</p>	<ul style="list-style-type: none"> <li>- Targeted and segregated small gatherings/focus group discussions</li> <li>- Social media</li> <li>- Radio</li> <li>- Working with community and NGO partners who are trusted intermediaries</li> <li>- Banners</li> <li>- Loudspeakers with messages in local languages</li> <li>- Through community leaders/committees</li> <li>- Monitoring/perception surveys</li> <li>- Grievance/feedback mechanism</li> </ul> <p><i>Consultations will be done in a culturally appropriate and gender- sensitive manner, in relevant ethnic minority languages, and preferably by staff and volunteers hired from within the ethnic groups.</i></p>	<ul style="list-style-type: none"> <li>- Project progress</li> <li>- Progress on E&amp;S risk mitigation</li> <li>- Potential barriers to access to benefits, preferences for delivery modalities</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Labor Management Procedures (LMP), including Code of Conduct</li> <li>- Landmine Procedures</li> <li>- Voluntary Land Donation Procedures</li> <li>- Chance Find Procedures</li> <li>- ECOP or ESMP, as relevant</li> <li>- Grievance mechanism</li> <li>- Satisfaction with/perception of project assistance</li> </ul>	<p>ICRC Staff</p> <p>Community intermediaries</p> <p>Community based organizations/service providers</p> <p>Contractors</p>
<p><b>Interested parties:</b></p> <ul style="list-style-type: none"> <li>- Civil society</li> <li>- Health and psycho-social services personnel</li> </ul>	<ul style="list-style-type: none"> <li>- Focus group discussions</li> <li>- Key informant interviews</li> <li>- Social media</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> <li>- Project pamphlets</li> <li>- Grievance/feedback mechanism</li> </ul>	<ul style="list-style-type: none"> <li>- Project progress</li> <li>- Progress on E&amp;S risk mitigation</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Grievance mechanism</li> <li>- Feedback on project impacts</li> </ul>	<p>ICRC Staff</p>
<b>Location: State/region level</b>			
<p><b>Interested parties:</b></p> <ul style="list-style-type: none"> <li>- Cluster/working group members</li> <li>- Other development partners, INGOs, NGOs, civil society</li> <li>- Local administrations</li> <li>- Non-state armed groups</li> <li>- Ethnic minority organizations</li> </ul>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Key informant interviews</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> <li>- SMS</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project progress</li> <li>- Progress on E&amp;S risk mitigation</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Grievance mechanism</li> <li>- Risks to project workers, safety, security</li> <li>- Feedback on project impacts</li> </ul>	<p>ICRC Staff</p>

Location: National level			
<p><b>Interested parties:</b></p> <ul style="list-style-type: none"> <li>- Cluster/working group members</li> <li>- Other development partners, INGOs, NGOs, civil society</li> <li>- Local administrations</li> </ul>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project progress</li> <li>- Progress on E&amp;S risk mitigation</li> <li>- Risks to project workers, safety, security</li> <li>- Feedback on project impacts</li> </ul>	<p>ICRC Staff</p>

## 6. Grievance Mechanism / Beneficiary Feedback System

The main objective of a grievance mechanism is to resolve complaints in a timely, effective and efficient manner that satisfies all parties involved. Specifically, it provides a transparent and credible process for fair, effective and lasting outcomes. It also builds trust and cooperation as an integral component of broader community consultation that facilitates corrective actions. Moreover, it supports the institutional commitment to recognize affected people as experts of their own situation and one of the keys to ensure participation. Specifically, the grievance mechanism:

- Provides affected people with avenues for making a complaint or resolving any dispute that may arise during the course of the implementation of projects;
- Ensures that appropriate and mutually acceptable redress actions are identified and implemented to the satisfaction of complainants; and
- Avoids the need to resort to judicial proceedings.

In alignment with the ICRC's approach to Accountability to Affected People (AAP), effective two-way communication channels should consider the diversity of groups within a community (e.g. mothers with young children, older men or women with mobility impairment) and recognize that they have different communication and information needs, and may trust different sources of communication. The ICRC selects the appropriate combination of channels based on the preferences of people, communities and groups to interact with, the purpose of the channel and the context following adequate consultation with different community members, the type of feedback required by ICRC and raised by the community, the intended users of the channel and their specific requirements, organizational resources and capacity that are required, and the effective management of any risks.

For activities supported under this project, ICRC will use its existing grievance mechanism in Myanmar. ICRC's grievance mechanism fulfils the key elements of the grievance mechanism described in paragraph 2, Annex A of World Bank's ESS10, as summarized below:

**Grievance intake/different ways in which users can submit grievances.** For collecting grievances or beneficiary feedback, ICRC uses multiple channels and tools, including 1) in person, through ICRC staff on the ground, community leaders and representatives of diverse groups within the community, MRCS volunteers, 2) in writing, through feedback forms at the community level and suggestion boxes, 3) through ICRC website and email, and 3) by phone through hotlines.

The increasing security limitations, hampering regular physical access to affected people, point to an increasing use of hotlines and other digital means for engaging with communities. The evolution of the context has therefore prompted the ICRC Myanmar delegation to plan deployment of a Community Contact Center (CCC) – a system/software that provides for greater efficiency in the management of feedback - from receiving, registering, deriving, escalating, and closing the feedback loop. The platform is conceived to ensure callers' confidentiality, with a first line of operators (not involved in field activities) speaking local dialects. It will also facilitate the proactive collection of feedback on the services provided by the ICRC by reducing possible data collection mistakes and generating instant reporting on the feedback received. Myanmar's Community Contact Centre (CCC), a professionalised application for a more robust, scalable and user-friendly solution already deployed in 13 ICRC delegations globally by a dedicated CCC team, is currently in pre-deployment phase .

**Database of grievances:** The feedback collected is currently recorded in a feedback tracker dashboard, which captures the type of feedback received, when, by whom (location, ethnicity, sex, age, disability,

among other relevant diversity factors), related to which program. Intake of feedback is digital rather than through hard copy forms.

**Procedures and decision making.** ICRC grievance mechanism procedures set out the length of time stakeholders can expect to wait for the response and resolution of their grievances. The maximum time to resolve grievances is currently set at 10 days. The current intake of grievances and feedback in Myanmar shows a large majority of cases that can be resolved by the call operators or ICRC/MRCS field staff within a short period of the information being received. After a grievance is resolved or closed, this is communicated to the complainant through the same channel of grievance submission, with date of completion recorded.

Once the CCC is operational, an even more robust response to grievance is expected: Experience from existing CCCs shows that the dedicated operators can treat the vast majority of calls, with just over 10%, according to global statistics, without needing to be transferred to provide more technical, complex or sensitive feedback. CCC operators will also run satisfaction surveys following the interaction between the programme specialist and the complainer/requester to control/verify if the issue has been addressed well and eventually resolved.

**An appeals process (including the national judiciary):** If grievances cannot be resolved and/or closed at the ICRC field staff or call operator level, it will be referred to ICRC State and Region/Sub-Delegation Offices or the ICRC National Level Delegation Office for review and resolution. Complainants always preserve their right to take their grievances to national judicial channels.

**Documentation and reporting.** Between 01 January 2021 to end June 2022, the ICRC Myanmar delegation has recorded 613 feedback cases across Myanmar, 563 of which have been resolved and 50 cases remain open for resolution. Feedback was received through the hotlines, through field officer's mobile phone and field visits, letter, email and submission to suggestion boxes. The ICRC data management system shows disaggregated data for gender, age, ethnic group, disability, location and type of feedback. Almost 69% of cases were made by males during this period; 'request' constitutes the largest feedback type at 72% with 'complaints' making up 15% of the total. Through the existing feedback reporting on this statistical information is readily available.

**Grievances related to sexual exploitation and abuse/harassment (SEA/SH).** In any case where reported allegations involved improper behaviour of ICRC staff that may constitute a violation of the Code of Conduct, including grievances related to SEA/SH, the case would be escalated to the Investigation Unit at Ethics, Risk and Compliance Office (ERCO) at ICRC's HQ where established procedures would be followed. This applies to any information of this nature however received in Myanmar, as with all ICRC delegations globally. ERCO can also be reached directly by any members of the public to report incidents that are believed to violate applicable laws, ICRC's Code of Conduct or any ICRC policy or rule. The ICRC Integrity Line is accessible via the ICRC website, is protected and secured independent of the ICRC website by EQL IntegrityLine.

