## DOMINICAN REPUBLIC HURRICANE FIONA EMERGENCY RESPONSE PROJECT

**Version for Negotiations** 

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

November 22, 2022

## **ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

- 1. The Dominican Republic (the Borrower) will implement the Hurricane Fiona Emergency Response Project (the Project), with the involvement of the Ministry of the Presidency ("MINPRE") and the Ministry of Economy, Planning and Development ("MEPyD"), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the Project, as set out in the referred agreement.
- 2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
- 3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
- 4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower through the MINPRE and the MEPyD and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Minister of MINPRE or of the MEPyD. The Borrower shall promptly disclose the updated ESCP.

A	PRING AND REPORTING  REGULAR REPORTING  Prepare and submit to the Bank regular monitoring reports on the environmental, social,	Starting from the Effective Date,	
A		Starting from the Effective Date	
	health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).	semester reports during the Project's implementation jointly with the Project Reports required under the Loan Agreement.	MINPRE and the MEPYD
В	INCIDENTS AND ACCIDENTS  Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate.  Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.  The POM shall contain an annex of the minimum content of the incident/accident report.	Notify the Bank within 48 hours after learning of the incident or accident.  A full report shall be provided within a timeframe acceptable to the Bank, as requested.	MINPRE and the MEPyD
С	contractors' Monthly Reports  a. Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit such reports to the Bank. The report shall cover, inter alia:  • Environmental and Social Audit Reports • Status of implementation of Contractor's ESMP (C-ESMP) • ESHS incidents • ESHS supervision • Usage of Personal Protective Equipment (PPE) such as hard hats, safety shoes and safety vests by workers and masks and other equipment needed to prevent the spread of COVID-19 • Training conducted, and workers participation • Workers grievances • Community grievances b. The terms of reference TORs for the E&S Audit Reports to be outlined in the ESMF and	<ul> <li>a. Monthly reports, including E&amp;S Audit Reports, submitted to PIUs as specified in the procurement contracts. Reports to be submitted to the Bank upon request.</li> <li>b. Same timeframe that action 1.3 below.</li> </ul>	MINPRE and the MEPyD

MATER	IAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
1.1	<ul> <li>ORGANIZATIONAL STRUCTURE</li> <li>a. MINPRE and MEPyD shall each establish and/or maintain, as applicable, a Project Implementation Unit (PIU) with qualified staff and resources to support management of ESHS risks and impacts of the Project. This shall include as a minimum of one dedicated Environmental Specialist and one dedicated Social Specialist, with qualifications and experience acceptable to the Bank In addition, MINPRE will have an Occupational Health and Safety (OHS) Specialist based in the project area for the duration of civil works.</li> <li>b. The PIU for Part 1 of the Project shall mobilize E&amp;S Supervisors (comprising at minimum 1 environmental specialist and 1 social specialist) to oversee the compliance of civil works activities with the Project's E&amp;S instruments, as per parameters and terms of reference (ToR) to be p agreed with the Bank.</li> </ul>	a. The Environmental Specialist, the Social Specialist and the OHS Specialist for the MINPRE PIU shall be hired or assigned for the Project no later than 60 days after the Effective Date. The environmental specialist and the social specialist for the MEPyD PIU shall be hired or assigned for the Project no later than 60 days after the Effective Date. The PIUs and required specialists shall be maintained throughout Project implementation.	a. MINPRE and MEPyD  b. MINPRE
		b. E&S Supervisors to be mobilized 1 month prior to the start of civil works under Part 1 of the project.	
1.2	ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK  a. Prepare, consult, finalize, disclose and implement an Environmental and Social Management Framework (ESMF), in accordance with the ESSs, the EHSGs, and other relevant Good International Industry Practice (GIIP), and in a manner acceptable to the Bank. The ESMF shall include, inter alia, a screening procedure, and procedures and requirements for carrying out sub-project Environmental and Social Impact Assessments (ESIAs) and Environmental and Social Management Plans (ESMPs) where required, as well as generic environmental and social procedures/plans applicable for the specific Project activities. The table of contents of the ESMF shalll be agreed with the Bank, including Vector and Pesticide Management Procedures; Infectious Disease Response Procedure; Occupational Health and Safety Procedure).	ESMF to be prepared, consulted, finalized and disclosed no later than ninety (90) days after the Effective Date.	MINPRE and MEPyD
1.3	MANAGEMENT TOOLS AND INSTRUMENTS  Screen any proposed Project activity in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project, and, thereafter, prepare,	For Project activities that require the preparation of ESIAs and/or ESMPs, these shall be prepared, consulted with	MINPRE and MEPyD

