



Concept Environmental and Social Review Summary

Concept Stage

(ESRS Concept Stage)

Date Prepared/Updated: 09/12/2019 | Report No: ESRSC00725



BASIC INFORMATION

A. Basic Project Data

Country	Region	Project ID	Parent Project ID (if any)
World	OTHER	P170861	
Project Name	Additional Financing for DGM Program and Global Learning and Knowledge Exchange Project		
Practice Area (Lead)	Financing Instrument	Estimated Appraisal Date	Estimated Board Date
Environment, Natural Resources & the Blue Economy	Investment Project Financing		12/30/2019
Borrower(s)	Implementing Agency(ies)		

Proposed Development Objective(s)

The objective of the Global Learning and Knowledge Exchange Project is to organize and facilitate knowledge exchange, learning and capacity building for IPLCs at regional and global levels, and to strengthen the networks and alliances of IPLC organizations within and across regions with a view to enhancing their representation and voice in regional and global policy fora.

Financing (in USD Million)	Amount
Total Project Cost	2.30

B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?

No

C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]

The DGM Global Learning and Knowledge Exchange project aims to organize and facilitate knowledge exchange, learning and capacity building on REDD+ and climate change issues at regional and global levels and to strengthen the networks and alliances of IPLC organizations within and across regions with a view to enhancing their representation and voice in regional and global policy fora. In addition, the Project provides technical assistance and secretariat services to the Global Steering Committee of the DGM and, monitoring and reporting.

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This is an additional financing for the DGM Global learning and Knowledge Exchange Project. The additional financing will continue to support IPLCs and national DGM projects as per original objective, including new countries that have been recently approved by the FIP Sub-Committee (Mozambique and Cote d'Ivoire) and those that are currently under the preparation phase (Guatemala, Nepal, Ecuador, and Republic of Congo).

D. Environmental and Social Overview

D.1. Project location(s) and salient characteristics relevant to the ES assessment [geographic, environmental, social] The DGM Program and Global Learning and Knowledge Exchange Project will fund capacity building activities (i.e. training on communication skills, policy dialogue, fundraising) on REDD+ and climate change issues and will organize knowledge exchanges to strengthen networks and alliances of IPLCs (Indigenous People and Local Communities). The project will also provide a space and structure for better coordination, knowledge sharing and overall collaboration between IPLC in and outside the program. While the project will support ongoing and new DGM projects in Burkina Faso, Brazil, Ghana, DRC, Peru, Mozambique, Cote d'Ivoire, Guatemala, Nepal, Republic of Congo, Indonesia and Mexico this will only be to provide capacity building and facilitate knowledge exchanges through the Global Executive Agency, thus specific locations are still not determined and investments in sub-projects and works at the country level are not supported through this project.

The Global project's specific activities are unlikely to generate negative environmental and social impacts. Moreover, the leadership role of IPLCs in the governing body of the program ensures that the stakeholders steer the program which will lead to positive outcomes on the social side.

D. 2. Borrower's Institutional Capacity

The DGM Program administrative organization and structure revolves around two levels - the Global and the Country-based. This structure is defined in the Forest Investment Program (FIP) Design Document, and will complement the FIP investment plans and programs in each country. At the Global level there is the FIP-Committee, Global Steering Committee (GSC), and Global Executing Agency (GEA). The GEA is Conservation International (CI) that was selected through a competitive process administered by World Bank and will implement activities of the Global Project in compliance with the World Bank's ESF.

The GEA has previous good experience working with World Bank safeguards policies. Specific capacity building on ESF will be further evaluated by the World Bank and if needed provided to the GEA before appraisal. A Programmatic Environmental and Social Management Framework was designed at the DGM Program level to be used as the operating safeguards umbrella for each DGM country project to provide overarching principles and guidance in line with World Bank's safeguard policies. The ESMF is focused on the country-level projects that are likely to generate negative environmental and social impacts – which is not the case for the activities being financed under this Trust Fund.