The grievance mechanism will also be open to receiving SEA/SH related complaints within the project scope more broadly beyond the conduct of ICRC staff, such as those that may be related to the behavior of contractors, workers or other relevant stakeholders. These will be treated as high priority, with confidentiality, and respecting the wishes of the complainant. Such complaints, upon receipt, will be escalated to the ICRC National Level Delegation Office AAP Focal Points for consideration, response and referrals to service providers, as needed.

## **7. Resources and Responsibilities**

ICRC has its national office (delegation) in Yangon, with sub-delegations and offices in Rakhine, Shan, Kachin States and the Mandalay Region (covering implementation in Chin State, and Magway and Sagaing Regions) from where it will directly oversee implementation of the project, as well as the implementation of the stakeholder engagement activities. The AAP Focal Point in the delegation level in Myanmar, together with the AAP Focal Points at the sub-delegation level, will support the implementation of the stakeholder engagement activities for this project. EcoSec, WatHab and AAP are under the responsibility of the Head of Programmes in Yangon.

The budget for the SEP is an integral part of the project and the ICRC Myanmar wider activities as supported by other partners. Under ICRC programming, many elements of stakeholder engagement activities are already integral to the wider operations and programmes. The project budget has a cross-cutting elements budget line at 400,000USD. This will be used for the implementation of this SEP, as well as contributing to broader AAP objectives, such as the effective functioning of the hotlines and the CCC, and the capacity building and effective working of AAP and E&S focal points.

The Economic Security (EcoSec) department and the Water and Habitat (WatHab) departments, will have primary technical responsibility for the project implementation. EcoSec is responsible for Component 3 (livelihoods support through cash assistance and in-kind assistance to farmers) while the WatHab department is responsible for Component 4 (community infrastructure). Both the EcoSec and the WatHab departments have technical teams / ICRC technical field officers at the sub-delegation offices, in the States and Regions. AAP focal points embedded in the ICRC technical field teams at the State and Region level will support the broader technical teams and coordinate with and be supported by the AAP Officer in Yangon.

Myanmar Red Cross Society (MRCS) will provide limited assistance in monitoring the delivery and implementation of project support to beneficiaries for activities under Component 3. MRCS' networks allow them access to hard-to-reach areas in a timely fashion that makes it possible for ICRC to be one of the first responders to large-scale humanitarian needs in the country. ICRC retains responsibility and technical oversight of MRCS' work. MRCS staff and volunteers will be trained by ICRC staff to ensure that they understand and follow the relevant measures under the Project.

## **8. Monitoring and Reporting**

The SEP will be periodically revised and updated as necessary during project implementation by the AAP Focal points to ensure that the information presented is consistent and reflects the evolving nature of information required at different stages of the project, and that the identified methods of engagement remain appropriate and effective in relation to the project context and contextual developments. Any major changes to project related activities or schedule will be reflected in the SEP.

Quarterly summaries and internal reports on grievances, enquiries, and related incidents, together with the status of implementation of associated corrective/preventative actions, will be collated by ICRC and shared with the World Bank. Quarterly summaries will provide a mechanism for assessing both the number and the nature of complaints and requests for information, along with the project's ability to address those in a timely and effective manner.



## Annex 1. Covid-19 Specific Stakeholder Engagement Measures<sup>1</sup>

With the outbreak and spread of COVID-19, people may be advised or mandated by national law to exercise social distancing and specifically to avoid public gatherings to prevent and reduce the risk of the virus transmission.

These restrictions have implications for stakeholder engagement and participation. This Annex offers guidelines for managing public consultations and stakeholder engagement. The Annex does not provide prescriptive measures for different activities given that the COVID-19 context is evolving quickly and differently in different parts of Myanmar. However, an appropriate approach to conducting stakeholder engagement can be developed in most contexts and situations.

- Identify and review planned activities requiring stakeholder engagement and public consultations.
- Assess the level of proposed direct engagement with stakeholders, including location and size of proposed gatherings, frequency of engagement, categories of stakeholders (international, national, local) etc.
- Assess the level of risks of the virus transmission for these engagements, and how restrictions that are in effect in the country and project area would affect these engagements.
- Identify project activities for which consultation/engagement is critical and cannot be postponed without having significant impact on project timelines.
- Assess the level of digital technology penetration among key stakeholder groups, to identify the type of communication channels that can be effectively used.

The following are some considerations while selecting channels of communication, in light of the current COVID-19 context:

- Avoid large indoor public gatherings to the extent possible.
- If smaller indoor meetings are permitted, conduct consultations in small-group sessions, such as focus group meetings. If not permitted, make all reasonable efforts to conduct meetings outdoor and with social distancing, or through online channels, including webex, zoom and skype.
- Diversify means of communication and rely more on social media and online channels (such as Facebook, Twitter, WhatsApp). Where possible and appropriate, create dedicated online platforms and chatgroups appropriate for the purpose, based on the type and category of stakeholders.
- Employ traditional channels of communications (TV, newspaper, radio, dedicated phone-lines, and mail) when stakeholders do not have access to online channels or do not use them frequently. Traditional channels can also be highly effective in conveying relevant information to stakeholders and allow them to provide their feedback and suggestions.
- Where direct engagement with beneficiaries is necessary, such as for the participatory needs assessments, identify ways of direct communication with households through smaller socially distanced meeting clusters or through Facebook/WhatsApp groups.

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<sup>1</sup> This Annex is based on the World Bank's "Technical Note: Public Consultations and Stakeholder Engagement in WB-supported Operations When There Are Constraints on Conducting Public Meetings."

Stakeholder engagement and community participation are key elements of programs supported by the World Bank. To ensure that meaningful stakeholder engagement and community participation continue under the COVID-19 context, ICRC will use procedures to:

- Blend traditional mechanisms and digital solutions and disseminated through village social media (WhatsApp, Facebook) groups as well as traditional media (telephone, SMS, community radio);
- Localize the implementation of activities through village focal points, community leaders, community committees, and monitoring groups;
- Strengthen the facilitation of each set of activities

**Annex 2. Sample Grievance Intake Form**

<b>GRIEVANCE INTAKE FORM</b>				
Person Filling the Form:			Date:	
1. INFORMATION ABOUT THE COMPLAINANT				
Name Surname:			How was grievance received	
Gender:			Call center	
Phone:			Face to face	
Address:			Web-site/ E-Mail	
E-Mail:			Other (Explain)	
Stakeholder Type				
Public Institution	Project Affected People	Private Enterprise	Trade Association	NGO
Interest Groups	Industry Associations	Workers' Union	Media	University
2. DETAILED INFORMATION ON THE GRIEVANCE				
Description of the Grievance:				
Resolution method requested by the complainant				
3. DETERMINATION OF NECESSARY ACTION				
Resolution decided by the ICRC, responsible stakeholder, date of completion of action				



# Myanmar Community Resilience Project

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## Stakeholder Engagement Plan

World Food Programme

January 2023

## 1. Introduction

Under the **Myanmar Community Resilience Project (MCRP)**, the World Bank will be supporting World Food Programme's (WFP's) proposed interventions within the scope of its **life-saving food and nutrition assistance to conflict-affected populations in Rakhine State in Myanmar**. The objective of the MCRP is to maintain and build resilience of vulnerable populations to enable their future development. This Stakeholder Engagement Plan (SEP) has been prepared to comply with the World Bank Environmental and Social Standard 10 on Stakeholder Engagement and covers the interventions supported by the Project that will be implemented by WFP. During the preparation of activities under the MCRP, WFP has and will provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation. The SEP outlines the ways in which the WFP has and will continue to communicate with project stakeholders and includes the description of the grievance mechanism/community feedback mechanism used by WFP for people to raise concerns, provide feedback, or make complaints about any activities related to the project. This mechanism is essential to the success of the project to ensure smooth collaboration between the WFP and local communities, as well as to minimize and mitigate environmental and social risks related to the proposed activities.

