

**Centro de Agricultura Alternativa do Norte de  
Minas  
BR DGM for Indigenous People and Traditional  
Communities Phase 2 (P177957)**

**ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**[May 15<sup>th</sup>, 2022]**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Centro de Agricultura Alternativa do Norte de Minas (the Recipient]) will implement the BR DGM for Indigenous People and Traditional Communities Phase 2 Project (the Project) as set out in the Grant Agreement. The International Bank for Reconstruction and Development (the World Bank, acting as the administrator of the Forest Investment Program under the Climate Investment Fund, has agreed to provide the financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the World Bank.
4. As agreed by the World Bank and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the Recipient General Director of The Centro de Agricultura Alternativa do Norte de Minas. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b>                      [Environmental, social, health, and safety (ESHS) performance needs to be monitored and reported to the World Bank.]</p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism.</p>	<p>Six-monthly reports to the World Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the World Bank no later than [30] days after the end of each reporting period.</p>	CAA-NM
B	<p><b>INCIDENTS AND ACCIDENTS</b>                      [notification of incidents and accidents is an important requirement of ESS1].</p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the [World Bank/Bank/Association]’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the World Bank within a timeframe acceptable to the World Bank.</p>	CAA-NM
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>The NEA will be responsible to implement ESHS management with qualified staff and resources to support management of ESHS risks and impacts of the Project including one environmental and social risk management specialist and one communication/stakeholder engagement specialist.</p>	<p>The NEA, as set out in the Grant Agreement, with the required specialists within 30 days of project effectiveness, and thereafter maintain these positions throughout Project implementation.</p>	CAA-NM

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <p>Adopt and implement the Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.</p>	Adopt the ESMF no later than 30 days after project effectiveness, and thereafter implement the ESMF throughout Project implementation.	CAA-NM
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the World Bank, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	CAA-NM
1.7	<p><b>ACTIVITIES SUBJECT TO RETROACTIVE FINANCING</b></p> <p>Submit reimbursement requests that provide technical evidence that principles consistent with the relevant ESSs have been incorporated in all activities subject to retroactive financing, which will be limited to preparation of screening procedures and criteria, the call of proposals for community subprojects, the procurement plan, the communication plan, the capacity building plan and others activities with project readiness.</p>	Prior to reimbursement requests.	CAA-NM
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	Adopt the LMP no later than 30 days after project effectiveness, and thereafter implement the LMP throughout Project implementation.	CAA-NM
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	Establish grievance mechanism prior engaging Project workers and thereafter maintain and operate it throughout Project implementation.	CAA-NM
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
3.1	<p><b>MANAGEMENT OF PESTICIDES</b></p> <p>Disseminate information on and give preference to integrated pest management (IPM) or integrated vector management (IVM) approaches in all community subprojects that involve recourse to pest management measures in a manner consistent with ESS3.</p>	Disseminate the preference and information to the adoption of IPM or IVM approaches as part of the call of proposals for submission of community subprojects, and thereafter implement these throughout Project implementation.	CAA-NM
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Incorporate principles of efficient use of energy, water and raw materials in community demand-driven subprojects (whenever needed and as far as technically and financially feasible) defined in the ESMF and in a manner consistent with the principles and objectives set on ESS 3.</p>	Establish and disseminate these principles as part of the ESMF no later than 30 days after project effectiveness, and thereafter apply it throughout Project implementation.	CAA-NM
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Adopt, disseminated and implement the community health and safety measures included in the ESMF.</p>	Establish and disseminate these principles as part of the ESMF no later than 30 days after project effectiveness, and thereafter apply it throughout Project implementation.	CAA-NM
4.2	<p><b>SEA AND SH RISKS</b></p> <p>Adopt, inform beneficiary communities, and implement a SEA/SH prevention and avoidance measures included in the Project’s ESMF, to assess and manage the risks of SEA and SH.</p>	Adopt and implement the SEA/SH measures before the selection of community subprojects, and thereafter implement the SEA/SH Action Plan throughout Project implementation.	CAA-NM
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	Adopt and implement a screening tool to exclude any subproject proposed by beneficiary communities that might lead to involuntary resettlement of formal or informal land holders/occupants as part of the preliminary verification of the eligibility of community subprojects.	Adopt the screening tool as part of the preliminary verification of the eligibility of community subprojects no later than 30 days after effectiveness, and thereafter apply it to the selection of community subprojects throughout Project implementation.	CAA-NM

