

Uttar Pradesh Core Road Network Development Project (P147864)
DRAFT - COMPLIANCE MATRIX

| Sl. No. | Comment | Matrix |
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| | I was unable to find the annexures. | Please add the annexes |
| | The executive summary is too long . It should have the main and important points of the report but not the details | We have deliberately made Executive Summary in bit detail as this will be translated in Hindi and distributed among the PAPs and other stakeholders. We have however shortened the summary. |
| | Under Study method , please add bullet to include “ Consultation and development of mitigation measures” | Bullet has been added in page number 1-3 in section 1.4 |
| | It would be useful to have a map of the project roads that have been identified. | Please add map of phase I roads. |
| | Social Profile: This needs more secondary data particularly on gender, IP groups and , poverty levels, etc. | Information on gender, indigenous people and poverty has been added in page number 2 -19 to 2-21 |
| | Roles and responsibilities: Point 4.4 and table 5.4 does not provide for any involvement of the E*S nodal officers in the Grievance redress committee while the GRM provides the major role to them. Although their role is important but the GRC also needs to have some members with authority who can resolve issues. Point 5.12 There is a need to mention that “all complainants will have the option of accessing the court of law”. | As suggested role of Environmental and Social Nodal Officer in grievance redress mechanism has been added in point 4.4 on page number 4-9 and in table 5.4 on page number 5-34. As suggested a sentence mentioning that all PAPs have the option of approaching judiciary has been added in section 5.13 on Grievances on page number 5 -36. |
| | Under point 5.3 there is a need for including a point to state “ the ESMF/SMF will provide guidelines for preparing mitigation plans for negative social impacts.” (Please note that this is an important role of the ESMF) | Has been added in point number 3 of section 5.3 on page number 5-1. |
| | Under point 5.6 please include A consultation strategy , a disclosure plan and a capacity building plan | Has been added in section 5.6 on page number 5-6. |
| | The ESMF is mitigation for OP 4.12. It is important to ensure that a standalone IPPF is submitted as mitigation for OP 4.10 . Along with the points documented for an IPPF there is a need to include an entitlement matrix, customized monitoring indicators and a customized Grievance redress mechanism | Schedule tribe families have been considered as vulnerable in the project specific R&R policy. All vulnerable families including STs are entitled for additional entitlement of INR 50,000. Entitlement (page number 5-16 under section 5.8.4); a customized grievance mechanism (page number 5.20 and 5-21 under section 5.9.6) and monitoring indicators (page number 5-16 under section 5.9.2) have been added in IPPF. |
| | Entitlement matrix: This needs more work | The clause 5.2(d) of the R&R policy mentions that |

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| | <p>and some clarification in terms of the following; How is depreciation going to be handled, where is the indexation for inflation for cash compensations.</p> <p>Point B item e states-- 25 percent of the compensation amount as severance allowance if remaining structure become unviable. Please clarify how this can be considered replacement value.</p> <p>Point D, Please refer to point (c) . OP 4.12 does not distinguish between vulnerable and non- vulnerable encroachers in terms of mitigation for impacts.</p> <p>The report mentions relocation but the entitlement matrix does not have any entitlement. Is there a negative list that rules out relocation? How will relocation be addressed if required later?</p> <p>There is also no entitlement for loss of access to residences/ businesses etc.</p> | <p>compensation paid to the PAPs will be equivalent to replacement value of the property. Clause 13 of the policy mentions that policy will be reviewed annually. The annual revision of policy will ensure that inflation is taken care off.</p> <p>Since compensation amount to be paid will be replacement value, 25% of severance allowance will also be on replacement value.</p> <p>Vulnerable encroachers have been distinguished from non-vulnerable so that additional benefits can be given to them.</p> <p>The RAP mentions relocation of Kiosks and squatters having temporary structures. These are primarily small time tea vendors or selling tobacco and / or betel leaves. These squatters and vendors will be moved out of COI and therefore their livelihood will not be affected. However, as per clause J 11 of entitlement matrix if 25 or more than 25 such vendors come together for relocation, project will develop vendor market for them. However, it is unlikely that 25 vendors can come together as not more than 10 vendors will be affected at one single location.</p> <p>A paragraph has been added in page 5-10. Contractor will ensure that access to residences or business is not blocked during construction. The NGO responsible for RAP implementation and M&E consultants will bring it to the notice of project authorities if contractor fails to do so. In such case, PAPs will be assisted by the project as per clause H9 of the policy.</p> |
| | <p>Table 5.7 M&E: Please review the indicators shown under social wellbeing and relate them to project impacts/mitigation and what the project will be responsible for providing and monitoring</p> | <p>Has been done as suggested on page number 5-38.</p> |
| | <p>The budget does not have provisions for the RAP/SIA</p> | <p>Budget now shows heads to be covered for RAP and IPDP on page number 5-38.</p> |