## 2. Project Description

As described in the MCRP Project Appraisal Document, the overall project has four components:

### **Component 1. Protect Human Capital**

### **Component 2. Improve Nutrition of Vulnerable Groups**

### **Component 3. Support Sustainable Livelihoods**

### **Component 4. Ensure Access to Basic Services**

WFP will be implementing Component 1 and Component 2 under the MCRP.

The objective of the WFP interventions is to ensure conflict-affected populations in Rakhine State have access to sufficient, nutritious and safe food. The two main components that will be implemented by the WFP, as named in its project document, are described in more detail below:

**Component 1: Emergency Relief Assistance:** Provide food transfers and/or cash-based transfers (CBTs) to populations affected by crisis

The World Bank supported activities will target internally displaced people (IDPs) and other vulnerable persons in Rakhine State with cash transfers and/or a basic food basket consisting of rice, pulses, cooking oil and salt. Most of these vulnerable, food-insecure women, men, girls, boys, the elderly and persons with disabilities are reliant on WFP's life-saving assistance given the lack of livelihood opportunities, movement restrictions and security concerns. Exact locations will be decided closer to implementation to ensure the most vulnerable are targeted.

**Component 2: Nutrition:** Provide specialized nutritious foods for prevention of acute malnutrition among pregnant and lactating women and adolescent girls (PLW/Gs), and children under 5

The World Bank supported activities will provide at-risk children under five and PLW/Gs with specialized nutritious foods (SNFs) to prevent acute malnutrition in Rakhine state. SNFs are Fortified Blended Foods to ensure that the nutritional status of girls, boys and women is protected and improved, contributing to the reduction of morbidity, mortality and nutritional vulnerability among the most at-risk groups.

WFP nutrition interventions are undertaken in collaboration with UNICEF’s prevention and treatment of acute malnutrition wherever possible. To complement the provision of SNFs, WFP will also provide cooperating partners with technical support that promotes optimal Infant and Young Child Feeding (IYCF) practices through nutrition promotion sessions and counselling to caregivers (with children 6-59 months) and PLW/Gs. Exact locations will be decided closer to implementation to ensure the most vulnerable are targeted.

WFP will either directly implement Component 1 activities in Northern and Central Rakhine State, or work with and through cooperating partners (CPs) such as Save the Children, Plan International, World Vision, and others. Component 2 will be implemented through CPs such as Save the Children, Action Contre La Faim, and the Myanmar Health Assistant Association.

### **3. Summary of Previous and Ongoing Stakeholder Engagement Activities**

WFP is the largest operational humanitarian organization in Myanmar, providing life-saving food assistance and livelihoods support to over 2.5 million displaced and other vulnerable populations in conflict-affected areas in Myanmar in 2021, based on its Country Strategic Plan (2018–2022). With more than 290 staff, WFP currently operates from its Country Office in the capital Nay Pyi Taw, a support office in Yangon, and eight field offices strategically located across the country. WFP co-leads the Food Security Cluster, the Cash Working Group, Co-Chairs the Accountability to Affected Populations/Community Engagement Working Group, and actively participates in protection, gender and other coordination fora.

WFP’s commitments on accountability to affected people are mainstreamed across its ongoing operation and there is a system of focal points from each office to implement its community engagement mechanism (CEM), which encompasses information provision to beneficiaries, beneficiary engagement and participation, and feedback and complaints. The communities targeted under the World Bank supported project are communities that WFP has already been providing support to, engaging with and assessing the needs of through participatory assessments.

The activities to be supported by the World Bank are part of the WFP programming under its Myanmar Country Strategic Plan, last updated in November 2021, based on extensive consultations with a range of stakeholders, including government counterparts, development partners, national and local NGOs, communities and other relevant stakeholders. Programming is also based on multi-agency and inter-sectoral needs assessments such as the [Myanmar Humanitarian Needs Overview 2022](#), led by United Nations Office for the Coordination of Humanitarian Affairs (OCHA).

Explicitly for the activities to be supported under this Project, WFP held consultations from August 31 to September 6, 2022 through 12 focus group discussions, including with members of food management committees and beneficiary representatives in central Rakhine, northern Shan and Kachin States<sup>2</sup>, including in each location a female group, male group, food management committee

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<sup>2</sup> Initially project target areas included Shan and Kachin States, therefore consultations were held in these locations. At this time, the project will only target Rakhine State; WFP programming in Shan and Kachin States will be delivered through means outside of this project.

(FMC) group and disabilities group. The consultations focused on three key topics: (i) environment, health, safety and security; (ii) inclusion and access; and (iii) community engagement mechanism.

**Table 1. Summary of Consultation Meetings**

Location	Date	Men	Women	People with disabilities
Rakhine	31 August	11 total 4: 18-35 5: 36-59 2: 60+	10 total 5: 18-35 3: 36-59 2: 60+	7 total 1: under 18 3: 18-35 3: 36-59
Northern Shan	31 August and 1 September	9 total 3: 18-35 5: 36-59 1: 60+	9 total 1: under 18 3: 18-35 4: 36-59 1: 60+	6 total 1: under 18 3: 18-35 2: 36-59
Kachin	6 September	10 total 2: 18-35 8: 36-59	7 total 3: 18-35 3: 36-59 1: 60+	6 total 1 F, 18-35 1 M, 18-35 4 F, 36-59

The feedback and discussions are summarized below:

**Table 2. Summary of Feedback during Consultations**

Topic	Summary of Feedback
<b>Environment</b>	<p>In Kachin and northern Shan, none of the groups perceived environmental risks from the programmes. In northern Shan they spoke of disposal through municipal rubbish truck collection for the blended food packages. Similarly, in central Rakhine the FMC spoke of providing guidance to IDPs on reusing empty oil bottles and rice bags, and disposing of the nutrition commodity packages. A challenge, however, is the nutrition commodities for PLWG and children because of the extra cost of firewood to cook them with. They prefer a pre-cooked food for them. The men and women identified many issues with the camp life, two of which were connected to food insecurity or food assistance:</p> <ol style="list-style-type: none"> <li>1. They cannot afford electricity and so they are burning candle for light and charcoal for cooking dinner, both of which are environmentally hazardous plus risk of fire. Because of this risk, the women said they could only have tarpaulin shelters without thatching which is unhealthy; and</li> <li>2. It is difficult to get water across the road at the monastery, particularly for women after dark. The host community do not like having IDPs staying in the monastery and getting assistance, seeing them as causing noise and damage to the environment due to drainage and toilet.</li> </ol> <p>It is an ongoing challenge in central Rakhine that NFI response is low, resulting in WFP assistance being used for these. WFP may provide a top-up to cover water and cooking fuel, in future programming.</p>
<b>Health</b>	<ul style="list-style-type: none"> <li>• There were no food safety concerns expressed in any of the locations.</li> <li>• Positive measures were reported in WFP distributions including COVID-19 preventative poster vinyls, handwashing stands, waste bins, and distancing, plus WFP requiring people to wear a mask (distributing masks as needed).</li> <li>• In Kachin, women expressed risk of COVID-19 when visiting a Wave Money shop, where less efforts are made for COVID-19 prevention.</li> </ul>
<b>Protection</b>	<ul style="list-style-type: none"> <li>• No issues were identified relating to SEA, child labor or forced labor. The FMC in central Rakhine referred to participation of women in scooping activities during in-kind distributions, with equal wages, and exclusion of children from this work.</li> <li>• Comments relating to distributions by WFP included people with disabilities in central Rakhine indicating the 'first priority' in line given to people with disabilities, and distribution being good for vulnerable people like elderly people, pregnant and lactating women.</li> <li>• The protection concerns expressed by participants related more to general lack of safety and security, such as fear of robbery in moving to and from a Wave Money shop. There was some preference indicated for distributions inside the displacement sites, which was</li> </ul>