The Bank will provide support to the GEA to comply with the commitments based on the relevant Environmental and Social Standards of the WB Environmental and Social Framework (ESF).

II. SCREENING OF POTENTIAL ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS



A. Environmental and Social Risk Classification (ESRC)

Low

Environmental Risk Rating

Low

Knowledge activities under the global portion of the program for which this AF is sought are not likely to generate negative environmental and social impacts and, moreover, likely to generate/ support positive environmental and social outcomes.

Social Risk Rating

Low

The proposed Project’s Social Risk is assessed as Low, as it will finance knowledge exchange and capacity building activities on monitoring, budgeting and coordination, to strengthen the networks and alliances of IPLC organizations and in turn enhancing their representation and voice in regional and global policy fora. No planned Project activity will finance land acquisition leading to economic or physical displacement, nor will the project have a negative social impact on its stakeholders or any vulnerable groups. Project activities are expected to increase voice and representation of IPLCs.

B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered

B.1. General Assessment

ESS1 Assessment and Management of Environmental and Social Risks and Impacts

Overview of the relevance of the Standard for the Project:

An environment and social (E&S) screening did not identify any potential risks and/or impacts based on the initial information collected from (i) scope of activities described in the AIS/concept note, (ii) previous risks identified in the original DGM project - FIP Grant Mechanism for Indigenous Peoples & Local Communities (P128748), and (iii) experience of the GEA complying and with environmental and social guidelines and policies. This project will not require an update of the program ESMF. Instead, certain instruments will be prepared, including Labor Management Procedures and the Stakeholder Engagement Plan.

The proposed Project includes global and regional capacity building and knowledge activities, coordination, monitoring and reporting support. These activities will be mostly coordinated by the GEA. The GEA will incorporate any necessary E&S risk management processes and procedures consistent with the ESF in the grant execution guidelines. Particularly, processes and procedures will provide specific references to ensure that contractors and other GEA project staff, as defined by ESS2 on Labor and Working Conditions, are engaged in those activities according to the applicable labor management principles consistent with the requirements of ESS2. The GEA grant guidelines will also provide guidance on requirements to be incorporated in terms of reference, contracts, and reporting mechanisms to ensure that the activities and outputs are in line with ESS2.

ESS3, ESS4, ESS5, ESS6, ESS8, and ESS9 are not considered relevant for this operation. Regarding ESS10 on Stakeholder Engagement and Information Disclosure, the GEA will develop a Stakeholder Engagement Plan (SEP) to identify the key stakeholders for the project. The SEP will also provide guidance on specific communication strategies for IPLCs which will be prepared by appraisal. During preparation, the WB will complete its due diligence on the GEA capacity and further assess the relevance of each ESS for the proposed Project as its design evolves.

Areas where “Use of Borrower Framework” is being considered:

Public Disclosure



N/A

ESS10 Stakeholder Engagement and Information Disclosure

The GEA will prepare a preliminary Stakeholder Engagement Plan (SEP) before Project Appraisal to identify the key stakeholders for the project. The SEP would also include guidance on establishing robust stakeholder engagement, consultation and grievance redress processes. The SEP will be completed prior to approval of this project including communication strategies to reach out to project stakeholders and consultations with IPLCs. The World Bank Social and Environmental Specialists will guide the GEA team to identify and attend stakeholders based on the final Project scope and will discuss with the GEA how to best hold consultations with project stakeholders and communicate project contents and impacts to IPLCs. The SEP will also include an annex setting out the process for selecting IPLC participants in the workshops. Further details of the SEP will be defined before project appraisal.

B.2. Specific Risks and Impacts

A brief description of the potential environmental and social risks and impacts relevant to the Project.