MATER	IAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	consult, disclose, adopt and implement sub-project Environmental and Social Impact Assessments (ESIAs) and Environmental and Social Management Plan (ESMPs), as required, in a manner acceptable to the Bank. This includes screening and excluding from the Project any Project activity that may fall within the exclusion criteria to be set out in the ESMF.  The PIUs shall screen and exclude from the Project any Project activity that may fall within the exclusion criteria to be set out in the ESMF.	stakeholders, and (when required as per the ESMF) submitted for the Bank's review and approval, and thereafter adopted and disclosed prior to launching the respective bidding process and shall be implemented throughout the execution of associated works. The ESIAs/ESMPs shall be included in the contracts with contractors. No civil works shall start before the ESIAs/ESMPs have been prepared, consulted and disclosed, and — when required as per the ESMF — approved in advance by the Bank.	
1.4	<ul> <li>MANAGEMENT OF CONTRACTORS</li> <li>a. Incorporate the relevant aspects of the ESCP, including requirements as per the ESIAs and ESMPs, other relevant E&amp;S documents and/or plans, and the Labor Management Procedures, and Code of Conduct, into the ESHS specifications of respective bidding and contract documents for contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts.</li> <li>b. Carry out supervision of the contractors during the implementation of the Project to ensure that they comply with all relevant ESHS specifications and codes of conduct as specified in their respective contracts, and take the corrective actions as required.</li> <li>c. Incorporate relevant aspects of the ESCP, including of the ESMF, ESIAs, ESMPs, the LMP as well as applicable OHS provisions of the ESMF, into contracts with supervision firms, and oversee their work to ensure appropriate quality of supervision and management of ESHS risks related to their assignments.</li> </ul>	a. As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation, including before mobilization of each contractor and maintained throughout the construction period.  b. Throughout Project implementation and reported in the monthly (action C) and quarterly reports (action A above).  c As part of the preparation of procurement documents and respective contracts, and thereafter throughout Project implementation.	MINPRE and MEPyD
1.5	TECHNICAL ASSISTANCE	Throughout Project implementation.	MINPRE and MEPyD