	<p>done for example in central Rakhine with nutrition commodities and soap distribution and it could also be done for Wave Money if an agent went into the camp.</p> <ul style="list-style-type: none"> <li>In Kachin the people with disabilities did not see high risk from using a proxy to receive cash assistance, saying it was difficult for them to go as it was far from the camp but would be good if there could be direct cash service in the camp.</li> </ul>
<b>Inclusion</b>	<ul style="list-style-type: none"> <li>Overall there was good satisfaction with the inclusiveness of WFP assistance, including by the people with disabilities who were consulted.</li> <li>In Kachin, the men referred to the prioritization practice for vulnerable people being good, and emphasized the need for regular updating, for example some child-headed households are now adults, and some families have fluctuating members and vulnerabilities. The people with disabilities said all of their households should receive 100% regardless of their vulnerability category. They also need more advance notice of e-cash to plan for cash out in time.</li> <li>In central Rakhine there was reference to inclusion of ethnic minority groups, including language issues. The FMC spoke about people coming to the location from all different places and being a diverse mixed group but all can access the information, as they help sharing with word of mouth. The other groups spoke of inclusion of those who cannot carry heavy items receiving help, like elderly, PLWs. Also better inclusion of women through digital literacy efforts by WFP. There was good communication to affected people when the modality for distribution was changed. The people with disabilities referred to the most challenging for people are those who are illiterate, blind, deaf, and with chronic illness, but the camp committee helps with useful information.</li> <li>In northern Shan the men spoke of all IDPs including ethnic minorities, and the women spoke of their inclusion in WFP assistance so that vulnerable/ethnic minorities are not left behind. They suggested to invite their participation in activity implementation, and also more participation of different age groups in meetings, including children and youth. There was also a suggestion to include staff who can speak Ta'ang language.</li> </ul>
<b>Community Engagement Mechanism (CEM)</b>	<ul style="list-style-type: none"> <li>In Kachin, all groups knew about the CEM. They mentioned communication options through letter box, helpline and in person and had no issues experienced. The men prefer an in-person meeting with the responsible person. The people with disabilities felt they get a good response from WFP and the women expressed that some people who have made requests are still waiting on response from WFP.</li> <li>In central Rakhine, people know about the CEM from the vinyl and on the ration card. The men said there are various ways to communicate to WFP. One said he had contacted WFP about on not receiving texts for Wave Money transfer in last month and was guided on how to delete unnecessary messages, and would receive the message the next day, which he did, and also they called to confirm he received it and was able to collect the cash, so he feels satisfied using CEM. The women spoke of the limitation with the CEM helpline being 9:00 am to 5:00 pm on weekdays and not including weekends. One referred to using the CEM when losing a mobile and got help to get assistance without gap. Another spoke of using the helpline to add her newborn baby to receive assistance and was satisfied and felt it was convenient. The people with disabilities had not used the CEM but said they could if they had an issue.</li> <li>In northern Shan, although there was knowledge of the CEM there was low usage of it, as they don't have an issue. But it is important to educate more about the CEM, use more vinyls and provide CEM address cards to every household. They also like to have the help desk set up when conducting activity implementation.</li> </ul>

In addition to community consultations, WFP presented the project environmental and social documents during the monthly Food Security Cluster coordination meetings with humanitarian and development partners on September 13, 2022. WFP Cooperating Partners and other humanitarian stakeholders participated in the regular coordination meeting.

The project background, as well as the environmental and social frameworks and plans, was presented and discussed. No issues or concerns were raised by stakeholders who were broadly supportive of continuing the proposed activities.

In addition, as activities to be funded by the project are part of ongoing WFP programming, WFP holds quarterly consultations with beneficiaries across all locations, and ad hoc consultations as new operational issues arise.

#### 4. Stakeholders Identification and Analysis

For the purposes of effective and tailored engagement, stakeholders of the proposed project(s) can be divided into the following core categories:

- **Affected Parties** – persons, groups and other entities within the Project Area of Influence that are directly influenced (actually or potentially) by the Project and/or have been identified as most susceptible to change associated with it, and who need to be closely engaged in identifying impacts and their significance, as well as in decision-making on mitigation and management measures;
- **Other Interested Parties** – individuals/groups/entities that may not experience direct impacts from the Project but who consider or perceive their interests as being affected by the project and/or who could affect its implementation in some way; and
- **Disadvantaged and vulnerable** – persons who may be disproportionately impacted or further disadvantaged by the Project as compared with any other groups due to their vulnerable status and that may require special engagement efforts to ensure their equal representation in consultation and decision-making process.

##### 4.1 Affected Parties

Affected Parties include local communities, community members and other parties that may be subject to direct impacts from the Project. In the context of the project-supported activities, affected parties include beneficiaries, coordinating partners and community-based organizations.

**Beneficiaries** are considered affected parties because they directly benefit from the project. Beneficiaries have preferences and feedback on project activities and how they are implemented. For this project, beneficiaries are expected to be:

- Conflict-affected persons who will benefit from the project activities
- IDPs in Rakhine State
- At-risk children under five who will be provided with specialized nutritious foods
- Caregivers for children 6-59 months
- PLW/Gs who will be provided with specialized nutritious foods
- Resident (host) communities where IDPs have settled
- Returnees (people who have returned to their communities following displacement)

**Coordinating partners** are affected parties because they participate in the implementation of project activities and are directly affected by project activities and implementation arrangements. For this project, coordinating partners are:

- Save the Children
- Plan International
- World Vision
- Action Contre La Faim
- Myanmar Health Assistant Association

**Community-based organizations** are considered affected parties because the project benefits, activities and implementation modalities directly affect the community members they represent. For this project, affected community-based organizations or representatives are:

- IDP Camp Management Committees
- Food Management Committees
- Community / religious leaders

#### 4.2 Other Interested Parties

The projects' stakeholders also include parties other than the directly affected communities, including:

- Other WFP staff (Management, Programme, RAM, Logistics, Security)
- Cluster/working group members (especially Protection and Food Security)
- Humanitarian Coordinator (HC)/Resident Coordinator's (RC) Office
- UN agencies, including OHCHR, UNHCR, UNICEF, OCHA, UN Women, UNFPA
- ICRC, Myanmar Red Cross Society (MRCS), IFRC, INGOs and local NGOs
- Development actors including UNDP, INGOs and local NGOs
- Community-based organisations, including local women's organisations and disabilities organizations; and
- Civil society
- Local and international media

#### 4.3 Disadvantaged and Vulnerable Groups

The project identifies vulnerable groups as any persons or groups who may be disproportionately impacted or further disadvantaged by the project due to their vulnerable status, and who may require special engagement efforts to ensure their equal representation in project consultation, decision-making and access to assistance processes.

Age, sex, gender, sexuality, dis/ability, religion, literacy, economic status and other factors can limit peoples' access to assistance. When considering people's access to food assistance programmes, a number of additional factors should be considered including:

- **Physical barriers:** Long distances, the presence of rivers, thick bush, weather or other obstacles can make it more difficult for people to reach programme sites.
- **Displacement:** People who are newly displaced or affected by multiple displacements might have difficulties accessing assistance if this does not follow them as they move.
- **Lack of identification documents:** Lack or loss of ID may prevent people from being registered and/or collecting food.
- **Lack of awareness:** Illiteracy, levels of exposure to information about the programme, or misinformation by vested stakeholders may all impact access.
- **Insecurity:** Threats to safety for those travelling to the programme site as well as for those remaining alone at home, is a critical factor affecting people's decision to access programmes.

Protection factors causing or exacerbating food insecurity may include:

- Socio-cultural norms limiting access to income generation for specific groups or individuals such as widows or young women;
- Discrimination and marginalization of individuals or groups based on gender, sexuality, ethnicity, social status, chronic illness or disability; and
- Insecurity affecting specific groups such as violence directed at ethnic or religious minorities, or women;
  - Intense levels of conflict;
  - Restrictions on freedom of movement.

Groups particularly at risk of being exposed to protection risks related to food insecurity may include:

- Child-headed households;
- Elderly-headed households;
- Households with high dependency rates and no or limited income generating opportunities;
- Women-headed households; and
- Households headed by the chronically ill, including persons with disabilities.