ESS2 Labor and Working Conditions

The GEA (Conservation International) is implementing activities under this project. It consists of direct workers and consultants. The project will prepare Labor Management Procedures which will set out: terms and conditions of employment; principles regarding non-discrimination and equality of opportunity; guidance on workers' organizations; policies on child and forced labor; measures for occupational health and safety; and, the establishment of a grievance mechanism for labor disputes. The LMP will include measures for addressing GBV/SEA risks, such as a Code of Conduct for PIU staff and contractors. Grant activities will be primarily implemented by Conservation International staff and are not expected to have any negative impacts related to labor and working conditions. In case Conservation International hires firms or consultants to implement some of the TF activities, proof documentation will be required to evidence that working conditions of firms and consultants are in line with ESS2. This requirement will be reflected, as needed, in the grant guidelines, bidding documents and the actual contracts for services to be provided.

ESS3 Resource Efficiency and Pollution Prevention and Management

ESS3 standard is not relevant at the Concept Stage. The proposed Project will neither finance nor support any civil works, thus no pollution prevention and management measures will apply.

ESS4 Community Health and Safety

ESS4 is not relevant at least at the Concept Stage. The proposed Project will neither finance nor support any civil works. No rehabilitation or construction of new infrastructure or other actions that would imply environmental or social risk or having negative impact on the environment or community health and safety will be financed.

ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement



ESS5 is not relevant. The proposed Project will not finance physical infrastructure and is not expected to involve land acquisition leading to economic or physical displacement to formal or informal occupants. No involuntary land taking will be financed. Hence, the Project is not expected to generate any negative impact covered under ESS5.

ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources

ESS6 is not relevant. The proposed Project will neither finance nor support any civil works or policies that might affect biodiversity or management of living natural resources.

ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities

ESS7 is relevant as the capacity building activities planned under the project will directly affect IPLC organizations in a positive way. Activities under the project will support knowledge exchanges, learning and capacity building on REDD+ and climate change issues at regional and global levels, and will strengthen the networks and alliances of IPLC organizations within and across regions to enhance their representation and voice in regional and global policy fora. While some of the fora may take place in areas where IPs are present, activities planned under the project don't include investments in sub-projects (works) at the country level. A protocol will be prepared, setting out the agreed-upon process for selecting IPLC participants in the workshops and ensuring that the workshops are organized in an inclusive and culturally appropriate manner, in accordance with the principles and objectives of ESS 7.

ESS8 Cultural Heritage

ESS8 is not relevant. The proposed Project will finance knowledge creation related activities and capacity building. In this context is not expected that such activities could directly or indirectly affect tangible or intangible cultural heritage.

ESS9 Financial Intermediaries

ESS9 is not relevant. The proposed Project will not channel funds to a Financial Intermediary with objective of sub lending.

C. Legal Operational Policies that Apply

OP 7.50 Projects on International Waterways

No

The Global project's specific activities are unlikely to generate significant safeguards impacts as activities will essentially aim at providing a space and structure for better coordination, knowledge sharing and overall collaboration between IPLC in and outside the program. Moreover, leadership role of IPLCs in the governing body of the program ensures that the main stakeholders steer the program which will lead to positive outcomes on the social side.

OP 7.60 Projects in Disputed Areas

No

Public Disclosure



III. WORLD BANK ENVIRONMENTAL AND SOCIAL DUE DILIGENCE

A. Is a common approach being considered?

No

Financing Partners

N/A

B. Proposed Measures, Actions and Timing (Borrower’s commitments)

Actions to be completed prior to Bank Board Approval:

The GEA will prepare a preliminary SEP before Appraisal and a detailed SEP prior to approval. The SEP will establish the best avenues to conduct consultations with ILPCs as well as communication strategies measures with different stakeholder groups. The GEA will also prepare Labor Management Procedures.

An Appraisal ESRS will be also prepared.

Possible issues to be addressed in the Borrower Environmental and Social Commitment Plan (ESCP):

The ESCP will be part of the execution of the TF by the GEA and is expected to cover the arrangements needed to maintain engagement and adequate communication strategies with IPLCs and other relevant stakeholders.

C. Timing

Tentative target date for preparing the Appraisal Stage ESRS

25-Oct-2019

IV. CONTACT POINTS

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V. FOR MORE INFORMATION CONTACT

Public Disclosure



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VI. APPROVAL

Task Team Leader(s):	Meerim Shakirova
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