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
5.2	Establish and disseminate among all stakeholders the requirements and procedures to be followed for an assessment of all community subprojects involving community-based natural resource management to demonstrate through evidence satisfactory to the Bank of broad community support (including voluntary and informed support of all groups that have access to natural resources in areas to be used for community-based natural resource management)	Establish and implement these requirements and procedures no later than 30 days after project effectiveness, and thereafter apply it throughout Project implementation.	CAA-NM
5.3	Establish and disseminate among all stakeholders the requirements and procedures to be followed to demonstrate the voluntary nature of any required land donation.	Establish and implement these requirements and procedures no later than 30 days after project effectiveness, and thereafter apply it throughout Project implementation.	CAA-NM
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<b>BIODIVERSITY RISKS AND IMPACTS</b>  Establish procedures consistent with ESS 6 to identify the types of habitats potentially affected by each community subproject and assess the potential positive or negative impacts on their ecological functions.	Establish these procedures no later than 30 days after project effectiveness, and thereafter maintain and operate the mechanism throughout Project implementation.	CAA-NM
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<b>INFORMATION DISCLOSURE IN A CULTURALLY APPROPRIATE WAY</b>  Establish – in consultation with Indigenous Peoples representatives on the National Steering Committee – a communication strategy about project activities that is culturally appropriate and accessible to Indigenous Peoples as well as consistent with ESS7.	Adopt the communication strategy prior to the launching of the call of proposals for community subprojects, and thereafter implement the IPPF throughout Project implementation.	CAA-NM
7.2	<b>INDIGENOUS PEOPLES ENGAGEMENT IN DECISION MAKING</b>  Establish procedures to ensure that Indigenous Peoples are consulted upon in a manner consistent with ESS 7, namely: carry out meaningful consultations with their beneficiary communities and their representative bodies and organizations; promote culturally appropriate engagement processes; provide sufficient time for Indigenous Peoples decision making processes; and ensure Indigenous Peoples broad support to proposed community subprojects.	Establish these procedures prior to the carrying out of any activity that involves the participation of Indigenous Peoples. Once adopted, implement the respective IPP throughout Project implementation.	CAA-NM
7.3	<b>GRIEVANCE MECHANISM</b>  Ensure that the Project’s Grievance Mechanism will be culturally appropriate and accessible to Indigenous Peoples and consistent with ESS7.	Establish the grievance mechanism no later than 30 days after project effectiveness, and thereafter maintain and operate the mechanism throughout Project implementation.	CAA-NM

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<p><b>CULTURAL HERITAGE RISKS AND IMPACTS</b></p> <p>Adopt and implement the screening, mitigation, management and monitoring procedures for sites of interest from a cultural heritage perspective established by the ESMF, including: (i) a generic Chance Finds Procedure for all subprojects that might require earth movements, to take proper protective measures in case cultural heritage sites are discovered, including to stop activities if cultural property sites are; and (ii) a cultural heritage management guidelines outlining mitigation measures to avoid or reduce the impacts to tangible and intangible heritage, as well as possible access restrictions from the improved enforcement directly related to the project activities.</p>	<p>Establish these procedures prior to the carrying out of any activity that involves the participation of Indigenous Peoples. Once adopted, implement the respective IPP throughout Project implementation.</p>	CAA-NM
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
This standard is not relevant.			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Adopt and implement the Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	<p>Adopt the SEP no later than 30 days after project effectiveness, and thereafter implement the SEP throughout Project implementation.</p>	CAA-NM
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Establish the grievance mechanism no later than 30 days after project effectiveness, and thereafter maintain and operate the mechanism throughout Project implementation.</p>	CAA-NM
<b>CAPACITY SUPPORT</b>			
CS1	<p>Project workers will receive further training on specific aspects of environmental and social risk management, culturally adequate communication and behavior, and occupational health and safety measures.</p>	<p><i>Within the first three months of project implementation.</i></p>	CAA-NM