#### 4.4 Ethnic Minorities

Myanmar is one of the most ethnically diverse countries in Asia. The 2008 Constitution recognizes 135 distinct ethnic groups as “national races” in which there are eight major ethnic groups: Kachin, Kayar, Kayin, Chin, Bamar, Mon, Rakhine and Shan. These 135 groups are legalized based on the origin of 135 languages and races by British Colonial Census 1931. The largest national race is the Bamar that makes up approximately two-thirds of the Myanmar population. Other national races or ethnic groups/minorities account for approximately one third of the population. Ethnic groups, who satisfy the criteria under World Bank’s ESS7 on Indigenous People’s, reside in the states and region that will be targeted by project activities. Based on ESS7, free, prior and informed consent (FPIC) will not be required under the project as there will be no (a) adverse impacts on land and natural resources subject to traditional ownership or under customary use or occupation; (b) relocation of members of ethnic minority groups required or (c) significant impacts to cultural heritage that is material to the identity and/or cultural, ceremonial, or spiritual aspects of the affected people. While FPIC is not required, WFP will aim to hold culturally appropriate and gender sensitive free, prior and informed consultations with ethnic minorities.

Ethnic groups are considered under the disadvantaged and vulnerable groups, because some of the criteria listed above, such as lack of identification documents, exposure to conflict and displacement, restrictions of movement or inability to travel due to safety concerns, may apply to them at disproportional rates compared to the majority Bamar population. In addition, they may face discrimination or intimidation. Some ethnic minority communities may speak exclusively their own ethnic language, or may understand spoken Bamar language but may be illiterate in the written form. For these reasons, and to ensure compliance with the World Bank’s ESS7, additional stakeholder engagement measures are included below to ensure free, prior and informed consultation with ethnic minority communities to ensure that there is broad community support from them for project activities.

Based on the WFP Protection and Accountability Policy, in line with the requirements under the World Bank ESS7, and based on WFP’s existing operational practices, WFP will conduct stakeholder engagement with ethnic groups based on the following principles:

- In identifying subproject activities and beneficiaries, WFP conducts inclusive, accessible, culturally appropriate and gender-sensitive consultations with ethnic communities, as well as with NGOs, religious and community leaders, and community-based organizations representing ethnic minorities. These consultations take into account the specific obstacles that may be faced by ethnic minorities such as, access challenges, language barriers, discrimination, intimidation, and travel restrictions.
- These consultations enable ethnic groups to provide input to the design of project activities and priorities, as well as provide feedback on implementation of project activities, benefits and risks to ethnic group communities, with the objective of obtaining broad community support for project activities.

- WFP provides transparent information on project activities, benefits, eligibility criteria to ethnic minority communities, through accessible and culturally appropriate channels, trusted intermediaries, in relevant ethnic languages.
- WFP proactively identifies, consults with and reaches out to ethnic minority groups (through surveys, consultations or other means as appropriate), and includes specific culturally appropriate measures to address the potential obstacles to access for them in delivery of food and cash assistance.
- WFP ensures that its grievance mechanism (GM)/community feedback mechanism (CFM) is accessible to ethnic groups and culturally appropriate for them to bring forward grievances, through raising awareness among these groups in relevant ethnic languages, providing different intake channels etc.
- WFP and CPs employ staff and volunteers from among the ethnic groups and who speak relevant ethnic languages, as needed and feasible. For CP staff and volunteers who are from outside the ethnic communities, provide awareness raising on culturally appropriate behaviour, issues related to ethnicity, religion and marginalization.

## **5. Stakeholder Engagement Program**

WFP's commitments on accountability to affected people are mainstreamed across the operation and there is a system of focal points from each office to implement its Community Engagement Mechanism (CEM), which encompasses information provision to beneficiaries, beneficiary engagement and participation, and feedback and complaints. To sensitize beneficiaries about the CEM and information about WFP's programmes, various communication tools are used including banners, loudspeakers with recorded audio messages in local languages, on-site help desks, decentralized helplines to ensure the use of local languages, and other avenues such as SMS, messaging apps, email and suggestion boxes.

Given that many different ethnic languages are spoken in Myanmar, WFP strives to make messages available in ethnic languages, often through hiring local staff and volunteers who can speak in these languages.

WFP Myanmar's CEM operates based on the Standard Operating Procedures (SOP) issued by WFP Myanmar, and last updated in 2021. It covers:

- Initial assessment activities in the project cycle, such as communication, information and language needs for information disclosure,
- Communication channels and outreach strategy
- Staffing, WFP focal points, CP staff mapping for CEM implementation
- Roles and responsibilities for implementation
- Need for training
- Community Feedback Mechanism (CFM) procedures for intake, case management, referral and closure
- Monitoring, evaluation and information sharing

The CEM includes assessing how people communicate (who uses what medium, what languages, levels of literacy, mobile phone coverage, trusted sources of information) and involves analyzing primary and secondary data including gender related data. There is also risk analysis, protection analysis, and a privacy impact assessment which assesses the way the CEM may impact on beneficiary rights to privacy and personal data protection, so it looks at how WFP collects, records, uses, stores

and deletes beneficiary personal data and handle it confidentially. Lastly there is a mapping of stakeholders including how CPs are implementing the CEM and whether they have their own mechanisms and if so, how they link in with WFP’s CEM to ensure that there is cross-referral of cases for appropriate action.

At the planning stage, WFP decides on the scope of the CEM, the key purpose and exit plan for the specific activities. The design takes into account safety, dignity and integrity of beneficiaries, gender inequality, and age factors (seeing people of different ages access information and prefer to complain by different channels).

While WFP is steadfast in its commitment to following the principles and management cycle outlined in this SEP as rigorously as possible, it should be acknowledged that there may be barriers to doing so, many of them specific to the volatile situation in Myanmar. In cases where the political context, the security situation and/or access opportunities deteriorate, WFP may vary the engagement activities listed in the table below to avoid putting beneficiaries, its workers and its volunteers at risk.

Based on WFP’s implementation experience of its CEM and CFM, the table below outlines the stakeholder engagement plan for this project and its activities.

Table 3. Stakeholder Engagement Plan

Design and Planning Stage			
Target Stakeholders	Information Disclosure and Engagement Methods	Topics of Engagement	Responsible Party
<b>Location: Community level</b>			
<b>Affected parties:</b> - Potential beneficiaries - Host communities - IDP Camp Management Committees - WFP and CP staff implementing activities - Community / religious leaders -	- Community meetings - Small gatherings/focus group discussions - Protection analysis/vulnerability assessments/surveys - Banners - Loudspeakers with messages in local languages - On-site help desks	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism - Analysis of labor risks	WFP Staff CP Staff and Volunteers
<b>Affected parties:</b> - Disadvantaged and vulnerable groups, such as: •Child-headed households; •Elderly-headed households; •Households with high dependency rates and no or limited income generating opportunities; •Women-headed households •Households headed by the chronically ill, including persons with disabilities	- Targeted and segregated small gatherings/focus group discussions - Protection analysis/vulnerability assessments/surveys - Working with community and NGO partners who are trusted intermediaries - Banners - Loudspeakers with messages in local languages - On-site help desks	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Potential barriers to access to consultations/access to benefits, preferences for consultation and delivery modalities - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism - Analysis of labor risks	WFP Staff CP Staff and Volunteers Community intermediaries
<b>Affected parties:</b> Ethnic minorities	- Targeted and segregated small gatherings/focus group discussions - Protection analysis/vulnerability assessments/surveys - Working with ethnic group organizations who are trusted intermediaries - Banners - Loudspeakers with messages in local ethnic languages - On-site help desks  <i>Consultations will be done in a culturally appropriate and gender-sensitive manner, in relevant ethnic minority languages, and preferably by staff and volunteers hired from within the ethnic groups.</i>	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Potential barriers to access to consultations/access to benefits, preferences for consultation and delivery modalities - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism - Analysis of labor risks	WFP Staff CP Staff and Volunteers Community intermediaries
<b>Interested parties:</b> - Civil society	- Focus group discussions - Key informant interviews - Internet based meeting platforms - Regular e-mail updates - Project pamphlets - On-site help desks	- Project activities, eligibility criteria, project processes, timing, implementation arrangements - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism	WFP Staff CP Staff and Volunteers
<b>Location: State/region level</b>			
<b>Interested parties:</b> - Cluster/working group members	- Meetings - Key informant interviews - Internet based meeting platforms	- Coordination/non-duplication of efforts - Project activities, eligibility criteria, project processes,	WFP Staff