MATER	IAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	Ensure that the consultancies, studies, capacity building, training and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank, that duly incorporate and take into consideration the requirements of the ESSs, as applicable. Thereafter ensure that the outputs of such activities comply with the terms of reference		
1.6	Activities subject to retroactive financing shall be selected based on eligibility criteria set in the ESMF and POM, and due diligence shall be conducted to identify any required actions to ensure that such expenditures meet the requirements of the ESSs.  Such due diligence shall include an E&S Audit to be carried out prior to the Bank's approval for financing, as per parameters and ToR to be agreed with the Bank, and as specified in the ESMF and POM. The respective E&S Audit shall also include any corrective action plans or measures (including amendments to existing work contracts) to address any identified E&S risks and impacts, in accordance with the ESSs.  Any activities with substantial or high risks shall be ineligible for retroactive financing, as further defined in the ESMF and POM.	Where an E&S Audit is required as per the ESMF and the POM, such E&S Audit shall be completed in a manner acceptable to the Bank prior to authorization for disbursement of funds against retroactively financed activities and expenditures, as set out under the Loan Agreement. Any corrective action plans or measures identified in such E&S Audits shall be implemented in a manner and timeframe acceptable to the Bank.	MINPRE and MEPyD
ESS 2:	LABOR AND WORKING CONDITIONS		
2.1	LABOR MANAGEMENT PROCEDURES  Develop, adopt and implement Labor Management Procedures (LMP) for the Project in accordance with the applicable requirements of ESS2, in a manner acceptable to the Bank, including, inter alia, adequate occupational health and safety measures (including emergency preparedness and response measures), grievance arrangements for Project workers, a worker Code of Conduct, and other labor requirements to be incorporated into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. The LMP shall also include specific prohibitions in terms of child labor and forced labor.	LMP to be adopted not later than 90 days after the Effective Date. All measures specified in the LMP to be implemented throughout Project implementation.	MINPRE and MEPyD
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS  Establish, maintain, and operate a grievance mechanism specifically for Project workers, as shall be described in the LMP and consistent with ESS2.	Labor Grievance Mechanism shall be specified in the LMP and implemented throughout Project implementation.	MINPRE and MEPyD
2.3	occupational Health and Safety (OHS) MEASURES  a. Develop and implement: (i) an Occupational, Health and Safety Plan (OHSPs) and (ii) an Infectious Disease Prevention and Response Procedures (IDPRPs) as part of the development and implementation of sub-project ESIAs and ESMPs, in accordance with relevant guidelines to be specified in the ESMF and LMP.	a. Same timeframe as for the preparation and implementation of the sub-project ESIAs and / or ESMPs as per action 1.3 above	MINPRE and MEPyD

MATER	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY	
	b. Incorporate relevant OHS measures in bid and contract documents for all construction and supervision firms.	b. Prior to the bidding process of each works package and supervision contract.		
	<ul><li>c. Ensure that the supervision firms hire specialists in OHS.</li><li>d. Ensure that the OHSP and IDRP measures specified are implemented at each of the individual worksites.</li></ul>	<ul><li>c. Prior to the bidding process for the supervision firms.</li><li>d. Throughout implementation of each</li></ul>		
2.4	EMERGENCY PREPAREDNESS AND RESPONSE  Develop and implement an Emergency Response Plan (ERP) as part of the sub-project ESIAs and ESMPs, in accordance with the guidelines to be specified in the ESMF. Ensure workers and contractors are trained and implement the plan.	individual work.  Same timeframe as for the preparation and implementation of the sub-project ESIAs and / or ESMPs as action 1.3 above.	MINPRE and MEPyD	
2.5	PROJECT WORKERS TRAINING Implement, and require contractors and supervision consultants to implement to their personnel, capacity building activities for Project workers, designed to raise awareness about the health and safety risks and management measures associated with project activities as shall be specified in the LMP and the ESMF.	As a part of the on-boarding process for any worker involved in the Project, as well as refresher sessions throughout the execution of works	MINPRE and MEPyD	
	<ul> <li>Specifically, this shall include:</li> <li>Codes of conduct and other topics related to managing risks stemming from labor influx and workforce management, for contractors.</li> <li>Orientation on OHSPs, IDPRPs and ERPs, including for any community workers.</li> <li>Awareness of the labor grievance mechanism under the Project, for all Project workers.</li> </ul>			
2.6	CODE OF CONDUCT  Establish provisions in the bidding documents for contractors and supervision firms to establish a Code of Conduct to be signed by all workers, including any subcontractors, and establishing the minimum content of said code. The Code of Conduct must be based on the guidelines contained in the LMP and should address, among other issues, the risk of Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH) in the workplace.  RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT	As part of the preparation of procurement documents and respective contracts. Supervise throughout Project implementation.	MINPRE and MEPyD	