- Other development partners, INGOs, NGOs, civil society - Organizations of Persons with Disabilities (OPDs), women's organizations  - Ethnic minority organizations	- Regular e-mail updates	timing, implementation arrangements - Protection from SEA, COVID-19 risks, other potential risks to community members - Stakeholder engagement plan and grievance mechanism - Risks to project workers, safety, security	
<b>Location: National level</b>			
<b>Interested parties:</b> - Cluster/working group members - Other development partners, INGOs, NGOs, civil society	- Meetings - Internet based meeting platforms - Regular e-mail updates	- Coordination/non-duplication of efforts - Project activities, eligibility criteria, project processes, timing, implementation arrangements - Stakeholder engagement plan and grievance mechanism - Risks to project workers, safety, security	WFP Staff
<b>Implementation and Monitoring Phase</b>			
<b>Target Stakeholders</b>	<b>Information Disclosure and Engagement Methods</b>	<b>Topics of Engagement</b>	<b>Responsible Party</b>
<b>Location: Community level</b>			
<b>Affected parties:</b> - Potential beneficiaries - Host communities - IDP Camp Management Committees - WFP and CP staff implementing activities - Community / religious leaders	- Community meetings - Banners - Loudspeakers with messages in local languages - On-site help desks - Post-distribution monitoring surveys - Localized helplines - Perception surveys	- Project progress - Protection from SEA, COVID-19 risks, other potential risks to community members - Grievance mechanism - Satisfaction with project assistance	WFP Staff CP Staff and Volunteers
<b>Affected parties:</b> - Disadvantaged and vulnerable groups, such as: •Child-headed households; •Elderly-headed households; •Households with high dependency rates and no or limited income generating opportunities; •Women-headed households •Households headed by the chronically ill, including persons with disabilities	- Targeted and segregated small gatherings/focus group discussions - On-site help desks - Working with community and NGO partners who are trusted intermediaries - Post-distribution monitoring surveys - Localized helplines - Perception surveys	- Project progress - Potential barriers to access to benefits, preferences for delivery modalities - Protection from SEA, COVID-19 risks, other potential risks to community members - Grievance mechanism - Satisfaction with project assistance	WFP Staff CP Staff and Volunteers Community intermediaries
<b>Affected parties:</b> Ethnic minorities	- Community meetings - On-site help desks - Working with ethnic group organizations who are trusted intermediaries - Post-distribution monitoring surveys - Localized helplines - Perception surveys  <i>Consultations will be done in a culturally appropriate and gender-sensitive manner, in relevant ethnic minority languages, and preferably by staff and volunteers hired from within the ethnic groups.</i>	- Project progress - Potential barriers to access to benefits, preferences for delivery modalities - Protection from SEA, COVID-19 risks, other potential risks to community members - Grievance mechanism - Satisfaction with project assistance	WFP Staff CP Staff and Volunteers Community intermediaries
<b>Interested parties:</b> - Civil society	- Focus group discussions - Key informant interviews - Internet based meeting platforms	- Project progress - Protection from SEA, COVID-19 risks, other potential risks to community members	WFP Staff CP Staff and Volunteers



	<ul style="list-style-type: none"> <li>- Regular e-mail updates</li> <li>- Project pamphlets</li> <li>- Localized helplines</li> </ul>	<ul style="list-style-type: none"> <li>- Grievance mechanism</li> <li>- Feedback on project impacts</li> </ul>	
<b>Location: State/region level</b>			
<b>Interested parties:</b> <ul style="list-style-type: none"> <li>- Cluster/working group members</li> <li>- Other development partners, INGOs, NGOs, civil society</li> <li>- Ethnic minority organizations</li> </ul>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Key informant interviews</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project progress</li> <li>- Protection from SEA, COVID-19 risks, other potential risks to community members</li> <li>- Grievance mechanism</li> <li>- Risks to project workers, safety, security</li> <li>- Feedback on project impacts</li> </ul>	WFP Staff
<b>Location: National level</b>			
<b>Interested parties:</b> <ul style="list-style-type: none"> <li>- Cluster/working group members</li> <li>- Other development partners, INGOs, NGOs, civil society</li> </ul>	<ul style="list-style-type: none"> <li>- Meetings</li> <li>- Internet based meeting platforms</li> <li>- Regular e-mail updates</li> </ul>	<ul style="list-style-type: none"> <li>- Coordination/non-duplication of efforts</li> <li>- Project progress</li> <li>- Risks to project workers, safety, security</li> <li>- Feedback on project impacts</li> </ul>	WFP Staff

## 6. Grievance Mechanism / Community Engagement Mechanism

The project grievance mechanism, which is titled as “Community Engagement Mechanism” (CEM) under the WFP Myanmar operations, seeks to resolve complaints and grievances in a timely, effective, and efficient manner that satisfies all parties involved. It provides a transparent and credible process for fair, effective, and lasting outcomes. It also builds trust and cooperation as an integral component of broader community consultation that facilitates corrective actions.

Operationally, WFP’s global CEM commitment is put into practice by providing two-way communication avenues that allow:

1. Communities to express concerns, lodge complaints, ask questions, and provide feedback on WFP programmes through formalised complaints and feedback mechanisms (CEMs); and,
2. WFP to close the loop on feedback and complaints and to achieve a high first-case resolution (FCR) through the CFM.

WFP globally outlines the principles and pre-requisites for a grievance mechanism to be functional as:

- Be supported by senior leadership and staff,
- Be designed, implemented and evaluated in consultation with affected people, and other stakeholders,
- Be accessible, known and trusted, with the aim of closing the feedback loop on all actionable cases,
- Have a defined purpose, be sustainable and include an exit plan,
- Ensure confidentiality and data protection policies are applied and understood, conducting a Privacy Impact Assessment as part of this process,
- Have an information management system in place to support the functioning of the Customer Relationship Management tool(s),
- Tap into existing or – in their absence, establish – referral pathways (both internally within WFP and externally with partners), including for the management of high priority cases,
- Have a dedicated and appropriate staffing structure,
- Enable documented informed decision-making and programme adjustments, and avoid conflict of interest,
- Ensure functionality of the CEM is regulated by SOPs, including monitoring, quality assurance and consistency.

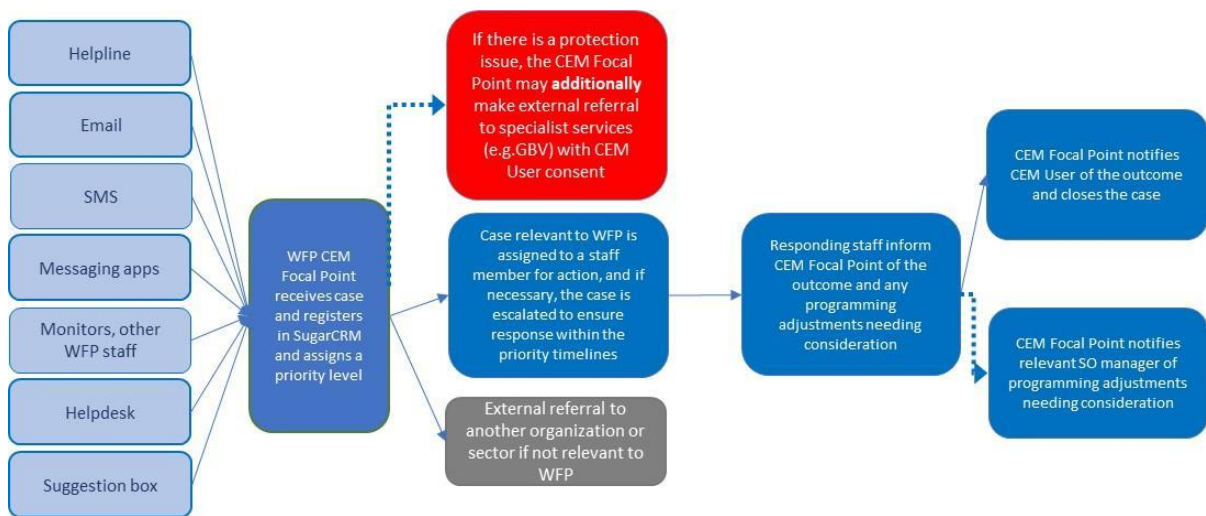
In Myanmar, the CEM is also governed by the CEM SOP, updated in 2021. The key components include a helpline (with different numbers for each office), email ([myanmar.cem@wfp.org](mailto:myanmar.cem@wfp.org)), SMS (to the same numbers as the helplines), messaging apps (such as Viber), onsite helpdesks, suggestion boxes, and face-to-face with monitoring assistants and other field staff. More detailed information on helplines and e-mails are included in the Annex.