MATER	RIAL MEASURES AND ACTIONS	TIMEFRAME RESPONSIBLE ENTITY		
3.1	HAZARDOUS MATERIALS AND HAZARDOUS WASTE MANAGEMENT  Ensure full implementation of guidelines/codes to be specified in the ESMF related to the following topics, as may be further specified in sub-project ESMPs:  Management of hazardous and non-hazardous solid waste  Wastewater management  Sludge management (if water and sanitation works are financed)  Vector and pesticide management	Same timeframe as for the preparation implementation of the sub-project ESIAs and / or ESMPs as action 1.3 above.	MINPRE and MEPyD	
3.3	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Resource efficiency and pollution prevention and management measures shall be covered under the ESMF and ESMPs to be prepared under action 1.3 above.	Same timeframe as for the preparation implementation of the sub-project ESIAs and / or ESMPs as indicated in action 1.3 above.	MINPRE and MEPyD	
ESS 4:	COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY  Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in sub-project ESIAs and ESMPs to be developed under action 1.3 above.	Same timeframe as for the preparation and implementation of sub-project ESIAs and / or ESMPs as indicated in action 1.3 above.	MINPRE and MEPyD	
4.2	COMMUNITY HEALTH AND SAFETY  Prepare, adopt, and implement measures and actions to assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, community risk of exposure to COVID-19 and other infectious diseases, behavior of Project workers, risks related to labor influx, response to emergency situations, and informal waste-picking, and include these measures in sub-project ESIAs and ESMPs to be prepared in accordance with the ESMF.	Same timeframe as for the preparation and implementation of sub-project ESIAs and / or ESMPs as indicated in action 1.3 above.	MINPRE and MEPyD	
4.3	INFRASTRUCTURE AND EQUIPMENT DESIGN AND SAFETY  Screen all proposed Project activities taking into consideration infrastructure safety requirements. For activities situated in high-risk locations including those with risk of extreme weather or slow onset events, and where their failure or malfunction may threaten the safety of communities, one or more independent experts, separate from those responsible for design and construction, and with prior experience acceptable to the Bank, shall undertake a review of the engineering designs and provide independent oversight throughout Project construction, operation and decommissioning, in accordance with ESS4.	Initial review of engineering designs shall be completed prior to the issuing of bidding documents of the applicable Project activity. Independent review shall be provided throughout construction, operation and decommissioning, as agreed with the Bank and in accordance with ESS4.	MINPRE and MEPyD	

4.4 UNIVERSAL ACCESS	TIMEFRAME	RESPONSIBLE ENTITY
Reconstruction and civil works shall incorporate the concept of universal access as part of works' design, as shall be described in the ESMF. These include	Same timeframe as for the preparation and implementation sub-project ESIAs and / or ESMPs as indicated in action 1.3 above.	MINPRE and MEPyD
4.5 LIFE AND FIRE SAFETY CONSIDERATIONS  Life and fire safety considerations in line with national legislation and the WBG's EHS shall be covered as applicable under the ESMF and ESMPs to be prepared under action 1.3 above.  SS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT	Same timeframe as for the preparation implementation of the sub-project ESIAs and / or ESMPs as indicated in action 1.3 above.	MINPRE and MEPyD
nis standard is not relevant.		
<ul> <li>a. The ESMF shall contain an exclusion list of activities that may result in the long term, permanent or irreversible negative E&amp;S risks and impacts on highly sensitive areas in terms of their biodiversity importance, which shall not be financed under the Project.</li> <li>b. Incorporate and implement procedures, as shall be specified in the ESMF and further detailed where required in sub-project ESIAs and/or ESMPs, to ensure that activities financed by the Project do not take place on or adversely impact biodiversity and environmentally sensitive areas, particularly in natural or critical habitats.</li> <li>c. In the event that a specific ESIA identifies the need for a Biodiversity</li> </ul>	a. Same timeframe as for the preparation of the ESMF, as indicated in action 1.2 above.  b. Same timeframe as for the preparation and implementation of the ESIAs and/or ESMPs, as indicated in action 1.3 above.  c. Obtain Bank approval prior to initiating bidding processes for associated works. Thereafter, implement the BMP as indicated.	MINPRE and MEPyD