Community committees (food management committees, project management committees, other committees) are also a key source but their inputs come through one of the other channels (such as they tell field staff or they call the helpline).

Case management involves all cases being entered into a customer relationship management database (SugarCRM) by the CEM focal points, assigned in each field office. In some cases, particularly in field locations without reliable internet, there is initial collection via WFP’s Mobile Operational Data Acquisition (MoDA) tool and KOBO tool and then uploading to SugarCRM. The Standard Complaint

Form and the CEM Intake Form are included in the Annexes. First, the CEM focal points assign a priority category to the grievance or feedback. High priority cases require action within 24 hours, medium priority cases require action in 3 days, and normal priority cases require action within 1 week. Depending on the content of the grievance or feedback, these may be referred to various WFP staff for action (there is a detailed matrix of which types of complaints are referred to which staff, and provision for escalation in case of inaction). After the case is resolved and managed, the CEM focal point ‘closes the loop’ with the CEM User on what action has been taken. There is quarterly reporting on the CEM to WFP donors and partners. Figure 1 illustrates how the CEM takes in grievances and feedback and processes these.

**Figure 1. CEM Implementation Arrangements**



The CEM has been expanding annually which reflects its relevance and the expanding awareness and trust in it – in 2018 there were approximately 1,100 cases, in 2019 approximately 2,100 cases, in 2020 approximately 6,500 cases and in 2021 there are over 8,500 cases. For the first time in 2021 there are more women than men raising cases. The CFM is an important tool to identify programming adjustments and improvements and to disseminate messages to beneficiaries on WFP’s programmatic shifts, including changes in ration size and distribution cycles, messages on protection from sexual exploitation and abuse (PSEA) and helpline services.

For activities supported under this project, WFP will use its existing CEM. WFP’s CEM fulfils the key elements of the grievance mechanism described in paragraph 2, Annex A of World Bank’s ESS10, as described above and summarized below:

**Different ways in which users can submit grievances:** Stakeholders can submit grievances through helpline phone numbers (by calling or texting), the WFP website, onsite helpdesks during distribution of assistance, suggestions boxes, or in person with field staff. Grievances can also be lodged through the community committees (food management committees, project management committees, other committees), who will then contact WFP.

**A database of grievances:** All cases are registered in writing and maintained in the customer relationship management database (SugarCRM). In some cases, particularly in field locations without reliable internet, there is initial collection via WFP’s Mobile Operational Data Acquisition (MoDA) tool and KOBO tool and then uploading to SugarCRM.

**Procedures and decision making:** The CEM SOP sets out the length of time stakeholders can expect to wait for response and resolution of their grievances. High priority cases require action within 24 hours, medium priority cases require action in 3 days, and normal priority cases require action within 1 week. After the case is resolved and managed, the CEM focal point ‘closes the loop’ with the CEM user on what action has been taken. These procedures are publicly advertised as part of the CEM communications. (See Annex 3 for an example of communications.) WFP reports publicly on the functioning of its CEM on a quarterly basis.

**An appeals process (including the national judiciary):** CEM Focal Points are responsible for receiving, registering and managing grievances. Depending on the content of the grievance or feedback and/or if the grievance cannot be resolved at the Focal Point level, grievances may be referred to various WFP staff for action. There is a detailed matrix of which types of complaints are referred to which staff, and provision for escalation in case of inaction. Complainants always preserve their right to take their grievances to national judicial channels.

### 6.1. Grievances Related to Sexual Exploitation and Abuse

WFP has a team of focal points for protection from sexual exploitation and abuse (PSEA) in each office and a Standard Operating Procedure on PSEA which includes risk analysis, awareness raising for staff, partners, contractors and beneficiaries, participation in interagency meetings on PSEA, complaints handling and survivor assistance. WFP has mandatory online training in PSEA and provides annual staff refresher sessions and training of focal points. The CEM is able to be used as the vehicle for SEA complaints, with CEM focal points being trained in handling sensitive cases including protection referrals where appropriate, assignment of high priority status and referral to Headquarters for investigation and response.

When faced with SEA-related complaints, WFP refers complainants to gender-based violence service providers in the local area, if the complainant consents to this arrangement. In cases where referrals are not possible or cases cannot be resolved by the local CEM and PSEA focal points, the case will be referred to Headquarters for investigation and response. All SEA-related complaints are treated as high priority, with confidentiality, and respecting the wishes of the complainant. These principles are outlined below, in the guidance for WFP PSEA focal points for responding to SEA-related complaints:

**Table 4. Do’s and Don’ts when Addressing SEA Incidents**

PSEA focal points <b>should</b> :	PSEA focal points <b>should not</b> :
<ul style="list-style-type: none"> <li>- Ensure your own safety and that of other staff and Cooperating Partners.</li> <li>- Ask if affected person(s) are safe at present so as to assess any immediate or medium term risk.</li> <li>- Alert medical services if assistance is required.</li> <li>- Be supportive and show empathy.</li> <li>- Inform your WFP manager and a trusted protection actor by appropriate means as soon as possible.</li> <li>- Provide accurate information about where to receive assistance, e.g. address, phone number</li> <li>- If immediate assistance is necessary (e.g. medical care), facilitate by requesting others to find transport or making phone calls on their behalf.</li> <li>- Maintain confidentiality.</li> </ul>	<ul style="list-style-type: none"> <li>- Investigate the incident or try to verify if the abuse is true</li> <li>- Interview the affected person(s)</li> <li>- Interview witnesses or others implicated in the incident</li> <li>- Provide counselling to the affected person(s)</li> <li>- Cut off or send away the person(s) seeking to share their experience</li> <li>- Document, monitor, or otherwise record details of the incident</li> <li>- Encourage the affected person(s) to report the abuse to the authorities</li> <li>- Encourage the person to return to the source of abuse e.g. family member</li> </ul>

	<ul style="list-style-type: none"> <li>- Do anything against the survivor’s wishes or without his/her consent (unless others’ lives are endangered).</li> </ul>
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## 7. Resources and Responsibilities

The WFP CEM Manager and CEM Advisory Team in Myanmar will be in charge of stakeholder engagement activities for this project. The budget for the SEP is an integral part of the project and the WFP Myanmar wider activities as supported by other partners. The budget for stakeholder engagement activities is not a stand-alone budget line, but is integrated into the budgets of two WFP departments: PGAAP (Protection, Gender, Accountability to Affected Populations) and RAM (Research, Assessment and Monitoring). These two departments hold a budget of about 850,000USD per year.

The project will support an extension of WFP’s current programs in Rakhine State. WFP will both implement directly and work closely with and through a strong pool of local and international NGOs in implementing and monitoring its program. WFP will either directly implement Component 1 activities in Northern and Central Rakhine State, or work with and through CPs such as Save the Children, Plan International, World Vision, and others. Component 2 will be implemented through cooperating partners such as Save the Children, Action Contre La Faim, and the Myanmar Health Assistant Association.

At **WFP**, the project will be overseen by the Deputy Country Director (Programme) and will be directly managed by the Head of Programme (both based in Nay Pyi Daw). At the national level, the Head of Research, Assessment and Monitoring will be responsible for monitoring and evaluation; and the Head of Protection, Gender and Accountability to Affected People will be responsible for community engagement, grievances and feedback management. There is also a CEM Manager and a CEM Advisory Team at this level. At the State level, WFP has area and field offices in Rakhine State from which the specific activities under the project will be managed. These field offices already have assigned CEM focal points and PSEA focal points. These focal points will be responsible for the implementation of the stakeholder engagement plan.

WFP conducts due diligence and capacity assessment for CPs who will be implementing some of the activities under the project. CPs are identified through an expression of interest and selected after a capacity assessment and evaluation process that ensures due diligence in the process. The assessment considers a range of capacities including gender, protection, accountability to affected populations, and protection from SEA.

CPs have deep knowledge of the country’s socio-cultural landscape and immediacy of interfacing with its communities. CPs’ networks allow them access to hard-to-reach areas in a timely fashion that makes it possible for WFP to be one of the first responders to large-scale humanitarian needs in the country. The CPs will be mobilized to support WFP in assessing, distributing, and monitoring activities of the project. WFP retains responsibility and technical oversight of CPs work. CP staff and volunteers will be trained by WFP staff to ensure that they understand and follow the relevant measures under the Project. CPs will follow the WFP CEM in receiving, responding to and managing grievances. Grievances and feedback received by the CPs will be reported to the WFP CEM Focal Point, registered in the Sugar CRM system and assigned a priority level, and will be managed in the same manner as grievances and feedback received through other channels.

## 8. Monitoring and Reporting

The SEP will be periodically revised and updated as necessary during project implementation by the CEM focal points to ensure that the information presented is consistent and reflects the evolving nature of information required at different stages of the project, and that the identified methods of engagement remain appropriate and effective in relation to the project context and contextual developments. Any major changes to project related activities or schedule will be reflected in the SEP.

Quarterly summaries and internal reports on grievances, enquiries, and related incidents, together with the status of implementation of associated corrective/preventative actions, will be collated by WFP and shared with the World Bank. Quarterly summaries will provide a mechanism for assessing both the number and the nature of complaints and requests for information, along with the project's ability to address those in a timely and effective manner.



## Standard Complaint Form to WFP

(Please send this letter to the nearest suggestion box or WFP office)

To  
 In Charge  
 Community Engagement Mechanism  
 World Food Programme

Date: DD MM YYYY

Subject/Description

To contact back for the Complaints (Please complete as much information as you feel comfortable.)

Name	:	_____	<b>Gender (Please tick)</b>	<b>Age (Please tick)</b>
Father's Name	:	_____	<input type="checkbox"/> Male	<input type="checkbox"/> 0-17
ID Card No. (NRC/Scope/ Ration Card/Other)	:	_____	<input type="checkbox"/> Female	<input type="checkbox"/> 18-59
Household Size	:	_____	<input type="checkbox"/> Other	<input type="checkbox"/> 60+
Room No./Shelter No. or House No./Street	:	_____	<input type="checkbox"/> Group	<input type="checkbox"/> Don't want to say
Camp/Ward/Village	:	_____	<input type="checkbox"/> Don't want to say	
Village Tract or Town	:	_____		
Township	:	_____		
Contact Ph No	:	_____		

Keep this complaint confidential?  Yes  No (Please tick)

This is a free form distributed by WFP. It is accessed freely at distribution points and can be taken from the activity committee members.

\_\_\_\_\_  
 Signature

Office: *to add your office*

Email: [myanmar.cem@wfp.org](mailto:myanmar.cem@wfp.org)

Number: *Office CEM Helpline*

Website: [www.wfp.org/countries/myanmar](http://www.wfp.org/countries/myanmar)



ကုလသမဂ္ဂ ကမ္ဘာ့စားနပ်ရိက္ခာ အစီအစဉ် - မြန်မာ

## WFP သို့ ပေးစာ

ဤစာကို နီးစပ်ရာရှိခေါင် (သို့မဟုတ်) ငွေကြေး ဖြန့်ဝေသည့်နေရာရှိ အကြံပြုစာပေးတွင်ထည့်နိုင်ပါသည်/WFP ရုံးသို့လည်းပို့ပေးနိုင်ပါသည်။

သို့

တာဝန်ခံ

လူထုပူးပေါင်းဆောင်ရွက်မှုစနစ်

ကုလသမဂ္ဂ ကမ္ဘာ့စားနပ်ရိက္ခာ အစီအစဉ်ရုံး (WFP)

ရက်စွဲ။ ( ) ရက်၊ ( ) လ၊ ၂၀ ခုနှစ်

အကြောင်းအရာ။ ။

စာပို့ရင်ကို ဆက်သွယ်ရန်အချက်အလက်များ (မိမိပြော၍ အဆင်ပြေသော အချက်အလက်များကိုသာ ဖြည့်ရန်)

အမည် :	_____	ကျား/မ (အမှန်ဖြစ်ပါ)	အသက် (အမှန်ဖြစ်ပါ)
အဖအမည် :	_____	<input type="checkbox"/> ကျား	<input type="checkbox"/> ၀ - ၁၇
မှတ်ပုံတင်အမှတ်/Scope/ ရိက္ခာကိစ္စအမှတ်/အခြား) :	_____	<input type="checkbox"/> မ	<input type="checkbox"/> ၁၈ - ၅၉
မိသားစုဝင် အရေအတွက် :	_____	<input type="checkbox"/> အခြား	<input type="checkbox"/> ၆၀ အထက်
အခန်းအမှတ်/Shelter အမှတ် (သို့) အိမ်အမှတ်/လမ်း) :	_____	<input type="checkbox"/> အုပ်စု	<input type="checkbox"/> မပြောချင်ပါ
Camp/ရက်ကွက်/ရွာအမည် :	_____	<input type="checkbox"/> မပြောချင်ပါ	
ကျေးရွာအုပ်စု (သို့) မြို့အမည် :	_____		
မြို့နယ်အမည် :	_____		
ဆက်သွယ်ရန်ဖုန်းနံပါတ် :	_____		

ဤစာပါအကြောင်းအရာများကို လျှို့ဝှက်ထားရန်  လိုပါသည်  မလိုပါ (အမှန်ဖြစ်ပါ)

ဤပုံစံကို WFP မှ အခမဲ့ဖြန့်ဝေပါသည်။ နီးစပ်ရာ ကော်စီတီဝင် (သို့မဟုတ်) ဖြန့်ဝေသည့်နေရာ/ ရုံးခေါင်မှာ ရနိုင်ပါသည်။

\_\_\_\_\_ လက်မှတ်

Office: to add your office  
Email: [myanmar.cem@wfp.org](mailto:myanmar.cem@wfp.org)

Number: Office CEM Helpline  
Website: [www.wfp.org/countries/myanmar](http://www.wfp.org/countries/myanmar)



**Annex 2. CFM Intake Form**

<b>Subject*</b>		
<b>Case description*</b>		
<b>Consent*</b>		
Consent: Registration/collection of personal information	Yes	No
Consent: Sharing personal data with relevant partners or WFP relevant units/persons to take the case forward (third party)	Yes	No
<b>CEM user information</b>		
Type of CEM User*		
Name		
Father's Name		
Contact No		
Alternative Contact Number		
Location (current)		
Location (Original)		
Gender*		
Age*		
Status of CEM user/caller*		
<b>WFP Programme (Transfer Modality and Programme activities)</b>		
Cooperating Partner*		
Transfer Modality*		
WFP Programmes (activities)*		
WFP Programmes Sub-category*		
Case Category*		
Case Sub-Category*		
<b>Additional info</b>		
Preferred method of follow-up		
Preferred time of contact		
Communication channel used to submit feedback*		
How did you learn about the CEM?		
<b>Additional info (2)</b>		
Any identify number		
Household Size		
Person with disability (if expressed)		
Timeline (Case)*		
Case status*		
Resolution*		
Brief explanation on resolution		