MATER	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
8.1	CULTURAL HERITAGE  As part of sub-project ESIAs and ESMPs, carry out an assessment of tangible and intangible cultural heritage present in areas which may be affected by Project activities in accordance with requirements to be specified in the ESMF. If an ESIA and / or ESMP identifies the need to develop, adopt and implement a Cultural Heritage Management Plan (CHMP), this shall be developed in accordance with the requirements of ESS 8.	Same timeframe as for the preparation and implementation of the sub-project ESIAs and/or ESMPs, as indicated in action 1.3 above.	MINPRE and MEPyD
8.2	CHANCE FINDS PROCEDURE  Develop, reflect in the ESMF, adopt, and implement a Chance Finds Procedure for each project activity involving civil works as part of corresponding ESIAs and or ESMPs. Contractors and third parties involved in civil works will comply with the procedure and the Client will enforce it.	Develop Chance Finds Procedure as part of ESMF, as per timeline indicated in 1.2 above. Incorporate and implement in sub-project ESIAs and/or ESMPs, as indicated in action 1.3 above.	MINPRE and MEPyD
ESS 9:	FINANCIAL INTERMEDIARIES		
	andard is not relevant		
ESS 10	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE		
10.1	a. Consult, finalize and disclose the initial draft Stakeholder Engagement Plan (SEP) prepared for the Project. The SEP shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult and enable their participation through a socially inclusive approach, which is free of manipulation, interference, coercion, discrimination and intimidation.	a. Initial draft SEP already prepared and disclosed. SEP shall be consulted, finalized and disclosed and no later than ninety (90) days after the Effective Date.	MINPRE and MEPyD
	<ul><li>b. Implement the SEP.</li><li>c. Continuously update the SEP and develop specific consultation strategies before specific Project activities begin.</li></ul>	<ul><li>b. Throughout Project implementation.</li><li>c. Before start of activities for each specific Project activity.</li></ul>	
10.2	PROJECT GRIEVANCE MECHANISM  Develop, adopt, maintain and operate a project level Grievance Redress Mechanism (GRM), as described in the SEP.	The GRM shall be operational and adequately resourced in the same timeframe as 10.1 above and maintained throughout project implementation. It shall be updated periodically as required, through Project implementation. Any update of the GRM shall be submitted for approval to the Bank. Once operational, it shall be monitored throughout Project implementation.	MINPRE and MEPyD

MATERIAL MEASURES AND ACTIONS			TIMEFRAME	RESPONSIBLE ENTITY
CAPAC	ITY SUPI	PORT		
CS1	Develop and implement an environmental and social training plan acceptable to the		The detailed plan shall be presented no	MINPRE and MEPyD
	Bank to ensure the required capacity for environmental and social implementation of		later than three (3) months after the	
	the Project. The plan shall include a schedule, budget, goals, and indicators. The training		Effective Date.	
	shall be focused on project workers, contractors, and community-based organizations.		/	
	Tr		Trainings shall be provided during	
	The p	an may include, in particular, the following:	Project implementation by the PIUs	
			and/or their supervision consultants or	
	•	Environmental and social management instruments for the Project	other consultants retained for Project	
	•	Integrated waste management for hazardous and non-hazardous waste	support, in accordance with an agreed	
	•	Occupation Health and safety for the workforce	timeline as per the plan.	
	•	Infectious Disease Prevention and Response		
	•	Participatory Monitoring and Evaluation		
	•	Climate change resilience	, ,	
	•	Community and worker health and safety		
	•	GBV Risk Mitigation		
	•	Prevention and Response Measures and the development and implementation		
		of GBV measures (including among contractors, workers and civil servants		
		involved in the Project)		
	•	Inclusion training to ensure non-discrimination of socially vulnerable		
		populations including poor, illiterate, disabled, sexual and gender minorities		
	•	Grievance Management		